# FRATTINI EXTENSIONS AND CLASS FIELD THEORY

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ABSTRACT. A. Brumer has shown that every profinite group of strict cohomological *p*-dimension 2 possesses a class field theory - the tautological class field theory. In particular, this result also applies to the universal *p*-Frattini extension  $\tilde{G}_p$  of a finite group G. We use this fact in order to establish a class field theory for every *p*-Frattini extension  $\pi: \tilde{G} \to G$  (Thm.A). The role of the class field module will be played by the *p*-Frattini module. The universal norms of this class field theory will carry important information about the *p*-Frattini extension  $\pi: \tilde{G} \to G$ . A detailled analysis will lead to a characterization of finite groups G which have a *p*-Frattini extension  $\pi: \tilde{G} \to G$  in which  $\tilde{G}$  is a weakly-orientable *p*-Poincaré duality group of dimension 2 (Thm.B).

In section §5 we characterize the *p*-Frattini extensions  $\pi_{A_1} : Sl_2(\mathbb{Z}_p) \to Sl_2(\mathbb{F}_p), p \neq 2,3,5$ , by some kind of localization technique. This answers a question posed by M.D.Fried and M.Jarden (Thm.C). It is quite likely that such an approach might also be successful for the characterization of the *p*-Frattini extensions  $\pi_D : X_D(\mathbb{Z}_p) \to X(\mathbb{F}_p)$ , where  $X_D$  is the simple simply-connected split  $\mathbb{Z}$ -Chevalley group scheme with Dynkin diagram D.

### 1. INTRODUCTION

Let G be a finite group and let p be a prime number. An extension of G by a pro-p group A

(1.1) 
$$1 \longrightarrow A \xrightarrow{\iota} \tilde{G} \xrightarrow{\pi} G \longrightarrow 1$$

is called a *p*-Frattini extension, if  $im(\iota)$  is contained in the Frattini subgroup of  $\tilde{G}$ . The study of *p*-Frattini extensions of finite groups has a long history. W.Gaschütz (cf. [8]) showed that every finite group G has a universal elementary *p*-abelian Frattini extension  $\pi_{/p}: \tilde{G}_{/p} \to G$  which kernel is - considered as (left)  $\mathbb{F}_p[G]$ -module - isomorphic to  $\Omega_2(G, \mathbb{F}_p)$ , where  $\Omega_k(G, \_) = \Omega^{-k}(G, \_)$  denotes the  $k^{th}$ -Heller translate in the category  $_G \mod_p$  of finitely generated (left)  $\mathbb{F}_p[G]$ -modules. Based on this result J.Cossey, L.G.Kovács and O.H.Kegel [3] showed the existence of a universal *p*-Frattini cover  $\pi_p: \tilde{G}_p \to G$ . As the universal *p*-Frattini cover coincides with the minimal projective cover (cf. [6, Prop.20.33]), K.Gruenberg's theorem [7] implies that  $\tilde{G}_p$  is of cohomological *p*-dimension less or equal to 1, i.e.,  $cd_p(\tilde{G}_p) \leq 1$ . In particular,  $ker(\pi_p)$  is a finitely generated free pro-*p* group (cf. [12, §I.4.2, Cor.2]).

If p divides the order of G, the profinite group  $\overline{G}_p$  is of strict cohomological pdimension 2. For these groups A.Brumer [2] showed the existence of a *tautological* class field theory. The goal of this paper is to use this tautological class field theory for the group  $\widetilde{G}_p$  in order to obtain new result on p-Frattini extensions.

The most efficient way to establish a class field theory is to use the theory of *cohomological Mackey-Functors*. A. Dress introduced this notion in [4]. The

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exposition given by P.Webb in [15] will be particularly useful for our purpose, and therefore we will follow it closely as far as possible.

The following theorem can be seen as a "structure theorem for *p*-Frattini extensions", which combines W.Gaschütz theorem with the fact that the inflation mapping  $H^1(\pi, S)$  is bijective for a *p*-Frattini extension  $\pi$  as in (1.1) and an irreducible (left)  $\mathbb{F}_p[G]$ -module S [16, Prop.3.1]. Its proof can be found in section 3.3 (cf. Thm.3.1, Cor.3.2).

**Theorem A.** Let G be a finite group, let p be a prime number and let  $\pi: \tilde{G} \to G$  be a p-Frattini extension. Let  $\mathcal{F}(\tilde{G})$  be the set of all open normal subgroups of  $\tilde{G}$  being contained in ker( $\pi$ ). Then there exists a p-class field theory ( $\mathbf{C}, \gamma$ ) for ( $\tilde{G}, \mathcal{F}$ ), i.e.,

- (i) C is a cohomological \$\mathcal{F}(\tilde{G})\$-Mackey functor of type \$H^0\$ (this is a short form to say that it has Galois descent),
- (ii)  $\mathbf{C}_U = \Omega_2(\tilde{G}/U, \mathbb{Z}_p)$  for all  $U \in \mathcal{F}(\tilde{G})$ ,
- (iii) γ: C → Ab<sup>p</sup> is a surjective morphism of cohomological F(G)-Mackey functors, where Ab<sup>p</sup> denotes the cohomological F(G)-Mackey functor of maximal p-abelian quotients (cf. §3.1),
- (iv) for all  $U, V \in \mathcal{F}(\tilde{G}), V \leq U, \gamma$  induces an isomorphism

(1.2) 
$$\mathbf{C}_U/im(N_{V,U}^{\mathbf{C}}) \simeq (U/V)_p^{ab},$$

(v) let  $U, V, W \in \mathcal{F}(\tilde{G}), V, W \leq U$ , such that U/V and U/W are abelian pgroups. Then  $im(N_{V,U}^{\mathbf{C}}) = im(N_{W,U}^{\mathbf{C}})$  implies V = W.

The class field theory  $(\mathbf{C}, \gamma)$  has also two further properties one would usually require from a class field theory: (vi) There exists a canonical class  $c \in$  $\mathbf{nat}^2(\mathfrak{X}(\mathbb{Z}_p), \mathbf{C})$ , (vii)  $H^1(\tilde{G}/V, \mathbf{C}_V) = H^1(U/V, \mathbf{C}_V) = 0$  for all  $U, V \in \mathcal{F}(\tilde{G})$ ,  $V \leq U$  (cf. Rem.3.3). However, this will not be of importance for our purpose.

The kernel of  $\gamma$  will be called *the universal norms* (of **C**). Its analysis will finally enable us to characterize finite groups G possessing a p-Frattini cover  $\pi : \tilde{G} \to G$ in which  $\tilde{G}$  is a weakly-orientable profinite p-Poincaré duality group of dimension 2 (cf. Cor.4.6). Here we call a profinite p-Poincaré duality group  $\tilde{G}$  of dimension dweakly-orientable, if  $H^d(\tilde{G}, \mathbb{F}_p[\tilde{G}]) \simeq \mathbb{F}_p$  is the trivial module.

**Theorem B.** Let G be a finite group, and let p be a prime number. Then the following are equivalent:

- (i) There exist a p-Frattini extension  $\pi: \tilde{G} \to G$ , where  $\tilde{G}$  is a profinite weaklyorientable p-Poincaré duality group of dimension 2.
- (ii) There exists an injective map

(1.3) 
$$\alpha \colon \Omega^1(G, \mathbb{F}_p) \longrightarrow \Omega_2(G, \mathbb{F}_p)$$

which is not an isomorphism.

Remark 1.1. Theorem B raises the following two questions: (1) For which finite groups G and prime numbers p does there exist an injective but not surjective map  $\alpha \colon \Omega^1(G, \mathbb{F}_p) \longrightarrow \Omega_2(G, \mathbb{F}_p)$ ? (2) Provided such a mapping exists, how many isomorphism types of p-Frattini covers  $\pi \colon \tilde{G} \to G$  exist, where  $\tilde{G}$  is a weaklyorientable p-Poincaré duality group of dimension 2?

Unfortunately, we cannot say anything about the second question. Explicit computations using the work of K.Erdmann [5] show that for  $q \equiv 3 \mod 4$ , such a mapping  $\alpha$  exists for G: =  $PSl_2(q)$  and p = 2 (cf. [16], [17]). However, it seems

a very difficult problem to characterize or classify the tuples (G, p) for which such a mapping exists.

Let  $\mathfrak{S}_p(G)$  denote the set of isomorphism types of irreducible (left)  $\mathbb{F}_p[G]$ -modules, and let  $\Delta \subseteq \mathfrak{S}_p(G)$  be a subset of  $\mathfrak{S}_p(G)$ . For short we call a *p*-Frattini extension  $\pi \colon \tilde{G} \to G$  a  $\Delta$ -Frattini extension, if the isomorphism type of every *G*composition factor of  $ker(\pi)$  is contained in  $\Delta$ . From the existence of the universal *p*-Frattini extension one deduces easily the existence of a universal  $\Delta$ -Frattini extension  $\pi_{\Delta} \colon \tilde{G}_{\Delta} \to G$  (cf. §5.2). Obviously,  $\tilde{G}_{\mathfrak{S}_p(G)}$  coincides with  $\tilde{G}_p$ , and  $\tilde{G}_{\emptyset}$ coincides with *G* itself. For our purpose it will be useful that the universal  $\Delta$ -Frattini extension can be charcterized by vanishing of second degree cohomology in a similar way as it is known for the universal *p*-Frattini extension (cf. Prop.5.1).

It is well-known that for  $p \neq 3$ , the extension

(1.4) 
$$\pi_{A_1} \colon Sl_2(\mathbb{Z}_p) \longrightarrow Sl_2(\mathbb{F}_p)$$

is indeed a *p*-Frattini extension (cf. [18]). However, it remained an open problem to characterize the extension  $\pi_{A_1}$  among all *p*-Frattini extension (cf. [6, Problem 20.40]).

For  $p \neq 2, 3$ , M.Lazard's theorem implies that  $Sl_2(\mathbb{Z}_p)$  is an orientable *p*-Poincaré duality group of dimension 3 (cf. [13]). From this fact we will deduces the following characterization:

**Theorem C.** Let p be a prime different from 2, 3 and 5. Let  $M_k$ , k = 0, ..., p-1, denote the simple  $\mathbb{F}_p[Sl_2(\mathbb{F}_p)]$ -module of weight k and  $\mathbb{F}_p$ -dimension k + 1. Then for every subset  $\Delta \subset \mathfrak{S}_p(Sl_2(\mathbb{F}_p))$  satisfying

(i)  $[M_2] \in \Delta$ , (ii)  $[M_{p-3}] \notin \Delta$ ,

the universal  $\Delta$ -Frattini extension  $\pi_{\Delta}$  of  $Sl_2(\mathbb{F}_p)$  coincides with  $\pi_{A_1}$ , i.e., one has an isomorphism

(1.5) 
$$\phi \colon \widehat{Sl}_2(\mathbb{F}_p)_\Delta \longrightarrow Sl_2(\mathbb{Z}_p)$$

satisfying  $\pi_{A_1} \circ \phi = \pi_{\Delta}$ .

For a given Dynkin diagram D let  $X_D$  be the simple simply-connected  $\mathbb{Z}$ -Chevalley group scheme associated to D. It has been proved in [18] that apart from finitely many (more or less explicitly known) values of (D, p),

(1.6) 
$$\pi_D \colon X_D(\mathbb{Z}_p) \longrightarrow X_D(\mathbb{F}_p)$$

is a *p*-Frattini extension. Therefore, one wonders whether one can characterize  $X_D(\mathbb{Z}_p)$  in a similar fashion as  $Sl_2(\mathbb{Z}_p)$  answering the problem raised in [6, Prob.20.40] in a wider context:

**Question 1.2.** Assume that p is large with respect to the Coxeter number of D. Let  $\mathfrak{L}_D(\mathbb{F}_p)$  denote the  $\mathbb{F}_p$ -Chevalley Lie algebra associated to D considered as (left)  $\mathbb{F}_p[X_D(\mathbb{F}_p)]$ -module and put  $\Delta_D$ : = { $[\mathfrak{L}_D(\mathbb{F}_p)]$ }. Are  $\pi_D$  and  $\pi_{\Delta_D}$  isomorphic p-Frattini covers?

Remark 1.3. Proposition 5.1 shows that Question 1.2 is equivalent to the question whether

(1.7) 
$$H^2(X_D(\mathbb{Z}_p), \mathfrak{L}_D(\mathbb{F}_p)) = 0.$$

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### 2. Cohomological Mackey functors

2.1. Profinite modules of profinite groups. Let p be a prime number, and let  $\hat{G}$  be a profinite group. The *completed*  $\mathbb{Z}_p$ -group algebra of  $\hat{G}$  is given by

(2.1) 
$$\mathbb{Z}_p\llbracket \hat{G} \rrbracket := \varprojlim_U \mathbb{Z}_p[\hat{G}/U],$$

where the inverse system is running over all open normal subgroups of  $\hat{G}$ . By  $_{\hat{G}}\mathbf{prf}_{n}$ we denote the abelian category the objects of which are abelian pro-p groups with continuous left  $\hat{G}$ -action. The morphisms from M to N,  $M, N \in ob_{(\hat{G}}\mathbf{prf}_{p})$ , are defined to be the continuous morphisms of profinite groups commuting with the action of G. The abelian group of morphisms from M to N will be denoted by  $\operatorname{Hom}_{\hat{G}}(M,N)$ . This category can be identified with the full subcategory of the category of topological left  $\mathbb{Z}_p[\![G]\!]$ -modules, the objects of which are also abelian pro-p groups. It is well-known that  ${}_{\hat{G}}\mathbf{prf}_p$  has enough projectives, and in particular minimal projective covers. If  $\hat{G}$  is the trivial group, then  $_{\hat{G}}\mathbf{prf}_{p}$  coincides with the category of abelian pro-p groups, which we will denote by  $\mathbf{prf}_p$ .

By  $_{\hat{G}}\mathbf{prf}_{/p}$  we denote the abelian category the objects of which are profinite  $\mathbb{F}_p$ -vector spaces with continuous left  $\hat{G}$ -action. It is a full subcategory of  $\hat{c}\mathbf{prf}_p$ , and objects can be considered as topological modules for the *completed*  $\mathbb{F}_p$ -group algebra

(2.2) 
$$\mathbb{F}_p[\![\hat{G}]\!] := \lim_{U \to U} \mathbb{F}_p[\hat{G}/U].$$

For further details the reader may wish to consult [2], [11] or [13].

2.2. Cohomological Mackey functors. There are several equivalent ways to define a cohomological Mackey functor. Here we will follow more or less the approach chosen by P.Webb (cf.  $[15, \S2]$ ).

Let  $\hat{G}$  be a profinite group and let  $\mathcal{N}$  be a set of open normal subgroups of  $\hat{G}$ . For short we call  $\mathcal{N}$  a normal Mackey system, if  $\mathcal{N}$  is closed with respect to products and intersections, and if  $\bigcap_{U \in \mathcal{N}} U = 1$ .

Let  $\mathcal{N}$  be a normal Mackey system of the profinite group G. A cohomological  $\mathcal{N}$ -Mackey functor **X** with coefficients in  $\mathbf{prf}_p$  is a collection  $(\mathbf{X}_U)_{U \in \mathcal{N}}$  of  $\hat{G}$ -modules  $\mathbf{X}_U \in ob(_{\hat{G}/U}\mathbf{prf}_p)$ , together with two series of mappings  $i_{U,V}^{\mathbf{X}}$  and  $N_{V,U}^{\mathbf{X}}$  for  $U, V \in$  $\mathcal{N}, V \leq U$ , where

(2.3) 
$$i_{U,V}^{\mathbf{X}} \in \operatorname{Hom}_{\hat{G}/V}(\mathbf{X}_U, \mathbf{X}_V),$$
$$N_{V,U}^{\mathbf{X}} \in \operatorname{Hom}_{\hat{G}/V}(\mathbf{X}_V, \mathbf{X}_U),$$

and which satisfy the following relations:

 $i_{U,U}^{\mathbf{X}} = N_{U,U}^{\mathbf{X}} = id_{\mathbf{X}_U} \qquad \text{for all } U \in \mathcal{N},$   $i_{U,W}^{\mathbf{X}} = i_{V,W}^{\mathbf{X}} \circ i_{U,V}^{\mathbf{X}} \qquad \text{for all } U, V, W \in \mathcal{N}, U \leq V \leq W,$   $N_{W,W}^{\mathbf{X}} = N_{W,U}^{\mathbf{X}} \circ N_{W,V}^{\mathbf{X}} \qquad \text{for all } U, V, W \in \mathcal{N}, U \leq V \leq W,$ (2.4)

(2.5) 
$$i_{U,W}^{\mathbf{X}} = i_{V,W}^{\mathbf{X}} \circ i_{U,V}^{\mathbf{X}}$$
 for all  $U, V, W \in \mathcal{N}, U \leq U$ 

(2.6) 
$$N_{W,U}^{\mathbf{A}} = N_{V,U}^{\mathbf{A}} \circ N_{W,V}^{\mathbf{A}} \quad \text{for all } U, V, W \in \mathcal{N},$$

- $i_{UV,V}^{\mathbf{X}} \circ N_{U,UV}^{\mathbf{X}} = N_{U\cap V,V}^{\mathbf{X}} \circ i_{U,U\cap V}^{\mathbf{X}} \quad \text{for all } U, V \in \mathcal{N},$ (2.7)for all  $U, V \in \mathcal{N}, U \leq V$ ,  $i_{U,V}^{\mathbf{X}} \circ N_{V,U}^{\mathbf{X}} = \sum_{x \in U/V} x$ (2.8)
- (2.9)  $N_{V,U}^{\mathbf{X}} \circ i_{U,V}^{\mathbf{X}} = |U:V|.id_{\mathbf{X}_U}$ for all  $U, V \in \mathcal{N}, U \leq V$ ,

The notation we have chosen is closer related to number theory than the one introduced in [15]. One can easily verify that the role of  $I_V^U$  in [15] is played by  $N_{V,U}^{\mathbf{X}}$ , and  $i_{U,V}^{\mathbf{X}}$  plays the role of  $R_V^U$ . Our axioms (2.3) and (2.4)-(2.6) are obviously equivalent to the axioms (0)-(5) in [15, §2]. The axioms (2.7) and (2.8) are reformulating axiom (6) in [15], as we assumed that all open subgroups of  $\hat{G}$  under consideration are normal in  $\hat{G}$ . Axiom (2.9) characterizes cohomological Mackey functors among all Mackey functors (cf. [15, §7]).

By  $\mathfrak{CM}_{\mathcal{N}}(\hat{G}, \mathbf{prf}_p)$  we denote the category of cohomological  $\mathcal{N}$ -Mackey functors of  $\hat{G}$  with coefficients in  $\mathbf{prf}_p$ . A morphism between cohomological  $\mathcal{N}$ -Mackey functors  $\eta : \mathbf{X} \to \mathbf{Y}$  is a sequence of mappings  $(\eta_U)_{U \in \mathcal{N}}, \eta_U \in \mathbf{Hom}_{\hat{G}/U}(\mathbf{X}_U, \mathbf{Y}_U)$ , for which the diagrams

(2.10) 
$$\begin{array}{cccc} \mathbf{X}_{U} & \xrightarrow{\eta_{U}} & \mathbf{Y}_{U} & \mathbf{X}_{U} & \xrightarrow{\eta_{U}} & \mathbf{Y}_{U} \\ i_{U,V}^{\mathbf{x}} & \downarrow & \downarrow i_{U,V}^{\mathbf{y}} & & N_{V,U}^{\mathbf{x}} \uparrow & & \uparrow N_{V,U}^{\mathbf{y}} \\ \mathbf{X}_{V} & \xrightarrow{\eta_{V}} & \mathbf{Y}_{V} & \mathbf{X}_{V} & \xrightarrow{\eta_{V}} & \mathbf{Y}_{V} \end{array}$$

commute for all  $U, V \in \mathcal{N}, V \leq U$ . By  $nat(\mathbf{X}, \mathbf{Y})$  we denote the abelian group of morphisms of cohomological  $\mathcal{N}$ -Mackey functors from  $\mathbf{X}$  to  $\mathbf{Y}$ .

Using the interpretation of  $\mathfrak{CM}_{\mathcal{N}}(\hat{G}, \mathbf{prf}_p)$  as the category of additive  $\mathbb{Z}_p$ -linear functors from the category of  $\hat{G}$ -permutation modules of discrete  $\hat{G}$ -sets with isotropy group being contained in  $\mathcal{N}$  to the category  $\mathbf{prf}_p$  of abelian pro-p groups (cf. [15, Prop.7.2]), one sees easily that  $\mathfrak{CM}_{\mathcal{N}}(\hat{G}, \mathbf{prf}_p)$  is an abelian category. Kernels and cokernels are defined in the obvious way.

2.3. From cohomological Mackey functors to  $\hat{G}$ -modules and vice versa. Taking the inverse limit over the norm maps  $N_{V,U}$  defines a covariant left exact functor

(2.11) 
$$\begin{array}{c} m \colon \mathfrak{CM}_{\mathcal{N}}(G, \mathbf{prf}_p) \longrightarrow_{\hat{G}} \mathbf{prf}_p, \\ m(\mathbf{X}) \colon = \varprojlim_{U \in \mathcal{N}} \mathbf{X}_U, \quad \text{for } \mathbf{X} \in ob(\mathfrak{CM}_{\mathcal{N}}(\hat{G}, \mathbf{prf}_p)) \end{array}$$

In case  $\mathcal{N}$  contains a countable basis of neighbourhoods of  $1 \in \hat{G}$ ,  $\varprojlim^1$  vanishes, since all modules  $\mathbf{X}_U$  are compact. Hence in this case m is exact.

Let  $M \in ob(_{\hat{G}}\mathbf{prf}_p)$  be an abelian pro-p group with continuous left  $\hat{G}$ -action. For an open normal subgroup  $U \in \mathcal{N}$  we denote by

(2.12) 
$$M_U := \mathbb{Z}_p[\hat{G}/U] \hat{\otimes}_{\hat{G}} M = M/cl(\langle (1-u).M | u \in U \rangle)$$

the U-coinvariants of M. Here  $\hat{\otimes}$  denotes the pro-p tensor product as defined by A.Brumer (cf. [2, §2]), and cl denotes the closure operation. The assignment  $\mathfrak{X}(M)$ which assigns  $U \in \mathcal{N}$  the U-coinvariants  $\mathfrak{X}(M)_U := M_U$  together with the natural map  $N_{V,U}^{\mathfrak{X}(M)} : M_V \to M_U, V \leq U$ , and the mapping  $i_{U,V}^{\mathfrak{X}(M)} : M_U \to M_V, V \leq U$ ,

$$(2.13) \ i_{U,V}^{\mathfrak{X}(M)}(m+cl(\langle (1-u).M|u \in U \rangle)) : = \sum_{x \in V/U} x.m+cl(\langle (1-v).M|v \in V \rangle),$$

defines a cohomological  $\mathcal{N}$ -Mackey functor  $\mathfrak{X}(M) \in ob(\mathfrak{CM}_{\mathcal{N}}(\hat{G}, \mathbf{prf}_p))$ . It induces a covariant additive right exact functor

(2.14) 
$$\mathfrak{X}(\underline{\phantom{x}}): {}_{\hat{G}}\mathbf{prf}_p \longrightarrow \mathfrak{CM}_{\mathcal{N}}(\hat{G}, \mathbf{prf}_p),$$

which will be in general not exact. As we will see in the next subsection, the cohomological  $\mathcal{N}$ -Mackey functors obtained this way have a particular property which characterizes them.

2.4. Cohomology and homology of cohomological  $\mathcal{N}$ -Mackey functors. Let **X** be a cohomological  $\mathcal{N}$ -Mackey functor for  $\hat{G}$  with coefficients in  $\mathbf{prf}_p$ . For short we call **X** *i-injective*, if all maps  $i_{U,V}^{\mathbf{X}}$ ,  $U, V \in \mathcal{N}$ ,  $V \leq U$ , are injective. Similarly, **X** is called *N*-surjective, if  $N_{V,U}^{\mathbf{X}}$  is surjective for all  $U, V \in \mathcal{N}$ ,  $V \leq U$ .

Assume that  $\mathbf{X} \in ob(\mathfrak{CM}_{\mathcal{N}}(\hat{G}, \mathbf{prf}_p))$  is *i*-injective. Then we call  $\mathbf{X}$  of type  $H^0$ , if

$$(2.15) im(i_{U,V}^{\mathbf{X}}) = \mathbf{X}_V^{U/V}$$

for all  $U, V \in \mathcal{N}, V \leq U$ . Here  $\mathbf{X}_{V}^{U/V}$  denotes the abelian group of U/V-fixed points on  $\mathbf{X}_{V}$ . Cohomological  $\mathcal{N}$ -Mackey functors of type  $H^{0}$  are sometimes also called to have *Galois descent*. The *N*-surjective cohomological  $\mathcal{N}$ -Mackey functor is called of *type*  $H_{0}$ , if

(2.16) 
$$ker(N_{V,U}^{\mathbf{X}}) = \sum_{x \in U/V} (x-1).\mathbf{X}_V$$

for all  $U, V \in \mathcal{N}, V \leq U$ . From this definition it is straight forward, that a cohomological  $\mathcal{N}$ -Mackey functor is of type  $H_0$ , if and only if it is isomorphic to a functor  $\mathfrak{X}(M)$  for some  $M \in ob_{(\hat{G}}\mathbf{prf}_p)$ . The cohomological  $\mathcal{N}$ -Mackey functors being oy type  $H_0$  are sometimes also called to have *Galois codescent*.

It is possible to interpret the definitions of being of type  $H_0$  or of type  $H^0$  in a more general homological context. For a cohomological  $\mathcal{N}$ -Mackey functor  $\mathbf{X}$  we define for  $U, V \in \mathcal{N}, V \leq U$ ,

(2.17)

$$\mathbf{k}^{0}(U/V, \mathbf{X}) \colon = ker(i_{U,V}^{\mathbf{X}}), \qquad \mathbf{k}^{1}(U/V, \mathbf{X}) \colon = \mathbf{X}_{V}^{U/V} / im(i_{U,V}^{\mathbf{X}}),$$

$$(2.18)$$

$$\mathbf{c}_{0}(U/V, \mathbf{X}) \colon = coker(N_{V,U}^{\mathbf{X}}), \qquad \mathbf{c}_{1}(U/V, \mathbf{X}) \colon = ker(N_{U,V}^{\mathbf{X}}) / \sum_{x \in U/V} (x-1)\mathbf{X}_{V}.$$

Let  $0 \to \mathbf{X} \to \mathbf{Y} \to \mathbf{Z} \to 0$  be a short exact sequence of cohomological  $\mathcal{N}$ -Mackey functors. Then the snake lemma implies that one has exact sequences

(2.19) 
$$0 \to \mathbf{k}^{0}(U/V, \mathbf{X}) \to \mathbf{k}^{0}(U/V, \mathbf{Y}) \to \mathbf{k}^{0}(U/V, \mathbf{Z}) .. \to \mathbf{k}^{1}(U/V, \mathbf{X}) \to \mathbf{k}^{1}(U/V, \mathbf{Y}) \to \mathbf{k}^{1}(U/V, \mathbf{Z}),$$

(2.20) 
$$\mathbf{c}_{1}(U/V, \mathbf{X}) \to \mathbf{c}_{1}(U/V, \mathbf{Y}) \to \mathbf{c}_{1}(U/V, \mathbf{Z}) \to \dots$$
$$\mathbf{c}_{0}(U/V, \mathbf{X}) \to \mathbf{c}_{0}(U/V, \mathbf{Y}) \to \mathbf{c}_{0}(U/V, \mathbf{Z}) \to 0.$$

One can therefore think of  $\mathbf{k}^{0/1}(U/V, \_)$  as the 0- and 1-dimensional section cohomology of cohomological  $\mathcal{N}$ -Mackey functors, and of  $\mathbf{c}_{0/1}(U/V, \_)$  as the 0- and 1-dimensional section homology of cohomological  $\mathcal{N}$ -Mackey functors. It is possible to extend these functors to cohomological and homological functors, respectively. Since we will not make use of the higher derived functors we omit a detailed discussion here. However, we would like to remark, that these functors are not unrelated. **Proposition 2.1.** Let  $\mathbf{X} \in \mathfrak{CM}_{\mathcal{N}}(\hat{G}, \mathbf{prf}_p)$  be a cohomological  $\mathcal{N}$ -Mackey functor and let  $U, V \in \mathcal{N}, V \leq U$ . Then one has an exact sequence of  $\hat{G}/U$ -modules

(2.21) 
$$\begin{array}{c} 0 \longrightarrow \mathbf{c}_1(U/V, \mathbf{X}) \xrightarrow{\alpha_1} \hat{H}^{-1}(U/V, \mathbf{X}_V) \xrightarrow{\alpha_2} \mathbf{k}^0(U/V, \mathbf{X}) \xrightarrow{\alpha_3} \dots \\ \mathbf{c}_0(U/V, \mathbf{X}) \xrightarrow{\alpha_4} \hat{H}^0(U/V, \mathbf{X}_V) \xrightarrow{\alpha_5} \mathbf{k}^1(U/V, \mathbf{X}) \longrightarrow 0, \end{array}$$

where  $\hat{H}^{\bullet}(U/V, \_)$  denotes Tate cohomology.

*Proof.* The mapping  $\alpha_1 : \mathbf{c}_1(U/V, \mathbf{X}) \to \hat{H}^{-1}(U/V, \mathbf{X}_V)$  is clearly injective. Since  $\alpha_2$  is induced by the norm map  $N_{V,U}^{\mathbf{X}}$ , one has

(2.22) 
$$ker(\alpha_2) = ker(N_{V,U}^{\mathbf{X}}) / \sum_{x \in U/V} (x-1)\mathbf{X}_V = im(\alpha_1).$$

Furthermore, by axiom (2.9)

(2.23) 
$$ker(\alpha_3) = ker(i_{U,V}^{\mathbf{X}}) \cap im(N_{V,U}) = N_{V,U}(ker(\sum_{x \in U/V} x)) = im(\alpha_2).$$

The mapping  $\alpha_4$  is induced by  $i_{U,V}^{\mathbf{X}}$ . Hence

(2.24) 
$$ker(\alpha_4) = \left(ker(i_{U,V}^{\mathbf{X}}) + im(N_{V,U}^{\mathbf{X}})\right)/im(N_{V,U}^{\mathbf{X}}) = im(\alpha_3).$$

The mapping  $\alpha_5$  is the canonical map and thus surjective. Furthermore,

(2.25) 
$$ker(\alpha_5) = im(i_{U,V}^{\mathbf{X}}) / (\sum_{x \in U/V} x) \cdot \mathbf{X}_V = im(\alpha_4).$$

This yields the claim.

Remark 2.2. Let  $\hat{G}$  be a finite cyclic group and let  $\mathcal{N} := \{1, \hat{G}\}$ . Using an alternative approach for the definition of  $\mathbf{c}_{\bullet}(\hat{G}, \_)$  and  $\mathbf{k}^{\bullet}(\hat{G}, \_)$  one sees that there exist connecting homomorphisms making the sequence

(2.26) 
$$(\mathbf{k}^{0}(\hat{G},\underline{-}),\mathbf{k}^{1}(\hat{G},\underline{-}),\mathbf{c}_{1}(\hat{G},\underline{-}),\mathbf{c}_{0}(\hat{G},\underline{-}))$$

a (co)homological functor. Let  $M \in ob_{(\hat{G}}\mathbf{prf}_p)$  be a finitely generated  $\mathbb{Z}_p[\hat{G}]$ -module. Then (2.21) says that the Herbrand quotient (cf. [10, Kap.IV, §7])

(2.27) 
$$h(\hat{G}, M) := \frac{|\hat{H}^0(\hat{G}, M)|}{|\hat{H}^{-1}(\hat{G}, M)|}$$

can be interpreted as a kind of multiplicative Euler characteristic, i.e., one has

(2.28) 
$$h(\hat{G}, M) = \frac{|\mathbf{c}_0(\hat{G}, \mathfrak{X}(M))| \cdot |\mathbf{k}^1(\hat{G}, \mathfrak{X}(M))|}{|\mathbf{c}_1(\hat{G}, \mathfrak{X}(M))| \cdot |\mathbf{k}^0(\hat{G}, \mathfrak{X}(M))|} =: \chi(\mathfrak{X}(M)).$$

For short we say that a cohomological  $\mathcal{N}$ -Mackey functor **X** is *cohomologically* trivial, if **X** is of type  $H^0$  and  $H_0$ . From Proposition 2.1 follows that such a functor satisfies

(2.29) 
$$\hat{H}^{-1}(U/V, \mathbf{X}_V) = \hat{H}^0(U/V, \mathbf{X}_V) = 0$$

for all  $U, V \in \mathcal{N}, V \leq U$ .

**Proposition 2.3.** Let  $P \in ob(_{\hat{G}}\mathbf{prf}_p)$  be projective. Then for  $V \in \mathcal{N}$ ,  $\mathfrak{X}(P)_V$ (cf. 2.3) is a projective  $\mathbb{Z}_p[\hat{G}/V]$ -module. In particular,  $\mathfrak{X}(P)$  is a cohomologically trivial cohomological  $\mathcal{N}$ -Mackey functor.

*Proof.* The first statement follows from the fact that deflation from  $_{\hat{G}}\mathbf{prf}_{p}$  to  $\hat{G}/V$ **prf**<sub>p</sub> is mapping projectives to projectives. Since restriction to closed subgroups is mapping projectives to projectives, it suffices to prove the second claim for  $U = \hat{G}$ . Since  $\mathfrak{X}(P)$  is of type  $H_0$ ,  $\mathbf{c}_{0/1}(\hat{G}/V, \mathfrak{X}(P)) = 0$ . As  $P_V \in ob(_{\hat{G}/V}\mathbf{prf}_n)$ is projective,  $\hat{H}^{-1}(\hat{G}/V, P_V) = \hat{H}^0(\hat{G}/V, P_V) = 0$ . Hence Proposition 2.1 yields the claim.  $\square$ 

## 3. Class field theories

Throughout this section let  $\hat{G}$  be a profinite group, and let p be a prime number. We also assume that  $\mathcal{N}$  is a normal Mackey system for  $\hat{G}$ .

For a finite group G we denote by  $\mathfrak{S}_{p}(G)$  the set of isomorphism types of irreducible (left)  $\mathbb{F}_p[G]$ -modules. For an irreducible  $\mathbb{F}_p[G]$ -module S we use the symbol  $[S] \in \mathfrak{S}_p(G)$  to denote its isomorphism type.

# 3.1. The cohomological Mackey functors $Ab^p$ and $Ab^{/p}$ . For $U \in \mathcal{N}$ , let

(3.1) 
$$\mathbf{Ab}_{U}^{p}: = U_{p}^{ab} = U/cl([U, U])/O_{p'}(U/cl([U, U]))$$

denote the largest continuous homomorphic image of U which is an abelian pro-pgroup. Here [-, -] stands for the commutator subgroup, and cl denotes the closure operation. Then for  $U, V \in \mathcal{N}, V \leq U$ , one has a canonical map  $N_{VU}^{\mathbf{Ab}^p} : V_n^{ab} \to U_n^{ab}$ . This map together with the transfer map (cf. [10, p.312])

(3.2) 
$$i_{U,V}^{\mathbf{Ab}^p} \colon = tr_V^U \colon U_p^{ab} \to V_p^{ab}$$

makes  $\mathbf{Ab}^{p} \in ob(\mathfrak{CM}_{\mathcal{N}}(\hat{G}, \mathbf{prf}_{p}))$  a cohomological  $\mathcal{N}$ -Mackey functor. By  $\mathbf{Ab}^{/p}$  we denote its reduction modulo p, i.e., for  $U \in \mathcal{N}$  one has

(3.3) 
$$\mathbf{A}\mathbf{b}_U^{/p} \colon = U_{/p}^{ab} = \mathbf{A}\mathbf{b}_U^p / p.\mathbf{A}\mathbf{b}_U^p$$

and the maps  $i_{U,V}^{\mathbf{A}\mathbf{b}^{/p}}$  and  $N_{V,U}^{\mathbf{A}\mathbf{b}^{/p}}$ ,  $U, V \in \mathcal{N}, V \leq U$ , are the maps induced from  $i_{U,V}^{\mathbf{Ab}^p}$  and  $N_{V,U}^{\mathbf{Ab}^p}$ , respectively. It is obviously a cohomological  $\mathcal{N}$ -Mackey functor.

3.2. Weak p-class field theories. We define a weak p-class field theory  $(\mathbf{X}, \eta)$  (for  $(\hat{G}, \mathcal{N})$  to be a cohomological  $\mathcal{N}$ -Mackey functor  $\mathbf{X} \in ob(\mathfrak{CM}_{\mathcal{N}}(\hat{G}, \mathbf{prf}_n))$ , together with a surjective morphism  $\eta: \mathbf{X} \to \mathbf{Ab}^p$  of cohomological  $\mathcal{N}$ -Mackey functors with the following properties:

- (i) **X** is of type  $H^0$ , (ii)  $\mathbf{c}_0(U/V, \eta) : \mathbf{c}_0(U/V, \mathbf{X}) \to (U/V)_p^{ab}$  is an isomorphism for all  $U, V \in \mathcal{N}$ ,  $V \leq U$ .

The property (i) implies that  $\mathbf{k}^{0/1}(U/V, \mathbf{X}) = 0$  for all  $U, V \in \mathcal{N}, V \leq U$ . In particular, one has an isomorphism  $\mathbf{c}_0(U/V, \mathbf{X}) = \hat{H}^0(U/V, \mathbf{X}_V)$ . The property (ii) is one of the properties one would expect from a p-class field theory. However, in order to state the other property, one has also to require some structure on the normal Mackey system  $\mathcal{N}$ .

3.3. *p*-Class field theories. For short we call a normal Mackey system *p*-closed, if it satisfies the following property: Assume that W is an open normal subgroup of  $\hat{G}$  which is contained in an open normal subgroup in  $U \in \mathcal{N}$ , such that U/W is a finite abelian *p*-group. Then W is also contained in  $\mathcal{N}$ .

Let  $\mathcal{N}$  be a *p*-closed normal Mackey system of  $\hat{G}$ . Then we call the weak *p*-class field theory  $(\mathbf{X}, \eta)$  a *p*-class field theory, if it satisfies additionally the following property:

(iii) Let  $U \in \mathcal{N}$  and let  $V, W \leq U$  be open and normal in  $\hat{G}$ , such that U/V and U/W are finite abelian *p*-groups. Assume that  $im(N_{V,U}^{\mathbf{X}}) = im(N_{W,U}^{\mathbf{X}})$ . Then V = W.

In a similar fashion one defines a /p-class field theory: Let  $\mathcal{N}$  be a p-closed normal Mackey system of  $\hat{G}$ . A cohomological  $\mathcal{N}$ -Mackey functor  $\mathbf{X}$  together with a surjective morphism of  $\mathcal{N}$ -Mackey functors  $\eta \colon \mathbf{X} \to \mathbf{Ab}^{/p}$  is called a /p-class field theory, if the following properties hold:

- (i) **X** is of type  $H^0$ .
- (ii)  $\mathbf{c}_0(U/V,\eta) : \mathbf{c}_0(U/V,\mathbf{X}) \to (U/V)_{/p}^{ab}$  is an isomorphism for all  $U, V \in \mathcal{N}, V \leq U,$
- (iii) Let  $U \in \mathcal{N}$  and let  $V, W \leq U$  be open and normal in  $\hat{G}$ , such that U/Vand U/W are finite elementary abelian *p*-groups. Assume that  $im(N_{V,U}^{\mathbf{X}}) = im(N_{WU}^{\mathbf{X}})$ . Then V = W.

3.4. The *p*-Frattini class field theory and the /*p*-Frattini class field theory. Let G be a finite group, and let  $\pi_p \colon \tilde{G}_p \to G$  denote its universal *p*-Frattini cover. We are considering the normal Mackey system

(3.4) 
$$\mathcal{F}:=\{U\leq ker(\pi_p)\mid U \text{ open and normal in } \tilde{G}_p\}.$$

As  $ker(\pi_p)$  is a pro-*p* group, it is obviously *p*-closed.

Let

$$(3.5) 0 \longrightarrow P_1 \xrightarrow{\delta} P_0 \xrightarrow{\varepsilon} \mathbb{Z}_p \longrightarrow 0$$

be a minimal projective resolution of the trivial  $\mathbb{Z}_p[\![\tilde{G}_p]\!]$ -module  $\mathbb{Z}_p$  in  $_{\tilde{G}_p}\mathbf{prf}_p$ . In particular,  $\varepsilon \colon P_0 \to \mathbb{Z}_p$  and  $\delta' \colon P_1 \to ker(\varepsilon)$  are minimal projective covers in  $_{\tilde{G}_p}\mathbf{prf}_p$ .

Let  $\mathfrak{S}_p(G)$  denote the set of isomorphism types of irreducible  $\mathbb{F}_p[G]$ -modules, and let  $\tau_S \colon P_S \to S$  denote a minimal projective cover in  $_{\tilde{G}_p}\mathbf{prf}_p$ ,  $[S] \in \mathfrak{S}_p(G)$ . As (3.5) is minimal, one has isomorphisms

(3.6) 
$$\operatorname{Hom}_{\tilde{G}_{p}}(P_{1},S) \simeq H^{1}(\tilde{G}_{p},S)$$

for all  $[S] \in \mathfrak{S}_p(G)$ . In particular,  $P_1 \simeq \coprod_{[S] \in \mathfrak{S}_p(G)} P_S^{\mu_S}$ , where

(3.7) 
$$\mu_S := \frac{\dim_{\mathbb{F}_p}(H^1(\tilde{G}_p, S))}{\dim_{\mathbb{F}_p}(\operatorname{End}_G(S))}$$

Let  $U \in \mathcal{F}$ . As  $\__U$  is right exact, one has an exact sequence

(3.8) 
$$(P_1)_U \xrightarrow{\delta_U} (P_0)_U \xrightarrow{\varepsilon_U} \mathbb{Z}_p \longrightarrow 0.$$

As  $\tilde{G}_p \to \tilde{G}_p/U$  is a *p*-Frattini extension, inflation induces isomorphisms

(3.9) 
$$H^1(\tilde{G}_p, S) \simeq H^1(\tilde{G}_p/U, S)$$

for all  $[S] \in \mathfrak{S}_p(G)$  (cf. [16, Prop.3.1]). This yields that

(3.10) 
$$H^1(G_p/U, S) \simeq \operatorname{Hom}_{\tilde{G}_p/U}((P_1)_U, S)$$

for all  $[S] \in \mathfrak{S}_p(G)$ , and from this one concludes easily that (3.8) is a partial minimal projective resolution. In particular,  $ker(\delta_U) = \Omega_2(\tilde{G}_p/U, \mathbb{Z}_p)$ .

Let  $\Omega_2$ : =  $ker(\mathfrak{X}(\delta))$ . Then one has an exact sequence of cohomological  $\mathcal{F}$ -Mackey functors

(3.11) 
$$0 \longrightarrow \mathbf{\Omega}_2 \longrightarrow \mathfrak{X}(P_1) \xrightarrow{\mathfrak{X}(\delta)} \mathfrak{X}(P_0) \xrightarrow{\mathfrak{X}(\varepsilon)} \mathfrak{X}(\mathbb{Z}_p) \longrightarrow 0,$$

and  $\Omega_{2,U} = \Omega_2(G_p/U, \mathbb{Z}_p).$ 

From the Eckmann-Shapiro lemma for  $\mathbf{Tor}_{\bullet}$  (cf. [13, Lemma 3.3.4]), and the canonical isomorphism  $\mathbf{H}_1(U, \mathbb{Z}_p) \simeq U_p^{ab} = \mathbf{Ab}_U^p$ , where  $\mathbf{H}_{\bullet}$  denotes homology as defined by A.Brumer (cf. [2, §2]), one obtains an isomorphism

(3.12) 
$$\eta: \mathbf{\Omega}_2 \longrightarrow \mathbf{Ab}^p$$

of cohomological  $\mathcal{F}$ -Mackey functors.

By  $\Omega_2^{/p}$  we denote the reduction mod p of  $\Omega_2$ , i.e., one has a short exact sequence in  $\mathfrak{CM}_{\mathcal{F}}(\tilde{G}_p, \mathbf{prf}_p)$ 

$$(3.13) 0 \longrightarrow \mathbf{\Omega}_2 \xrightarrow{p.id} \mathbf{\Omega}_2 \longrightarrow \mathbf{\Omega}_2^{/p} \longrightarrow 0$$

By  $\eta^{/p} \colon \mathbf{\Omega}_2^{/p} \to \mathbf{Ab}^{/p}$  we denote the induced isomorphism.

**Theorem 3.1.** Let G be a finite group,  $\pi_p \colon \tilde{G}_p \to G$  its universal p-Frattini cover, and let  $\mathcal{F}$  be given as in (3.4).

- (a) The tuple  $(\Omega_2, \eta)$  is a p-class field theory for  $(\tilde{G}_p, \mathcal{F})$ .
- (b) The tuple  $(\Omega_2^{/p}, \eta^{/p})$  is a /p-class field theory for  $(\tilde{G}_p, \mathcal{F})$ .

We call  $(\Omega_2, \eta)$  the *p*-Frattini class field theory for  $(\tilde{G}_p, \mathcal{F})$ , and  $(\Omega_2^{/p}, \eta^{/p})$  the /*p*-Frattini class field theory for  $(\tilde{G}_p, \mathcal{F})$ .

*Proof.* (a) One has to verify the axioms (i)-(iii). Axiom (ii) is obviously satisfied. Consider the short exact sequence

$$(3.14) 0 \longrightarrow \mathbf{\Omega}_2 \xrightarrow{\iota} \mathfrak{X}(P_1) \longrightarrow coker(\iota) \longrightarrow 0.$$

Since  $coker(\iota)$  is a cohomological  $\mathcal{F}$ -subMackey functor of  $\mathfrak{X}(P_0)$ ,  $\mathbf{k}^0(coker(\iota)) = 0$ (cf. (2.19), Prop.2.3). The long exact sequence (2.19) applied to (3.14) and the cohomological triviality of  $\mathfrak{X}(P_0)$  and  $\mathfrak{X}(P_1)$  yields that  $\Omega_2$  is of type  $H^0$ . Hence axiom (i) is satisfied. It remains to verify (iii). We may assume that p divides the order of the finite group G, since otherwise  $\Omega_2 = 0$ , and there is nothing to prove. In this case  $\tilde{G}_p$  is of cohomological p-dimension 1, and thus of strict cohomological p-dimension 2 (cf. [12, §I.3.2]). In particular, by Brumer's theorem (cf. [2], [10, Kap.IV, §6, Aufg.6])  $\hat{G}$  possesses a *tautological class field theory*. Let  $(\mathfrak{H}, \rho)$  denote its restriction to the Mackey system  $\mathcal{F}$ , i.e.,  $\mathfrak{H}_U = \mathbf{Ab}_U^p$  and  $\rho_U$  is the identity on  $\mathbf{Ab}_U^p$ . In particular ( $\mathfrak{H}, \rho$ ) and ( $\Omega_2, \eta$ ) essentially coincide, i.e., one has a commutative diagram in  $\mathfrak{CM}_{\mathcal{F}}(\tilde{G}_p, \mathbf{prf}_p)$ 

The property (iii) is well-known for  $(\mathfrak{H}, \rho)$  (cf. [10, Kap.IV, Thm.6.7]). Thus it also holds for  $(\mathbf{\Omega}_2, \eta)$ .

(b) It suffices to prove that  $\Omega_2^{/p}$  is of type  $H^0$ . The axiom (ii) is obvious, and axiom (iii) follows from axiom (iii) for  $(\Omega_2, \eta)$ .

Let  $\mathfrak{X}(P_{0/1})^{/p}$  denote the reduction mod p of  $\mathfrak{X}(P_0)$  and  $\mathfrak{X}(P_1)$ , respectively. Then one has a short exact sequence

(3.16) 
$$0 \longrightarrow \mathbf{\Omega}_2^{/p} \xrightarrow{\iota^{/p}} \mathfrak{X}(P_1)^{/p} \longrightarrow coker(\iota^{/p}) \longrightarrow 0,$$

and  $coker(\iota^{/p})$  is a cohomological  $\mathcal{F}$ -sub Mackey functor of  $\mathfrak{X}(P_0)^{/p}$ . From Proposition 2.1 one concludes that  $\mathfrak{X}(P_0)^{/p}$  and  $\mathfrak{X}(P_1)^{/p}$  are cohomologically trivial. Hence the long exact sequence (2.19) yields the claim.

Let  $\pi: \tilde{G} \to G$  be any *p*-Frattini extension, finite or infinite. By universality, there exists a mapping  $\tau: \tilde{G}_p \to \tilde{G}$ , such that  $\pi_p = \pi \circ \tau$ . Since  $\pi$  is a *p*-Frattini extension,  $\tau$  is surjective. For short we put  $N: = ker(\tau)$ .

The morphism  $\tau$  induces a canonical bijection of sets  $\tau_* \colon \mathcal{F}_N \to \mathcal{F}(\tilde{G})$ , where  $\mathcal{F}$  is given as in (3.4) and

(3.17) 
$$\begin{aligned} \mathcal{F}_N &:= \{ U \in \mathcal{F} \mid N \leq U \}, \\ \mathcal{F}(\tilde{G}) &:= \{ U' \leq ker(\pi) \mid U' \text{ open and normal in } \tilde{G} \} \end{aligned}$$

Let  $\mathbf{C} \in ob(\mathfrak{CM}_{\mathcal{F}(\tilde{G})}(\tilde{G}, \mathbf{prf}_p))$  denote the cohomological  $\mathcal{F}(\tilde{G})$ -Mackey functor given by

(3.18) 
$$\mathbf{C}_U := \mathbf{\Omega}_{2,\tau_*^{-1}(U)}, \quad U \in \mathcal{F}(\tilde{G})$$

equipped with the obvious maps  $i_{U,V}^{\mathbf{C}}$ ,  $N_{V,U}^{\mathbf{C}}$ ,  $U, V \in \mathcal{F}(\tilde{G})$ ,  $V \leq U$ . Let  $\gamma : \mathbf{C} \to \mathbf{Ab}^p$  denote the morphism of  $\mathcal{F}(\tilde{G})$ -Mackey functors induced by  $\eta$ . In particular,  $\gamma$  is surjective, but if  $\tilde{G}$  does not coincide with the universal *p*-Frattini cover,  $\gamma$  will not be an isomorphism.

Similarly, we define the reduction mod  $p \ \mathbf{C}^{/p}$  of  $\mathbf{C}$ , i.e., one has

(3.19) 
$$\mathbf{C}_{U}^{/p} \colon = \mathbf{\Omega}_{2,\tau_{*}^{-1}(U)}^{/p}, \ U \in \mathcal{F}(\tilde{G}),$$

and by  $\gamma^{/p} \colon \mathbf{C}^{/p} \to \mathbf{Ab}^{/p}$  we denote the surjective morphism induced by  $\eta^{/p}$ . Again, apart from the case  $\tilde{G} \simeq \tilde{G}_p$ ,  $\gamma^{/p}$  will not be surjective. From Theorem 3.1 one concludes:

**Corollary 3.2.** Let G be a finite group, and let  $\pi: \tilde{G} \to G$  be any p-Frattini extension. Then

- (a) The tuple  $(\mathbf{C}, \gamma)$  is a p-class field theory for  $(\tilde{G}, \mathcal{F}(\tilde{G}))$ .
- (b) The tuple  $(\mathbf{C}^{/p}, \gamma^{/p})$  is a /p-class field theory for  $(\tilde{G}, \mathcal{F}(\tilde{G}))$ .

Remark 3.3. The definition of a p or a /p-class field theory we have given here is very much adapted to our main purpose, which is to prove Theorem B. Nevertheless,  $(\mathbf{\Omega}_2, \eta)$  satisfies all class field theory axioms, which are usually required in number theory, i.e., using Tate cohomology one sees easily that for all  $U, V \in \mathcal{F}, V \leq U$ ,

(3.20) 
$$H^1(U/V, \mathbf{\Omega}_{2,V}) = H^1(\tilde{G}_p/V, \mathbf{\Omega}_{2,V}) = 0.$$

Moreover, (3.11) defines a canonical class  $c \in \operatorname{nat}^2(\mathfrak{X}(\mathbb{Z}_p), \Omega_2)$ , where  $\operatorname{nat}^{\bullet}(\underline{\ }, \underline{\ })$  denote the derived functors of  $\operatorname{nat}(\underline{\ }, \underline{\ })$  (cf. [9, Chap.XII]). This also applies to the *p*-class field theory ( $\mathbf{C}, \gamma$ ) defined for any *p*-Frattini cover  $\pi : \tilde{G} \to G$ . However,

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as the reader might verify by himself, (3.20) does not hold for the /p-class field theories  $(\Omega_2^{/p}, \eta^{/p})$  or  $(\mathbf{C}^{/p}, \gamma^{/p})$ . Nevertheless, as we will see in the next section, these are the class field theories which are easiest to deal with.

## 4. p-Poincaré duality groups of dimension 2 as p-Frattini extensions

Throughout this section we assume that G is a finite group, and that  $\pi \colon \tilde{G} \to G$  is a p-Frattini extension. By

(4.1) 
$$P_1 \xrightarrow{\delta} P_0 \xrightarrow{\varepsilon} \mathbb{Z}_p,$$
$$Q_1 \xrightarrow{\delta'^p} P_0 \xrightarrow{\varepsilon'^p} \mathbb{F}_p$$

we denote partial minimal projective resolutions in  $_{\tilde{G}}\mathbf{prf}_{p}$  and  $_{\tilde{G}}\mathbf{prf}_{/p}$ , respectively.

4.1. Universal norms. Let  $\pi: \tilde{G} \to G$  be a *p*-Frattini extension, and let  $(\mathbf{C}, \gamma)$  denote its *p*-Frattini class field theory. We call the cohomological  $\mathcal{F}(\tilde{G})$ -Mackey functor  $\mathfrak{N}:=ker(\gamma)$  the universal norms of  $(\mathbf{C}, \gamma)$ . Similarly,  $\mathfrak{N}^{/p}:=ker(\gamma^{/p})$  will be called the universal norms of  $(\mathbf{C}^{/p}, \gamma^{/p})$ . One has:

**Proposition 4.1.** Let  $\pi: \tilde{G} \to G$  be a *p*-Frattini extension. Then:

- (a)  $\mathfrak{N}$  is N-surjective. Let  $P_1 \xrightarrow{\delta} P_0 \longrightarrow \mathbb{Z}_p$  be a partial minimal projective resolution of  $\mathbb{Z}_p$  in  $_{\tilde{G}}\mathbf{prf}_p$ . Then  $ker(\delta) \simeq m(\mathfrak{N})$ .
- (b)  $\mathfrak{N}^{/p}$  is N-surjective. Let  $Q_1 \xrightarrow{\delta} Q_0 \longrightarrow \mathbb{F}_p$  be a partial minimal projective resolution of  $\mathbb{F}_p$  in  $_{\tilde{C}}\mathbf{prf}_{/p}$ . Then  $ker(\delta) \simeq m(\mathfrak{N}^{/p})$ .

*Proof.* (a) For simplicity let us assume that  $\iota: \mathfrak{N} \to \mathbf{C}$  is given by inclusion. Let  $\{U_k\}_{k\in\mathbb{N}} \subseteq \mathcal{F}(\tilde{G})$  be a linearly ordered basis of neighbourhoods of  $1 \in \tilde{G}$ . We have to show that for  $x \in \bigcap_{m\geq n} im(N_{U_m,U_n}^{\mathbf{C}})$ , there exists a sequence  $(y_k)_{k\in\mathbb{N}_0}$ ,  $y_k \in \mathbf{C}_{U_{n+k}}$ , such that  $y_0 = x$  and  $y_k = N_{U_{n+k+1},U_{n+k}}(y_{k+1})$ .

Let  $Z: = \prod_{k \in \mathbb{N}_0} \mathbf{C}_{U_{n+k}}$ . Then Z is compact by Tychonoff's theorem. Let

$$(4.2) Z_{x,r}: = \{ (z_k)_{k \in \mathbb{N}_0} \in Z \mid z_0 = x, \ N_{U_{k+1},U_k}(z_{k+1}) = z_k \text{ for all } k \le r. \}.$$

Then  $Z_{x,r+1} \subseteq Z_{x,r}$  and all sets  $Z_{x,r}$  are closed. By definition, any finite intersection of sets  $Z_{x,r}$  is non-empty. Hence  $Z_{x,\infty} := \bigcap_{r \in \mathbb{N}} Z_{x,r}$  is non-empty. Any element  $(y_k)_{k \in \mathbb{N}_0} \in Z_{x,\infty}$  will have the desired property.

By construction,  $ker(\mathfrak{X}(\delta)) = \mathbb{C}$ . Moreover, one has a short exact sequence of  $\mathcal{F}(\tilde{G})$ -Mackey functors  $0 \to \mathfrak{N} \to \mathbb{C} \to \mathbf{Ab}^p \to 0$ . Obviously,  $m(\mathbf{Ab}^p) = 0$ . Thus the claim follows from the exactness of m. The assertion (b) follows by a similar argument.

4.2. Weakly oriented *p*-Poincaré duality groups. Let  $\hat{G}$  be a profinite group of cohomological *p*-dimension  $d, d \in \mathbb{N}$ . Then  $\hat{G}$  is called a *p*-Poincaré duality group of dimension d, if

(i) for every finite discrete left  $\hat{G}\text{-module}$  of  $p\text{-power order}\;X$  and for all  $k\in\mathbb{N}_0$  one has

$$(4.3) |H^{\kappa}(G,X)| < \infty,$$

(ii) the *p*-dualizing module  $\mathbb{I}_{\hat{G},p}$  of  $\hat{G}$  is isomorphic to  $\mathbb{Q}_p/\mathbb{Z}_p$  as abelian group,

(iii) for every finite discrete left  $\hat{G}$ -module of *p*-power order X, cup-product induces a non-degenerate pairing

(4.4) 
$$H^k(\hat{G}, X') \times H^{d-k}(\hat{G}, X) \xrightarrow{H^d(ev_X) \circ (. \cup .)} H^d(\hat{G}, \mathbb{I}_{\hat{G}, p}) \xrightarrow{i} \mathbb{Q}_p / \mathbb{Z}_p,$$

where X': = Hom $(X, \mathbb{I}_{\hat{G}, p})$ ,  $ev_X : X' \times X \to \mathbb{I}_{\hat{G}, p}$  is the evaluation map and *i* is given as in [12, §I.3.5].

The *p*-Poincaré duality group  $\hat{G}$  of dimension *d* is called *orientable*, if  $\mathbb{I}_{\hat{G},p}$  is a trivial  $\hat{G}$ -module, and *weakly-orientable*, if the socle of  $\mathbb{I}_{\hat{G},p}$  is a trivial  $\hat{G}$ -module, i.e.,  $\operatorname{soc}(\mathbb{I}_{\hat{G},p}) \simeq \mathbb{F}_p$ .

One can charcterize these groups by continuous cochain cohomology as introduced by J.Tate (cf. [14]) with coefficients in  $\mathbb{F}_p[\![\hat{G}]\!]$  as follows:

**Proposition 4.2.** Let  $\hat{G}$  be a profinite group of cohomological p-dimension  $d, d \in \mathbb{N}$ , and assume (4.3) holds for every finite discrete left  $\hat{G}$ -module of p-power order X. Then the following are equivalent:

(i) Ĝ is a weakly-orientable p-Poincaré duality group of dimension d,
(ii)

(4.5) 
$$\mathbf{H}^{k}(\hat{G}, \mathbb{F}_{p}\llbracket \hat{G} \rrbracket) = \begin{cases} \mathbb{F}_{p} & \text{for } k = d, \\ 0 & \text{for } k \neq d, \end{cases}$$

where  $\mathbb{F}_p$  denotes the trivial  $\hat{G}$ -module and  $\mathbf{H}^{\bullet}$  denotes continuous cochain cohomology.

*Proof.* The implication  $(i) \Rightarrow (ii)$  is implicitly already contained in a letter from J.Tate to J-P.Serre (cf. [12, App.1]) Here one should only note that the second property of a Poincaré duality group ensures that  $\mathbf{H}^k(\tilde{G}, \mathbb{F}_p[\![\tilde{G}]\!])^* = E_k(\mathbb{F}_p)$ .

Note that property (4.5) already implies that (4.4) holds for all finite  $\mathbb{F}_p$ -vector spaces which are discrete  $\hat{G}$ -modules. Then the same argument used in the proof of [12, Prop.I.32]) shows that (4.4) holds for all finite discrete  $\hat{G}$ -modules of p power order.

4.3. Cohomological Mackey functors for *p*-Frattini extensions. Let **X** be a cohomological  $\mathcal{F}(\tilde{G})$ -Mackey functor, such that  $\mathbf{X}_U$  are finitely generated  $\mathbb{F}_p[\tilde{G}/U]$ -modules for all  $U \in \mathcal{F}(\tilde{G})$ . Then applying  $\operatorname{Hom}_{\tilde{G}}(\_, \mathbb{F}_p)$  and changing the role of *i* and *N* defines a new cohomological  $\mathcal{F}(\tilde{G})$ -Mackey functor which we denote by  $\mathbf{X}^*$ . The functor \* is obviously contravariant and exact.

For short put  $\mathbf{S}(\mathbb{F}_p)$ :  $= \mathfrak{X}(\mathbb{F}_p), \mathbf{T}(\mathbb{F}_p)$ :  $= \mathbf{S}(\mathbb{F}_p)^*$ . Then  $\mathbf{S}(\mathbb{F}_p)$  is a cohomological  $\mathcal{F}(\tilde{G})$ -Mackey functor with all mapping  $N_{V,U}^{\mathbf{S}(\mathbb{F}_p)}$  bijective, and  $\mathbf{T}(\mathbb{F}_p)$  is a  $\mathcal{F}(\tilde{G})$ -Mackey functor with all mapping  $i_{U,V}^{\mathbf{T}(\mathbb{F}_p)}$  bijective,  $U, V \in \mathcal{F}(\tilde{G}), V \leq U$ .

Thus one has an exact sequence of cohomological  $\mathcal{F}(\tilde{G})$ -Macke functors

(4.6) 
$$0 \longrightarrow \mathbf{T}(\mathbb{F}_p) \xrightarrow{\mathfrak{X}(\varepsilon^{/p})^*} \mathfrak{X}(Q_0)^* \xrightarrow{\mathfrak{X}(\delta^{/p})^*} \mathfrak{X}(Q_1)^*.$$

We put

(4.7) 
$$\Omega^{1}(G/\_, \mathbb{F}_{p}) := ker(\mathfrak{X}(\delta^{/p})^{*}),$$
$$\Omega^{2}(\tilde{G}/\_, \mathbb{F}_{p}) := coker(\mathfrak{X}(\delta^{/p})^{*}).$$

It is an easy exercise to show that  $\Omega^1(\tilde{G}/\_, \mathbb{F}_p)$  is *i*-injective and *N*-surjective, and that  $\Omega^2(\tilde{G}/\_, \mathbb{F}_p)$  is of type  $H_0$ .

4.4. Extending injective maps  $\Omega^1(G, \mathbb{F}_p) \to \Omega_2(G, \mathbb{F}_p)$ . The first step in proving Theorem B is establishing the following proposition:

**Proposition 4.3.** Let G be a finite group, and let  $\alpha \colon \Omega^1(G, \mathbb{F}_p) \to \Omega^2(G, \mathbb{F}_p)$ be a mapping of  $\mathbb{F}_p[G]$ -modules. Then there exists a closed normal subgroup N,  $N \leq \ker(\pi_p)$  of the universal p-Frattini extension  $\tilde{G}_p$ ,  $\tilde{G} \colon = \tilde{G}_p/N$ , and a map of cohomological  $\mathcal{F}(\tilde{G})$ -Mackey functors

(4.8) 
$$\boldsymbol{\alpha} \colon \boldsymbol{\Omega}^1(\tilde{G}/\_, \mathbb{F}_p) \longrightarrow \mathbf{C}^{/p},$$

satisfying  $im(\boldsymbol{\alpha}) = \mathfrak{N}^{/p}$  and  $\boldsymbol{\alpha}_{ker(\pi_p)} = \iota_{ker(\pi_p)} : \boldsymbol{\alpha}$ , where  $\iota : \mathfrak{N}^{/p} \to \mathbf{C}^{/p}$  denotes the canonical map.

Moreover, if  $\alpha$  is injective,  $\alpha$  is injective.

*Proof.* Put  $V_0$ : =  $ker(\pi_p)$  and  $\alpha_0$ : =  $\alpha$ :  $\Omega^1(G, \mathbb{F}_p) \to \Omega_2(G, \mathbb{F}_p)$ . Assume we have constructed open normal subgroups  $V_0, ..., V_{k-1}$  and injective morphisms

(4.9) 
$$\boldsymbol{\alpha}_{V_i} \colon \Omega^1(G_p/V_i) \longrightarrow \Omega_2(G_p/V_i, \mathbb{F}_p)$$

i = 0, ..., k - 1, such that the diagrams

commute, i = 1, .., k - 1. In the first step we construct  $V_k$  and a mapping

(4.12) 
$$\boldsymbol{\alpha}_{V_k} \colon \Omega^1(\tilde{G}_p/V_k, \mathbb{F}_p) \to \Omega_2(\tilde{G}_p/V_k, \mathbb{F}_p)$$

such the diagrams (4.10) and (4.11) commute for (k-1, k).

Let  $V_k \leq ker(\pi_p)$  be the unique open normal subgroup such that  $V_{k-1}/V_k$  is elementary *p*-abelian, and  $im(\boldsymbol{\alpha}_{V_{k-1}}) = im(N_{V_k,V_{k-1}}^{\Omega_2})$ . The uniqueness is guaranteed by axiom (iii) of a /*p*-class field theory. Since  $(Q_0)_{V_k}^*$  is a projective  $\mathbb{F}_p[\tilde{G}_p/V_k]$ -module, there exists a mapping  $\alpha' : (Q_0)_{V_k}^* \to \Omega_2(\tilde{G}_p/V_k, \mathbb{F}_p)$  making the diagram

(4.13) 
$$\begin{array}{c} \Omega^{1}(\tilde{G}_{p}/V_{k-1}, \mathbb{F}_{p}) \xrightarrow{\boldsymbol{\alpha}_{V_{k-1}}} \Omega_{2}(\tilde{G}_{p}/V_{k-1}, \mathbb{F}_{p}) \\ N \uparrow & \uparrow^{N_{V_{k},V_{k-1}}} \\ (Q_{0})_{V_{k}}^{*} \xrightarrow{\boldsymbol{\alpha}'} \Omega_{2}(\tilde{G}_{p}/V_{k}, \mathbb{F}_{p}) \end{array}$$

commute, where  $N: (Q_0)_{V_k}^* \to \Omega^1(\tilde{G}_p/V_{k-1}, \mathbb{F}_p)$  is the canonical map. Since the  $\mathbb{F}_p[\tilde{G}_p/V_k]$ -module  $\Omega_2(\tilde{G}_p/V_k, \mathbb{F}_p)$  is directly indecomposable, and as  $(Q_0)_{V_k}^*$  is also injective,  $\alpha'$  cannot be injective. Hence  $\alpha'$  factors through a mapping

(4.14) 
$$\boldsymbol{\alpha}_{V_k} \colon \Omega^1(\hat{G}_p/V_k, \mathbb{F}_p) \to \Omega_2(\hat{G}_p/V_k, \mathbb{F}_p).$$

for which diagram (4.11) commutes for (k-1, k).

Let  $x \in \Omega^1(\tilde{G}_p/V_{k-1}, \mathbb{F}_p)$ . As  $\Omega^1(\tilde{G}_p/\underline{K}_p)$  is *N*-surjective, there exists  $y \in \Omega^1(\tilde{G}_p/V_k, \mathbb{F}_p)$  such that  $N_{V_k, V_{k-1}}^{\Omega^1}(y) = x$ . Thus

(4.15) 
$$i_{V_{k-1},V_{k}}^{\Omega_{2}}(\boldsymbol{\alpha}_{V_{k-1}}(x)) = i_{V_{k-1},V_{k}}^{\Omega_{2}}(\boldsymbol{\alpha}_{V_{k-1}}(N_{V_{k},V_{k-1}}^{\Omega_{1}}(y))), \\ = i_{V_{k-1},V_{k}}^{\Omega_{2}}(N_{V_{k},V_{k-1}}^{\Omega_{2}}(\boldsymbol{\alpha}_{V_{k}}(y))) = N_{V_{k-1}/V_{k}}(\boldsymbol{\alpha}_{V_{k}}(y)),$$

where  $N_{V_{k-1}/V_k}$ : =  $\sum_{g \in V_{k-1}/V_k} g$ . On the other hand

(4.16) 
$$\boldsymbol{\alpha}_{V_{k}}(i_{V_{k-1},V_{k}}^{\Omega^{1}}(x)) = \boldsymbol{\alpha}_{V_{k}}(i_{V_{k-1},V_{k}}^{\Omega^{1}}(N_{V_{k},V_{k-1}}^{\Omega^{1}}(y))) = \boldsymbol{\alpha}_{V_{k}}(N_{V_{k-1}/V_{k}}(y)) = N_{V_{k-1}/V_{k}}(\boldsymbol{\alpha}_{V_{k}}(y)),$$

i.e., the diagram (4.10) commutes for (k - 1, k) as well.

Since  $i_{V_{k-1},V_k}^{\Omega^1}$ : soc $(\Omega^1(\tilde{G}_p/V_{k-1},\mathbb{F}_p) \to \operatorname{soc}(\Omega^1(\tilde{G}_p/V_k,\mathbb{F}_p))$  is bijective, and as  $\mathbf{C}^{/p}$  is of type  $H^0$ ,  $\boldsymbol{\alpha}_{V_k}$  is injective provided  $\boldsymbol{\alpha}_{V_{k-1}}$  is injective. Let N: =  $\bigcap_{k \in \mathbb{N}_0} V_k$ . Then  $\{V_k/N\}_{k \in \mathbb{N}_0}$  is a basis of open neighbourhoods of

 $1 \in \tilde{G}_p/N.$ 

Let  $V \in \mathcal{F}_N$ : = { $U \in \mathcal{F} \mid N \leq U$ }. Then there exist  $k \in \mathbb{N}_0$  such that  $V_k \leq V$ . Since  $\Omega^1(\tilde{G}_p/\_, \mathbb{F}_p)$  and  $\Omega_2(\tilde{G}_p/\_, \mathbb{F}_p)$  are *i*-injective cohomological  $\mathcal{F}$ -Mackey functors, there exists a unique mapping

(4.17) 
$$\boldsymbol{\alpha}_V \colon \Omega^1(\tilde{G}_p/V, \mathbb{F}_p) \longrightarrow \Omega_2(\tilde{G}_p/V, \mathbb{F}_p)$$

making the diagram

commute. It is easy to check that for all  $U, V \in \mathcal{F}_N, V \leq U$ , the diagram

commutes. Note that  $\Omega_2(\tilde{G}/\_,\mathbb{F}_p)$  is *i*-injective, and that for  $x \in \Omega^1(\tilde{G}_p/V,\mathbb{F}_p)$ 

(4.20) 
$$i_{U,V}^{\Omega_2}(\boldsymbol{\alpha}_U(N_{V,U}^{\Omega^1}(x))) = \boldsymbol{\alpha}_V(i_{U,V}^{\Omega^1}(N_{V,U}^{\Omega^1}(x))) = \boldsymbol{\alpha}_V(N_{U/V}(x)),$$

(4.21) 
$$i_{U,V}^{\Omega_2}(N_{V,U}^{\Omega_2}(\boldsymbol{\alpha}_V(x))) = N_{V/U}(\boldsymbol{\alpha}_V(x)) = \boldsymbol{\alpha}_V(N_{U/V}(x)).$$

Hence the diagram

(4.22) 
$$\begin{array}{c} \Omega^{1}(\tilde{G}_{p}/U, \mathbb{F}_{p}) \xrightarrow{\boldsymbol{\alpha}_{U}} \Omega_{2}(\tilde{G}_{p}/U, \mathbb{F}_{p}) \\ N_{V,U}^{\Omega^{1}} \uparrow & \uparrow N_{V,U}^{\Omega_{2}} \\ \Omega^{1}(\tilde{G}_{p}/V, \mathbb{F}_{p}) \xrightarrow{\boldsymbol{\alpha}_{V}} \Omega_{2}(\tilde{G}_{p}/V, \mathbb{F}_{p}) \end{array}$$

commutes as well showing that

(4.23) 
$$\boldsymbol{\alpha} \colon \Omega^1(\tilde{G}_p/\_, \mathbb{F}_p) \longrightarrow \Omega_2(\tilde{G}_p/\_, \mathbb{F}_p)$$

is a morphism of cohomological  $\mathcal{F}(\tilde{G}_p/N)$ -Mackey functors. By construction, one has  $im(\alpha) = \mathfrak{N}^{/p}$ . Moreover, if  $\alpha$  is injective, then the construction shows that  $\alpha$  is also injective. This yields the claim.

4.5.  $\Omega^1$ -relator *p*-Frattini extensions. Let  $\pi: \tilde{G} \to G$  be a *p*-Frattini extension of *G*, and let  $(\mathbf{C}^{/p}, \gamma^{/p})$  denote its */p*-Frattini class field theory. We call  $\pi$  an  $\Omega^1$ -relator *p*-Frattini extension, if there exists a map

(4.24) 
$$\boldsymbol{\alpha} \colon \Omega^1(\tilde{G}/\_, \mathbb{F}_p) \to \mathbf{C}^{/p}$$

of cohomological  $\mathcal{F}(\tilde{G})$ -Mackey functors with  $im(\alpha) = \mathfrak{N}^{/p}$ . If necessary we include the mapping  $\alpha$  in the notation, i.e., we write  $(\pi, \alpha)$  for a  $\Omega^1$ -relator *p*-Frattini extension.

For the universal *p*-Frattini extension  $\pi_p : \tilde{G}_p \to G$  one has  $\mathfrak{N}^{/p} = 0$ , and thus  $\pi_p$  is a  $\Omega^1$ -relator *p*-Frattini extension.

From Proposition 4.3 one concludes that one can also construct such a *p*-Frattini extension starting from a map  $\alpha \colon \Omega^1(G, \mathbb{F}_p) \to \Omega_2(G, \mathbb{F}_p)$ .

Another source of examples arises in the context of modular towers. The starting point in the study of modular towers is a fixed surjective morphism  $\phi: \hat{G} \to G$ where  $\hat{G}$  is a certain profinite orientable *p*-Poincaré duality group of dimension 2 onto a finite group *G*. A modular tower consists of all open normal subgroups U in  $\hat{G}$  contained in  $ker(\phi)$  such that the induced map  $\phi_U: \tilde{G}/U \to G$  is a *p*-Frattini extension (cf. [1]). The 'limit groups' of a modular tower correspond to a closed normal subgroup  $A \leq ker(\phi)$  such that  $\phi_A: \hat{G}/A \to G$  is a maximal *p*-Frattini extension  $\phi$  can factor through. In particular,  $(\phi_A, \pi_A), \pi_A: \tilde{G} \to \hat{G}/A$ the canonical projection, is a maximal *p*-Frattini quotient of  $\phi$  (cf. [16]). These *p*-Frattini extension have the following property.

**Proposition 4.4.** Let  $\phi: \hat{G} \to G$  be a surjective map of the profinite weaklyorientable p-Poincaré duality group  $\hat{G}$  of dimension 2 onto the finite group G. Then for every maximal p-Frattini quotient  $(\pi, \beta), \pi: im(\beta) \to G$  is a  $\Omega^1$ -relator p-Frattini extension of G.

*Proof.* Let  $B := im(\beta)$ , and let

be a partial minimal projective resolution in  ${}_B\mathbf{prf}_{/p}$ . Put  $M := ker(\delta)$ . By [16, Prop.3.4], one has a surjective map  $\alpha : Q_0 \to M$ . Since  $\mathfrak{N}^{/p}$  is norm surjective (cf. Prop.4.1(b)), one has a surjective map of cohomological  $\mathcal{F}(B)$ -Mackey functors

(4.26) 
$$\rho \colon \mathfrak{X}(Q_0) \longrightarrow \mathfrak{X}(M) \longrightarrow \mathfrak{N}^{/p}.$$

Since  $\mathfrak{N}^{/p}$  is a  $\mathcal{F}(B)$ -sub Mackey functor of  $\mathbf{C}$ , and as  $(Q_0)_U$  is an injective  $\mathbb{F}_p[B/U]$ module,  $\rho_U \colon (Q_0)_U \to \mathfrak{N}_U^{/p} \leq \Omega_2(B/U, \mathbb{F}_p)$  cannot be injective, i.e.,  $\operatorname{soc}((Q_0)_U) \leq \ker(\rho_U)$ . Hence  $\rho$  induces a surjective mapping

(4.27) 
$$\rho_* \colon \Omega^1(B/\_, \mathbb{F}_p) \longrightarrow \mathfrak{N}^{/p}$$

of cohomological  $\mathcal{F}(B)$ -Mackey functors and this yields the claim.

In order to finish the proof of Theorem B, we establish the following theorem:

**Theorem 4.5.** Let  $(\pi, \alpha), \pi: \tilde{G} \to G$ , be a  $\Omega^1$ -relator *p*-Frattini extension. Assume further that  $\alpha$  is injective, and that  $\alpha_{ker(\pi)}$  is not an isomorphism. Then  $\tilde{G}$  is a weakly-orientable *p*-Poincaré duality group of dimension 2.

*Proof.* Note that  $\dim_{\mathbb{F}_p}(\Omega_2(G,\mathbb{F}_p)) > \dim_{\mathbb{F}_p}(\Omega^1(G,\mathbb{F}_p))$  implies that  $\tilde{G}$  is infinite (cf. [16, Prop.3.5]). It suffices to prove that  $\mathbf{H}^k(\tilde{G},\mathbb{F}_p[\![\tilde{G}]\!]) = 0$  for  $k \neq 2$ , and  $\mathbf{H}^2(\tilde{G},\mathbb{F}_p[\![\tilde{G}]\!]) \simeq \mathbb{F}_p$ . As before  $\mathbf{H}^{\bullet}$  denotes continuous cochain cohomology.

By definition, one has exact sequences of cohomological  $\mathcal{F}(\tilde{G})$ -Mackey functors

$$(4.28) 0 \longrightarrow \mathbf{T}(\mathbb{F}_p) \longrightarrow \mathfrak{X}(Q_0) \longrightarrow \Omega^1(G/\_,\mathbb{F}_p) \longrightarrow 0,$$

$$(4.29) 0 \longrightarrow \Omega^1(G/\_, \mathbb{F}_p) \longrightarrow \Omega_2(G/\_, \mathbb{F}_p) \longrightarrow \mathbf{Ab}^{/p} \longrightarrow 0,$$

$$(4.30) \qquad 0 \longrightarrow \Omega_2(G/\_, \mathbb{F}_p) \longrightarrow \mathfrak{X}(Q_1) \longrightarrow \mathfrak{X}(Q_0) \longrightarrow \mathbf{S}(\mathbb{F}_p) \longrightarrow 0.$$

As  $\tilde{G}$  is infinite  $m(\mathbf{T}(\mathbb{F}_p)) = m(\mathbf{Ab}^{/p}) = 0$ . Thus applying the functor m yields that one has a minimal projective resolution

$$(4.31) 0 \longrightarrow Q_0 \longrightarrow Q_1 \longrightarrow Q_0 \longrightarrow \mathbb{F}_p \longrightarrow 0$$

of  $\mathbb{F}_p$  in  $_{\tilde{G}}\mathbf{prf}_{/p}$ . Hence  $\tilde{G}$  is of cohomological *p*-dimension 2.

In his letter to J-P.Serre (cf. [12, App.1]), J.Tate described how one can compute the Pontryagin dual of the cohomology groups  $\mathbf{H}^{k}(\tilde{G}, \mathbb{F}_{p}[\![\tilde{G}]\!])$ . Translated to our situation we obtain

(4.32) 
$$\mathbf{H}^{2}(\tilde{G}, \mathbb{F}_{p}\llbracket \tilde{G} \rrbracket)^{*} = \varinjlim_{U} \mathbf{H}_{2}(U, \mathbb{F}_{p}),$$
$$\mathbf{H}^{1}(\tilde{G}, \mathbb{F}_{p}\llbracket \tilde{G} \rrbracket)^{*} = \varinjlim_{U} \mathbf{H}_{1}(U, \mathbb{F}_{p}).$$

Since  $\tilde{G}$  is infinite,  $\mathbf{H}^{0}(\tilde{G}, \mathbb{F}_{p}\llbracket \tilde{G} \rrbracket) = 0$ . From the exact sequences (4.28) it follows that one has an isomorphism of  $\mathcal{F}(\tilde{G})$ -Mackey functors  $\mathbf{H}_{2}(\_, \mathbb{F}_{p}) \simeq \mathbf{T}(\mathbb{F}_{q})$ . This yields  $\mathbf{H}^{2}(\tilde{G}, \mathbb{F}_{p}\llbracket \tilde{G} \rrbracket) \simeq \mathbb{F}_{p}$ .

Let  $\boldsymbol{\alpha}^* \colon \Omega^2(\tilde{G}/\_,\mathbb{F}_p) \longrightarrow \Omega_1(\tilde{G}/\_,\mathbb{F}_p)$  be the Pontryagin dual of  $\boldsymbol{\alpha}$ . Then by (4.32),  $\mathbf{H}^1(\tilde{G},\mathbb{F}_p[\![\tilde{G}]\!]) \simeq m(ker(\boldsymbol{\alpha}^*))$ . Moreover,  $\boldsymbol{\alpha}^*$  is surjective. Since for all  $U \in \mathcal{F}(\tilde{G})$ , one has an isomorphism

(4.33) 
$$hd(\boldsymbol{\alpha}_{U}^{*}) \colon hd(\Omega_{2}(\tilde{G}/U, \mathbb{F}_{p})) \longrightarrow hd(\Omega_{1}(\tilde{G}/U, \mathbb{F}_{p}))$$

where  $hd(\_)$  denotes the head of a module, one obtains a commutative diagram

By (4.33),  $\sigma$  is an isomorphism. So by the snake lemma,  $\rho$  is injective, and one has an isomorphism  $coker(\rho) = ker(\alpha^*)$ . Since  $\Omega^1(\tilde{G}/\_, \mathbb{F}_p)$  is *N*-surjective, all elements in  $im(\sigma)$  are universal norms. Hence by dimension arguments,  $im(\rho) = im(\alpha)$  and this yields

(4.35) 
$$m(ker(\boldsymbol{\alpha}^*)) \simeq m(coker(\rho)) \simeq m(\mathbf{Ab}^{/p}) = 0.$$

This yields the claim.

**Corollary 4.6.** Let G be a finite group and let p be a prime number. Then the following are equivalent:

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- (i) There exists a p-Frattini extension  $\pi : \tilde{G} \to G$  with  $\tilde{G}$  a profinite weaklyorientable p-Poincaré duality group of dimension 2.
- (ii) There exists an injection  $\alpha \colon \Omega^1(G, \mathbb{F}_p) \to \Omega_2(\mathbb{F}_p)$  which is not an isomorphism.

*Proof.* This is a direct consequence of [16, Thm.4.1] and Theorem 4.5.

Remark 4.7. (a) Let p = 2 and let  $G = PSl_2(q)$ ,  $q \equiv 3 \mod 4$ . The explicit description of the projective indecomposable  $\mathbb{F}_2[G]$ -modules obtained by K.Erdmann [5] shows that in this case one has an injection  $\alpha \colon \Omega^1(G, \mathbb{F}_p) \to \Omega_2(G, \mathbb{F}_p)$ .

(b) If G is *p*-perfect, i.e.,  $G_p^{ab} = 0$ ,  $\tilde{G}$  is *p*-perfect too. Thus every  $\tilde{G}$ -module  $M \in ob(_{\tilde{G}}\mathbf{prf}_p)$ , which underlying abelian pro-*p* group is isomorphic to  $\mathbb{Z}_p$  and which reduction mod p M/p.M is a trivial  $\tilde{G}$ -module, must be trivial. Hence in this case one can conclude that  $\tilde{G}$  is indeed a orientable *p*-Poincaré duality group of dimension 2.

(c) In [16, Ex.1.4] an example was given were for any maximal *p*-Frattini quotient  $(\pi, \beta)$  of a morphism  $\phi: \hat{G} \to PSl_2(7)$ , the *p*-Frattini extension  $\pi$  is of the type described in Theorem 4.5.

(d) One question which has been untouched completely is to describe all isomorphism types of extensions  $\pi: \tilde{G} \to G$  satisfying (i) of Corollary 4.6. The construction we used does not give any evidence how one can achieve this goal.

## 5. $\Delta$ -Frattini extensions

Throughout this section we fix a prime number p. For a given finite group G we denote by  $\mathfrak{S}_p(G)$  the set of isomorphism types of irreducible (left)  $\mathbb{F}_p[G]$ -modules. For an irreducible  $\mathbb{F}_p[G]$ -module S we use the symbol  $[S] \in \mathfrak{S}_p(G)$  to denote its isomorphism type.

5.1. The  $\Delta$ -head of an  $\mathbb{F}_p[G]$ -module. Let  $\Delta \subseteq \mathfrak{S}_p(G)$  be a set of isomorphism types of irreducible  $\mathbb{F}_p[G]$ -modules. For short we call an  $\mathbb{F}_p[G]$ -module  $M \in ob_{(G \mod p)}$  of finite  $\mathbb{F}_p$ -dimension a  $\Delta$ -module, if M has a composition series  $(M_k)_{0 \leq k \leq m}$ ,  $0 = M_0 < M_1 < \cdots < M_m = M$ , with each composition factor being contained in  $\Delta$ , i.e.,  $[M_k/M_{k-1}] \in \Delta$  for all k = 1, ..., m. We also assume that  $0 \in ob_{(G \mod p)}$  is a  $\Delta$ -module.

Let M be an  $\mathbb{F}_p[G]$ -module of finite  $\mathbb{F}_p$ -dimension. We call an  $\mathbb{F}_p[G]$ -submodule  $N \leq M$  a  $\Delta$ -kernel, if M/N is a  $\Delta$ -module. Obviously, the intersection of any set of  $\Delta$ -kernels  $N_i \leq M$ ,  $i \in I$ , is again a  $\Delta$ -kernel. Hence there exists a minimal  $\Delta$ -kernel  $M_{\Delta} \leq M$ . For short we call

$$(5.1) hd_{\Delta}(M) \colon = M/M_{\Delta}$$

The  $\Delta$ -head of M.

# 5.2. The universal $\Delta$ -Frattini extension. Let

(5.2) 
$$1 \longrightarrow \Omega_2(G, \mathbb{F}_p) \xrightarrow{\iota} \tilde{G}_{/p} \xrightarrow{\pi_{/p}} G \longrightarrow 1$$

be the universal elementary *p*-abelian Frattini extension of *G*, where  $\iota$  is considered to be given by inclusion. Factoring by the minimal  $\Delta$ -kernel  $\Omega_2(G, \mathbb{F}_p)_{\Delta}$  of  $\Omega_2(G, \mathbb{F}_p)$  yields a  $\Delta$ -Frattini extension

(5.3) 
$$1 \longrightarrow hd(\Omega_2(G, \mathbb{F}_p)) \stackrel{\iota}{\longrightarrow} \tilde{G}_{/\Delta} \stackrel{\pi_{/\Delta}}{\longrightarrow} G \longrightarrow 1$$

which is easily seen to be universal with respect to all elementary p-abelian  $\Delta$ -Frattini extensions of G. Thus for  $G_0: = G$ , and  $\pi_{i+1,i}: G_{i+1} \to G_i$  the universal elementary p-abelian  $\Delta$ -Frattini extension of  $G_i$ , we obtain an inverse system whose inverse limit

(5.4) 
$$\tilde{G}_{\Delta} := \lim_{i \in \mathbb{N}_0} G_i$$

together with the canonical map  $\pi_{\Delta} \colon \tilde{G}_{\Delta} \to G$  is a  $\Delta$ -Frattini extension of G. The universality as the uniqueness up to isomorphism follows by the same arguments which were used to prove these statements for the universal *p*-Frattini extension (cf. [6]).

At this point we have to deal with the question how one characterize the universal  $\Delta$ -Frattini extension among all  $\Delta$ -Frattini extensions. This is the subject of the following proposition.

**Proposition 5.1.** Let  $\pi: \tilde{G} \to G$  be a  $\Delta$ -Frattini extension of G,  $\Delta \subseteq \mathfrak{S}_p(G)$ . Then the following are equivalent:

- (i)  $\pi$  coincides with the universal  $\Delta$ -Frattini extension of G.
- (ii)  $H^2(\tilde{G}, S) = 0$  for all irreducible  $\mathbb{F}_p[G]$ -modules  $S, [S] \in \Delta$ .

Proof. Assume that  $\pi: \tilde{G} \to G$  is the universal  $\Delta$ -Frattini extension of G, and that there exists an irreducible  $\mathbb{F}_p[G]$ -module  $S, [S] \in \Delta$ , with  $H^2(\tilde{G}, S) \neq 0$ . For  $\eta \in H^2(\tilde{G}, S), \eta \neq 0$ , the associated extension of profinite groups

(5.5) 
$$\mathbf{s}(\eta) \colon \ 1 \longrightarrow S \longrightarrow X \xrightarrow{\tau} \tilde{G} \longrightarrow 1$$

is non-split and thus  $\tau \circ \pi \colon X \to G$  is a  $\Delta$ -Frattini extension. The universality of  $\pi$  implies that  $\tau$  has a section  $\sigma \colon \tilde{G} \to X$  contradicting the fact that  $\mathbf{s}(\eta)$  is non-split. Thus (i) implies (ii).

Assume that  $H^2(\tilde{G}, S) = 0$  for all  $[S] \in \Delta$ , and let  $\pi_\Delta : \tilde{G}_\Delta \to G$  be the universal  $\Delta$ -Frattini extension of G. Then one has a surjective map  $\beta : \tilde{G}_\Delta \to \tilde{G}$ , and thus an isomorphism

(5.6) 
$$\tilde{\beta}^{-1} \colon \tilde{G} \longrightarrow \tilde{G}_{\Delta}/ker(\beta)$$

Assume that  $ker(\beta) \neq 1$  is non-trivial, and let  $U \leq ker(\beta)$  be a maximal open subgroup of  $ker(\beta)$  which is normal in  $\tilde{G}_{\Delta}$ . Since  $[ker(\beta)/U] \in \Delta$ , one has  $H^2(\tilde{G}, ker(\beta)/U) = 0$ . Hence the embedding problem

has a weak solution (cf. [16, Prop.3.2]). This implies that **s** is split exact, which contradicts the fact that **s** is also a *p*-Frattini extension. Thus  $ker(\beta) = 1$ , and this yields the claim.

5.3. Chevalley groups over  $\mathbb{Z}_p$ . For a given Dynkin diagram D let  $X_D$  be the simple simply-connected  $\mathbb{Z}$ -Chevalley group scheme associated to D, i.e., if D is of type  $A_n$ , one has  $X_D = Sl_{n+1}$ . It has been proved in [18, Thm.B] that

(5.8) 
$$\pi_D \colon X_D(\mathbb{Z}_p) \longrightarrow X_D(\mathbb{F}_p)$$

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is a *p*-Frattini extension apart from possibly 11 explicitly known values of (D, p). It was also shown that in 8 of these 11 cases (5.8) fails to be a *p*-Frattini extension.

In case  $\pi_D$  is a *p*-Frattini extension, then it is also a  $\Delta_D$ -Frattini extension, where  $\Delta_D$  consists of all the  $\mathbb{F}_p[X(F_p)]$ -composition factors of the  $\mathbb{F}_p$ -Chevalley Lie algebra  $\mathfrak{L}_D \otimes \mathbb{F}_p$  (cf. [18, (2.5)]). If one has additionally

(5.9) 
$$(D,p) \notin \{ (A_n,p), p | (n+1), (B_n,2), (C_n,2), (D_n,2), \dots \\ \dots, (E_6,3), (E_7,2), (F_4,2), (G_2,2), (G_2,3) \},$$

then  $\mathfrak{L}_D \otimes \mathbb{F}_p$  is an irreducible  $\mathbb{F}_p[X_D(\mathbb{F}_p)]$ -module (cf. [18, Lemma 2.10]), and thus  $\Delta_D = \{[\mathfrak{L}_D \otimes \mathbb{F}_p]\}.$ 

The question raised in [6, Prob.20.40] can now be restated in the following way. **Question 5.2.** Assume that p is large with respect to the Coxeter number of D. Is it true that the p-Frattini extension  $\pi_D \colon X(\mathbb{Z}_p) \to X(\mathbb{F}_p)$  coincide with the universal  $\Delta_D$ -Frattini extension?

From Proposition 5.1 one concludes that the problem of Question 5.2 is equivalent to the following vanishing problem.

**Question 5.3.** Assume that p is large with respect to the Coxeter number of D. Is it true that

(5.10) 
$$H^2(X_D(\mathbb{Z}_p), \mathfrak{L}_D \otimes \mathbb{F}_p) = 0?$$

As we see in the following theorem both questions have an affirmative answer for  $X_D = Sl_2$ .

**Theorem 5.4.** Let p be a prime number different from 2, 3 or 5. Then

(5.11) 
$$\pi_{A_1} \colon Sl_2(\mathbb{Z}_p) \to Sl_2(\mathbb{F}_p)$$

coincides with the universal  $\Delta$ -Frattini extension for all  $\Delta \subseteq \mathfrak{S}_p(Sl_2(\mathbb{F}_p))$  satisfying  $[M_2] \in \Delta$ ,  $[M_{p-3}] \notin \Delta$ , where  $M_k$ , k = 0, ..., p-1 denotes the irreducible  $\mathbb{F}_p[Sl_2(\mathbb{F}_p)]$ -module of heighest weight k and  $\mathbb{F}_p$ -dimension k + 1.

*Proof.* By the previously mentioned remark and Proposition 5.1 it suffices to show that  $H^2(Sl_2(\mathbb{Z}_p), M_k) = 0$  for all  $k \neq p-3$ .

As  $p \neq 2, 3$ ,  $\tilde{G}$ : =  $Sl_2(\mathbb{Z}_p)$  is *p*-torsionfree, and thus a *p*-Poincaré duality group of dimension *d* (cf. [13, Prop.4.4.1]). As we assumed  $p \neq 2, 3$ ,  $\tilde{G}$  is perfect (cf. [18, Prop.3.2]). Thus its *p*-dualizing module  $\mathbb{I}_{\tilde{G},p}$  is a trivial  $\tilde{G}$ -module. Hence by Poincaré duality and the Universal Coefficiant Theorem one has

(5.12) 
$$H^2(Sl_2(\mathbb{Z}_p), M_k) \simeq H_1(Sl_2(\mathbb{Z}_p), M_k) \simeq H^1(Sl_2(\mathbb{Z}_p), M_k)^*,$$

where \* denotes the Pontryagin dual. Moreover, from [16, Prop.3.1] and [17] one concludes that

(5.13) 
$$H^{1}(Sl_{2}(\mathbb{Z}_{p}), M_{k}) \simeq H^{1}(Sl_{2}(\mathbb{F}_{p}), M_{k}) = 0$$

for  $k \neq p-3$ . This yields the claim.

*Remark* 5.5. Theorem 5.4 does not hold for p = 2, 3 or 5, but in each case for a different reason.

For p = 2 or 3,  $\pi_{A_1}$  is not a 2-Frattini extension (cf. [18, Thm.B]). For p = 3,  $\pi_{A_1}$  is even a split extension, since in this case  $\mathfrak{L}_{A_1} \otimes \mathbb{F}_3$  is isomorphic to the Steinberg module for  $Sl_2(\mathbb{F}_3)$ .

For p = 5,  $\Omega_2(Sl_2(\mathbb{F}_5), \mathbb{F}_5)$  is a  $\Delta_{A_1}$ -module (cf. [17]). Hence the universal elementary *p*-abelian  $\Delta_{A_1}$ -extension coincides with the universal elementary *p*-abelian Frattini extension  $\pi_{/p}$ . However,

(5.14) 
$$\dim_{\mathbb{F}_5}(\Omega_2(Sl_2(\mathbb{F}_5),\mathbb{F}_5) = 6, \ \dim_{\mathbb{F}_5}(ker(\pi_{A_1})^{ab}) = 3.$$

This phenomenon can also be explained by analyzing cohomology groups. Since p-3=2, Poincaré duality and [16, Prop.3.1] implies that

(5.15)

$$H^{2}(Sl_{2}(\mathbb{Z}_{5}),\mathfrak{L}_{A_{1}}\otimes\mathbb{F}_{5})^{*}\simeq H^{1}(Sl_{2}(\mathbb{Z}_{5}),\mathfrak{L}_{A_{1}}\otimes\mathbb{F}_{5})\simeq H^{1}(Sl_{2}(\mathbb{F}_{5}),\mathfrak{L}_{A_{1}}\otimes\mathbb{F}_{5})\simeq\mathbb{F}_{5}.$$

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