# Limit groups: Mapping class orbits and maximal Frattini quotients of dim. 2 *p*-Poincaré dual groups

All those Frattini *p*-extensions

Group theorists consider it unlikely there will ever be a classification of all finite groups. Why?

The unknowable collection of *p*-groups because of all their non-split extensions. Worse still are nonsplit extensions of *p*-perfect *G* (p||G|, but no  $G \to \mathbb{Z}/p \to 1$ ) by a *p*-group.

Yet, algebraic equations in the 20th century faced nonsplit abelian *p*-group extensions, from moduli of abelian varieties through torsion points.

Goal: Explain a moduli approach to melding *all p*-group extensions into arithmetic geometry, *and* why it is *necessary*.

Universal p-Frattini cover of a p-perfect G

Are there many nonsplit finite group extensions of an arbitrary finite group? Answer: You bet! Consider them all  $F_G = \{\varphi : H \to G \to 1\}$ . For G of rank t, let  $\tilde{F}_t$  be the pro-free group of rank t. Fix  $\psi : \tilde{F}_t \to G \to 1$ .

Take all closed  $\{\tilde{H} \leq \tilde{F}_t\}$  with  $\psi : \tilde{H} \rightarrow G \rightarrow 1$ . Let  $\tilde{G}$  be a minimal such  $\tilde{H}$ . (Existence from Zorn's Lemma; use  $\tilde{F}_t$  is complete.) So,  $\tilde{G}$  of rank t, is unique up to isomorphism. Further:

- Versality:  $\psi$  :  $\tilde{G} \to G$  is a Frattini cover that factors through any  $\varphi \in F_G$ .
- Projectivity: In category of profinite groups.
- Prime Factorization:  $\tilde{G}$  is the *fiber product* over G of  $\{p\tilde{G}\}_{p||G|}$  (p. 3) [FrJ04, Chap. 22.11].

Universal *p*-Frattini cover  $_{p}\tilde{G}$  of G

- *p*-Projectivity:  $\psi : {}_{p}\tilde{G} \to G$  is maximal subquotient of  $\tilde{G} \to G$  having *p*-group as kernel.
- Pro-free kernel:

$$\ker_0 \stackrel{\text{def}}{=} \ker_{G,p,0} = \ker(p\tilde{G} \to G)$$

is pro-free pro-p of finite rank.

• Split case: If  $G = P \times^{s} H$  with P a (normal) *p*-Sylow of rank t', then  ${}_{p}\tilde{G} = {}_{p}\tilde{F}_{t'} \times^{s} H$ .

Comments on Split case: How to extend H to  ${}_{p}\tilde{F}_{t'}$  is non-obvious, and hard to find explicitly. Also, Examples # and # 2 of Talk #1 (p. 12) show the "easy" split case doesn't simplify understanding MT components and their cusps.

Characteristic module sequence:

$$\ker_{1} = \Phi(\ker_{0}) \stackrel{\text{def}}{=} \ker_{0}^{p} \cdot (\ker_{0}, \ker_{0}),$$
  
$$\ker_{i} = \Phi(\ker_{i-1}), \dots \text{ and } G_{i} = p\tilde{G}/\ker_{i}.$$
  
Then,  $M_{i} \stackrel{\text{def}}{=} \ker_{i}/\ker_{i+1}$  is a  $\mathbb{Z}/p[G_{i}]$  module.

### Modular Tower for $(G, \mathbf{C}, p)$ with p' set $\mathbf{C}$

Take any p' conjugacy classes **C** of G. Form  $Ni(G_k, \mathbf{C})/\langle Q'', G_k \rangle$  and

 $\mathcal{H}(G_k, \mathbf{C})^{\text{in,rd}} = \mathcal{H}_k^{\text{in,rd}} = \mathcal{H}_k.$ 

When r = 4: gives a diagram of *j*-line covers

$$\cdots \to \bar{\mathcal{H}}_{k+1} \to \bar{\mathcal{H}}_k \to \cdots \to \mathbb{P}_j^1.$$
(1)

MT *levels* are moduli spaces: Galois covers with group G in  $Ni(G, \mathbf{C})^{in}$  equivalencing

$$(\varphi_i : X \to \mathbb{P}^1_z, \operatorname{Aut}(X/\mathbb{P}^1_z)), i = 1, 2,$$

if there is  $(\psi : X_1 \to X_2, \alpha \in \mathsf{PGL}_2(\mathbb{C}))$  with  $\alpha \circ \varphi_1 = \varphi_2 \circ \psi$  inducing inner automorphism on  $G \Leftrightarrow p \in \mathcal{H}(G, \mathbb{C})^{\mathsf{in}, \mathsf{rd}}$ .

Discrete objects of a MT(1):

- Projective systems of  $(q_2 \mapsto) \gamma_{\infty}$  orbits (*cusps*).
- Projective systems of  $\langle \gamma_1, \gamma_\infty \rangle$  orbits. The Shift:  $q_1q_2q_3 \mapsto \gamma_1$  acts as the shift:

$$(g_1, g_2, g_3, g_4) \mapsto (g_2, g_3, g_4, g_1).$$

### MTs and Greatest Hopes for the RIGP

Hope for  $[K : \mathbb{Q}] < \infty$ : Get all finite quotients of  $_{p}\tilde{G}$  over  $K_{\ell}$  and even over K, at one time. Data for any regular realization of  $G_{k}$  is  $(G_{k}, \mathbf{C}_{k})$   $(r_{k}$  conjugacy classes in  $G_{k}$ ).

Simplest if  $C_k$  doesn't change too much.

- 1. Maybe has  $r_k$  bounded.
- 2. Maybe has  $r_k$  fixed at some value r.
- 3. Maybe has branch points  $z^k = z$  (all k).

[FK97, Using Branch Cycle Lemma]: For a number field  $\#1 \implies \#2$ , and  $\exists$  MT with a K point at each level.

#3  $\implies$   $\exists$  projective sequence of realizations: equivalent to K points on a MT. No such projective sequence if  $[K : \mathbb{Q}] < \infty$ .

Main Conjecture of MTs: If  $[K : \mathbb{Q}] < \infty$ ,  $\mathcal{H}(G_k, \mathbb{C})^{\text{in}, \text{rd}}(K) = \emptyset$  if k is large. First step: Decide if  $\exists$  a(n infinite) projective sequence of components on a given MT Geometric Conjecture [Fr04c]:  $\exists$  projective sequence of components (PSC) is equivalent to existence of a *g*-*p*' rep. (at level 0). Established Facts on g-*p*' cusps: [ $K : \mathbb{Q}$ ] <  $\infty$ .

- p. 8-9 of Alternating Groups Talk: g-p' rep. sufficient for PSC.
- [Fr95, Part III] When ∃ Harbater-Mumford (H-M) cusps, there is an effective sufficient criterion for PSC over K.
- With condition [Fr95, Part III], there is a  $K_{\ell}$  point on the MT ([DDe04] and [DEm04]).

Analyzing cusps means representing objects  $\mapsto$  cusps (over  $\mathbb{R}$  or  $W(\mathbb{F}_q)$ ). Like elliptic curves  $\mapsto$  modular curve cusp.

Big improvement over PSC over K to get small dimensional subvarieties on the tower levels over K. First substantial result is [Ca05a] (uses H-M cusps). [Iha86], [IM95] and [Na99] applies to see the Grothendieck-Teichmüller relations in  $G_{\mathbb{Q}}$  along MT cusps. Generalizing to g-p'cusps is in cards, but not done yet.

#### p-Poincaré Duality Setup

Let  $\varphi : X \to \mathbb{P}^1_z$ , with branch points z, a Galois cover in Ni $(G, \mathbb{C})^{\text{in}}$ : represents a braid orbit O.

Use classical generators (App. A<sub>1</sub>) for  $\pi_1(U_z, z_0)$ . [BFr02, Prop. 4.15] produces a quotient  $M_{\varphi}$  of  $\pi_1(U_z, z_0)$  so ker $(M_{\varphi} \to G)$  identifies with the pro-*p* completion of the fundamental group of *X*. If  $g \in \operatorname{Ni}(G, \mathbb{C})$  corresponds to these choices, denote  $M_{\varphi}$  by  $M_g$ .

*p-Nielsen limit through O* is a maximal quotient of  $M_g$  that is Frattini over *G*. Equivalence by conjugation braid action fixed on *g* (automatically includes conjugation by ker( $M_g \rightarrow G$ ).

Extension Viewpoint: Projective systems

 $\{\mathbf{p}_k \in \mathcal{H}(G_k, \mathbf{C})^{\text{in,rd}}\}_{k=0}^{\infty} \text{ over fixed } \mathbf{p}_0 \Leftrightarrow$ extensions of  $M_{\mathbf{g}} \to G$  to  $M_{\mathbf{g}} \to p\tilde{G} \to G$ .

# *p*-Poincaré duality groups [We05] (extending [Br82] and [Ser91])

Dimension 2 *p*-Poincaré duality [We05, (5.8)]. Expresses an exact cohomology pairing

 $H^k(M_{\boldsymbol{g}}, U^*) \times H^{2-k}(M_{\boldsymbol{g}}, U) \to \mathbb{Q}_p/\mathbb{Z}_p \stackrel{\text{def}}{=} I_{G,p}$ 

where U is any abelian p-power group that is also a  $\Gamma = M_g$  module,  $U^*$  is its dual with respect to  $I_{G,p}$  and k is any integer. [Ser91, I.4.5] has the same definition, though that assumes  $M_g$  is a pro-p-group, while we have the p-perfect group G at its head.

Basic Idea:  $M_g$  has a finite index subgroup satisfying Poincaré duality: pro-*p* completion of  $\pi_1(X)$ .

Weak Orientability: When U is a  $\mathbb{Z}/p[G]$  module, the pairing rt. side has trivial  $M_g$  action.

Test for going from MT Level k to Level k+1

[FrK97] Lift principle: If  $G'_2 \to G'_1 \to G$  are p-Frattini covers, with  $\ker(G'_2 \to G'_1) = M$  an irreducible non-trivial G module, then  $g_1 \in \operatorname{Ni}(G'_1, \mathbb{C})$  lifts to  $g_2 \in \operatorname{Ni}(G'_2, \mathbb{C})$ .

Test for going from braid orbit  $O_k \leq Ni(G_k, \mathbf{C})$ to  $O_{k+1} \leq Ni(G_{k+1}, \mathbf{C})$ .

Let  $R_k \to G_k$  be maximal among central, exponent p Frattini extensions of  $G_k$ . Then,  $\ker(R_k \to G_k) = \operatorname{Sc}_k$  is the maximal exponent p quotient of  $G_k$ s Schur multiplier.

**Theorem 1 (W Test A).**  $s_{R_k/G_k}(O) = 0$  is iff test for  $\exists O_{k+1}$  (use  $s_{R/G}$  lift inv., p. 3, Talk 2).

Proof for [W Test A] [Fr05c, Cor. 4.12] Proof. Let  $g_k \in O_k \Leftrightarrow \psi : M_{g_0} \to G_k$ . Need: If fiber of Ni $(G_{k+1}, \mathbb{C}) \to Ni(G_k, \mathbb{C})$  over  $g_k$  is empty, then  $s_{R/G_k}(g) \neq 0$  for  $R_k \leq R \leq G_k$ with ker $(R \to G_k) = \mathbb{Z}/p$ . [Fr95, Prop. 2.7] says  $H^2(G_k, M_k) = \mathbb{Z}/p$ : It is 1-dimensional.

Obstruction to lifting  $\psi$  to  $G_{k+1}$  is inflation of a generator of  $H^2(G_k, M_k)$  to  $H^2(M_g, M_k)$ . *p*-Poincaré duality says this is

 $H_0(M_{\boldsymbol{g}}, D \otimes M_k) \simeq D \otimes_{\mathbb{Z}/p[M_{\boldsymbol{g}}]} M_k,$ 

with  $D = \mathbb{Z}/p$  the duality module for  $\mathbb{Z}/p[M_g]$ (on which it acts trivially). So,  $D \otimes_{\mathbb{Z}/p[M_g]} M_k$ is the maximal quotient of  $M_k$  on which  $M_g$  (so  $G_k$ ) acts trivially.

## Limit Group Test and return to Modular Curves

**Theorem 2 (F-K-W Test B).** For  $G^* \to G$ a limit group, there is a unique *p*-Frattini extension  $G^{**} \to G^*$  with ker( $G^{**} \to G^*$ ) an irreducible module, and that module must be  $\mathbf{1}_G$ .

Examples p. 11-12, Talk 1: Example 1: All modular curves.

Projectively complete

 $F_3 = \langle \boldsymbol{\sigma} = \sigma_1, \dots, \sigma_4 \mod \sigma_1 \sigma_2 \sigma_3 \sigma_4 = 1 \rangle.$ Denote result by  $\hat{F}_{\boldsymbol{\sigma}}$ .

**Proposition 3.** Denote the quotient of  $\hat{F}_{\sigma}$  by

 $\sigma_i^2 = 1, \ i = 1, 2, 3, 4 \ (so \ \sigma_1 \sigma_2 = \sigma_4 \sigma_3)$ 

by  $\hat{D}_{\sigma}$ . Then,  $\prod_{p \neq 2} \mathbb{Z}_p^2 \times^s J_2 \equiv \hat{D}_{\sigma}$  and  $\mathbb{Z}_p^2 \times^s J_2$  is the unique  $\mathbb{C}_{2^4}$  *p*-Nielsen class limit.

Argument for Prop. 3 (more in [Fr05c, §6.1])

Goal: show  $\hat{D}_{\sigma}$  is  $\mathbb{Z}^2 \times^s J_2$  and  $\sigma_1 \sigma_2$  and  $\sigma_1 \sigma_3$  are independent generators of  $\mathbb{Z}^2$ . Then,  $\sigma_1$  acts on  $\mathbb{Z}^2$  by multiplication by -1.

First:  $\sigma_1(\sigma_1\sigma_2)\sigma_1 = \sigma_2\sigma_1$  shows  $\sigma_1$  conjugates  $\sigma_1\sigma_2$  to its inverse. Also,

 $(\sigma_1 \sigma_2)(\sigma_1 \sigma_3) = (\sigma_1 \sigma_3)\sigma_3(\sigma_2 \sigma_1)\sigma_3 = (\sigma_1 \sigma_3)(\sigma_1 \sigma_2)$ shows the said generators commute. The maximal possible quotient is  $\mathbb{Z}_p^2 \times^s \{\pm 1\}$ .

Second:  $G = V \times^s J_2$ , V a nontrivial quotient of  $\mathbb{Z}^2$ , gives nonempty Nielsen classes. Use a cofinal family of Vs,  $(\mathbb{Z}/p^{k+1})^2$ ,  $p \neq 2$ . Two proofs, one using elliptic curves and the other pure Nielsen class, appear in [Fr05a,§6.1.3]. That shows  $\mathbb{Z}_p^2 \times^s \{\pm 1\}$  is a limit group. Uniqueness comes from Talk 1. Heisenberg analysis of modular curve Nielsen classes [Fr05c, App. A.2]

Loewy layers (App.  $A_4$ ) show Prop. 3 is an example of [F-K-W Test B].

First:  $(\mathbb{Z} \times \mathbb{Z}) \times^{s} \mathbb{Z}/2$  is an orientable *p*-Poincaré duality group if *p* is odd: Finite-index subgroup  $\mathbb{Z} \times \mathbb{Z}$  is fundamental group of the torus. Denote the matrix  $\begin{pmatrix} 1 & x & z \\ 0 & 1 & y \\ 0 & 0 & 1 \end{pmatrix}$  by M(x, y, z). Heisenberg group with entries in ring *R*:

 $\mathbb{H}_{R,3} = \{ M(x, y, z) \}_{x, y, z \in R}.$ 

Consider  $\mathbf{1}^-$  and  $\mathbb{Z}_p^-$ :  $g \in S_n \mapsto \text{mult. by } \text{Det}(g)$ .

### Proposition 4 ([Fr05c, App. B2]).

 $\mathbb{H}_{\mathbb{Z}/p,3} \to (\mathbb{Z}/p)^2$  by  $M(x,y,z) \mapsto (x,y)$ is Frattini. The *p*-Frattini module  $M_0(G_0)$  of  $G_0 = (\mathbb{Z}/p)^2 \times^s \mathbb{Z}/2$  has  $\mathbf{1}_{G_0} \oplus \mathbf{1}_{G_0}^- \oplus \mathbf{1}_{G_0}^-$  at its head. Extension defined by  $\mathbf{1}_{G_0}$  gives Heisenberg group, obstructing MT at level 1. Also gives infinite limit group

 $(\mathbb{Z}_p)^2 \times^s \mathbb{Z}/2 = (\mathbb{Z}_p^-)^2 \times^s \mathbb{Z}/2.$ 

 $(G, \mathbf{C})$  having many Limit Groups

Talk 5 does Example 2, p. 12 of Talk 1, with  $H = \mathbb{Z}/3 = \langle \alpha \rangle$ , considering limit groups of  $Ni((\mathbb{Z}/p)^2 \times^s \mathbb{Z}/3, \mathbb{C}_{+3^2}) = Ni_p \ (p \neq 3).$  Note:  $(\mathbb{Z}/2)^2 \times^s \mathbb{Z}/3 = A_4.$ 

- 1.  ${}_{p}\tilde{F}_{2} \times {}^{s}\mathbb{Z}/3$  is a limit group because Ni $_{p}$  contains an H-M rep.
- 2. There are two braid orbits  $O_{0,1}$  (H-M) and  $O_{0,2}$  (Spin<sub>4</sub>  $\rightarrow A_4(O_{0,2}) = -1$ ) on Ni<sub>2</sub>.
- 3. Ni<sub>2</sub> has many limit groups, all so far, fitting into a pattern.
- 4. Six braid orbits on Ni $(G_1(\mathbb{Z}/p)^2 \times {}^s\mathbb{Z}/3), \mathbb{C}_{+3^2})$ :
  - $O_{g=0,1}$  and  $O_{g=0,2} \Leftrightarrow$  genus 0, complex
  - conjugate curves  $\overline{\mathcal{H}}_{g=0,i} \to \mathbb{P}^1$ , i = 1, 2;  $O_{H-M,1}$  and  $O_{H-M,2}$  (H-M<sup>j</sup> orbits)  $\Leftrightarrow$ genus 1 curves;
  - $O_{g=3,1}$  and  $O_{g=3,2} \Leftrightarrow$  genus 3 curves over  $\mathbb{Q}$  covering  $\mathbb{P}_{j}^{1}$ .

Talk 5 has #4: Ni $(G_1(A_4), \mathbf{C}_{+3^2})$  braid orbits, applied to Ni( $G_1(A_5), \mathbf{C}_{3^4}$ ), level 1 MTcomponents for  $A_5, p = 2$ , any conjugacy classes.

### App. A<sub>4</sub>: Loewy layers of modular curves

Jacobson radical of  $\mathbb{Z}/p[G]J_{G,p} = J$ : Intersection of maximal left (or right) ideals of  $\mathbb{Z}/p[G]$ .

Basic Lemma:  $M/J_{G,p}M$ , the first Loewy layer of G module M, is maximal semi-simple G quotient of M. For Loewy layers continue series inductively:  $J \cdot M$  replaces M.

Knowing M from its Loewy layers requires info on nonsplit subquotients M' of M of this form:

$$0 \to S_1 \to M' \to S_2 \to 0$$

 $(S_1, S_2 \text{ irreducible in the } \ell + 1 \text{ st, } \ell \text{th layer}).$ Let  $F_u(G) = \{g \in G \mid g - 1 \in J^u\}$ :  $F_1(G) = G.$ Input for  $H_G(t)$  is dimensions  $n_1, n_2, \ldots, n_u, \ldots$ of graded pieces of Jenning's Lie algebra:

- *uth* graded piece is  $F_u/F_{u+1}$ ; and
- commutators and pth powers from Fs with lower subscripts generate  $F_u$ .

For G a p-group, and  $M = \mathbb{Z}/p[G]$ , J is the augmentation ideal:  $\ker(\sum_{g \in G} a_g g \mapsto \sum_{g \in G} a_g)$ .

Jenning's Thm. [Ben91, Thm. 3.14.6] gives Loewy layer dimensions as a Hilbert polynomial  $H_G(t)$  (variable t). Only p-group irreducible is  $\mathbf{1}_G$ . Arrows from levels  $\ell$  to  $\ell - 1$  give all. Conclude: For  $G = (\mathbb{Z}/p)^n$ ,  $n_1 = n$ ,  $F_u/F_{u+1}$  is trivial for  $u \ge 2$ : general case

$$\prod_{u \ge 1} (\frac{1 - t^{pu}}{1 - t^u})^{n_u} \implies H_{(\mathbb{Z}/p)^n}(t) = (\frac{1 - t^p}{1 - t})^n.$$

**Lemma 5.** So:  $H_{(\mathbb{Z}/p)^2}(t) = (1+t+\ldots+t^{p-1})^2$ ; respective Loewy layers of  $\mathbb{Z}/p[(\mathbb{Z}/p)^2]$  have the dimensions  $1, 2, \ldots, p, p-1, \ldots, 1$ . With  $(\mathbb{Z}/p)^2 = \langle x_1, x_2 \rangle$ , symbols  $x_1^{\alpha} x_2^{\ell-\alpha} \ 0 \le \alpha, \ell-\alpha < p$ generate **1**s at Loewy layer  $\ell$ . Arrows from  $\mathbf{1} \leftrightarrow x_1^{\alpha} x_2^{\ell-\alpha}$  go to **1**s associated to  $x_1^{\alpha} x_2^{\ell-1-\alpha}$ and to  $x_1^{\alpha-1} x_2^{\ell-\alpha}$  under above constraints.

*Proof.* Loewy arrows come from subquotient  $R = \mathbb{Z}/p[G]$  module extensions of **1** by **1**. Use the Poincaré-Birkoff-Witt basis for the universal enveloping algebra of R [Ben91, p. 88].