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1

OF 5

HOD as a least branch HOD-model

(In models of  $AD^+$ , below IS for mice with superstrangs)

Refs ① Normalizing IT and comparing IS (Steel's webpage)

② Local HOD computation

③ LSA from l.b. Hod pairs.

(1) Premice (L[E]-pm)

• Jensen indexing

•  $\lambda_E = i_E(\kappa_E)$   $\kappa_E = \text{crit}(E)$

• Index of  $E = \lambda + \text{Ult}(M, E)$

• To each premouse  $M$  we associate  $k = k(M) \in \omega + 1$ , which gives the degree of soundness

$$\rho(M) \stackrel{\text{def}}{=} \rho_{k(M)+1}(M) \quad \rho(M) \stackrel{\text{def}}{=} \rho_{k(M)+1}(M)$$

$M$  is  $k(M)$ -sound.

•  $\pi: M \rightarrow N$  is elementary iff

$\pi$  is weakly  $\Sigma_{k(M)}$ -elementary

•  $o(M) = \mathcal{O}_M \cap M$   $\omega \cdot \delta(M) = o(M)$

•  $l(M) = \mathcal{B}(M), k(M)$

$M(r, k) = \text{the } N \trianglelefteq M \text{ s.t. } \ell(N) = \langle r, k \rangle$

REM  $M | \langle r, 0 \rangle = M | r$  keeps  $\dot{F}^{M | r} \neq \emptyset$

Background construction

$M_{r/k}^e, \Omega_{r/k}$  by induction on  $\langle r, k \rangle$ .

$M_{1,0} = (V_u, \epsilon, \dots)$

$M_{r, k+1} = \text{cone}(M_{r/k}^e)$  (Need to show:

the standard parameter is solid + universal.)

$M_{r+1, k} = \bigoplus_k M_{r/k}$  the last cone  
the rwd closure of

r limit

$M^{<r} = \lim_{d < r} \text{inf } M_{d,0}$

$M_{r,0}^{\#} = \begin{cases} M^{<r} & \text{passive case, } \dot{F}^{M_{r,0}^{\#}} = \emptyset \\ (M^{<r}, F) & F = \dot{F}^{M_{r,0}^{\#}} \text{ makes this} \\ & \text{a premouse and } F \text{ has a} \\ & \text{background certificate } (F_r^{\#})^e \end{cases}$

$F = F_r^* \cap M^{< \nu}$ , and

$F$  is "nice": lh = strength is inaccessible  
 $\underbrace{\text{strength}(F^*)}_{\lambda_{F^*}} > \lambda_F$

- $\Sigma_{\nu/k}^{\mathcal{C}}$  = the strategy for  $M_{\nu/k}^{\mathcal{C}}$  we get by lifting  $T$  on  $M_{\nu/k}^{\mathcal{C}}$  to a tree  $\mathcal{U}$  on  $V$  using the background extenders  $(F_r^*)^{\mathcal{C}}$ .

This needs iterability for  $V$ .

- $L_{pm}$ : pm language has the following symbols:
  - $\dot{E}$ : extender sequence
  - $\dot{F}$ : top extender
  - some constant symbols

$L_{pm}$  language has additionally:

- $\dot{\Sigma}$ : accumulated strategy inserted
- $\dot{B}$ : new branch

Let  $M = M_{\gamma, \ell}$  where  $\langle v_{1,0} \rangle$  is branch active

i.e. you have a  $(\gamma, \ell) \in_{lex} \langle v_{1,0} \rangle$  and a normal tree  $\mathcal{T}$  on  $m / \langle \gamma, \ell \rangle$ , and  $\mathcal{T}$  is of limit length and via  $\dot{\Sigma}^M$  and  $\dot{\Sigma}^M(\gamma, \gamma, \ell)$  undefined.

$$\hat{o}(M) = \beta + \text{lh}(\mathcal{T}) \text{ some } \beta$$

~~$\dot{B}^M(\alpha)$~~ , Choose lex least  $(\gamma, \ell, \mathcal{T})$

Require of lpm's: there is a branch  $b$  of  $\mathcal{T}$  s.t.

$$\dot{B}^M(\gamma) \Leftrightarrow \gamma = \beta + 2 \text{ for } \alpha \in b$$

- Lpm construction  $\Phi$   $(M_{r_{1,k}}^c, \Omega_{r_{1,k}}^c)$  with same background condition for existence of  $F_r^*$ .

For  $M = M_{\langle v_{1,0} \rangle}$  as above; branch active,  $(\gamma, \ell, \mathcal{T})$  the critical tuple, let

$$b = \left( \Omega_{\langle v_{1,0} \rangle}^c \right)_{\langle \gamma, \ell \rangle} (\mathcal{T})$$

$$\Omega_{\langle v_{1,0} \rangle}^c = \textcircled{+} \text{ IS for } M_{\langle v_{1,0} \rangle} / \langle \gamma, \ell \rangle$$

$R_{\pi,0}^F$  is called a "complete strategy for  $M_{\pi,0}$ "

$\dot{B}^M$  codes the  $b$  above in the above way

Properties of IS

1) Strong hull condensation

$$\text{Roughly: } \pi : H \xrightarrow{\text{elem}} V$$

$$\uparrow$$

$$\text{transitive}$$

$$\pi(\pi^{-1}(N)) = N$$

Then  $T$  is by  $\Sigma$  iff  $\pi(T)$  is by  $\Sigma$

But here we drop the assumption:

$$\pi(\pi^{-1}(\text{pred}(y+1))) = \pi^{-1}(\text{pred}(\pi(y)+1))$$

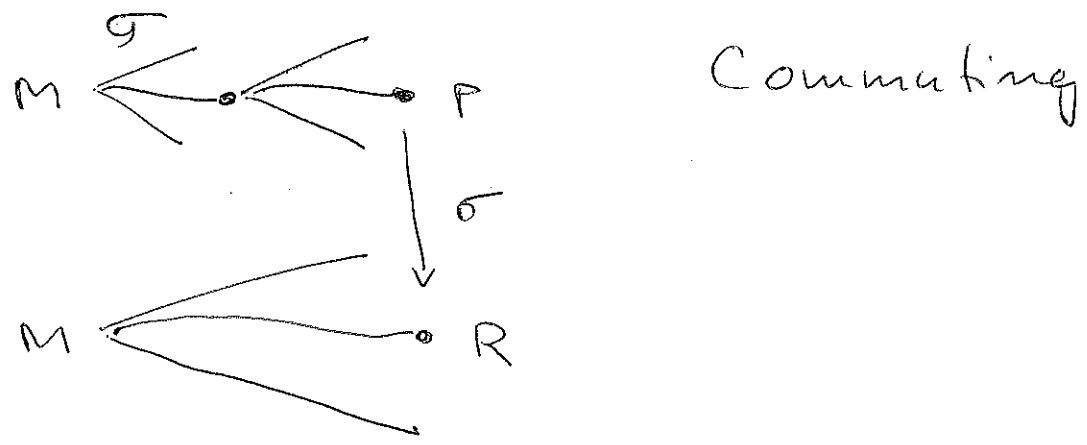
(So we also need to weaken the assumption on  $\pi$ )

2) Normalizing well ( $\Sigma$  normalizes well)

Given  $(\mathcal{T}, \mathcal{U})$  a stack of normal trees on  $M$ , can construct a "minimal" tree  $W = W(\mathcal{T}, \mathcal{U})$  on  $M$  s.t.

if  $\mathcal{U}$  has least model  $P$ , we have

(in non-dropping case)



$w(\sigma, U)$  is the embedding normalisation of  $(\sigma, U)$

LEM Can construct  $X = X(\sigma, U)$  s.t.  $P =$  the last model of  $X$ ; this one is called the full normalisation of  $X$ .

From the construction of  $w(\sigma, U)$  it is not clear that all models of  $w$  are w.f.

- $\Sigma$  normalises well off  $w(\sigma, U)$  exists  
key points For  $U$  of limit length s.t.  $w(\sigma, U)$  exists,  $w(\sigma, U)$  has limit length and there is a 1-1 correspondence between cofinal branches of  $w(\sigma, U)$  and pairs  $(c, b)$  s.t.  
 (1)  $b$  is a cofinal branch of  $U$

(2)  $c$  is a maximal branch of  $\mathcal{T}$  or  
 $c = [0, \tau]_{\mathcal{T}}$  for some  $\tau$ .

" $\Sigma$  normalizes well" says:

$$\Sigma(w(\sigma, u)) = a \iff \Sigma_{\mathcal{T}}(u) = b$$

Def  $V$  is uniquely  $\vec{F}$ -iterable (above  $n$ ) iff

(1) • only normal  $\mathcal{T}$  on  $V$  using only  
 extends from  $\vec{F}$  and its images  
 has a unique cutb.

In this case we say  $V$  is uniquely iterable  
 w.r.t.  $\Omega_{n, \vec{F}}^{\text{UBH}}$

(2) •  $V$  has a (unique) IS ~~UBH~~  $\Omega_{\vec{F}}^{\text{UBH}}$   
 for " $\vec{F}$ -trees" on finite stacks  
 of normal trees that normalizes  
 well (and =  $\Omega_{n, \vec{F}}^{\text{UBH}}$  on normal  $\mathcal{T}$ )

REM If  $\vec{F}$  is "coarsely coherent" then (2)  
 follows from (1)

$$i_{F_d}(\vec{F}) \uparrow d = \vec{F} \uparrow d$$

$$i_{F_d}(\vec{F}) \downarrow d = \phi$$

(Coherency condition)

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Thm Suppose  $\mathcal{F}$  is a pm or lpm construction with  $\vec{F} = (F_\gamma \cup \mathcal{F} \mid \dot{F} \uparrow_{r,0} \neq 0)$  being coarsely coherent. Suppose  $V$  is uniquely  $\vec{F}$ -iterable. Then each  $\Omega_{r,k}^{\mathcal{F}}$  ~~has~~ normalizes well and has strong hull condensation.

$\Omega_{r,k}^{\mathcal{C}}$  normalizes well: normalizing commutes with lifting to  $V$ .