

$$\text{MIP}^* = \text{RE} \Rightarrow \neg \text{CEP}$$

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1 CEP and QWEP

2 QWEP and Tsirelson

3 The model-theoretic approach

Group C^* -algebras

- Recall the left-regular representation $\lambda_\Gamma : \Gamma \rightarrow U(\ell^2(\Gamma))$.
- The **reduced group C^* -algebra** of Γ is $C_r^*(\Gamma) := \overline{\text{span}(\lambda_\Gamma(\Gamma))}^{\|\cdot\|}$.
- There is another C^* -algebra associated to Γ , called the **universal group C^* -algebra** of Γ , denoted $C^*(\Gamma)$, characterized by the universal property: any unitary representation $\Gamma \rightarrow U(H)$ extends to a $*$ -homomorphism $C^*(\Gamma) \rightarrow B(H)$.
- Always have a surjective $*$ -homomorphism $C^*(\Gamma) \rightarrow C_r^*(\Gamma)$. It is an isomorphism if and only if Γ is amenable.

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The problem with C^* -algebra tensor products

- Given two C^* -algebras \mathcal{A} and \mathcal{B} , their vector space tensor product $A \odot B$ carries a natural $*$ -algebra operation.
- One would like to equip $A \odot B$ with a C^* -norm, that is, a norm such that the completion with respect to that norm is a C^* -algebra.
- **Issue:** in general, there many such norms yielding nonisomorphic completions.
- For example, there are continuum many C^* -norms on $B(H) \odot B(H)$. (Ozawa-Pisier)
- There is always a smallest and largest such norm on $A \odot B$, whose completions are denoted $A \otimes_{\min} B$ and $A \otimes_{\max} B$.

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Nuclear pairs

Definition

- (A, B) are a **nuclear pair** if there is a unique C^* -norm on $A \odot B$ (equivalently $A \otimes_{\min} B = A \otimes_{\max} B$).
- A is **nuclear** if (A, B) is a nuclear pair for all B .

Example

If Γ is a group, then $C_r^*(\Gamma)$ is nuclear if and only if $C^*(\Gamma)$ is nuclear if and only if Γ is amenable (in which case $C_r^*(\Gamma) \cong C^*(\Gamma)$).

Example

$(C_r^*(\mathbb{F}), C_r^*(\mathbb{F}))$ is not a nuclear pair.

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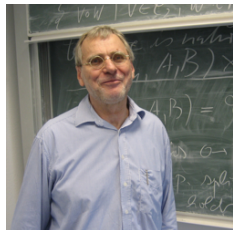
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Kirchberg's QWEP Problem

Theorem (Kirchberg)

$(C^*(\mathbb{F}), B(H))$ is a nuclear pair.



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Is $(C^*(\mathbb{F}), C^*(\mathbb{F}))$ a nuclear pair?

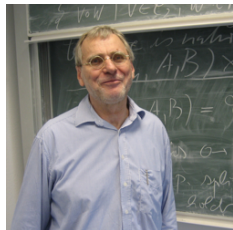
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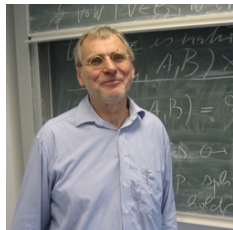
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Another kind of quantum correlation

Definition

The set $C_{qc}(k, n) \subseteq [0, 1]^{k^2 n^2}$ of **quantum commuting strategies** is the set of all p for which there are:

- a *single* (possibly infinite-dimensional) Hilbert space H ,
- a unit vector $\xi \in H$, and
- POVMs A^x and B^y on H of length n (for each $x, y \in [k]$) **satisfying**
 $A_a^x B_b^y = B_b^y A_a^x$ for all $x, y \in [k]$ and $a, b \in [n]$,

such that $p(a, b|x, y) = \langle A_a^x B_b^y \xi, \xi \rangle$.

- Note $C_q(k, n) \subseteq C_{qc}(k, n)$.
- It can be shown that $C_{qc}(k, n)$ is closed, so $C_{qa}(k, n) \subseteq C_{qc}(k, n)$.

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Tsirelson's Problem

- Boris Tsirelson claimed in a paper, without proof, that $C_q(k, n) = C_{qc}(k, n)$.
- It soon became clear that the question of equality for both inclusions $C_q(k, n) \subseteq C_{qa}(k, n) \subseteq C_{qc}(k, n)$ was nontrivial.
- In 2018, Slofstra showed that $C_q(k, n) \subsetneq C_{qa}(k, n)$ for sufficiently large (k, n) .



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MIP* = RE and Tsirelson

- Recall $\text{val}^*(\mathcal{G})$ is effectively approximable from below.
- Set $\text{val}^{\text{co}}(\mathcal{G}) := \sup_{\rho \in C_{qc}(k,n)} \text{val}(\mathcal{G}, \rho)$.
- Note that $\text{val}^{\text{co}}(\mathcal{G}) \geq \text{val}^*(\mathcal{G})$.
- It can be shown that $\text{val}^{\text{co}}(\mathcal{G})$ can be effectively approximated **from above!** (Semidefinite programming or model theory)
- Thus, if Tsirelson has a positive solution, then one can effectively approximate $\text{val}^*(\mathcal{G}) = \text{val}^{\text{co}}(\mathcal{G})$, contradicting $\text{MIP}^* = \text{RE}$!

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Tsirelson and QWEP

Theorem (Fritz and Junge, et. al. (independently); Ozawa)

The QWEP problem is equivalent to Tsirelson's problem.

- Set $\mathbb{F}(k, n)$ to be the group freely generated by k elements of order n .
- The key point in the backwards direction is the existence of an element $\eta_{\mathfrak{G}} \in C^*(\mathbb{F}(k, n)) \odot C^*(\mathbb{F}(k, n))$ such that:
 - $\text{val}^*(\mathfrak{G}) = \|\eta_{\mathfrak{G}}\|_{\min}$
 - $\text{val}^{\text{co}}(\mathfrak{G}) = \|\eta_{\mathfrak{G}}\|_{\max}$.
- The last bullet explains why $\text{val}^{\text{co}}(\mathfrak{G})$ is effectively approximable from above: for any finitely presented group Γ , the norm on $C^*(\Gamma)$ is effectively approximable from above (Fritz, Netzer and Thom) and $C^*(\mathbb{F}(k, n)) \otimes_{\max} C^*(\mathbb{F}(k, n)) \cong C^*(\mathbb{F}(k, n) \times \mathbb{F}(k, n))$.

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Model theory to the rescue



- After seeing the initial derivation of $\neg \text{CEP}$ from $\text{MIP}^* = \text{RE}$, our initial reaction was: ???
- Using basic ideas from continuous model theory (and a key result in operator algebras), we given a more direct derivation.
- Plus, the model-theoretic approach offers additional “bells and whistles.”

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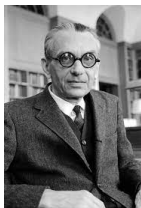
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CEP and computability

Theorem (G. and Hart (2016))

If CEP holds, then the *universal theory of \mathcal{R} is computable*.

- This means that there is an algorithm such that, upon input a universal sentence σ , returns an interval $I \subseteq \mathbb{R}$ with $|I| < \epsilon$ and $\sigma^{\mathcal{R}} \in I$.
- Lower bounds: brute force.
- The **Completeness theorem for continuous logic** says that $\sup\{\sigma^M : M \models T_{\mathcal{H}_1}\} = \inf\{r \in \mathbb{Q}^{>0} : T_{\mathcal{H}_1} \vdash \sigma \div r\}$.
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On the other hand...

Theorem (G. and Hart (2020))

*The universal theory of \mathcal{R} is **not** computable.*

Of course, we are going to use $\text{MIP}^* = \text{RE}$, but how?

Synchronous correlations and synchronous games

Definition

- 1 A correlation $p(a, b|x, y)$ is **synchronous** if $p(a, b|x, x) = 0$ whenever $a \neq b$.
- 2 $C_{qa}^s(n, k)$ denotes the synchronous elements of $C_{qa}(n, k)$.
- 3 $s\text{-val}^*(\mathfrak{G}) = \sup_{p \in C_{qa}^s(n, k)} \text{val}^*(\mathfrak{G}, p)$.

■ Clearly $s\text{-val}^*(\mathfrak{G}) \leq \text{val}^*(\mathfrak{G})$.

Remark

The games in $\text{MIP}^* = \text{RE}$ are such that, if $\text{val}^*(\mathfrak{G}_{\mathcal{M}}) = 1$, then $s\text{-val}^*(\mathfrak{G}_{\mathcal{M}}) = 1$.

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Definition

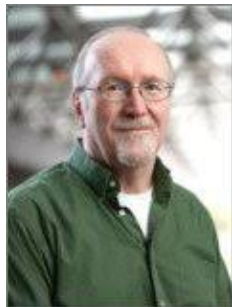
- 1 A correlation $p(a, b|x, y)$ is **synchronous** if $p(a, b|x, x) = 0$ whenever $a \neq b$.
- 2 $C_{qa}^s(n, k)$ denotes the synchronous elements of $C_{qa}(n, k)$.
- 3 $s\text{-val}^*(\mathfrak{G}) = \sup_{p \in C_{qa}^s(n, k)} \text{val}^*(\mathfrak{G}, p)$.

■ Clearly $s\text{-val}^*(\mathfrak{G}) \leq \text{val}^*(\mathfrak{G})$.

Remark

The games in $\text{MIP}^* = \text{RE}$ are such that, if $\text{val}^*(\mathfrak{G}_{\mathcal{M}}) = 1$, then $s\text{-val}^*(\mathfrak{G}_{\mathcal{M}}) = 1$.

Synchronous strategies and operator algebras



Theorem (Kim-Paulsen-Shaufhauser)

$p \in C_{qa}^s(k, n)$ if and only if there are PVMs e^1, \dots, e^k of length n in $\mathcal{R}^{\mathcal{U}}$ such that $p(a, b|x, y) = \tau(e_a^x e_b^y)$, where τ is the unique trace on $\mathcal{R}^{\mathcal{U}}$.

We're getting closer...

Corollary

For any nonlocal game \mathfrak{G} ,

$$s\text{-val}^*(\mathfrak{G}) = \left(\sup_{e^1, \dots, e^k} \sum_{x,y} \lambda(x,y) \sum_{a,b} D(a,b,x,y) \text{tr}(e_a^x e_b^y) \right)^{\mathcal{R}^U},$$

where the supremum is being taken over PVMs of length n .

- This looks a lot more like a universal sentence being evaluated in \mathcal{R}^U .
- If it were and the universal theory of \mathcal{R} were computable, then we could effectively approximate $s\text{-val}^*(\mathfrak{G})$ for any nonlocal game \mathfrak{G} and thus decide the halting problem!
- **Issue:** The supremum over PVMs is not officially part of the language!

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Definable sets in continuous logic

Theorem/Definition

Given a formula $\varphi(x)$ relative to some theory T , TFAE:

- 1 For any formula $\psi(x, y)$ and $\epsilon > 0$, there is a formula $\theta(y)$ such that

$$T \models \sup_y \left| \left(\sup_{\{x:\varphi(x)=0\}} \psi(x, y) \right) - \theta(y) \right| \leq \epsilon$$

and ditto for infimum.

- 2 For every $\epsilon > 0$, there is $\delta > 0$ such that, for all $M \models T$ and $a \in M$, if $\varphi(a) < \delta$, then there is $b \in M$ such that $\varphi(b) = 0$ and $d(a, b) < \epsilon$.
- 3 For any family of models $(M_i)_{i \in I}$ of T , any ultrafilter \mathcal{U} on I , and any $a \in M := \prod_{\mathcal{U}} M_i$, if $\varphi^M(a) = 0$, then there are $a_i \in M_i$ such that $\varphi(a_i)^{M_i} = 0$ and $a = (a_i)_{\mathcal{U}}$.

We then call $\varphi(x)$ a **definable set relative to T** .

Some technical wrinkles

- It remains to check then that the set of PVMs in \mathcal{R} of length n form a definable set relative to the theory of \mathcal{R} .
- Fortunately for us, this is the case, and Kim, Paulsen, and Schaufhauser themselves proved it!
- Then the translation from the expression using the definable set to an approximating family of “legitimate” sentences needs to be done effectively and the resulting sentences need to be universal...
- But it all works out just fine!

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A Gödelian style refutation of CEP

- Perhaps it is too arrogant to simply expect all tracial von Neumann algebras to embed into \mathcal{R}^U , but maybe by adding some “reasonable” set of extra conditions, we can ensure \mathcal{R}^U -embeddability.
- Nope!



Theorem (G. and Hart)

Suppose that T is any “effective” satisfiable set of (first-order) conditions extending the axioms for being a II_1 factor. Then there is a II_1 factor satisfying T that does not embed in \mathcal{R}^U .

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“Many” counterexamples to CEP

Corollary

There is a sequence M_1, M_2, \dots , of separable II_1 factors, none of which embed into an ultrapower of \mathcal{R} , and such that, for all $i < j$, M_i does not embed into an ultrapower of M_j .

Proof.

Suppose now that M_1, \dots, M_n have been constructed. Let σ_i be a sentence such that $\sigma_i^{\mathcal{R}} = 0$ but $\sigma_i^{M_i} > 0$. Fix a rational number $\delta_i \in (0, \sigma_i^{M_i})$. Let $T \subseteq \text{Th}(\mathcal{R})$ be the effective theory of II_1 factors together with the single condition $\max_{i=1, \dots, n} (\sigma_i \div \delta_i) = 0$. Thus there is a separable model M_{n+1} of T such that M_{n+1} does not embed into an ultrapower of \mathcal{R} . Since $\sigma_i^{M_i} > \delta_i$ while $\sigma_i^{M_{n+1}} \leq \delta_i$, it follows that M_i does not embed into an ultrapower of M_{n+1} . \square

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$\text{Th}_{\forall}(\mathcal{R})$ is not computable for operator algebraists

- Let m_1, \dots, m_L enumerate all $*$ -monomials in the variables x_1, \dots, x_n of total degree at most d .
- We consider the map $\mu_{n,d} : \mathcal{R}_1^n \rightarrow \mathbb{D}^L$ given by $\mu_{n,d}(\vec{a}) = (\text{tr}(m_i(\vec{a})) : i = 1, \dots, L)$.
- We let $X(n, d)$ denote the range of $\mu_{n,d}$ and $X(n, d, p)$ be the image of $(M_p(\mathbb{C}))_1$ under $\mu_{n,d}$.
- Notice that $\bigcup_{p \in \mathbb{N}} X(n, d, p)$ is dense in $X(n, d)$.

Theorem (G. and Hart)

The following statements are equivalent:

- 1 *The universal theory of \mathcal{R} is computable.*
- 2 *There is a computable function $F : \mathbb{N}^3 \rightarrow \mathbb{N}$ such that, for every $n, d, k \in \mathbb{N}$, $X(n, d, F(n, d, k))$ is $\frac{1}{k}$ -dense in $X(n, d)$.*

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Effective computability of $s\text{-val}^{\text{co}}(\mathfrak{G})$ from above

Theorem (Paulsen, Severini, Stahlke, Todorov, Winter)

$p \in C_{qc}^S(k, n)$ if and only if there is a tracial C^* -algebra (A, τ) and PVMs e^1, \dots, e^k of length n in A such that $p(a, b|x, y) = \tau(e_a^x e_b^y)$

Proposition

For any nonlocal game \mathfrak{G} , we have $s\text{-val}^{\text{co}}(\mathfrak{G}) \geq r$ if and only if the theory $T \cup \{\theta_{\mathfrak{G}, r} = 0\}$ is satisfiable, where $\theta_{\mathfrak{G}, r}$ is the sentence $r \div \left(\sup_{e^1, \dots, e^k} \sum_{x, y} \lambda(x, y) \sum_{a, b} D(a, b, x, y) \text{tr}(e_a^x e_b^y) \right)$.

- For any continuous theory U , we have that U is satisfiable if and only if $U \not\vdash 1 \div \frac{1}{2}$.
- Combined with the previous proposition, we get that $s\text{-val}^{\text{co}}(\mathfrak{G})$ is effectively approximable from above (uniformly in \mathfrak{G}).

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See you next year!

2023 North American Annual Meeting of the Association for Symbolic Logic

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General Information

The 2023 North American Annual Meeting of the ASL will be held March 25-29 at the University of California, Irvine.

