

# Model theory and the Weak Expectation Property

Isaac Goldbring

University of Illinois at Chicago

Joint Mathematics Meeting  
Session on Classification Problems in Operator Algebras  
January 8, 2016

- 1 Introduction
- 2 WEP is not axiomatizable
- 3 WEP and existential closedness
- 4 The QWEP conjecture

# WEP

All  $C^*$  algebras are unital and all inclusions are unital.

## Definition

Let  $A$  be a  $C^*$  algebra. We say that  $A$  has the *Weak Expectation Property* (WEP) if, whenever  $B$  is a  $C^*$  algebra containing  $A$ , there is a u.c.p. map  $\phi : B \rightarrow A^{**}$  that is the identity on  $A$ .

- $A$  has WEP if and only if whenever  $A \subseteq B$  and  $C$  is another  $C^*$  algebra, then  $A \otimes_{\max} C \subseteq B \otimes_{\max} C$ .
- In particular, if  $A$  is nuclear, then  $A$  has WEP.
- A theorem of Kirchberg says that  $A$  has WEP if and only if there is a unique  $C^*$ -norm on  $A \odot C^*(\mathbb{F})$ .

## Goal of the talk

Investigate the model-theoretic content of this notion.

# WEP

All  $C^*$  algebras are unital and all inclusions are unital.

## Definition

Let  $A$  be a  $C^*$  algebra. We say that  $A$  has the *Weak Expectation Property* (WEP) if, whenever  $B$  is a  $C^*$  algebra containing  $A$ , there is a u.c.p. map  $\phi : B \rightarrow A^{**}$  that is the identity on  $A$ .

- $A$  has WEP if and only if whenever  $A \subseteq B$  and  $C$  is another  $C^*$  algebra, then  $A \otimes_{\max} C \subseteq B \otimes_{\max} C$ .
- In particular, if  $A$  is nuclear, then  $A$  has WEP.
- A theorem of Kirchberg says that  $A$  has WEP if and only if there is a unique  $C^*$ -norm on  $A \odot C^*(\mathbb{F})$ .

## Goal of the talk

Investigate the model-theoretic content of this notion.

# WEP

All  $C^*$  algebras are unital and all inclusions are unital.

## Definition

Let  $A$  be a  $C^*$  algebra. We say that  $A$  has the *Weak Expectation Property* (WEP) if, whenever  $B$  is a  $C^*$  algebra containing  $A$ , there is a u.c.p. map  $\phi : B \rightarrow A^{**}$  that is the identity on  $A$ .

- $A$  has WEP if and only if whenever  $A \subseteq B$  and  $C$  is another  $C^*$  algebra, then  $A \otimes_{\max} C \subseteq B \otimes_{\max} C$ .
- In particular, if  $A$  is nuclear, then  $A$  has WEP.
- A theorem of Kirchberg says that  $A$  has WEP if and only if there is a unique  $C^*$ -norm on  $A \odot C^*(\mathbb{F})$ .

## Goal of the talk

Investigate the model-theoretic content of this notion.

# WEP

All  $C^*$  algebras are unital and all inclusions are unital.

## Definition

Let  $A$  be a  $C^*$  algebra. We say that  $A$  has the *Weak Expectation Property* (WEP) if, whenever  $B$  is a  $C^*$  algebra containing  $A$ , there is a u.c.p. map  $\phi : B \rightarrow A^{**}$  that is the identity on  $A$ .

- $A$  has WEP if and only if whenever  $A \subseteq B$  and  $C$  is another  $C^*$  algebra, then  $A \otimes_{\max} C \subseteq B \otimes_{\max} C$ .
- In particular, if  $A$  is nuclear, then  $A$  has WEP.
- A theorem of Kirchberg says that  $A$  has WEP if and only if there is a unique  $C^*$ -norm on  $A \odot C^*(\mathbb{F})$ .

## Goal of the talk

Investigate the model-theoretic content of this notion.

# Continuous logic-The case of $C^*$ algebras

- Atomic formulae:  $\varphi(\vec{x}) := \|p(\vec{x})\|$ ,  $p(\vec{x})$  a \*polynomial (over  $\mathbb{C}$ ).
- Quantifier-free formulae:  $\varphi(\vec{x}) := f(\varphi_1(\vec{x}), \dots, \varphi_n(\vec{x}))$ , each  $\varphi_i$  atomic,  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  continuous.
- Quantifiers: If  $\varphi$  is a formula and  $n \in \mathbb{N}$ , then  $\sup_{\|x\| \leq n} \varphi$  and  $\inf_{\|x\| \leq n} \varphi$  are also formulae.
- If  $A$  is a  $C^*$  algebra,  $\varphi(\vec{x})$  is a formula, and  $\vec{a}$  a tuple from  $A$ , then  $\varphi(\vec{a})^A$  is a real number.
- For example,  $\varphi(x) := \sup_y \|xy - yx\|$  is a formula. If  $A$  is a  $C^*$  algebra and  $a \in A$ , then  $\varphi(a)^A = 0$  if and only if  $a$  is in the *center* of  $A$ .
- A *sentence* is a formula with no free variables.
- $C^*$  algebras  $A$  and  $B$  are *elementarily equivalent*, written  $A \equiv B$ , if  $\sigma^A = \sigma^B$  for all sentences  $\sigma$ .

# Continuous logic-The case of $C^*$ algebras

- Atomic formulae:  $\varphi(\vec{x}) := \|p(\vec{x})\|$ ,  $p(\vec{x})$  a \*polynomial (over  $\mathbb{C}$ ).
- Quantifier-free formulae:  $\varphi(\vec{x}) := f(\varphi_1(\vec{x}), \dots, \varphi_n(\vec{x}))$ , each  $\varphi_i$  atomic,  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  continuous.
- Quantifiers: If  $\varphi$  is a formula and  $n \in \mathbb{N}$ , then  $\sup_{\|x\| \leq n} \varphi$  and  $\inf_{\|x\| \leq n} \varphi$  are also formulae.
- If  $A$  is a  $C^*$  algebra,  $\varphi(\vec{x})$  is a formula, and  $\vec{a}$  a tuple from  $A$ , then  $\varphi(\vec{a})^A$  is a real number.
- For example,  $\varphi(x) := \sup_y \|xy - yx\|$  is a formula. If  $A$  is a  $C^*$  algebra and  $a \in A$ , then  $\varphi(a)^A = 0$  if and only if  $a$  is in the *center* of  $A$ .
- A *sentence* is a formula with no free variables.
- $C^*$  algebras  $A$  and  $B$  are *elementarily equivalent*, written  $A \equiv B$ , if  $\sigma^A = \sigma^B$  for all sentences  $\sigma$ .



# Continuous logic-The case of $C^*$ algebras

- Atomic formulae:  $\varphi(\vec{x}) := \|p(\vec{x})\|$ ,  $p(\vec{x})$  a \*polynomial (over  $\mathbb{C}$ ).
- Quantifier-free formulae:  $\varphi(\vec{x}) := f(\varphi_1(\vec{x}), \dots, \varphi_n(\vec{x}))$ , each  $\varphi_i$  atomic,  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  continuous.
- Quantifiers: If  $\varphi$  is a formula and  $n \in \mathbb{N}$ , then  $\sup_{\|x\| \leq n} \varphi$  and  $\inf_{\|x\| \leq n} \varphi$  are also formulae.
- If  $A$  is a  $C^*$  algebra,  $\varphi(\vec{x})$  is a formula, and  $\vec{a}$  a tuple from  $A$ , then  $\varphi(\vec{a})^A$  is a real number.
- For example,  $\varphi(x) := \sup_y \|xy - yx\|$  is a formula. If  $A$  is a  $C^*$  algebra and  $a \in A$ , then  $\varphi(a)^A = 0$  if and only if  $a$  is in the *center of  $A$* .
- A *sentence* is a formula with no free variables.
- $C^*$  algebras  $A$  and  $B$  are *elementarily equivalent*, written  $A \equiv B$ , if  $\sigma^A = \sigma^B$  for all sentences  $\sigma$ .

# Continuous logic-The case of $C^*$ algebras

- Atomic formulae:  $\varphi(\vec{x}) := \|p(\vec{x})\|$ ,  $p(\vec{x})$  a \*polynomial (over  $\mathbb{C}$ ).
- Quantifier-free formulae:  $\varphi(\vec{x}) := f(\varphi_1(\vec{x}), \dots, \varphi_n(\vec{x}))$ , each  $\varphi_i$  atomic,  $f : \mathbb{R}^n \rightarrow \mathbb{R}$  continuous.
- Quantifiers: If  $\varphi$  is a formula and  $n \in \mathbb{N}$ , then  $\sup_{\|x\| \leq n} \varphi$  and  $\inf_{\|x\| \leq n} \varphi$  are also formulae.
- If  $A$  is a  $C^*$  algebra,  $\varphi(\vec{x})$  is a formula, and  $\vec{a}$  a tuple from  $A$ , then  $\varphi(\vec{a})^A$  is a real number.
- For example,  $\varphi(x) := \sup_y \|xy - yx\|$  is a formula. If  $A$  is a  $C^*$  algebra and  $a \in A$ , then  $\varphi(a)^A = 0$  if and only if  $a$  is in the *center* of  $A$ .
- A *sentence* is a formula with no free variables.
- $C^*$  algebras  $A$  and  $B$  are *elementarily equivalent*, written  $A \equiv B$ , if  $\sigma^A = \sigma^B$  for all sentences  $\sigma$ .

# Axiomatizable classes

## Definition

Let  $\mathcal{K}$  be a class of (separable)  $C^*$  algebras. We say that  $\mathcal{K}$  is *axiomatizable* if there is a set  $T$  of sentences such that a (separable)  $C^*$  algebra  $A$  belongs to  $\mathcal{K}$  if and only if  $\sigma^A = 0$  for all  $\sigma \in T$ .

## Examples

Abelian, non-abelian, real-rank 0,  $n$ -subhomogeneous (fixed  $n$ ),  $C^*$  algebras that admit a trace,  $C^*$  algebras that admit a character,...

Some of these examples are proven to be axiomatizable using an abstract test:  $\mathcal{K}$  is axiomatizable if and only if it is closed under isomorphism, ultraproducts, and ultraroots.

# Axiomatizable classes

## Definition

Let  $\mathcal{K}$  be a class of (separable)  $C^*$  algebras. We say that  $\mathcal{K}$  is *axiomatizable* if there is a set  $T$  of sentences such that a (separable)  $C^*$  algebra  $A$  belongs to  $\mathcal{K}$  if and only if  $\sigma^A = 0$  for all  $\sigma \in T$ .

## Examples

Abelian, non-abelian, real-rank 0,  $n$ -subhomogeneous (fixed  $n$ ),  $C^*$  algebras that admit a trace,  $C^*$  algebras that admit a character,...

Some of these examples are proven to be axiomatizable using an abstract test:  $\mathcal{K}$  is axiomatizable if and only if it is closed under isomorphism, ultraproducts, and ultraroots.

- 1 Introduction
- 2 WEP is not axiomatizable**
- 3 WEP and existential closedness
- 4 The QWEP conjecture

# Arveson's Extension Theorem

## Arveson's Extension Theorem

Let  $A$  be a  $C^*$  algebra,  $E$  an operator system contained in  $A$ , and  $\phi : E \rightarrow B(H)$  a u.c.p. map. Then there is a u.c.p. map  $\psi : A \rightarrow B(H)$  extending  $\phi$ .

## Question

Is there a *finitary version* of Arveson Extension? More precisely: Is it true that given an operator system  $E \subseteq M_n$  and  $k \in \mathbb{N}$ , there exists  $l \in \mathbb{N}$  and  $\delta > 0$  such that, for any unital map  $\phi : E \rightarrow B(H)$  with  $\|\phi\|_l < 1 + \delta$ , there is a unital map  $\psi : M_n \rightarrow B(H)$  with  $\|\psi\|_k < 1 + \frac{1}{k}$  and  $\|\psi|_E - \phi\| < \frac{1}{k}$ ?

# Arveson's Extension Theorem

## Arveson's Extension Theorem

Let  $A$  be a  $C^*$  algebra,  $E$  an operator system contained in  $A$ , and  $\phi : E \rightarrow B(H)$  a u.c.p. map. Then there is a u.c.p. map  $\psi : A \rightarrow B(H)$  extending  $\phi$ .

## Question

Is there a *finitary version* of Arveson Extension? More precisely: Is it true that given an operator system  $E \subseteq M_n$  and  $k \in \mathbb{N}$ , there exists  $l \in \mathbb{N}$  and  $\delta > 0$  such that, for any unital map  $\phi : E \rightarrow B(H)$  with  $\|\phi\|_l < 1 + \delta$ , there is a unital map  $\psi : M_n \rightarrow B(H)$  with  $\|\psi\|_k < 1 + \frac{1}{k}$  and  $\|\psi|_E - \phi\| < \frac{1}{k}$ ?

# FAE is false

Let FAE denote the statement that the finitary version of Arveson Extension mentioned above is true.

Theorem (G.-Sinclair; Ozawa)

*FAE is false.*

Using work of Choi-Effros, it turns out that FAE is equivalent to  $\mathcal{B}(H)^\omega$  having WEP. So:

Corollary

*$\mathcal{B}(H)^\omega$  do not have WEP. In particular, WEP is not an axiomatizable property.*

Corollary

*$A^\omega$  has WEP if and only if  $A$  is subhomogeneous.*



# FAE is false

Let FAE denote the statement that the finitary version of Arveson Extension mentioned above is true.

**Theorem (G.-Sinclair; Ozawa)**

*FAE is false.*

Using work of Choi-Effros, it turns out that FAE is equivalent to  $\mathcal{B}(H)^\omega$  having WEP. So:

Corollary

*$\mathcal{B}(H)^\omega$  do not have WEP. In particular, WEP is not an axiomatizable property.*

Corollary

*$A^\omega$  has WEP if and only if  $A$  is subhomogeneous.*

# FAE is false

Let FAE denote the statement that the finitary version of Arveson Extension mentioned above is true.

## Theorem (G.-Sinclair; Ozawa)

*FAE is false.*

Using work of Choi-Effros, it turns out that FAE is equivalent to  $\mathcal{B}(H)^\omega$  having WEP. So:

## Corollary

*$\mathcal{B}(H)^\omega$  do not have WEP. In particular, WEP is not an axiomatizable property.*

## Corollary

*$A^\omega$  has WEP if and only if  $A$  is subhomogeneous.*

# FAE is false

Let FAE denote the statement that the finitary version of Arveson Extension mentioned above is true.

## Theorem (G.-Sinclair; Ozawa)

*FAE is false.*

Using work of Choi-Effros, it turns out that FAE is equivalent to  $\mathcal{B}(H)^\omega$  having WEP. So:

## Corollary

*$\mathcal{B}(H)^\omega$  do not have WEP. In particular, WEP is not an axiomatizable property.*

## Corollary

*$A^\omega$  has WEP if and only if  $A$  is subhomogeneous.*

# 1-exact operator systems

## Definition

- 1 Suppose that  $E$  is a finite-dimensional operator system with basis  $\vec{a}$ . We say that  $E$  is *1-exact* if, for every  $\epsilon > 0$ , there is  $n \in \mathbb{N}$  and c.b. maps  $\phi : E \rightarrow M_n$  and  $\psi : \phi(E) \rightarrow E$  such that  $\|(\psi \circ \phi)(\vec{a}) - \vec{a}\| < \epsilon$ .
- 2 An arbitrary operator system is 1-exact if and only if each of its finite-dimensional subsystems is 1-exact.

# Omitting types

## Definition

Let  $\mathcal{K}$  be a class of  $C^*$  algebras (or operator spaces or operator systems...). We say that  $\mathcal{K}$  is an *omitting types class* if there is a family of nonnegative formulae  $\varphi_{m,n}(\vec{x}_n)$  such that a  $C^*$  algebra  $A$  belongs to  $\mathcal{K}$  if and only if, for all  $n$ , we have

$$\left( \sup_{\vec{x}_n} \inf_m \varphi_{m,n}(\vec{x}_n) \right)^A = 0.$$

One should think of this as a particularly nice kind of *infinitary* axiomatizability.

# Sketch of the proof

- We first show that if FAE held, then the class of 1-exact operator systems is omitting types.
- We then consider two spaces:  $\mathfrak{M}_n$  is the space of “codes” for  $n$ -dimensional operator systems, equipped with the logic topology; and  $\mathcal{OS}_n$ , the space of (isomorphism classes of)  $n$ -dimensional operator systems, equipped with its weak topology. Both are Polish spaces.
- There is a “forgetful” map  $F : \mathfrak{M}_n \rightarrow \mathcal{OS}_n$ , which is surjective, open, and continuous.
- Let  $\mathcal{E}_n$  denote the 1-exact elements of  $\mathcal{OS}_n$ . We show that the class of 1-exact operator systems being omitting types implies that  $F^{-1}(\mathcal{E}_n)$  is  $G_\delta$ , so Polish.
- By the Open Mapping Theorem for Polish spaces, we get that  $\mathcal{E}_n$  is weakly Polish. But this contradicts fundamental work of Junge and Pisier.

# Sketch of the proof

- We first show that if FAE held, then the class of 1-exact operator systems is omitting types.
- We then consider two spaces:  $\mathfrak{M}_n$  is the space of “codes” for  $n$ -dimensional operator systems, equipped with the logic topology; and  $\mathcal{OS}_n$ , the space of (isomorphism classes of)  $n$ -dimensional operator systems, equipped with its weak topology. Both are Polish spaces.
- There is a “forgetful” map  $F : \mathfrak{M}_n \rightarrow \mathcal{OS}_n$ , which is surjective, open, and continuous.
- Let  $\mathcal{E}_n$  denote the 1-exact elements of  $\mathcal{OS}_n$ . We show that the class of 1-exact operator systems being omitting types implies that  $F^{-1}(\mathcal{E}_n)$  is  $G_\delta$ , so Polish.
- By the Open Mapping Theorem for Polish spaces, we get that  $\mathcal{E}_n$  is weakly Polish. But this contradicts fundamental work of Junge and Pisier.

# Sketch of the proof

- We first show that if FAE held, then the class of 1-exact operator systems is omitting types.
- We then consider two spaces:  $\mathfrak{M}_n$  is the space of “codes” for  $n$ -dimensional operator systems, equipped with the logic topology; and  $\mathcal{OS}_n$ , the space of (isomorphism classes of)  $n$ -dimensional operator systems, equipped with its weak topology. Both are Polish spaces.
- There is a “forgetful” map  $F : \mathfrak{M}_n \rightarrow \mathcal{OS}_n$ , which is surjective, open, and continuous.
- Let  $\mathcal{E}_n$  denote the 1-exact elements of  $\mathcal{OS}_n$ . We show that the class of 1-exact operator systems being omitting types implies that  $F^{-1}(\mathcal{E}_n)$  is  $G_\delta$ , so Polish.
- By the Open Mapping Theorem for Polish spaces, we get that  $\mathcal{E}_n$  is weakly Polish. But this contradicts fundamental work of Junge and Pisier.



# Sketch of the proof

- We first show that if FAE held, then the class of 1-exact operator systems is omitting types.
- We then consider two spaces:  $\mathfrak{M}_n$  is the space of “codes” for  $n$ -dimensional operator systems, equipped with the logic topology; and  $\mathcal{OS}_n$ , the space of (isomorphism classes of)  $n$ -dimensional operator systems, equipped with its weak topology. Both are Polish spaces.
- There is a “forgetful” map  $F : \mathfrak{M}_n \rightarrow \mathcal{OS}_n$ , which is surjective, open, and continuous.
- Let  $\mathcal{E}_n$  denote the 1-exact elements of  $\mathcal{OS}_n$ . We show that the class of 1-exact operator systems being omitting types implies that  $F^{-1}(\mathcal{E}_n)$  is  $G_\delta$ , so Polish.
- By the Open Mapping Theorem for Polish spaces, we get that  $\mathcal{E}_n$  is weakly Polish. But this contradicts fundamental work of Junge and Pisier.

# Sketch of the proof

- We first show that if FAE held, then the class of 1-exact operator systems is omitting types.
- We then consider two spaces:  $\mathfrak{M}_n$  is the space of “codes” for  $n$ -dimensional operator systems, equipped with the logic topology; and  $\mathcal{OS}_n$ , the space of (isomorphism classes of)  $n$ -dimensional operator systems, equipped with its weak topology. Both are Polish spaces.
- There is a “forgetful” map  $F : \mathfrak{M}_n \rightarrow \mathcal{OS}_n$ , which is surjective, open, and continuous.
- Let  $\mathcal{E}_n$  denote the 1-exact elements of  $\mathcal{OS}_n$ . We show that the class of 1-exact operator systems being omitting types implies that  $F^{-1}(\mathcal{E}_n)$  is  $G_\delta$ , so Polish.
- By the Open Mapping Theorem for Polish spaces, we get that  $\mathcal{E}_n$  is weakly Polish. But this contradicts fundamental work of Junge and Pisier.

- 1 Introduction
- 2 WEP is not axiomatizable
- 3 WEP and existential closedness**
- 4 The QWEP conjecture

# Existentially closed $C^*$ algebras

## Definition

A  $C^*$  algebra  $A$  is said to be *existentially closed* (e.c.) if, for any quantifier-free formula  $\varphi(\vec{x}, \vec{y})$ , tuple  $\vec{a}$  from  $A$ , and extension  $B \supseteq A$ , we have

$$\inf\{\varphi(\vec{b}, \vec{a})^A : \vec{b} \in A_1\} = \inf\{\varphi(\vec{c}, \vec{a})^B : \vec{c} \in B_1\}.$$

## Theorem (G. and Sinclair)

*If  $A$  is an existentially closed  $C^*$  algebra, then  $A$  has WEP. More generally, if  $X \subseteq \mathcal{B}(H)$  is an operator system that is e.c. in  $\mathcal{B}(H)$ , then there is a u.c.p.  $\mathcal{B}(H) \rightarrow \overline{X}$  (weak closure) restricting to  $\text{id}_X$ .*

# Existentially closed $C^*$ algebras

## Definition

A  $C^*$  algebra  $A$  is said to be *existentially closed* (e.c.) if, for any quantifier-free formula  $\varphi(\vec{x}, \vec{y})$ , tuple  $\vec{a}$  from  $A$ , and extension  $B \supseteq A$ , we have

$$\inf\{\varphi(\vec{b}, \vec{a})^A : \vec{b} \in A_1\} = \inf\{\varphi(\vec{c}, \vec{a})^B : \vec{c} \in B_1\}.$$

## Theorem (G. and Sinclair)

*If  $A$  is an existentially closed  $C^*$  algebra, then  $A$  has WEP. More generally, if  $X \subseteq \mathcal{B}(H)$  is an operator system that is e.c. in  $\mathcal{B}(H)$ , then there is a u.c.p.  $\mathcal{B}(H) \rightarrow \overline{X}$  (weak closure) restricting to  $\text{id}_X$ .*

# Idea of the proof

## Theorem

*if  $X \subseteq \mathcal{B}(H)$  is an operator system that is e.c. in  $\mathcal{B}(H)$ , then there is a u.c.p.  $\mathcal{B}(H) \rightarrow \overline{X}$  (weak closure) restricting to  $\text{id}_X$ .*

## Proof.

- It is enough to show (by weak compactness) that for every self-adjoint  $b \in \mathcal{B}(H)^k$  there is u.c.p.  $X + \mathbb{C}b_1 + \cdots + \mathbb{C}b_k \rightarrow \overline{X}$  extending  $\text{id}_X$ .
- Since  $X$  is e.c., any instance of positivity in  $M_n(X + \mathbb{C}b_1 + \cdots + \mathbb{C}b_k)$  can be approximately witnessed by an element of  $M_n(X)$ .
- By weak compactness, this is enough.



# No model companion

## Theorem (Eagle, Farah, Kirchberg, Vignati)

*The class of existentially closed  $C^*$  algebras is not axiomatizable (no “model companion.”)*

## Proof (G.)

Suppose that  $A$  is separable and e.c. If being e.c. were axiomatizable, then  $A^\omega$  has a cofinal family of separable subalgebras with WEP (namely the elementary substructures), so itself has WEP. Thus,  $A$  is subhomogeneous, in particular finite. But Sinclair and I showed e.c.  $C^*$  algebras are purely infinite. □

# No model companion

## Theorem (Eagle, Farah, Kirchberg, Vignati)

*The class of existentially closed  $C^*$  algebras is not axiomatizable (no “model companion.”)*

## Proof (G.)

Suppose that  $A$  is separable and e.c. If being e.c. were axiomatizable, then  $A^\omega$  has a cofinal family of separable subalgebras with WEP (namely the elementary substructures), so itself has WEP. Thus,  $A$  is subhomogeneous, in particular finite. But Sinclair and I showed e.c.  $C^*$  algebras are purely infinite. □



# Semi-p.e.c. as an operator system

## Definition

- A quantifier-free formula is *positive* if it is built using only increasing connectives.
- We say that a  $C^*$  algebra  $A$  is *semi-p.e.c. as an operator system* if, whenever  $A \subseteq B$  is an inclusion of  $C^*$  algebras,  $\varphi(\vec{x}, \vec{y})$  is a **positive** quantifier-free formula **in the language of operator systems**, and  $\vec{a}$  is a tuple from  $A$ , we have

$$\inf\{\varphi(\vec{b}, \vec{a})^A : \vec{b} \in A_1\} = \inf\{\varphi(\vec{c}, \vec{a})^B : \vec{c} \in B_1\}.$$

## Theorem (G. and Sinclair)

*A unital  $C^*$  algebra has WEP if and only if it is semi-p.e.c. as an operator system.*

# Semi-p.e.c. as an operator system

## Definition

- A quantifier-free formula is *positive* if it is built using only increasing connectives.
- We say that a  $C^*$  algebra  $A$  is *semi-p.e.c. as an operator system* if, whenever  $A \subseteq B$  is an inclusion of  $C^*$  algebras,  $\varphi(\vec{x}, \vec{y})$  is a **positive** quantifier-free formula **in the language of operator systems**, and  $\vec{a}$  is a tuple from  $A$ , we have

$$\inf\{\varphi(\vec{b}, \vec{a})^A : \vec{b} \in A_1\} = \inf\{\varphi(\vec{c}, \vec{a})^B : \vec{c} \in B_1\}.$$

## Theorem (G. and Sinclair)

*A unital  $C^*$  algebra has WEP if and only if it is semi-p.e.c. as an operator system.*

# WEP implies semi-p.e.c.

- Suppose  $A$  has WEP and  $A \subseteq B$ , both separable.
- Let  $E_1 \subseteq E_2 \subseteq \dots$  be a filtration of  $B$  by finite-dimensional subspaces such that  $A \cap \bigcup_j E_j$  is dense in  $A$ .
- WEP gives us linear maps  $\phi_j : E_j \rightarrow E_j \cap A$  such that  $\|\phi_j\|_j \leq 1$  and  $\|\phi_j|_{E_j \cap A} - \text{id}|_{E_j \cap A}\| \leq 1/j$ .
- Get  $\phi_\omega : \bigcup_j E_j \rightarrow A^\omega$  that extends to unital, completely contractive (hence u.c.p.)  $\phi_\omega : B \rightarrow A^\omega$  that restricts to the identity on  $A$ .
- If  $\psi(\vec{x}, \vec{y})$  is a positive quantifier-free formula in the language of operator systems and  $a$  is a tuple from  $A$ , and  $b$  is a tuple from  $B$ , then

$$\psi(b, a)^B \geq \psi(\phi_\omega(b), a)^{A^\omega} \geq \inf_x \psi(x, a)^{A^\omega} = \inf_x \psi(x, a)^A.$$

# WEP implies semi-p.e.c.

- Suppose  $A$  has WEP and  $A \subseteq B$ , both separable.
- Let  $E_1 \subseteq E_2 \subseteq \dots$  be a filtration of  $B$  by finite-dimensional subspaces such that  $A \cap \bigcup_j E_j$  is dense in  $A$ .
- WEP gives us linear maps  $\phi_j : E_j \rightarrow E_j \cap A$  such that  $\|\phi_j\|_j \leq 1$  and  $\|\phi_j|_{E_j \cap A} - \text{id}|_{E_j \cap A}\| \leq 1/j$ .
- Get  $\phi_\omega : \bigcup_j E_j \rightarrow A^\omega$  that extends to unital, completely contractive (hence u.c.p.)  $\phi_\omega : B \rightarrow A^\omega$  that restricts to the identity on  $A$ .
- If  $\psi(\vec{x}, \vec{y})$  is a positive quantifier-free formula in the language of operator systems and  $a$  is a tuple from  $A$ , and  $b$  is a tuple from  $B$ , then

$$\psi(b, a)^B \geq \psi(\phi_\omega(b), a)^{A^\omega} \geq \inf_x \psi(x, a)^{A^\omega} = \inf_x \psi(x, a)^A.$$

# WEP implies semi-p.e.c.

- Suppose  $A$  has WEP and  $A \subseteq B$ , both separable.
- Let  $E_1 \subseteq E_2 \subseteq \dots$  be a filtration of  $B$  by finite-dimensional subspaces such that  $A \cap \bigcup_j E_j$  is dense in  $A$ .
- WEP gives us linear maps  $\phi_i : E_i \rightarrow E_i \cap A$  such that  $\|\phi_i\|_i \leq 1$  and  $\|\phi_i|_{E_i \cap A} - \text{id}|_{E_i \cap A}\| \leq 1/i$ .
- Get  $\phi_\omega : \bigcup_j E_j \rightarrow A^\omega$  that extends to unital, completely contractive (hence u.c.p.)  $\phi_\omega : B \rightarrow A^\omega$  that restricts to the identity on  $A$ .
- If  $\psi(\vec{x}, \vec{y})$  is a positive quantifier-free formula in the language of operator systems and  $a$  is a tuple from  $A$ , and  $b$  is a tuple from  $B$ , then

$$\psi(b, a)^B \geq \psi(\phi_\omega(b), a)^{A^\omega} \geq \inf_x \psi(x, a)^{A^\omega} = \inf_x \psi(x, a)^A.$$

# WEP implies semi-p.e.c.

- Suppose  $A$  has WEP and  $A \subseteq B$ , both separable.
- Let  $E_1 \subseteq E_2 \subseteq \dots$  be a filtration of  $B$  by finite-dimensional subspaces such that  $A \cap \bigcup_j E_j$  is dense in  $A$ .
- WEP gives us linear maps  $\phi_j : E_j \rightarrow E_j \cap A$  such that  $\|\phi_j\|_j \leq 1$  and  $\|\phi_j|_{E_j \cap A} - \text{id}|_{E_j \cap A}\| \leq 1/j$ .
- Get  $\phi_\omega : \bigcup_j E_j \rightarrow A^\omega$  that extends to unital, completely contractive (hence u.c.p.)  $\phi_\omega : B \rightarrow A^\omega$  that restricts to the identity on  $A$ .
- If  $\psi(\vec{x}, \vec{y})$  is a positive quantifier-free formula in the language of operator systems and  $a$  is a tuple from  $A$ , and  $b$  is a tuple from  $B$ , then

$$\psi(b, a)^B \geq \psi(\phi_\omega(b), a)^{A^\omega} \geq \inf_x \psi(x, a)^{A^\omega} = \inf_x \psi(x, a)^A.$$

# WEP implies semi-p.e.c.

- Suppose  $A$  has WEP and  $A \subseteq B$ , both separable.
- Let  $E_1 \subseteq E_2 \subseteq \dots$  be a filtration of  $B$  by finite-dimensional subspaces such that  $A \cap \bigcup_j E_j$  is dense in  $A$ .
- WEP gives us linear maps  $\phi_i : E_i \rightarrow E_i \cap A$  such that  $\|\phi_i\|_i \leq 1$  and  $\|\phi_i|_{E_i \cap A} - \text{id}|_{E_i \cap A}\| \leq 1/i$ .
- Get  $\phi_\omega : \bigcup_j E_j \rightarrow A^\omega$  that extends to unital, completely contractive (hence u.c.p.)  $\phi_\omega : B \rightarrow A^\omega$  that restricts to the identity on  $A$ .
- If  $\psi(\vec{x}, \vec{y})$  is a positive quantifier-free formula in the language of operator systems and  $a$  is a tuple from  $A$ , and  $b$  is a tuple from  $B$ , then

$$\psi(b, a)^B \geq \psi(\phi_\omega(b), a)^{A^\omega} \geq \inf_x \psi(x, a)^{A^\omega} = \inf_x \psi(x, a)^A.$$

# An application

## Definition

An inclusion of  $C^*$  algebras  $A \subseteq B$  has the *complete tight Riesz interpolation property* if, for any  $n$  and any finite collection  $(x_1, \dots, x_m, y_1, \dots, y_p) \in M_n(A)_{sa}$ , if there is  $z \in M_n(B)$  so that  $x_1, \dots, x_m < z < y_1, \dots, y_p$ , then there is  $z' \in M_n(A)$  satisfying the same property.

## Theorem (Kavruk)

*If  $A$  is a unital separable  $C^*$  algebra, then  $A$  has WEP if and only if there is an inclusion  $A \subseteq \mathcal{B}(H)$  with the complete tight Riesz interpolation property.*

The proof becomes fairly straightforward using the fact that WEP is the same as semi-p.e.c. as an operator system.



# An application

## Definition

An inclusion of  $C^*$  algebras  $A \subseteq B$  has the *complete tight Riesz interpolation property* if, for any  $n$  and any finite collection  $(x_1, \dots, x_m, y_1, \dots, y_p) \in M_n(A)_{sa}$ , if there is  $z \in M_n(B)$  so that  $x_1, \dots, x_m < z < y_1, \dots, y_p$ , then there is  $z' \in M_n(A)$  satisfying the same property.

## Theorem (Kavruk)

*If  $A$  is a unital separable  $C^*$  algebra, then  $A$  has WEP if and only if there is an inclusion  $A \subseteq \mathcal{B}(H)$  with the complete tight Riesz interpolation property.*

The proof becomes fairly straightforward using the fact that WEP is the same as semi-p.e.c. as an operator system.

- 1 Introduction
- 2 WEP is not axiomatizable
- 3 WEP and existential closedness
- 4 The QWEP conjecture**

# QWEP

## Definition

If  $A$  is a quotient of a  $C^*$  algebra with WEP, then we say that  $A$  has QWEP.

## Kirchberg's QWEP conjecture

Every separable  $C^*$  algebra is QWEP.

## Theorem (Kirchberg)

*The following are equivalent:*

- 1 *The QWEP conjecture.*
- 2  *$C^*(\mathbb{F})$  is QWEP.*
- 3 *The Connes Embedding Problem has a positive solution.*

# QWEP

## Definition

If  $A$  is a quotient of a  $C^*$  algebra with WEP, then we say that  $A$  has QWEP.

## Kirchberg's QWEP conjecture

Every separable  $C^*$  algebra is QWEP.

## Theorem (Kirchberg)

*The following are equivalent:*

- 1 *The QWEP conjecture.*
- 2  *$C^*(\mathbb{F})$  is QWEP.*
- 3 *The Connes Embedding Problem has a positive solution.*

# QWEP

## Definition

If  $A$  is a quotient of a  $C^*$  algebra with WEP, then we say that  $A$  has QWEP.

## Kirchberg's QWEP conjecture

Every separable  $C^*$  algebra is QWEP.

## Theorem (Kirchberg)

*The following are equivalent:*

- 1 *The QWEP conjecture.*
- 2  *$C^*(\mathbb{F})$  is QWEP.*
- 3 *The Connes Embedding Problem has a positive solution.*

# QWEP is axiomatizable

## Proposition (G.)

QWEP is axiomatizable. Consequently, the QWEP conjecture is equivalent to the existence of a QWEP  $C^*$  algebra  $A$  such that  $A \equiv C^*(\mathbb{F})$

## Proof.

- Closure under isomorphism ✓
- Closure under ultraproduct: Kirchberg showed that QWEP is closed under direct product
- Closure under ultraroot: one can check that ultraroots are relatively weakly injective in the ultrapower; Kirchberg showed that QWEP is preserved under r.w.i. subalgebras □

# QWEP is axiomatizable

## Proposition (G.)

QWEP is axiomatizable. Consequently, the QWEP conjecture is equivalent to the existence of a QWEP  $C^*$  algebra  $A$  such that  $A \equiv C^*(\mathbb{F})$

## Proof.

- Closure under isomorphism ✓
- Closure under ultraproduct: Kirchberg showed that QWEP is closed under direct product
- Closure under ultraroot: one can check that ultraroots are relatively weakly injective in the ultrapower; Kirchberg showed that QWEP is preserved under r.w.i. subalgebras □

# LLP and QWEP conjecture

## Definition

A separable  $C^*$ -algebra  $A$  has the *local lifting property* (LLP) if whenever  $\phi : A \rightarrow C/J$  is a u.c.p. map and  $E \subseteq A$  is a finite-dimensional operator system, then  $\phi|_E$  has a u.c.p. lift.

- Nuclear  $C^*$  algebras have LLP (Choi-Effros).
- $C^*(\mathbb{F})$  has LLP. (Kirchberg)
- QWEP+LLP implies WEP. (Kirchberg)
- It follows that the QWEP conjecture is equivalent to the statement that LLP implies WEP.
- **Weak QWEP conjecture** There is a non-nuclear  $C^*$  algebra with both WEP and LLP.



# LLP and QWEP conjecture

## Definition

A separable  $C^*$ -algebra  $A$  has the *local lifting property* (LLP) if whenever  $\phi : A \rightarrow C/J$  is a u.c.p. map and  $E \subseteq A$  is a finite-dimensional operator system, then  $\phi|_E$  has a u.c.p. lift.

- Nuclear  $C^*$  algebras have LLP (Choi-Effros).
- $C^*(\mathbb{F})$  has LLP. (Kirchberg)
- QWEP+LLP implies WEP. (Kirchberg)
- It follows that the QWEP conjecture is equivalent to the statement that LLP implies WEP.
- **Weak QWEP conjecture** There is a non-nuclear  $C^*$  algebra with both WEP and LLP.

# LLP and QWEP conjecture

## Definition

A separable  $C^*$ -algebra  $A$  has the *local lifting property* (LLP) if whenever  $\phi : A \rightarrow C/J$  is a u.c.p. map and  $E \subseteq A$  is a finite-dimensional operator system, then  $\phi|_E$  has a u.c.p. lift.

- Nuclear  $C^*$  algebras have LLP (Choi-Effros).
- $C^*(\mathbb{F})$  has LLP. (Kirchberg)
- QWEP+LLP implies WEP. (Kirchberg)
- It follows that the QWEP conjecture is equivalent to the statement that LLP implies WEP.
- **Weak QWEP conjecture** There is a non-nuclear  $C^*$  algebra with both WEP and LLP.

# LLP and QWEP conjecture

## Definition

A separable  $C^*$ -algebra  $A$  has the *local lifting property* (LLP) if whenever  $\phi : A \rightarrow C/J$  is a u.c.p. map and  $E \subseteq A$  is a finite-dimensional operator system, then  $\phi|_E$  has a u.c.p. lift.

- Nuclear  $C^*$  algebras have LLP (Choi-Effros).
- $C^*(\mathbb{F})$  has LLP. (Kirchberg)
- QWEP+LLP implies WEP. (Kirchberg)
- It follows that the QWEP conjecture is equivalent to the statement that LLP implies WEP.
- **Weak QWEP conjecture** There is a non-nuclear  $C^*$  algebra with both WEP and LLP.

# LLP and QWEP conjecture

## Definition

A separable  $C^*$ -algebra  $A$  has the *local lifting property* (LLP) if whenever  $\phi : A \rightarrow C/J$  is a u.c.p. map and  $E \subseteq A$  is a finite-dimensional operator system, then  $\phi|_E$  has a u.c.p. lift.

- Nuclear  $C^*$  algebras have LLP (Choi-Effros).
- $C^*(\mathbb{F})$  has LLP. (Kirchberg)
- QWEP+LLP implies WEP. (Kirchberg)
- It follows that the QWEP conjecture is equivalent to the statement that LLP implies WEP.
- **Weak QWEP conjecture** There is a non-nuclear  $C^*$  algebra with both WEP and LLP.

# LLP and QWEP conjecture

## Definition

A separable  $C^*$ -algebra  $A$  has the *local lifting property* (LLP) if whenever  $\phi : A \rightarrow C/J$  is a u.c.p. map and  $E \subseteq A$  is a finite-dimensional operator system, then  $\phi|_E$  has a u.c.p. lift.

- Nuclear  $C^*$  algebras have LLP (Choi-Effros).
- $C^*(\mathbb{F})$  has LLP. (Kirchberg)
- QWEP+LLP implies WEP. (Kirchberg)
- It follows that the QWEP conjecture is equivalent to the statement that LLP implies WEP.
- **Weak QWEP conjecture** There is a non-nuclear  $C^*$  algebra with both WEP and LLP.

# LLP models

## Observation (G.)

Suppose that there is an LLP  $C^*$  algebra  $B$  such that  $B \cong C_r^*(\mathbb{F})$  or  $\prod_{\omega} M_n$ . Then  $B$  witnesses the truth of the weak QWEP conjecture.

## Proof.

Both  $C_r^*(\mathbb{F})$  and  $\prod_{\omega} M_n$  are QWEP, whence so is  $B$ . Since  $B$  has LLP, it follows that  $B$  has WEP.  $B$  is not nuclear by a result of Farah, Hart, et. al. □

# LLP models

## Observation (G.)

Suppose that there is an LLP  $C^*$  algebra  $B$  such that  $B \equiv C_r^*(\mathbb{F})$  or  $\prod_{\omega} M_n$ . Then  $B$  witnesses the truth of the weak QWEP conjecture.

## Proof.

Both  $C_r^*(\mathbb{F})$  and  $\prod_{\omega} M_n$  are QWEP, whence so is  $B$ . Since  $B$  has LLP, it follows that  $B$  has WEP.  $B$  is not nuclear by a result of Farah, Hart, et al. □

# Locally universal $C^*$ algebras

- Call a separable  $C^*$  algebra  $S$  *locally universal* if every separable  $C^*$  algebra embeds into some (any) ultrapower of  $S$ .
- Locally universal  $C^*$  algebras exist.
- **Kirchberg's Embedding Problem** (KEP) Does there exist a nuclear locally universal  $C^*$  algebra?
- **LLPEP** Does there exist an LLP locally universal  $C^*$  algebra?
- Clearly KEP implies LLPEP.
- Sinclair and I proved that KEP is equivalent to the existence of a nuclear e.c.  $C^*$  algebra (which is necessarily  $\mathcal{O}_2$ ).



# Locally universal $C^*$ algebras

- Call a separable  $C^*$  algebra  $S$  *locally universal* if every separable  $C^*$  algebra embeds into some (any) ultrapower of  $S$ .
- Locally universal  $C^*$  algebras exist.
- **Kirchberg's Embedding Problem** (KEP) Does there exist a nuclear locally universal  $C^*$  algebra?
- **LLPEP** Does there exist an LLP locally universal  $C^*$  algebra?
- Clearly KEP implies LLPEP.
- Sinclair and I proved that KEP is equivalent to the existence of a nuclear e.c.  $C^*$  algebra (which is necessarily  $\mathcal{O}_2$ ).

# Locally universal $C^*$ algebras

- Call a separable  $C^*$  algebra  $S$  *locally universal* if every separable  $C^*$  algebra embeds into some (any) ultrapower of  $S$ .
- Locally universal  $C^*$  algebras exist.
- **Kirchberg's Embedding Problem** (KEP) Does there exist a nuclear locally universal  $C^*$  algebra?
- **LLPEP** Does there exist an LLP locally universal  $C^*$  algebra?
- Clearly KEP implies LLPEP.
- Sinclair and I proved that KEP is equivalent to the existence of a nuclear e.c.  $C^*$  algebra (which is necessarily  $\mathcal{O}_2$ ).

# Locally universal $C^*$ algebras

- Call a separable  $C^*$  algebra  $S$  *locally universal* if every separable  $C^*$  algebra embeds into some (any) ultrapower of  $S$ .
- Locally universal  $C^*$  algebras exist.
- **Kirchberg's Embedding Problem** (KEP) Does there exist a nuclear locally universal  $C^*$  algebra?
- **LLPEP** Does there exist an LLP locally universal  $C^*$  algebra?
- Clearly KEP implies LLPEP.
- Sinclair and I proved that KEP is equivalent to the existence of a nuclear e.c.  $C^*$  algebra (which is necessarily  $\mathcal{O}_2$ ).

# Locally universal $C^*$ algebras

- Call a separable  $C^*$  algebra  $S$  *locally universal* if every separable  $C^*$  algebra embeds into some (any) ultrapower of  $S$ .
- Locally universal  $C^*$  algebras exist.
- **Kirchberg's Embedding Problem** (KEP) Does there exist a nuclear locally universal  $C^*$  algebra?
- **LLPEP** Does there exist an LLP locally universal  $C^*$  algebra?
- Clearly KEP implies LLPEP.
- Sinclair and I proved that KEP is equivalent to the existence of a nuclear e.c.  $C^*$  algebra (which is necessarily  $\mathcal{O}_2$ ).

# Locally universal $C^*$ algebras

- Call a separable  $C^*$  algebra  $S$  *locally universal* if every separable  $C^*$  algebra embeds into some (any) ultrapower of  $S$ .
- Locally universal  $C^*$  algebras exist.
- **Kirchberg's Embedding Problem** (KEP) Does there exist a nuclear locally universal  $C^*$  algebra?
- **LLPEP** Does there exist an LLP locally universal  $C^*$  algebra?
- Clearly KEP implies LLPEP.
- Sinclair and I proved that KEP is equivalent to the existence of a nuclear e.c.  $C^*$  algebra (which is necessarily  $\mathcal{O}_2$ ).

# Wrapping up

- **Suppose** that LLP is an omitting types property. (Sinclair and I came close to proving this.)
- Suppose that LLPEP is true. Then we can use the technique of *model-theoretic forcing* to build an e.c. LLP  $C^*$  algebra  $A$ .
- If  $A$  is nuclear, then KEP holds. Otherwise,  $A$  is a witness to the weak QWEP conjecture.

## Theorem

*Suppose that LLP is omitting types. Then either KEP and LLPEP are equivalent or else the weak QWEP conjecture holds.*

# Wrapping up

- **Suppose** that LLP is an omitting types property. (Sinclair and I came close to proving this.)
- Suppose that LLPEP is true. Then we can use the technique of *model-theoretic forcing* to build an e.c. LLP  $C^*$  algebra  $A$ .
- If  $A$  is nuclear, then KEP holds. Otherwise,  $A$  is a witness to the weak QWEP conjecture.

## Theorem

*Suppose that LLP is omitting types. Then either KEP and LLPEP are equivalent or else the weak QWEP conjecture holds.*

# Wrapping up

- **Suppose** that LLP is an omitting types property. (Sinclair and I came close to proving this.)
- Suppose that LLPEP is true. Then we can use the technique of *model-theoretic forcing* to build an e.c. LLP  $C^*$  algebra  $A$ .
- If  $A$  is nuclear, then KEP holds. Otherwise,  $A$  is a witness to the weak QWEP conjecture.

## Theorem

*Suppose that LLP is omitting types. Then either KEP and LLPEP are equivalent or else the weak QWEP conjecture holds.*



# Wrapping up

- **Suppose** that LLP is an omitting types property. (Sinclair and I came close to proving this.)
- Suppose that LLPEP is true. Then we can use the technique of *model-theoretic forcing* to build an e.c. LLP  $C^*$  algebra  $A$ .
- If  $A$  is nuclear, then KEP holds. Otherwise,  $A$  is a witness to the weak QWEP conjecture.

## Theorem

*Suppose that LLP is omitting types. Then either KEP and LLPEP are equivalent or else the weak QWEP conjecture holds.*

# References

- C. Eagle, I. Farah, E. Kirchberg, A. Vignati, *Quantifier elimination in  $C^*$  algebras*, preprint.
- I. Goldbring and T. Sinclair, *On Kirchberg's Embedding Problem*, *Journal of Functional Analysis*, **269** (2015), 155-198.
- I. Goldbring and T. Sinclair, *Omitting types in operator systems*, to appear in the *Indiana University Mathematics Journal*. arXiv 1501.06395.