# BOUNDARY AMENABILITY OF GROUPS VIA ULTRAPOWERS

#### STEPHEN AVSEC AND ISAAC GOLDBRING

ABSTRACT. We use C\*-algebra ultrapowers to give a new construction of the Stone-Cech compactification of a separable, locally compact space. We use this construction to give a new proof of the fact that groups that act isometrically, properly, and transitively on trees are boundary amenable.

#### 1. Introduction

Suppose that the discrete group  $\Gamma$  acts continuously on a compact space X. We say that the action of  $\Gamma$  on X is *amenable* if there is a net of continuous functions  $x \mapsto \mu_n^x : X \to P(\Gamma)$  such that, for all  $\gamma \in \Gamma$ , we have

$$\sup_{x \in X} \|\gamma \cdot \mu_n^x - \mu_n^{\gamma \cdot x}\|_1 \to 0.$$

We say that  $\Gamma$  is boundary amenable if  $\Gamma$  acts amenably on some compact space. Note that amenable groups are precisely the groups that act amenably on a one-point space, whence they are boundary amenable. A prototypical example of a boundary amenable group that is not amenable is any non-abelian finitely generated free group. Boundary amenable groups are sometimes referred to as exact groups for the reduced group C\*-algebra  $C_r^*(\Gamma)$  is exact (meaning that the functor  $\otimes_{\min} C_r^*(\Gamma)$  is exact) if and only if  $\Gamma$  is boundary amenable; see [7]. The study of exactness of group C\*-algebras originated in [6].

In this note, we show how one can construct the Stone-Cech compactification of a separable, locally compact space using C\*-algebra ultrapowers. When applied to the case of a tree, this construction gives a very natural proof of the fact that a group that acts isometrically, properly, and transitively on a tree is boundary amenable. It was our initial hope that this construction could be used to settle the boundary amenability of groups where the answer was unknown (most notably *Thompson's group*) but we have thus far been unsuccessful (although remain optimistic). The naïve idea behind our optimism is that groups such as Thompson's group "almost" act isometrically on a tree and it is often the case that ultrapower constructions can turn almost phenomena into exact ones.

In Section 2, we explain the needed background on groups acting on C\*-algebras as well as ultrapowers of C\*-algebras. In Section 3, we explain

our main construction in the general setting of separable, locally compact spaces. Finally, in Section 4 we use our construction to prove the boundary amenability of groups acting isometrically, properly, and transitively on trees. This is a well-known result; it was established in [3] that free group C\*-algebras have the completely bounded approximation property (CBAP). Later in [4] it was shown that the CBAP implies exactness of C\*-algebras.

# 2. Preliminaries

2.1. Boundary amenability of groups acting on C\*-algebras. We will verify that certain groups act amenably on a compact space by checking that the group acts amenably on a unital abelian C\*-algebra as we now explain. Suppose that B is a unital C\*-algebra and that  $\Gamma$  acts on B. We consider the space  $C_c(\Gamma, B)$  of finitely supported functions  $\Gamma \to B$ .  $C_c(\Gamma, B)$  is naturally a \*-algebra with respect to the convolution product

$$(f * g)(\gamma) = \sum_{\gamma_1 \cdot \gamma_2 = \gamma} f(\gamma_1)(\gamma_1 \cdot g(\gamma_2))$$

and involution

$$f^*(\gamma) = \gamma \cdot f(\gamma^{-1})^*.$$

We also view  $C_c(\Gamma, B)$  as a pre-Hilbert B-module with B-valued inner product  $\langle f, g \rangle_B = \sum_{\gamma \in \Gamma} f(\gamma)^* g(\gamma)$  and corresponding norm  $||f||_B := ||\langle f, f \rangle_B||^{-1/2}$ . Recall also that an action of  $\Gamma$  on a compact space X induces an action of  $\Gamma$  on C(X) by  $(\gamma \cdot f)(x) := f(\gamma^{-1}x)$ .

Our approach to showing that groups are boundary amenable is via the following reformulation of amenable actions (see [5, Proposition 2.2]) and is originally due to [2].

- **Fact 2.1.** The action  $G \cap X$  is amenable if and only if there exists a net  $T_i \in C_c(G, C(X))$  such that, for each  $\gamma \in \Gamma$  and each i, we have:
  - (1)  $T_i(\gamma) \geq 0$ ;

  - (2)  $\langle T_i, T_i \rangle_{C(X)} = 1;$ (3)  $||T_i \delta_\gamma * T_i||_{C(X)} \to 0.$

In our proofs below, we will have an action of a group  $\Gamma$  on a unital, abelian C\*-algebra B and we will prove that there exist  $T_i \in C_c(\Gamma, B)$  satisfying the clauses (1)-(3) in the aforementioned fact. By Gelfand theory, B is isomorphic to C(X) for some compact space X. It remains to observe that Gelfand theory respects the group action, meaning that we obtain an induced action of  $\Gamma$  on X such that the corresponding action of  $\Gamma$  on C(X) "is" the corresponding action of  $\Gamma$  on B. Thus, our criterion for boundary amenability of a group is the following:

- **Fact 2.2.** A group  $\Gamma$  is boundary amenable if and only if there is a unital, abelian C\*-algebra B and a net  $T_i \in C_c(G, B)$  such that, for each  $\gamma \in \Gamma$  and each i, we have:
  - (1)  $T_i(\gamma) > 0$ :

- (2)  $\langle T_i, T_i \rangle_B = 1$ ;
- $(3) ||T_i \delta_{\gamma} * T_i||_B \to 0.$

2.2. Ultrapowers of  $C^*$  algebras. Recall that a nonprincipal ultrafilter  $\mathcal{U}$  on  $\mathbb{N}$  is a  $\{0,1\}$ -valued measure on all subsets of  $\mathbb{N}$  such that finite sets get measure 0. We usually identify a nonprincipal ultrafilter with its collection of measure 1 sets, whence we write  $A \in \mathcal{U}$  to indicate that A has measure 1. If P(n) is a property of natural numbers, we say that P(n) holds  $\mathcal{U}$ -almost everywhere or that  $\mathcal{U}$ -almost all n satisfy P if the set of n for which P(n) holds belongs to  $\mathcal{U}$ . If  $(r_n)$  is a bounded sequence of real numbers, then the ultralimit of  $(r_n)$  with respect to  $\mathcal{U}$ , denoted  $\lim_{n,\mathcal{U}} r_n$  or even  $\lim_{\mathcal{U}} r_n$ , is the unique real number r such that, for every  $\epsilon > 0$ , we have  $|r_n - r| < \epsilon$   $\mathcal{U}$ -almost everywhere.

Suppose that A is a unital  $C^*$ -algebra and  $\mathcal{U}$  is a nonprincial ultrafilter on  $\mathbb{N}$ . We can define a seminorm  $\|\cdot\|_{\mathcal{U}}$  on  $\ell^{\infty}(A)$  by setting  $\|(f_n)\|_{\mathcal{U}} := \lim_{\mathcal{U}} \|f_n\|$ . We set  $A^{\mathcal{U}}$  to be the quotient of  $\ell^{\infty}(A)$  by those elements of  $\|\cdot\|_{\mathcal{U}}$ -norm 0; we refer to  $A^{\mathcal{U}}$  as the ultrapower of A with respect to  $\mathcal{U}$ . It is well known that  $A^{\mathcal{U}}$  is once again a unital  $C^*$ -algebra. For  $(f_n) \in \ell^{\infty}(A)$ , we let  $(f_n)^{\bullet}$  denote its image in  $A^{\mathcal{U}}$ . The canonical diagonal embedding  $\Delta : A \to A^{\mathcal{U}}$  is given by  $\Delta(a) = (a)^{\bullet}$ .

## 3. The main construction

In this section, we consider a second countable, locally compact space X with fixed basepoint  $o \in X$ . It is well-known that X admits a compatible proper metric d (see [8, Theorem 2]), and we fix such a metric in the rest of this section. For  $r \in \mathbb{R}^{>0}$ , we set B(r) to be the closed ball of radius r around o.

We set  $A = C_o(X)$ , the space of complex-valued continuous functions on X that vanish at infinity. For  $(f_n) \in \ell^{\infty}(A)$ , we say that  $(f_n)$  is  $\mathcal{U}$ -equicontinuous on bounded sets if, for every  $r, \epsilon > 0$ , there is  $\delta > 0$  such that, for  $\mathcal{U}$ -almost all n, we have for all  $s, t \in B(r)$  with  $d(s,t) < \delta$ , that  $|f_n(s) - f_n(t)| \leq \epsilon$ .

Given any  $(f_n) \in \ell^{\infty}(A)$ , set  $f_{\mathcal{U}}: X \to \mathbb{C}$  by  $f_{\mathcal{U}}(t) := \lim_{\mathcal{U}} f_n(t)$ . Note that  $f_{\mathcal{U}}$  is a bounded function. The following lemma is quite routine and left to the reader.

**Lemma 3.1.** If  $(f_n)$  is  $\mathcal{U}$ -equicontinuous on bounded sets, then  $f_{\mathcal{U}}$  is uniformly continuous on bounded sets.

**Lemma 3.2.** Suppose that  $(f_n)^{\bullet} = (g_n)^{\bullet}$  and  $(f_n)$  is  $\mathcal{U}$ -equicontinuous on bounded sets. Then so is  $(g_n)$ .

*Proof.* Fix  $r, \epsilon > 0$ . Take  $\delta > 0$  that witnesses  $\mathcal{U}$ -equicontinuity of  $(f_n)$  on B(r) for  $\epsilon/3$ . Then for  $\mathcal{U}$ -almost all n, we have, for  $s, t \in B(r)$  with  $d(s,t) < \delta$ , that

$$|g_n(s) - g_n(t)| \le 2||g_n - f_n|| + |f_n(s) - f_n(t)| \le \frac{2\epsilon}{3} + \frac{\epsilon}{3} = \epsilon.$$

The previous lemma allows us to consider the *continuous part of*  $A^{\mathcal{U}}$ 

$$A^{c\mathcal{U}} := \{(f_n)^{\bullet} \in A^{\mathcal{U}} : (f_n) \text{ is } \mathcal{U}\text{-equicontinuous on bounded sets}\}.$$

## Lemma 3.3.

- (1)  $A^{c\mathcal{U}}$  is a C\*-subalgebra of  $A^{\mathcal{U}}$ .
- (2)  $\Delta(A) \subseteq A^{c\mathcal{U}}$ .

Proof. For (1), it is clear that  $A^{c\mathcal{U}}$  is a \*-subalgebra of  $A^{\mathcal{U}}$ . We must show that  $A^{c\mathcal{U}}$  is closed in  $A^{\mathcal{U}}$ . Towards this end, suppose that  $(f_n^m)^{\bullet}$  is a sequence in  $A^{c\mathcal{U}}$  such that  $(f_n^m)^{\bullet} \to (h_n)^{\bullet}$  as  $n \to \infty$ . We need to show that  $(h_n)^{\bullet} \in A^{c\mathcal{U}}$ . Fix  $r, \epsilon > 0$ . Let  $\delta > 0$  be so that, if  $s, t \in B(r)$  and  $d(s, t) < \delta$ , then for  $\mathcal{U}$ -almost all n, we have  $|f_n(s) - f_n(t)| < \frac{\epsilon}{3}$ . Choose  $m \in \mathbb{N}$  such that  $||(f_n^m)^{\bullet} - (h_n)^{\bullet}|| < \epsilon/3$ . Suppose that  $s, t \in B(r)$  are such that  $d(s, t) < \delta$ . Then we have that, for  $\mathcal{U}$ -almost all n, that

$$|h_n(s) - h_n(t)| \le 2||f_n^m - h_n|| + |f_n^m(s) - f_n^m(t)| \le \frac{2\epsilon}{3} + \frac{\epsilon}{3} = \epsilon.$$

(2) follows from the fact that balls B(r) in X are compact, whence elements of A are uniformly continuous on such balls.

We now consider

$$I := \{ (f_n)^{\bullet} \in A^{\mathcal{U}} : (\exists r_n \in \mathbb{R}) (\lim_{\mathcal{U}} r_n = +\infty \text{ and } f_n | B(o, r_n) \equiv 0) \}.$$

It is clear from the definition that  $I \subseteq A^{cU}$ .

In the rest of this section, we fix continuous functions  $\chi_n: X \to \mathbb{R}$  such that:

- (1)  $0 \le \chi_n \le 1$ ;
- (2)  $\chi_n(t) = 0$  for  $t \in B(n)$ ;
- (3)  $\chi_n(t) = 1$  when  $d(t, o) \ge n + 1$ .

## Proposition 3.4.

- (1) I is a closed ideal in  $A^{\mathcal{U}}$ .
- (2)  $A^{c\mathcal{U}}/I$  is unital.
- (3)  $q \circ \Delta : A \to A^{cU}/I$  is injective, where  $q : A^{cU} \to A^{cU}/I$  is the canonical quotient map.
- (4)  $(q \circ \Delta)(A)$  is an essential ideal in  $A^{cU}/I$ .

*Proof.* For (1), suppose  $(f_n)^{\bullet}$ ,  $(g_n)^{\bullet} \in I$ ,  $(h_n) \in A^{\mathcal{U}}$ , and  $\lambda \in \mathbb{C}$ . Suppose that  $f_n|B(r_n), g_n|B(s_n) \equiv 0$ , where  $\lim_{\mathcal{U}} r_n = \lim_{\mathcal{U}} s_n = 0$ . Then

$$\lambda f_n | B(r_n), (f_n + g_n) | B(\min(r_n, s_n)), (f_n \cdot h_n) | B(r_n) \equiv 0;$$

since  $\lim_{\mathcal{U}} \min(r_n, s_n) = \infty$ , we have  $\lambda f_n, f_n + g_n, f_n \cdot h_n \in I$  and I is an ideal.

We now prove that I is closed. Suppose that  $((f_n^m)^{\bullet}: m \in \mathbb{N})$  is a sequence from I such that  $\lim_m (f_n^m)^{\bullet} = (g_n)^{\bullet}$ ; we must show that  $(g_n)^{\bullet} \in I$ . Suppose that  $f_n^m | B(r_n^m) \equiv 0$  with  $\lim_{n,\mathcal{U}} r_n^m = \infty$  for each m. Fix  $k \in \mathbb{N}$ 

and take  $m \in \mathbb{N}$  such that  $\|(f_n^m)^{\bullet} - (g_n)^{\bullet}\| < \frac{1}{k}$ . For  $\mathcal{U}$ -almost all n we have  $\|f_n^m - g_n\| < \frac{1}{k}$  and  $r_n^m \geq k$ . Thus, if we set

$$X_k := \{ n \in \mathbb{N} : n \ge k \text{ and } |g_n(t)| < \frac{1}{k} \text{ for } t \in B(k) \},$$

we have that  $X_k \in \mathcal{U}$ . For  $n \in \mathbb{N}$ , set  $l(n) := \max\{k \in \mathbb{N} : n \in X_k\}$ . Note that  $n \in X_k$  implies that  $l(n) \geq k$ , whence  $\lim_{n,\mathcal{U}} l(n) = \infty$ . Define  $h_n := g_n \cdot \chi_{l(n)-1}$ . Note that  $(h_n)^{\bullet} \in I$ ; it remains to show that  $(g_n)^{\bullet} = (h_n)^{\bullet}$ . For  $n \in \mathbb{N}$ , we have  $||g_n - h_n|| \leq \sup_{t \in B(l(n))} |g_n(t)| \leq \frac{1}{l(n)}$ , whence

$$\|(g_n)^{\bullet} - (h_n)^{\bullet}\| = \lim_{\mathcal{U}} \|g_n - h_n\| \le \lim_{\mathcal{U}} \frac{1}{l(n)} = 0.$$

For (2), consider any sequence  $(g_n) \in \ell^{\infty}(A)$  such that  $g_n \equiv 1$  on B(n). (For example, take  $g_n := 1 - \chi_n$ .) We claim that  $q(g_n)^{\bullet}$  is an identity for the larger algebra  $A^{\mathcal{U}}/I$ . Indeed, consider arbitrary  $q(f_n)^{\bullet} \in A^{\mathcal{U}}/I$ . Then  $f_n g_n - f_n$  vanishes on B(n), whence  $(f_n g_n - f_n)^{\bullet} \in I$  and  $q(f_n g_n)^{\bullet} = q(f_n)^{\bullet}$ . For (3), suppose that  $(q \circ \Delta)(f) = 0$ . Then there is  $(g_n)^{\bullet} \in I$  such that  $\Delta(f) = (g_n)^{\bullet}$ . Suppose that  $g_n | B(r_n) \equiv 0$  with  $\lim_{\mathcal{U}} r_n = \infty$ . Fix  $t \in X$  and  $\epsilon > 0$ . Then for  $\mathcal{U}$ -almost all n, we have  $||f - g_n|| < \epsilon$  and  $t \in B(r_n)$ , whence  $|f(t)| < \epsilon$ . Since t and  $\epsilon$  were arbitrary, we have that  $f \equiv 0$ .

We now prove (4). We first show that  $(q \circ \Delta)(A)$  is an ideal in  $A^{c\mathcal{U}}/I$ . Towards this end, fix  $f \in A$  and  $q((g_n)^{\bullet}) \in A^{c\mathcal{U}}/I$ ; we must show that  $q((fg_n)^{\bullet}) \in q(\Delta(A))$ . In fact, we will show that  $q((fg_n)^{\bullet}) = q(\Delta(fg_{\mathcal{U}}))$ . Recall that

$$||q((fg_n)^{\bullet}) - q(\Delta(fg_{\mathcal{U}}))|| = \inf\{\lim_{\mathcal{U}} ||fg_n - fg_{\mathcal{U}} - h_n|| : (h_n)^{\bullet} \in I\}.$$

Set  $M:=\sup_n\|g_n\|$ . Fix  $\epsilon>0$ . Fix  $m\in\mathbb{N}$  such that  $|f(t)|<\frac{\epsilon}{2M}$  when  $t\in B(m)^c$ . Let  $\delta>0$  witness the  $\mathcal{U}$ -equicontinuity of  $(g_n)$  on B(m) with respect to  $\frac{\epsilon}{3\|f\|}$  and fix a finite  $\delta$ -net  $\{t_1,\ldots,t_k\}$  for B(m). Fix  $U\in\mathcal{U}$  such that  $\{k\in\mathbb{N}:k\geq m\}\subseteq U$  and  $|g_n(t_i)-g_{\mathcal{U}}(t_i)|<\frac{\epsilon}{3\|f\|}$  for  $i=1,\ldots,k$  and  $n\in U$ . For  $n\in U$ , define  $h_n\in A$  by  $h_n:=(fg_n-fg_{\mathcal{U}})\chi_n$ . (Define  $h_n\in A$  for  $n\notin U$  in an arbitrary fashion). It suffices to show that  $\lim_{\mathcal{U}}\|fg_n-fg_{\mathcal{U}}-h_n\|\leq \epsilon$ . Suppose  $n\in U$ . First consider  $t\in B(m)$ . Then  $|fg_n(t)-fg_{\mathcal{U}}(t)-h_n(t)|=|fg_n(t)-fg_{\mathcal{U}}(t)|$ . Take i such that  $d(t,t_i)<\delta$ . Then, for  $\mathcal{U}$ -almost all n, we have

$$|g_n(t) - g_{\mathcal{U}}(t)| \le |g_n(t) - g_n(t_i)| + |g_n(t_i) - g_{\mathcal{U}}(t_i)| + |g_{\mathcal{U}}(t_i) - g_{\mathcal{U}}(t)| \le \frac{\epsilon}{\|f\|},$$

whence  $|fg_n(t) - fg_{\mathcal{U}}(t)| \leq \epsilon$ . Now suppose that  $t \in B(m)^c \cap B(n+1)$ . Then  $|fg_n(t) - fg_{\mathcal{U}}(t) - h_n(t)| \leq |fg_n(t) - fg_{\mathcal{U}}(t)| < \epsilon$  by choice of m. If  $t \in B(n+1)^c$ , then  $fg_n(t) - fg_{\mathcal{U}}(t) - h_n(t) = 0$ . It follows that  $\lim_{\mathcal{U}} ||fg_n - fg_{\mathcal{U}} - h_n|| \leq \epsilon$ , finishing the proof that  $(q \circ \Delta)(A)$  is an ideal in  $A^{c\mathcal{U}}$ .

We next show that  $(q \circ \Delta)(A)$  is an essential ideal in  $A^{c\mathcal{U}}/I$ . Suppose that  $q(f_n)^{\bullet} \in A^{c\mathcal{U}}/I$  is such that  $q(f_n)^{\bullet} \cdot q(a)^{\bullet} = 0$  for all  $a \in A$ ; we must show that  $q(f_n)^{\bullet} = 0$ .

Fix  $t \in X$ . Fix  $a \in A$  such that a(t) = 1. Then there is  $(g_n)^{\bullet} \in I$  such that  $\lim_{\mathcal{U}} ||f_n a - g_n|| = 0$ . For  $\mathcal{U}$ -most n, we have  $t \in B(r_n)$ , where  $g_n$  vanishes on  $B(r_n)$ . It thus follows that

$$\lim_{\mathcal{U}} |f_n(t)| \le \lim_{\mathcal{U}} ||f_n a - g_n|| = 0.$$

Set

$$U_k := \{ n \in \mathbb{N} : n \ge k \text{ and } |f_n(t)| \le \frac{1}{k} \text{ for } t \in B(k) \}.$$

We claim that  $U_k \in \mathcal{U}$ . Fix  $\delta > 0$  that witnesses  $\mathcal{U}$ -equicontinuity of  $(f_n)$  on B(k) with respect to  $\frac{1}{2k}$ . Fix a finite  $\delta$ -net F for B(k). Then for  $\mathcal{U}$ -most n,  $|f_n(t)| \leq \frac{1}{2k}$  for  $t \in F$ . Thus, given any  $s \in B(k)$  and taking  $t \in F$  such that  $d(s,t) < \delta$ , we have that  $|f_n(s)| \leq |f_n(s) - f_n(t)| + |f_n(t)| \leq \frac{1}{k}$  for  $\mathcal{U}$ -most n. For  $n \in \mathbb{N}$ , set  $l(n) := \max\{k \in \mathbb{N} : n \in U_k\}$ . For  $n \in U_k$ , we have  $l(n) \geq k$ , whence  $\lim_{\mathcal{U}} l(n) = \infty$ . Define  $h_n \in A$  by  $h_n = f_n \cdot \chi_{l(n)-1}$ . As above, we have that  $(h_n)^{\bullet} \in I$  and  $||f_n - g_n|| \leq \frac{1}{l(n)}$  whence  $\lim_{\mathcal{U}} ||f_n - g_n|| \leq \lim_{\mathcal{U}} \frac{1}{l(n)} = 0$ .

Since  $q(\Delta(A))$  is an essential ideal in the unital C\*-algebra  $A^{c\mathcal{U}}/I$ , we see that  $\Sigma(A^{c\mathcal{U}}/I)$  is a compactification of X, where  $\Sigma(A^{c\mathcal{U}}/I)$  denotes the Gelfand spectrum of  $A^{c\mathcal{U}}/I$ . It turns out that this compactification is indeed the Stone-Cech compactification of X. Recall that  $C_b(X)$  denotes the unital C\*-algebra of bounded, continuous, complex-valued functions on X and is natrually isomorphic to  $C(\beta X)$ , where  $\beta X$  denotes the Stone-Cech compactification of X.

**Proposition 3.5.** There is an isomorphism  $\Phi: A^{cU}/I \to C_b(X)$  such that  $\Phi(q(\Delta(a))) = a$  for all  $a \in A$ .

Proof. Define  $\Phi: A^{c\mathcal{U}} \to C_b(X)$  by  $\Phi((f_n)^{\bullet}) := f_{\mathcal{U}}$ . It is clear that  $\Phi$  is a \*-morphism. We next observe that  $\Phi$  is onto. Indeed, given  $f \in C_b(X)$  and n > 0, define  $f_n \in C_0(T)$  by  $f_n = (1 - \chi_n)f$ . Since f is bounded, we have that  $(f_n) \in \ell^{\infty}(A)$ . Since X is proper, f is uniformly continuous on bounded sets, whence  $(f_n)$  is  $\mathcal{U}$ -equicontinuous on bounded sets, that is,  $(f_n)^{\bullet} \in A^{c\mathcal{U}}$ . It is clear that  $\Phi((f_n)^{\bullet}) = f$ .

Now suppose that  $(f_n)^{\bullet} \in I$ . Then by the definition of I, we have that  $\Phi((f_n)^{\bullet}) = 0$ , so  $\Phi$  induces a surjection  $\Phi : A^{c\mathcal{U}}/I \to C_b(X)$ . Suppose now that  $\Phi((f_n)^{\bullet}) = 0$ . For each n > 0, define a function  $g_n \in A$  by  $g_n = f_n \chi_{n-1}$ . It is clear that  $(g_n)^{\bullet} \in I$ . Since  $\lim_{\mathcal{U}} f_n(t) = 0$  for all  $t \in X$  and  $||f_n - g_n|| \le \max_{t \in B(o,n)} |f_n(t)|$ , it follows that  $(f_n)^{\bullet} = (g_n)^{\bullet}$ , whence  $(f_n)^{\bullet} \in I$ , thus proving that  $\Phi : A^{\mathcal{U}}/I \to C_b(X)$  is an isomorphism.

Finally, it is clear from the definition of  $\Phi$  that  $\Phi(q(\Delta(a)) = a$  for all  $a \in A$ .

From now on, we set  $B := (A^{c\mathcal{U}}/I)/((q \circ \Delta)(A))$ , a unital C\*-algebra, and let  $r : A^{\mathcal{U}} \to B$  denote the composition of q with the quotient map

 $A^{c\mathcal{U}}/I \to B$ . Note that by the previous proposition,  $B \cong C(X^*)$ , where  $X^*$ denotes the Stone-Cech remainder  $\beta X \setminus X$  of X.

We now introduce a group action into the picture:

**Lemma 3.6.** Suppose that  $\Gamma$  acts isometrically on X.

- (1) The induced action of  $\Gamma$  on A further induces an action of  $\Gamma$  on  $A^{\mathcal{U}}$ by  $\gamma \cdot (f_n)^{\bullet} := (\gamma \cdot f_n)^{\bullet}$ . (2) Both  $A^{cU}$  and I are invariant under the action of  $\Gamma$  on  $A^{U}$  from (1).

*Proof.* For (1), we need to verify that, for  $(f_n), (g_n) \in \ell^{\infty}(A)$ , if  $\lim_{\mathcal{U}} ||f_n - f_n||_{L^{\infty}(A)}$  $|g_n| = 0$ , then  $\lim_{\mathcal{U}} \|\gamma \cdot f_n - \gamma \cdot g_n\| = 0$ . However, this follows from the easy check that  $\|\gamma \cdot f_n - \gamma \cdot g_n\| = \|f_n - g_n\|$  for each n. We now prove (2). The fact that  $A^{c\mathcal{U}}$  is invariant under the action of  $\Gamma$ 

follows from the fact that  $\Gamma$  acts by isometries and thus takes bounded sets to bounded sets. We now prove that I is invariant under the action of  $\Gamma$ . Consider  $\gamma \in \Gamma$  and  $(f_n)^{\bullet} \in I$ ; we must show that  $(\gamma \cdot f_n)^{\bullet} \in I$ . Suppose that  $f_n|B(o,r_n)\equiv 0$  where  $\lim_{\mathcal{U}} r_n=\infty$ . Set  $k:=d(\gamma^{-1}\cdot o,o)$ . Then for  $r_n>k$ , we have that  $(\gamma\cdot f_n)|B(o,r_n-k)\equiv 0$ : if  $t\in B(o,r_n-k)$ , then

$$d(\gamma^{-1}t, o) \le d(\gamma^{-1}t, \gamma^{-1}o) + d(\gamma^{-1}o, o) = d(t, o) + k \le r_n,$$

whence  $f_n(\gamma^{-1}t) = 0$ . Since  $r_n > k$  for  $\mathcal{U}$ -almost all n and  $\lim_u r_n - k = \infty$ , it follows that  $(\gamma \cdot f_n)^{\bullet} \in I$ .

By the previous lemma, we have an induced action of  $\Gamma$  on  $A^{c\mathcal{U}}/I$  by setting  $\gamma \cdot q(f_n)^{\bullet} := q(\gamma \cdot f_n)^{\bullet}$ , whence we also get an action of  $\Gamma$  on B by setting  $\gamma \cdot r(f_n)^{\bullet} := r(\gamma \cdot f_n)^{\bullet}$ .

# 4. Groups acting properly and isometrically on a tree

In this section, our locally compact space is simply a tree T given the usual path metric, namely d(x,y) = the length of the shortest path connecting x and y. In this case,  $A^{d\mathcal{U}} = A^{\mathcal{U}}$ . We further suppose that  $\Gamma \curvearrowright T$  properly, isometrically and transitively. (Recall that the action is proper if the map  $(g,t)\mapsto (gt,t):G\times T\to T\times T$  is proper, meaning that inverse images of compact sets are compact.) In this case, Stab(o) is finite, say of cardinality m. For a point  $t \in T$ , we let  $x_{[o,t]}$  denote the geodesic segment connecting o and t.

**Theorem 4.1.** If  $\Gamma$  acts properly, transitively, and isometrically on a simplicial tree T, then  $\Gamma$  is exact.

*Proof.* For  $t \in T$  and  $i \in \mathbb{N}$ , set

$$X(i,t) := \{ \gamma \in \Gamma \ : \ \gamma \cdot o \in B(i) \text{ and } \gamma \cdot o \in x_{[o,t]} \}$$

and  $x(i,t) = |X(i,t)|^{-1/2}$ . Note that  $x(i,t) = (m \cdot \min(i,d(o,t)))^{-1/2}$ . Define  $T_i^{(n)}:\Gamma\to A$  by

$$T_i^{(n)}(\gamma)(t) = \begin{cases} x(i,t) & \text{if } t \in B(2n) \text{ and } \gamma \in X(i,t); \\ 0 & \text{otherwise.} \end{cases}$$

Now define  $T_i: \Gamma \to B$  by  $T_i(\gamma) := r((T_i^{(n)}(\gamma)^{\bullet}))$ . We claim that these functions satisfy the criteria of Fact 2.2, whence the action of  $\gamma$  on  $X^*$  is amenable.

Certainly each  $(T_i^{(n)}(\gamma))^{\bullet}$  is a positive element of  $A^{\mathcal{U}}$ ; since r is a C\*algebra homomorphism, we have that each  $T_i(\gamma) \geq 0$  in B.

We now verify that  $\langle T_i, T_i \rangle_B = 1_B$ ; in other words, we must show that  $\sum_{\gamma \in \Gamma} T_i(\gamma)^2 = 1_B$ . First observe that there is a finite  $\Gamma_i \subseteq \Gamma$  such that  $\sum_{\gamma \in \Gamma} T_i^{(n)}(\gamma)^2 = \sum_{\gamma \in \Gamma_i} T_i^{(n)}(\gamma)^2 = \chi_{B(2n)}.$  Since  $(\chi_{B(2n)})^{\bullet} + I$  is the unit of  $A^{\mathcal{U}}/I$ , it follows that  $r((\chi_{B(2n)})^{\bullet})$  is the identity of B. Now compute:

$$\sum_{\gamma \in \Gamma} T_i(\gamma)^2 = \sum_{\gamma \in \Gamma_i} T_i(\gamma)^2$$

$$= \sum_{\gamma \in \Gamma_i} (r((T_i^{(n)}(\gamma))^{\bullet})^2)$$

$$= \sum_{\gamma \in \Gamma_i} r(((T_i^{(n)}(\gamma))^{\bullet})^2)$$

$$= r(\sum_{\gamma \in \Gamma_i} ((T_i^{(n)}(\gamma))^{\bullet})^2))$$

$$= r(\sum_{\gamma \in \Gamma_i} ((T_i^{(n)}(\gamma))^2)^{\bullet})$$

$$= r((\sum_{\gamma \in \Gamma_i} T_i^{(n)}(\gamma)^2)^{\bullet})$$

$$= r((\chi_{B(o,2n)})^{\bullet})$$

$$= 1_B.$$

It remains to prove that, for each  $\gamma_1 \in \Gamma$ , we have  $\lim_{i \to \infty} ||T_i - \delta_{\gamma_1} * T_i||_B =$ 0. It is straightforward to compute that  $\delta_{\gamma_1} * T_i = \gamma_1 \cdot T_i(\gamma_1^{-1}\gamma)$ . It follows that  $||T_i - \delta_{\gamma_1} * T_i||_B^2$  is equal to

$$\|\sum_{\gamma\in\Gamma} (T_i(\gamma)^2 + (\gamma_1 \cdot T_i(\gamma_1^{-1}\gamma))^2 - 2T_i(\gamma)\gamma_1 \cdot T_i(\gamma_1^{-1}\gamma))\|. \quad (\dagger)$$

Now  $\gamma_1 T_i^{(n)}(\gamma_1^{-1}\gamma)(t) = T_i^{(n)}(\gamma_1^{-1}\gamma)(\gamma_1^{-1}(t))$ , which is only nonzero if:

- $\begin{array}{ll} (1) \ \, \gamma_1^{-1}t \in B(2n); \\ (2) \ \, \gamma_1^{-1}\gamma \cdot o \in B(i); \\ (3) \ \, \gamma_1^{-1}\gamma \cdot o \in x_{[o,\gamma_1^{-1}t]}. \end{array}$

Also notice that  $\sum_{\gamma \in \Gamma} (\gamma_1 \cdot T_i^{(n)}(\gamma_1^{-1}\gamma))^2 = \chi_{\gamma_1 \cdot B(2n)}$ , so (†) equals

$$||r(\chi_{B(2n)})^{\bullet} + r(\chi_{\gamma_1 B(2n)})^{\bullet} - 2\sum_{\gamma \in \Gamma} (T_i^{(n)}(\gamma)\gamma_1 \cdot T_i^{(n)}(\gamma_1^{-1}\gamma))^{\bullet})||_B,$$

which in turn equals

$$\inf\{\lim_{n,\mathcal{U}} \|\chi_{B(2n)} + \chi_{\gamma_1 \cdot B(2n)} - 2\sum_{\gamma \in \Gamma} T_i^{(n)}(\gamma)\gamma_1 \cdot T_i^{(n)}(\gamma_1^{-1}\gamma)) - g_n - a\|\}, \quad (\dagger\dagger)$$

where  $(g_n)^{\bullet}$  ranges over I and a ranges over A. Set

$$a(t) = \left( (\chi_{B(2n)} + \chi_{\gamma_1 \cdot B(2n)} - 2 \sum_{\gamma \in \Gamma} T_i^{(n)}(\gamma) \gamma_1 \cdot T_i^{(n)(\gamma_1^{-1}\gamma)}) \cdot \chi_{B(i) \cup \gamma_1 \cdot B(i)} \right)^{\bullet}.$$

a(t) is certainly an element of A since  $B(i) \cup \gamma_1 \cdot B(i)$  is compact. Set  $g_n(t) = \chi_{B(2n) \triangle \gamma_1 \cdot B(2n)}$ . Finally set

$$O(i,n) = (B(2n) \cap \gamma_1 \cdot B(2n)) \setminus (B(i) \cup \gamma_1 \cdot B(i)).$$

Then  $a \in A$ ,  $(g_n)^{\bullet} \in I$  (as  $g_n|B(n) \equiv 0$ ) and the value in  $(\dagger \dagger)$  is bounded by

$$\lim_{n,\mathcal{U}} \sup_{t \in O(i,n)} |2 - 2 \sum_{\gamma \in \Gamma} T_i^{(n)}(\gamma) \gamma_1 \cdot T_i^{(n)}(\gamma_1^{-1} \gamma)(t)|. \quad (\dagger \dagger \dagger)$$

Let Z(i,t) be the set

$$\{\gamma \in \Gamma : \gamma \cdot o \in B(i), \gamma \cdot o \in x_{[o,t]}, \gamma_1^{-1} \gamma \cdot o \in B(i), \gamma_1^{-1} \gamma \cdot o \in x_{[o,\gamma_1^{-1}t]}\}.$$

Set  $k:=d(\gamma_1\cdot o,o)$ . For n sufficiently large and for  $t\in O(i,n)$ , we have  $|Z(i,t)|\geq m(i-k+1)$  and  $2\sum_{\gamma\in\Gamma}(T_i^{(n)}(\gamma)\gamma_1\cdot T_i^{(n)})(t)\geq 2\frac{m(i-k+1)}{mi}$ , whence the quantity appearing in  $(\dagger\dagger\dagger)$  is bounded above by  $2-2\frac{m(i-k+1)}{mi}$ , which goes to 0 as  $i\to\infty$  as desired.

# References

- [1] C. Anantharaman-Delaroche, Amenability and exactness for groups, group actions, and operator algebras, notes from ESI special semester on amenability, available at http://cel.archives-ouvertes.fr/docs/00/36/03/90/PDF/ESI-Cours.pdf.
- [2] C. Anantharaman-Delaroche, Amenability and Exactness for Dynamical Systems and their C\*-Algebras. Trans. Amer. Math. Soc. 2002
- [3] U. Haagerup, An example of a nonnuclear C\*-algebra, which has the metric approximation property, Invent. Math. 1979
- [4] U. Haagerup and J. Kraus, Approximation properties for group C\*-algebras and group von Neumann algebras, Trans. Amer. Math. Soc. 1994
- [5] D. Kerr, C\* algebras and topological dynamics: finite approximation and paradoxicality, Barcelona lecture notes, available at http://www.math.tamu.edu/kerr/barcelona19-1.pdf.
- [6] E. Kirchberg, On non-semisplit extensions, tensor products and exactness of group C\*-algebras., Invent. Math. 1993
- [7] N. Ozawa, Amenable actions and applications, International Congress of Mathematicians. Vol. II, 1563-1580.
- [8] H.E. Vaughan, On locally comapet metrisable spaces, Bull. Amer. Math. Soc. 43 (1937), 532-535.

 $E\text{-}mail\ address: \verb|stephen.avsec@gmail.com||$ 

Department of Mathematics, University of California, Irvine, Irvine, CA, 92697-3875.

 $\begin{tabular}{ll} $E$-mail\ address: $\tt isaac@math.uci.edu$\\ $URL:$ $\tt http://www.math.uci.edu/~isaac$\\ \end{tabular}$