Elliptic curves and Hilbert's Tenth Problem

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Elliptic curves

An elliptic curve is a curve defined by an equation

$$E: y^2 = x^3 + ax + b$$

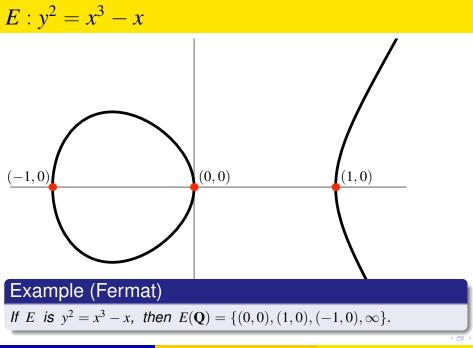
with integers (constants) a, b such that $4a^3 + 27b^2 \neq 0$.

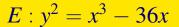
A rational point on *E* is a pair (x, y) of rational numbers satisfying this equation. There is also one "point at infinity" on *E*.

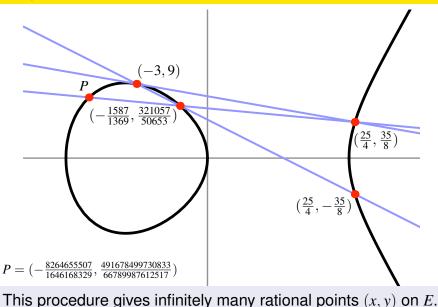
Basic Problem

Given an elliptic curve, find all solutions in rational numbers (x, y). In other words, find

 $E(\mathbf{Q}) := \{ \text{rational points on } E \} \cup \{ \infty \}$







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Addition law

The chord-and-tangent process defines an addition law on $E(\mathbf{Q})$, that makes $E(\mathbf{Q})$ a commutative group (with ∞ as the identity element).

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Addition law

If *E* is the elliptic curve $y^2 = x^3 + ax + b$, and

$$P = (x_1, y_1), \quad Q = (x_2, y_2)$$

with $x_1 \neq x_2$, then $P + Q = (x_3, y_3)$ where

$$x_{3} = \left(\frac{y_{2} - y_{1}}{x_{2} - x_{1}}\right)^{2} - x_{1} - x_{2},$$
$$y_{3} = \left(\frac{y_{2} - y_{1}}{x_{2} - x_{1}}\right)x_{3} - \left(\frac{y_{1}x_{2} - y_{2}x_{1}}{x_{2} - x_{1}}\right)$$

Elliptic curves

Theorem (Mordell, 1922)

 $E(\mathbf{Q})$ is finitely generated.

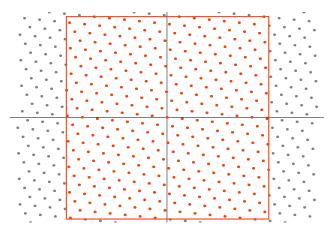
In other words, even though $E(\mathbf{Q})$ might be infinite, there is always a finite set of points $\{P_1, P_2, \ldots, P_r\}$ that generates all rational points using the chord-and-tangent process.

$$E(\mathbf{Q}) = \mathbf{Z}^r \times F = \underbrace{\mathbf{Z} \times \cdots \times \mathbf{Z}}_{r \text{ times}} \times F$$

with a finite commutative group *F*. The nonnegative integer *r* is called the rank of $E(\mathbf{Q})$, and *F* is called the torsion subgroup.

 $E(\mathbf{Q})$ is finite $\iff \operatorname{rank}(E(\mathbf{Q})) = 0.$

$E(\mathbf{Q}) = \mathbf{Z}^r \times F$



 $E(\mathbf{Q})$ can be viewed in a natural way as an *r*-dimensional lattice Euclidean space. The dimension *r* determines the rate of growth of the number of lattice points in larger and larger boxes.

If x = m/n is a rational number (where the integers m, n have no common factor), define the height of x to be

$$H(x) = \max\{m, n\}.$$

Theorem

There is a real number C > 0 such that

$$\#\{(x, y) \in E(\mathbf{Q}) : H(x), H(y) < B\} \sim C \log(B)^{r/2}.$$

(Here " \sim " means that the ratio of the two sides converges to 1 as *B* goes to infinity.)

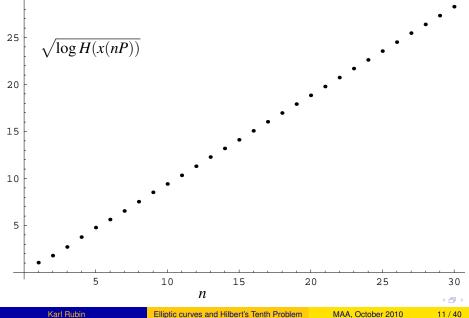
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 $E: y^2 = x^3 - 36x, P = (-3, 9)$

$2P = (\frac{25}{4}, \frac{-35}{8})$
$3P = \left(\frac{-1587}{1369}, \frac{-321057}{50653}\right)$
$4P = \left(\frac{1442401}{19600}, \frac{1726556399}{2744000}\right)$
$5P = \left(\frac{-8264655507}{1646168329}, \frac{491678499730833}{66789987612517}\right)$
$6P = \left(\frac{60473718955225}{6968554599204}, \frac{-339760634079313268605}{18395604368087917608}\right)$
$7P = \left(\frac{-583552361658258723}{4023041763448204561}, \frac{-18433964971574382270849196761}{8069224743013821217381442809}\right)$
$8P = (\frac{4386303618090112563849601}{233710164715943220558400}, \frac{8704369109085580828275935650626254401}{112983858512463619737216684496448000})$
$9P = (\frac{-38588308319846692331485009382883}{6433437028050748454240723606641}, \frac{6056228937102241081991642356775948265805217721}{16317911804506723620780282462635842443354311689})$
$10P = (\frac{339623358722762426094451563298394625625}{19652221475511578582811254387824437604}, \frac{-58695446193246147805958922767910577976954617159645938922675}{87119921378299734860754326833913445245577177202786392808})$
$11P = (\frac{-2512776550703017851462002707141301981572730067}{24693804285487612458809956902508606206944615209}, \frac{7425979074210113673657917982788245778472213771855848368670943739722447}{3880449202583286201483684978743391154828721407443504941067779207054677})$
$12P = (\frac{29216811879603452907654540685528262939449362404641461601}{3217724189948388661397795704743707676716077672251056400}, \frac{1185326491826201343003759777335779513897177176490252104343415624677280629}{577195880906504107332728319804818500712870376921838292316291709846918453}$
$13P = \left(\frac{-316201127357824410367418035302071126309291938514702260650926563827}{-61184403732733446451852573707104162463990295806262667569316972089}, \frac{-1048748363236996197273930606429082889828367748474450160992}{-15134256770846398391550680361225920344918831298146269968145}\right)$
$14P = (\frac{4196098227570015536181717307056998500537202867254564476717797975116438531225}{67509832319007616089031696842305848128857748695637998060454233577970795204}, \\ \frac{-270542500222440876153818235148011851121299426089965}{55469026500198427683471712770101027560815101405157}, \\ \frac{-270542500222440876153818235148011851121299426089965}{55469026500198427683471712770101027560815101405157}, \\ \frac{-270542500222440876153818235148011851121299426089965}{55469026500198427683471712770101027560815101405157}, \\ \frac{-270542500222440876153818235148011851121299426089965}{55469026500198427683471712770101027560815101405157}, \\ \frac{-270542500222440876153818235148011851121299426089965}{55469026500198427683471712770101027560815101405157}, \\ \frac{-27054250022244087615381823574869563199806045423577869563799806045423577870795204}{55469026500198427683471712770101027560815101405157}, \\ \frac{-27054250022244087615384812857748695637998060454235577870795204}{55469026500198427683471712770101027560815101405157}, \\ \frac{-27054250022500198427683471712770101027560815101405157}{55469026500198427683471712770101027560815101405157}, \\ \frac{-27054250026500198427683471712770101027560815101405157}{55469026500198427683471712770101027560815101405157}, \\ \frac{-27054250026500198427683471712770101027560815101405157}{55469026500198427683471712770101027560815101405157}, \\ \frac{-2705425002544087656}{55469026500198427683477112770101027560815101405157}, \\ \frac{-270542500254408765}{55469026500198427683477112770101027560815101405157}, \\ \frac{-270542500254408765}{5546902650019842768347711277010102756081500}, \\ \frac{-270542500254408765}{554690265001984276587778}, \\ \frac{-27054250025440765}{55469026500198427658778}, \\ \frac{-27054250025600198427658778}{554690265001984276587778}, \\ \frac{-270542500256001984276587}{5546902650019847778}, \\ \frac{-270542500256001984778}, \\ \frac{-2705425002560019842758}, \\ \frac{-2705425002560019842758}, \\ \frac{-2705425002560019842758}, \\ \frac{-2705425002560019842758}, \\ \frac{-27054250025600095}{5546902560000000000000000000000000000000000$
$15P = (\frac{-326323187135694809972784367371266172424012278677531085743337805707290355439047007391043}{3162231660129236072567227765926494680077042982884129292116373508542581225616984993596321}, \frac{337635924817525762225395669344024534203}{562329055096600632261604857289516140527}$
$16P = (\frac{449694237060866843762380349168814474651681212183233022035313594048287173659552111551208111024678401}{70829176236881157057028857786312342915175978142395358722885216836927586836054230045112363033913600}, \frac{3118154486813851238899642161228303912693142398521}{59609885316703189717421395221}, \frac{1118154486813851238996421612283022035313594048287178659552111551208111024678401}{59609885316703189717421395221}, \frac{11181544868138512389964216122}{59609885316703189717421395221}, \frac{11181544868138512389964216122830220353135940482871786595521}, \frac{11181544868138512389964216122}{59609885316703189717421395221}, \frac{11181544868138512389964216122}{59609885316703189717421395221}, \frac{11181544868138512389964216122}{5960988531670318971742139522}, \frac{11181544868138512389964216122}{5960988531670318971742139522}, \frac{11181544868138512389964216122}{5960988531670318971742139522}, \frac{11181544868138512389964216122}{5960988531670318971742139522}, \frac{11181544868138512389964216122}{5960988531670318971742139522}, \frac{11181544868138512389964216122}{5960988531670318971742139522}, \frac{11181544868138512389964216122}{5960988531670318971742139522}, \frac{1118154868138512389964216122}{5960988531670318971742139522}, \frac{1118154868138512389964216122}{5960988531670318971742139522}, \frac{1118154868138512389964216122}{5960988531670318971742139522}, \frac{1118154868138512389964216122}{5960988531670318971742139522}, \frac{111815486813851238966426}{5960988531670318971742139522}, \frac{1118156486813856666}{59609885316708}, \frac{11181564866666}{596098}, \frac{11181666666}{596098}, \frac{1118166666}{596098}, \frac{11181666666}{596098}, \frac{11181666666}{596098}, \frac{11181666666}{596098}, \frac{11181666666}{596098}, \frac{11181666666}{596098}, \frac{11181666666}{596098}, \frac{11181666666}{596098}, \frac{11181666666}{596098}, \frac{11181666666}{596098}, \frac{111816666666}{596098}, \frac{111816666666}{596098}, \frac{111816666666}{596098}, \frac{111816666666}{596098}, \frac{111816666666}{596098}, \frac{111816666666}{596098}, \frac{111816666666}{596098}, \frac{111816666666}{596098}, 11181666666666666666666666666666666666$
$17P = (\frac{-4418450683645972146599157631723885602071449925267966497055587948686646117427804847809553166207611892923783495363}{5163463709828}, \frac{-4685933166733}{5163463709828}, \frac{-468593316673}{5163463709828}, \frac{-468593316673}{5163463709828}, \frac{-468593316673}{5163463709828}, \frac{-468593316673}{5163463709828}, \frac{-468593316673}{5163463709828}, \frac{-46859331673}{5163463709828}, \frac{-4685933172}{5163463709828}, \frac{-46859331673}{5163463709828}, \frac{-46859331673}{5163463709828}, \frac{-46859331673}{5163463709828}, \frac{-46859331673}{5163463709828}, \frac{-46859331673}{5163463709828}, \frac{-46859331673}{5163463709828}, \frac{-46859331673}{5163463709828}, \frac{-46859331673}{5163463709828}, \frac{-4685931673}{5163463709828}, \frac{-4685931673}{5163463709828}, \frac{-4685931673}{5163463709828}, \frac{-4685931673}{5163463709828}, \frac{-46859331673}{5163463709828}, \frac{-46859331673}{5163463709828}, \frac{-46859331673}{5163463709828}, \frac{-46859331673}{5163463709828}, \frac{-46859331673}{5163463709828}, \frac{-46859331673}{5163463709828}, \frac{-46859331673}{5163463709828}, \frac{-46859331673}{51634637098}, \frac{-46859331673}{51634637098}, \frac{-46859331673}{51634637098}, \frac{-46859331673}{516367}, \frac{-46859331673}{51634637098}, \frac{-46859331673}{51634637098}, \frac{-46859331673}{516367}, \frac{-468599}{516367}, \frac{-468597}{5167}, \frac{-468599}{5167}, \frac{-468599}{5167}$
$18P = (\frac{109565823147330584355436543609555997002300337870400316735151301054200012054832916698483032458986447331013838759107613707952025}{11652593455655686125260193887846805577424859461940616965920522245690451984280377615855715339173050713553576546133635592004}, -3000000000000000000000000000000000000$
19P = (-2439009446828813115459386309336060243847061935988345772925035366948206678282980326297456056793824430759875187204774562309126440266782829803262974560567938244307598751872047745623091264402667828298032629745605679382443075987518720477456230912644026678282980326297456056793824430759875187204774562309126440267828298032629745605679382443075987518720477456230912644026782829803262974560567938244307598751872047745623091264402678282980326297456056793824430759875187204774562309126440267828298032629745605679382443075987518720477456230912644026782829803689387949914745202488635345504888341041205194955707453910993822286712678282980368938794991474520248863534550488635455048883410412051949557074539109938222867126782867828298036893879499147452024866353455048863545504888341041205194955707453910993822286712678286782899126428890912642839091264283909126428390912642839091264283991264283909126428399126428839126428891264289126428891264289126428891264288912648891264889126488912648912648912648912648912648912648891264891266891266891266891266891668916668966666668966666666898666666696666666

 $E: y^2 = x^3 - 36x, P = (-3, 9)$



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Theorem (Nagell, Lutz 1937)

If $(x, y) \in F$, then x and y are integers and either y = 0 or y^2 divides $16(4a^3 + 27b^2)$.

Theorem (Mazur 1977)

The order of F is at most 16.

It follows from the Nagell-Lutz Theorem that if *E* is $y^2 = x^3 - d^2x$, then

$$F = \{(0,0), (d,0), (-d,0), \infty\}.$$

The torsion subgroup

$$E: y^2 = x^3 - 33339627x + 73697852646$$

 $16(4a^3 + 27b^2) = -25359927419930148864000$ $= -2^{24} \cdot 3^{18} \cdot 5^3 \cdot 7^4 \cdot 13$

$$\begin{array}{ll} P = (-4533, -362880) & 7P = (3027, 22680) \\ 2P = (10587, 952560) & 8P = (4107, -77760) \\ 3P = (1515, -163296) & 9P = (1515, 163296) \\ 4P = (4107, 77760) & 10P = (10587, -952560) \\ 5P = (3027, -22680) & 11P = (-4533, 362880) \\ 6P = (3531, 0) & 12P = \infty \end{array}$$

 $E(\mathbf{Q}) = \mathbf{Z}/12\mathbf{Z}$

- There is no known algorithm that is *guaranteed* to compute the rank of *E*. (There are methods for computing lower bounds, and methods for computing upper bounds. Often these bounds are the same.)
- It is not known which integers r occur as ranks of elliptic curves over Q. (It is not known whether r can be arbitrarily large.)

Rank record (Elkies 2006)

 $y^{2} + xy + y = x^{3} - x^{2} - 20067762415575526585033208209338542750930230312178956502x$

 $+\ 34481611795030556467032985690390720374855944359319180361266008296291939448732243429$

has rank at least 28, with independent points:

-2124150091254381073292137463, 259854492051899599030515511070780628911531)2334509866034701756884754537, 18872004195494469180868316552803627931531) -1671736054062369063879038663, 251709377261144287808506947241319126049131)21391302601391566666492982137, 36639509171439729202421459692941297527531 1534706764467120723885477337, 85429585346017694289021032862781072799531-2731079487875677033341575063, 262521815484332191641284072623902143387531)(2775726266844571649705458537, 12845755474014060248869487699082640369931)1494385729327188957541833817, 88486605527733405986116494514049233411451 1868438228620887358509065257, 592374032144377087127251403930593585891312008945108825743774866542537, 476906778801255528821517507815414247115312348360540918025169651632937, 17492930006200557857340332476448804363531 -1472084007090481174470008663, 246643450653503714199947441549759798469131)2924128607708061213363288937, 28350264431488878501488356474767375899531) (5374993891066061893293934537, 286188908427263386451175031916479893731531) 1709690768233354523334008557, 71898834974686089466159700529215980921631) 2450954011353593144072595187, 4445228173532634357049262550610714736531) 2969254709273559167464674937, 32766893075366270801333682543160469687531) 2711914934941692601332882937, 2068436612778381698650413981506590613531) 20078586077996854528778328937, 2779608541137806604656051725624624030091531) 2158082450240734774317810697, 34994373401964026809969662241800901254731 2004645458247059022403224937, 48049329780704645522439866999888475467531 2975749450947996264947091337, 33398989826075322320208934410104857869131 -2102490467686285150147347863, 259576391459875789571677393171687203227531311583179915063034902194537, 168104385229980603540109472915660153473931 2773931008341865231443771817, 12632162834649921002414116273769275813451 (2156581188143768409363461387, 35125092964022908897004150516375178087331) 3866330499872412508815659137, 121197755655944226293036926715025847322531 2230868289773576023778678737, 28558760030597485663387020600768640028531

Rank of $E_d: y^2 = x^3 - d^2x$

d	$\operatorname{rank}(E_d)$	
1	0	Fermat (~1640)
5	1	(-4, 6)
34	2	(-2, 48), (-16, 120)
1254	3	(-98, 12376), (1650, 43560), (109554, 36258840)
29274	4	Wiman (1945)
205015206	5	Rogers (1999)
61471349610	6	Rogers (1999)
797507543735	7	Rogers (2003)
?	≥ 8	

Birch and Swinnerton-Dyer conjecture

Conjecture (Birch and Swinnerton-Dyer)

$$\operatorname{rank}(E(\mathbf{Q})) = \operatorname{ord}_{s=1}L(E, s)$$

L(E, s) is the *L*-function attached to *E*, an entire complex-analytic function.

Parity Conjecture (consequence of BSD)

 $\operatorname{rank}(E(\mathbf{Q})) \equiv \operatorname{ord}_{s=1}L(E,s) \pmod{2}$

The parity of $\operatorname{ord}_{s=1}L(E, s)$ is computable, thanks to a functional equation that relates L(E, s) to L(E, 2 - s).

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Example

The Parity Conjecture predicts that if *d* is squarefree and E_d is the curve $y^2 = x^3 - d^2x$, then

$$\operatorname{rank}(E_d(\mathbf{Q})) \text{ is } \begin{cases} even & \text{if } d \equiv 1, 2, \text{ or } 3 \pmod{8}, \\ odd & \text{if } d \equiv 5, 6, \text{ or } 7 \pmod{8}. \end{cases}$$

Note in particular that if $rank(E_d(\mathbf{Q}))$ is odd, then it is positive, so $E_d(\mathbf{Q})$ is infinite.

Conjecture (Goldfeld 1979, ...)

The "average rank of elliptic curves" is 1/2. More precisely

- 50% of all elliptic curves have rank zero,
- 50% of all elliptic curves have rank one,
- 0% of all elliptic curves have rank two or more.

Theorem (Bhargava & Shankar 2010)

• The average rank of elliptic curves is at most 7/6.

• A positive proportion of all elliptic curves have rank zero.

Hilbert's 10th Problem

Hilbert's 10th Problem

Suppose $F_1, \ldots, F_m \in \mathbb{Z}[X_1, X_2, \ldots, X_n]$ are polynomials in several variables.

Is there an algorithm to decide whether or not the F_i have a common zero, i.e., whether there are $k_i, \ldots, k_n \in \mathbb{Z}$ such that

$$F_1(k_1,...,k_n) = F_2(k_1,...,k_n) = \cdots = F_m(k_1,...,k_n) = 0?$$

Theorem (Matiyasevich, Robinson, Davis, Putnam 1970)

No.

What if Z is replaced by some other ring?

Hilbert's 10th Problem over a ring R

Hilbert's 10th Problem over R

Suppose *R* is a ring, and $F_1, \ldots, F_m \in R[X_1, X_2, \ldots, X_n]$ are polynomials in several variables.

Is there an algorithm to decide whether or not the F_i have a common zero, i.e., whether there are $k_i, \ldots, k_n \in R$ such that

$$F_1(k_1,...,k_n) = F_2(k_1,...,k_n) = \cdots = F_m(k_1,...,k_n) = 0?$$

- $R = \mathbf{Q}$: unknown
- *R* = **C**: yes
- R a finite field: yes
- $R = \mathbf{Z}[i] = \{a + bi : a, b \in \mathbf{Z}, i^2 = -1\}$: no
- other rings of algebraic integers...

Definition

A subset $D \subset R$ is diophantine over R if there is a polynomial $G(X, Y_1, \ldots, Y_k) \in R[X, Y_1, \ldots, Y_k]$ such that for every $x \in R$,

 $x \in D \iff$ there exist $y_1, \ldots, y_k \in R$ such that $G(x, y_1, \ldots, y_k) = 0$.

Easy examples

- The set of squares is diophantine over \mathbf{Z} : $G(X, Y) = X Y^2$.
- $\mathbf{Z}_{\geq 0}$ is diophantine over \mathbf{Z} : $X Y_1^2 Y_2^2 Y_3^2 Y_4^2$.
- $\mathbf{Q}_{\geq 0}$ is diophantine over \mathbf{Q} : $X Y_1^2 Y_2^2 Y_3^2 Y_4^2$.
- If D_1 and D_2 are diophantine over R, then so is $D_1 \cup D_2$: $G_1(X, Y_1, \dots, Y_k)G_2(X, Y_1, \dots, Y_k).$
 - ... and $D_1 \cap D_2$, if $R \subset \mathbf{R}$:

$$G_1(X, Y_1, \ldots, Y_k)^2 + G_2(X, Y_{k+1}, \ldots, Y_{k+k'})^2$$

Definition

A subset $D \subset R$ is diophantine over R if there is a polynomial $G(X, Y_1, \ldots, Y_k) \in R[X, Y_1, \ldots, Y_k]$ such that for every $x \in R$,

 $x \in D \iff$ there exist $y_1, \ldots, y_k \in R$ such that $G(x, y_1, \ldots, y_k) = 0$.

Less easy examples

- The set of positive nonsquares is diophantine over Z: $G(X, Y_1, \dots, Y_5) = Y_1^2 - X(1 + Y_2^2 + Y_3^2 + Y_4^2 + Y_5^2)^2 - 1.$
- The set of positive composite (nonprime) numbers is diophantine over Z:
 G(X, Y₁,..., Y₈) = X (2 + Y₁² + ... + Y₄²)(2 + Y₅² + ... + Y₈²).

Definition

A subset $D \subset R$ is diophantine over R if there is a polynomial $G(X, Y_1, \ldots, Y_k) \in R[X, Y_1, \ldots, Y_k]$ such that for every $x \in R$,

 $x \in D \iff$ there exist $y_1, \ldots, y_k \in R$ such that $G(x, y_1, \ldots, y_k) = 0$.

Hard examples

- \mathbf{Z} is diophantine over $\mathbf{Z}[i]$.
- The set of primes is diophantine over Z.
- Is Z diophantine over Q?

Theorem

If **Z** is diophantine over R, then Hilbert's 10th Problem has a negative answer over R.

Proof.

Let *G* be the polynomial that shows **Z** is diophantine over *R*, and suppose $F_1, \ldots, F_m \in \mathbf{Z}[X_1, \ldots, X_n]$. The collection

$$F_1, \dots, F_m, G(X_1, Y_{1,1}, \dots, Y_{1,k}), \dots, G(X_n, Y_{n,1}, \dots, Y_{n,k})$$

$$\in R[X_i, Y_{j,j'}]_{1 \le i,j \le n, 1 \le j' \le l}$$

is solvable in *R* if and only if the collection F_1, \ldots, F_m is solvable in **Z**. Thus if we *can* decide the solvability of polynomials over *R*, then we *can* decide the solvability of F_1, \ldots, F_m over **Z**. This contradicts Matiyasevich's theorem.

This is why we would like to know if Z is diophantine over Q.

Theorem

More generally, If *S* is a subring of *R* that is diophantine over *R*, and Hilbert's 10th Problem has a negative answer over *S*, then Hilbert's 10th Problem has a negative answer over *R*.



- An algebraic number is a root of a polynomial in one variable with coefficients in **Q**.
- An algebraic integer is a root of a monic polynomial in one variable with coefficients in Z.
- A number field is an extension of **Q** generated by finitely many algebraic numbers.
- The ring of integers \mathcal{O}_K of a number field *K* is the set of all algebraic integers in *K*.

Rings of algebraic integers

Example

If $K = \mathbf{Q}$, then $\mathcal{O}_K = \mathbf{Z}$.

Example (Quadratic fields)

If $K = \mathbf{Q}(\sqrt{d})$ with $d \in \mathbf{Z}$ squarefree, then

$$\mathcal{O}_{K} = \{a + b\sqrt{d} : a, b \in \mathbf{Z}\} \qquad \text{if } d \equiv 2 \text{ or } 3 \pmod{4},$$
$$\mathcal{O}_{K} = \{a + b\frac{1+\sqrt{d}}{2} : a, b \in \mathbf{Z}\} \qquad \text{if } d \equiv 1 \pmod{4}$$

$$(\frac{1+\sqrt{d}}{2} \text{ is a root of } x^2 - x - (d-1)/4 \in \mathbb{Z}[x] \text{ if } d \equiv 1 \pmod{4}).$$

Example (Cyclotomic fields)

If $K = \mathbf{Q}(e^{2\pi i/n})$ with $n \ge 1$, then $\mathcal{O}_K = \mathbf{Z}[e^{2\pi i/n}]$.

H10 and elliptic curves

Theorem (Poonen 2002)

Suppose *K* is a number field. If there is an elliptic curve *E* over \mathbf{Q} with rank $(E(\mathbf{Q})) = \operatorname{rank}(E(K)) = 1$, then **Z** is diophantine over \mathcal{O}_K .

Corollary

Suppose *K* is a number field. If there is an elliptic curve *E* over \mathbf{Q} with $\operatorname{rank}(E(\mathbf{Q})) = \operatorname{rank}(E(K)) = 1$, then Hilbert's 10th Problem has a negative answer over \mathcal{O}_K .

Example

Let $K = \mathbf{Q}(\sqrt{2}, \sqrt{17})$. If the Parity Conjecture is true, then for every elliptic curve *E* over \mathbf{Q} , then $\operatorname{rank}(E(K))$ is even.

Theorem (Poonen 2002)

Suppose that $F \subset K$ are number fields. If there is an elliptic curve E over F with rank(E(F)) = rank(E(K)) = 1, then \mathcal{O}_F is diophantine over \mathcal{O}_K .

Corollary

Suppose that $F \subset K$ are number fields, and Hilbert's 10th Problem has a negative answer over \mathcal{O}_F .

If there is an elliptic curve *E* over *F* with $\operatorname{rank}(E(F)) = \operatorname{rank}(E(K)) = 1$, then Hilbert's 10th Problem has a negative answer over \mathcal{O}_K .

H10 and elliptic curves

Example

Let
$$F = \mathbf{Q}(\sqrt{2}), K = \mathbf{Q}(\sqrt{2}, \sqrt{17})$$
, so $\mathbf{Q} \subset F \subset K$.

$$E_1: y^2 = x^3 + x + 1$$

 \implies rank $(E_1(\mathbf{Q})) =$ rank $(E_1(F)) = 1$, generated by (0, 1)

$$\Longrightarrow \mathbf{Z}$$
 is diophantine over \mathcal{O}_F

 \implies Hilbert's 10th Problem has a negative answer over \mathcal{O}_F .

$$E_2: y^2 = x^3 + \sqrt{2}x + (\sqrt{2} - 1)$$
 over F

 $\implies \operatorname{rank}(E(F)) = \operatorname{rank}(E(K)) = 1,$

generated by $(3/2-\sqrt{2},5/2(1-1/\sqrt{2}))$

 $\implies \mathcal{O}_F$ is diophantine over \mathcal{O}_K

 \implies Hilbert's 10th Problem has a negative answer over \mathcal{O}_K .

Theorem (Mazur & Rubin 2010)

Suppose $F \subset K$ are number fields, and K is a Galois extension of F of prime degree. If the BSD Conjecture holds for all elliptic curves over all number fields, then there is an elliptic curve Eover F such that

$$\operatorname{rank}(E(F)) = \operatorname{rank}(E(K)) = 1.$$

Corollary

If the BSD Conjecture holds, then Hilbert's 10th Problem has a negative answer over \mathcal{O}_K for every number field *K*.

Quadratic twists of elliptic curves

If $E : y^2 = x^3 + ax + b$ is an elliptic curve over *K* (i.e., $a, b \in K$) then the quadratic twists of *E* are the curves

$$E_d: y^2 = x^3 + ad^2x + bd^3$$

with $d \in K^{\times}$.

The curves *E* and *E*^{*d*} are geometrically very similar (over $K(\sqrt{d})$, or over **C**, a simple change of variables transforms one into the other), but E(K) and $E_d(K)$ are in general very different.

We would like to study how $rank(E_d(K))$ varies as d varies (but that's still too hard...)

Selmer groups

The Selmer group Sel(E/K) is an effectively computable finite dimensional vector space over \mathbf{F}_2 , that contains E(K)/2E(K).

- Let $s(E/K) = \dim_{\mathbf{F}_2} \operatorname{Sel}(E/K)$. Then
 - $\operatorname{rank}(E(K)) \leq s(E/K)$
 - s(E/K) is effectively computable

Conjecture (Consequence of BSD)

 $\operatorname{rank}(E(K)) \equiv s(E/K) \pmod{2}.$

Theorem

- If s(E/K) = 0, then rank(E(K)) = 0.
- If s(E/K) = 1 and BSD holds, then rank(E(K)) = 1.

Selmer groups of twists

Theorem (Heath-Brown, Swinnerton-Dyer, Kane)

Suppose *E* is $y^2 = x^3 + ax + b$, where $a, b \in \mathbf{Q}$ and $x^3 + ax + b$ has three rational roots. Then the proportion of *d* with $s(E_d/\mathbf{Q}) = r$ is

$$\prod_{i=0}^{\infty} (1 - 2^{-2i-1}) \frac{2^{r-1}}{\prod_{i=1}^{r} (2^i - 1)}$$

Corollary

With E as above,

- the proportion of d with $rank(E_d(\mathbf{Q})) = 0$ is at least .2
- *if BSD holds, then the proportion of d with* rank(*E*_d(**Q**)) = 1 *is at least* .4

Theorem (Mazur & Rubin 2010)

Under mild hypotheses on E (hypotheses that remain valid if we replace E by one of its quadratic twists),

• there are many primes $\pi \in \mathcal{O}_K$ such that

 $s(E_{\pi}/K) = s(E/K) + 1,$

• there are many primes $\pi \in \mathcal{O}_K$ such that

 $s(E_{\pi}/K) = s(E/K),$

• if $s(E/K) \ge 1$, then there are many primes $\pi \in \mathcal{O}_K$ such that $s(E_{\pi}/K) = s(E/K) - 1$.

("many" means a positive proportion)

Apply this inductively (the twist of a twist is again a twist)...

Corollary

Under mild hypotheses on *E*, for every $r \ge 0$ there are many *d* such that $s(E_d/K) = r$. In particular:

- there are many *d* with $rank(E_d(K)) = 0$,
- if BSD holds, then there are many d with $rank(E_d(K)) = 1$.

Selmer groups of twists

Theorem

Suppose that L/K is a Galois extension of number fields of prime degree, and *E* is an elliptic curve over *K* satisfying (the usual) mild hypotheses.

• If s(E/L) > s(E/K), then there are primes $\pi \in \mathcal{O}_K$ such that

$$s(E_{\pi}/L) - s(E_{\pi}/K) = s(E/L) - s(E/K) - 1.$$

If s(E/L) = s(E/K) > 0 then there are primes π ∈ O_K such that

$$s(E_{\pi}/L) = s(E_{\pi}/K) = s(E/K) - 1.$$

• If s(E/L) = s(E/K) then there are primes $\pi \in \mathcal{O}_K$ such that

$$s(E_{\pi}/L) = s(E_{\pi}/K) = s(E/K) + 1.$$

Corollary

Suppose that L/K is a Galois extension of number fields of prime degree, and *E* is an elliptic curve over *K* satisfying (the usual) mild hypotheses. Then *E* has many quadratic twists E_d such that

$$s(E_d/L) = s(E_d/K) = 1,$$

and if BSD holds,

$$\operatorname{rank}(E_d(L)) = \operatorname{rank}(E_d(K)) = 1.$$

Elliptic curves and Hilbert's Tenth Problem

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