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CV and Bibliography

Karl Rubin

Education

1981 Ph.D., Mathematics, Harvard University
1977 M.A., Mathematics, Harvard University
1976 A.B. *summa cum laude*, Mathematics, Princeton University

Employment

2019– Distinguished Professor Emeritus, University of California Irvine
2004–2020 Thorp Professor of Mathematics, University of California Irvine
2013–2016 Chair, Department of Mathematics, UC Irvine
1997–2006 Professor, Stanford University
1996–1999 Distinguished University Professor, Ohio State University
1987–1996 Professor, Ohio State University
1988–1989 Professor, Columbia University
1984–1987 Assistant Professor, Ohio State University
1982–1983 Instructor, Princeton University

Selected visiting positions

Universität Erlangen-Nürnberg
Harvard University
Institute for Advanced Study (Princeton)
Institut des Hautes Etudes Scientifiques (Paris)
Mathematical Sciences Research Institute (Berkeley)
Max-Planck-Institut für Mathematik (Bonn)

Selected honors and awards

2012 Fellow of the American Mathematical Society
1999 Humboldt-Forschungspreis (Humboldt Foundation Research Award)
1994 Guggenheim Fellowship
1992 AMS Cole Prize in Number Theory
1988 NSF Presidential Young Investigator Award
1987 Ohio State University Distinguished Scholar Award
1985 Sloan Fellowship
1981 NSF Postdoctoral Fellowship
1979 Harvard University Graduate School of Arts and Sciences Fellow
1976 NSF Graduate Fellowship
1975 Putnam Fellow

Selected invited lectures

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| 5/2008 | MAA Distinguished Lecture, Washington DC |
| 8/2002 | ICM invited 45 minute lecture, Beijing |
| 1/2000 | AMS-MAA-SIAM Invited Address, Washington DC |
| 5/1997 | Ohio State University Distinguished Lecture |
| 10/1995 | Hermann Weyl Lectures (4 lectures), Institute for Advanced Study |
| 12/1994 | Briefing to Secretary of Defense William Perry, Pentagon |
| 9/1994 | Deutsche Mathematiker-Vereinigung plenary lecture, Duisburg |
| 1/1994 | AAAS Topical Lecture, San Francisco |
| 10/1993 | Adrian Albert Lectures (3 lectures), University of Chicago |
| 7/1993 | Fermat Fest, Palace of Fine Arts, San Francisco |
| 4/1993 | Arnold Ross Lecture, Ohio State University |
| 6/1990 | Arbeitstagung, Bonn |
| 4/1989 | AMS Hour Lecture, Worcester |
| 6/1988 | Arbeitstagung, Bonn |
| 3/1988 | AMS Hour Lecture, East Lansing |

Editorial positions

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| 2007–2013 | Journal of the AMS (Managing Editor, 2009–2013) |
| 2007–2013 | Algebra & Number Theory |
| 1994–2001 | Journal für die reine und angewandte Mathematik |
| 1993–1998 | Compositio Mathematica |
| 1987–1999 | Journal of Number Theory |

Selected professional service

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| 2009–2015 | Sloan Research Fellowships selection committee |
| 2010–2013 | Member, AMS Council |
| 2012 | Scientific Committee, 2013 Journées Arithmétiques |
| 2011–2012 | Simons Foundation Collaboration Grants Review Advisory Panel |
| 2004–2007 | AMS Editorial Boards Committee |
| 1998–2009 | IAS/Park City Mathematics Institute, steering committee and organizer |
| 1998 | NSF Division of Mathematical Sciences Committee of Visitors |
| 1997–1999 | Board of Trustees, Assn. of Members of the Institute for Advanced Study |
| 1996 | AMS Cole Prize Committee (chair) |
| 1996 | Co-organizer, NAS conference <i>Elliptic Curves and Modular Forms</i> |
| 1995–1999 | MSRI Scientific Advisory Council |
| 1994–1997 | AMS Arnold Ross Lectures Committee |
| 1993–1995 | Director, OSU International Mathematical Research Institute |
| 1992–1994 | AMS Central Section Program Committee (chair 1993–94) |
| 1989–1991 | AMS Centennial Fellowship Committee |

Publications

Thesis

On the arithmetic of CM elliptic curves in \mathbf{Z}_p -extensions. Harvard University, 1981

Books

- [B1] Euler Systems, *Annals of Mathematics Studies* **147**, 227 + xi pp., Princeton: Princeton University Press (2000).
- [B2] (edited with B. Conrad) Arithmetic Algebraic Geometry, *IAS/Park City Mathematics Series* **9**, 569 pp., Providence: American Mathematical Society (2001).
- [B3] (edited with C. Popescu and A. Silverberg) Arithmetic of L -functions, *IAS/Park City Mathematics Series* **18**, 499 pp., Providence: American Mathematical Society (2011).

Papers

- [1] Elliptic curves with complex multiplication and the conjecture of Birch and Swinnerton-Dyer, *Inventiones mathematicae* **64**, (1981) 455–470.
- [2] Iwasawa theory and elliptic curves: supersingular primes. In: *Journées Arithmétiques 1980*, London Math. Soc. Lect. Notes **56**, Cambridge: Cambridge University Press (1982) 379–383.
- [3] (with A. Wiles) Mordell-Weil groups of elliptic curves over cyclotomic fields. In: *Number Theory related to Fermat's last theorem*, Progress in Math. **26**, Boston: Birkhauser (1982) 237–254.
- [4] Congruences for special values of L -functions of elliptic curves with complex multiplication, *Inventiones mathematicae* **71** (1983) 339–364.
- [5] Elliptic curves and \mathbf{Z}_p -extensions, *Compositio math.* **56** (1985) 237–250.
- [6] p -adic L -functions and descent on non-CM elliptic curves. In: *Number Theory (proceedings of a conference in Montreal, 1985)*, Canadian Math. Soc. Conf. Proc. **7**, Providence: American Math. Soc. (1987) 405–419.
- [7] Local units, elliptic units, Heegner points, and elliptic curves, *Inventiones mathematicae* **88** (1987) 405–422.
- [8] Descents on elliptic curves with complex multiplication. In: *Séminaire de Théorie des Nombres, Paris 1985-86*, Progress in Math. **71**, Boston: Birkhauser (1988) 165–174.
- [9] Global units and ideal class groups, *Inventiones mathematicae* **89** (1987) 511–526.
- [10] Tate-Shafarevich groups and L -functions of elliptic curves with complex multiplication, *Inventiones mathematicae* **89** (1987) 527–560.

Publications (continued)

- [11] Tate-Shafarevich groups of elliptic curves with complex multiplication. In: *Algebraic number theory in honor of K. Iwasawa*, Advanced Studies in Pure Math. **17**, Academic Press (1989) 409–419.
- [12] On the main conjecture of Iwasawa theory for imaginary quadratic fields, *Inventiones mathematicae* **93** (1988) 701–713
- [13] The work of Kolyvagin on the arithmetic of elliptic curves. In: *Arithmetic of Complex Manifolds*, Barth and Lange, eds. Lecture Notes in Math. **1399**, New York: Springer (1989) 128–136.
- [14] The main conjecture. Appendix to: *Cyclotomic Fields I and II* by S. Lang, Graduate Texts in Math. **121**, New York: Springer (1990) 397–419.
- [15] Kolyvagin’s system of Gauss sums. In: *Arithmetic Algebraic Geometry*, van der Geer, Oort and Steenbrink, eds. Progress in Math. **89**, Boston: Birkhauser (1991) 309–324.
- [16] The one-variable main conjecture for elliptic curves with complex multiplication. In: *L-functions in arithmetic*, London Math. Soc. Lect. Notes **153**, Cambridge University Press (1991) 353–371.
- [17] The “main conjectures” of Iwasawa theory for imaginary quadratic fields, *Inventiones mathematicae* **103** (1991) 25–68.
- [18] Stark units and Kolyvagin’s “Euler systems”, *J. für die reine und angew. Math.* **425** (1992) 141–154.
- [19] p -adic L -functions and rational points on elliptic curves with complex multiplication, *Inventiones mathematicae* **107** (1992) 323–350.
- [20] p -adic variants of the Birch and Swinnerton-Dyer conjecture. In: p -adic monodromy and the Birch and Swinnerton-Dyer Conjecture, Mazur and Stevens, eds. *Contemporary Mathematics* **165**, Providence: Amer. Math. Soc. (1994) 71–80.
- [21] More “main conjectures” for imaginary quadratic fields. In: *Elliptic curves and related topics*, Kisilevsky and Murty, eds. CRM Proceedings and Lecture Notes **4**, Providence: Amer. Math. Soc. (1994) 23–28.
- [22] Abelian varieties, p -adic heights and derivatives. In: *Algebra and Number Theory (Essen, December 1992)*, Frey and Ritter, eds. Berlin: de Gruyter (1994) 247–266.
- [23] (with A. Silverberg) A report on Wiles’ Cambridge lectures, *Bull. Amer. Math. Soc.* **31** (1994) 15–38.
- [24] (with A. Silverberg) Families of elliptic curves with constant mod p representations. In: *Elliptic curves, modular forms, and Fermat’s Last Theorem (Hong Kong, December 1994)*, Coates and Yau, eds. Cambridge: International Press (1995) 148–161.

Publications (continued)

- [25] A Stark conjecture “over \mathbf{Z} ” for abelian L -functions with multiple zeros, *Annales de l’Institut Fourier* **46** (1996) 33–62.
- [26] Euler systems and exact formulas in number theory, *Jahresbericht der Deutschen Math.-Verein.* **98** (1996) 30–39.
- [27] Modularity of mod 5 representations. In: *Modular forms and Fermat’s Last Theorem*, Cornell, Silverman, and Stevens, eds. New York: Springer (1997) 463–474.
- [28] (with B. de Smit and R. Schoof) Criteria for complete intersections. In: *Modular forms and Fermat’s Last Theorem*, Cornell, Silverman, and Stevens, eds. New York: Springer (1997) 343–355.
- [29] (with A. Silverberg) Mod 6 representations of elliptic curves. In: *Automorphic forms, automorphic representations, and arithmetic*, Doran, Dau, and Gilbert, eds. Proc. Symp. Pure Math. **66**, Providence: American Math. Soc. (1999) 213–220.
- [30] Euler systems and modular elliptic curves. In: *Galois representations in arithmetic algebraic geometry*, Scholl and Taylor, eds. London Math. Soc. Lect. Notes **254**, Cambridge: Cambridge University Press (1998) 351–367.
- [31] Elliptic curves with complex multiplication and the conjecture of Birch and Swinnerton-Dyer. In: *Arithmetic theory of elliptic curves (Cetraro, Italy 1997)*, C. Viola, ed. Lecture Notes in Math. **1716**, New York: Springer (1999) 167–234.
- [32] (with C. Greither, D. Replogle, and A. Srivastav) Swan modules and Hilbert-Speiser number fields, *Journal of Number Theory* **79** (1999) 164–173.
- [33] (with A. Silverberg) Ranks of elliptic curves in families of quadratic twists, *Experimental Mathematics* **9** (2000) 583–590.
- [34] (with A. Silverberg) Mod 2 representations of elliptic curves, *Proc. Amer. Math. Soc.* **129** (2001) 53–57
- [35] (with A. Silverberg) Rank frequencies for quadratic twists of elliptic curves, *Experimental Mathematics* **10** (2001) 559–569.
- [36] (with B. Mazur) Elliptic curves and class field theory. In: *Proceedings of the International Congress of Mathematicians, ICM 2002, Beijing*, Ta Tsien Li, ed., vol. II. Beijing: Higher Education Press (2002) 185–195.
- [37] (with A. Silverberg) Supersingular abelian varieties in cryptology. In: *Advances in Cryptology — CRYPTO 2002*, M. Yung, ed., Lect. Notes in Computer Science **2442**, New York: Springer (2002) 336–353.
- [38] (with A. Silverberg) Ranks of elliptic curves, *Bull. Amer. Math. Soc.* **39** (2002) 455–474.

Publications (continued)

- [39] (with A. Silverberg) Torus-based cryptography. In: *Advances in Cryptology — CRYPTO 2003*, D. Boneh, ed., Lect. Notes in Computer Science **2729**, New York: Springer (2003) 349–365.
- [40] (with B. Mazur) Studying the growth of Mordell-Weil. In: *Documenta math. Extra Volume: Kazuya Kato’s Fiftieth Birthday* (2003) 585–607.
- [41] (with B. Mazur) Kolyvagin systems. *Memoirs of the AMS* **168**, number 799 (2004) 96pp.
- [42] (with B. Mazur) Pairings in the arithmetic of elliptic curves. In: *Modular Curves and Abelian Varieties*, J. Cremona et al., eds., Progress in Math. **224**, Basel: Birkhäuser (2004) 151–163.
- [43] (with R. Pollack) The main conjecture for CM elliptic curves at supersingular primes. *Annals of Mathematics* **159** (2004) 447–464.
- [44] Right triangles and elliptic curves. In: *Mathematical Adventures for Students and Amateurs*, D. Hayes and T. Shubin, eds., Mathematical Assn. of America (2004) 73–80.
- [45] (with B. Mazur) Introduction to Kolyvagin systems. In: *Stark’s Conjectures: Recent Work and New Directions*, Contemp. Math. **358**, Providence: Amer. Math. Soc. (2004) 207–221.
- [46] (with A. Silverberg) Algebraic tori in cryptography. In: *High primes and misdemeanours: lectures in honour of the 60th birthday of Hugh Cowie Williams*, Fields Institute Communications Series **41**, Providence: Amer. Math. Soc. (2004) 317–326.
- [47] (with A. Silverberg) Using primitive subgroups to do more with fewer bits. In: *Algorithmic Number Theory (ANTS VI)*, Lect. Notes in Computer Science **3076**, New York: Springer (2004) 18–41.
- [48] (with M. van Dijk, R. Granger, D. Page, A. Silverberg, M. Stam, and D. Woodruff) Practical cryptography in high dimensional tori. In: *Advances in Cryptology — EUROCRYPT 2005*, R. Cramer, ed., Lect. Notes in Computer Science **3494**, New York: Springer (2005) 234–250.
- [49] (with B. Mazur) Organizing the arithmetic of elliptic curves. *Advances in Mathematics* **198** (2005) 504–546.
- [50] (with B. Mazur) Finding large Selmer groups. *Journal of Differential Geometry* **70** (2005) 1–22.
- [51] Appendix to: Anticyclotomic Iwasawa theory of CM elliptic curves, by A. Agboola and B. Howard. *Annales de l’Institut Fourier* **56** (2006) 1001–1048.

Publications (continued)

- [52] (with A. Silverberg) Twists of elliptic curves of rank at least four. In: *Ranks of elliptic curves and random matrix theory*, Conrey et al., eds., London Math. Soc. Lect. Notes **341**, Cambridge: Cambridge University Press (2007) 177–188.
- [53] Fudge factors in the Birch and Swinnerton-Dyer conjecture. In: *Ranks of elliptic curves and random matrix theory*, Conrey et al., eds., London Math. Soc. Lect. Notes **341**, Cambridge: Cambridge University Press (2007) 233–236.
- [54] (with B. Mazur and A. Silverberg) Twisting commutative algebraic groups. *Journal of Algebra* **314** (2007) 419–438.
- [55] (with B. Mazur) Finding large Selmer rank via an arithmetic theory of local constants. *Annals of Mathematics* **166** (2007) 579–612.
- [56] (with A. Silverberg) Compression in finite fields and torus-based cryptography. *SIAM Journal on Computing* **37** (2008) 1401–1428.
- [57] (with B. Mazur) Growth of Selmer rank in nonabelian extensions of number fields. *Duke Math. Journal* **143** (2008) 437–461.
- [58] (with A. Silverberg) Using abelian varieties to improve pairing-based cryptography. *Journal of Cryptology* **22** (2009) 330–364.
- [59] (with A. Silverberg) Point counting on reductions of CM elliptic curves. *Journal of Number Theory* **129** (2009) 2903–2923.
- [60] (with A. Silverberg) Choosing the correct elliptic curve in the CM method. *Mathematics of Computation* **79** (2010) 545–561.
- [61] (with B. Mazur) Ranks of twists of elliptic curves and Hilbert’s Tenth Problem. *Inventiones mathematicae* **181** (2010) 541–575.
- [62] (with B. Mazur) Refined class number formulas and Kolyvagin systems. *Compositio Mathematica* **147** (2011) 56–74.
- [63] (with D. Boneh and A. Silverberg) Finding composite order ordinary elliptic curves using the Cocks-Pinch method. *Journal of Number Theory* **131** (2011) 832–841.
- [64] Euler systems and Kolyvagin systems. In: *Arithmetic of L-functions*, IAS/Park City Mathematics Series **18**, Providence: American Mathematical Society (2011) 449–499.
- [65] (with Z. Klagsbrun and B. Mazur) Disparity in Selmer ranks of quadratic twists of elliptic curves. *Annals of Mathematics* **178** (2013) 287–320.
- [66] (with J. B. Friedlander, H. Iwaniec, and B. Mazur) The spin of prime ideals. *Inventiones mathematicae* **193** (2013) 697–749.
- [67] (with R. Greenberg, A. Silverberg, and M. Stoll) On elliptic curves with an isogeny of degree 7. *American J. Math.* **136** (2014) 77–109.

Publications (continued)

- [68] (with Z. Klagsbrun and B. Mazur) A Markov model for Selmer ranks in families of twists. *Compositio Math.* **150** (2014) 1077–1106.
- [69] (with B. Mazur) Selmer companion curves. *Trans. Amer. Math. Soc.* **367** (2015) 401–421.
- [70] (with B. Mazur) Controlling Selmer groups in the higher core rank case. *Journal de Théorie des Nombres de Bordeaux* **28** (2016) 145–183.
- [71] (with B. Mazur) Refined class number formulas for \mathbf{G}_m . *Journal de Théorie des Nombres de Bordeaux* **28** (2016) 185–211.
- [72] (with B. Mazur, and an appendix by M. Larsen) Diophantine stability. *American J. Math.* **140** (2018) 571–616.
- [73] (with B. Mazur) Arithmetic conjectures suggested by the statistical behavior of modular symbols, <https://arxiv.org/abs/1910.12798> To appear in *Experimental Mathematics*.
- [74] (with E. Rains, T. Scholl, S. Sharif, and A. Silverberg) Algebraic maps constant on isomorphism classes of unpolarized abelian varieties are constant, <https://arxiv.org/abs/1912.07081> To appear in *Algebra and Number Theory*.
- [75] (with B. Mazur) Big fields that are not large, *Proc. Amer. Math. Soc. (Series B)* **7** (2020) 159–169.
- Works in progress*
- [76] (with B. Mazur and A. Shlapentokh) Existential and first-order definability over algebraic extensions of \mathbf{Q} and diophantine stability