

# Math 206C: Algebra

## Midterm 1 (Canonical Forms): Things to Know

The goal of this document is to give a list of definitions and theorems related to our unit on Canonical Forms (Sections 12.2 and 12.3 of Dummit and Foote) that will be helpful to know for Midterm 1 on Friday, April 23. This document does not contain an Examples section, but I would like to emphasize that we went through several problems with particular linear transformations/matrices in lectures, and it would be good to review these.

### Canonical Forms

#### Definitions

1. Minimal polynomial of a linear transformation and of an  $n \times n$  matrix.
2. Characteristic polynomial of a linear transformation and of an  $n \times n$  matrix.
3. Eigenvalues and Eigenvectors of a linear transformation and of an  $n \times n$  matrix.
4. Eigenspace corresponding to the eigenvalue  $\lambda$  of a linear transformation. Eigenspace corresponding to the eigenvalue  $\lambda$  of an  $n \times n$  matrix.
5. Determinant of a linear transformation.
6. Companion matrix of a monic polynomial  $a(x)$ .
7. What it means for a matrix to be in rational canonical form. The rational canonical form of a linear transformation.
8. Invariant factors of a linear transformation and of an  $n \times n$  matrix.
9. Elementary divisors of a linear transformation and of an  $n \times n$  matrix.
10. Elementary row and column operations and the Smith Normal Form of a matrix. (Theorem 21 in Section 12.2)
11.  $k \times k$  elementary Jordan matrix with eigenvalue  $\lambda$ /Jordan block of size  $k$  with eigenvalue  $\lambda$ .
12. Jordan canonical form of a linear transformation and of an  $n \times n$  matrix.

#### Theorems

1. Theorem 5 of Section 12.1 applied to a finite dimensional  $F[x]$ -module  $V$ . (Invariant Factor Form)
2. Theorem 6 of Section 12.1 applied to a finite dimensional  $F[x]$ -module  $V$ . (Elementary Divisor Form)

3. Equivalent conditions for  $\lambda$  to be an eigenvalue of a linear transformation  $T$ . (Proposition 12 in Section 12.2)
4. Similar matrices have the same characteristic polynomial and the same minimal polynomial.
5. The existence and uniqueness of the rational canonical form for a linear transformation. (Theorem 14 in Section 12.2)
6. The existence and uniqueness of the rational canonical form for an  $n \times n$  matrix  $A$ . (Theorem 16 in Section 12.2)
7. The relationship between similarity and rational canonical form. (Theorem 17 in Section 12.2)
8. Let  $F$  be a field that is a subfield of a field  $K$ . The relationship between the rational canonical form of an  $n \times n$  matrix  $A$  with entries in  $F$  and the rational canonical form of  $A$  considered as a matrix with entries in  $K$ . (Corollary 18 in Section 12.2)
9. The characteristic polynomial is the product of all of the invariant factors. The Cayley-Hamilton theorem. The characteristic polynomial divides some power of the minimal polynomial. (Proposition 20 in Section 12.2.)
10. Invariant factors of  $2 \times 2$  matrices. Two  $3 \times 3$  matrices with the same minimal and characteristic polynomial have the same invariant factors. Example:  $4 \times 4$  matrices with the same minimal and characteristic polynomials that **do not** have the same invariant factors. (Lecture 3)
11. Interpretations of the constant coefficient and the  $x^{n-1}$  coefficient of the characteristic polynomial of an  $n \times n$  matrix. (Lecture 3)
12. The Invariant Decomposition Algorithm and the Elementary Divisor Decomposition Algorithm.
13. Determine all similarity classes of matrices  $A$  with entries in  $F$  with a given characteristic polynomial.
14. Determine all similarity classes of  $n \times n$  matrices  $A$  with entries in  $F$  satisfying a given equation, (Example:  $A^6 = I$ ).
15. The existence and uniqueness (up to permutation of the blocks) of the Jordan canonical form for a linear transformation.
16. The existence and uniqueness (up to permutation of the blocks) of the Jordan canonical form for an  $n \times n$  matrix.
17.  $A$  is similar to a diagonal matrix over  $F$  if and only if the minimal polynomial of  $A$  has no repeated roots.
18. The algorithm to change from one canonical form to another.