

## Math 121A, Homework 2

§1.7: 5, 7; §2.1: 1, 5, 6, 19, 20; §2.2: 2, 5, 14, 16; §2.3: 2, 4, 12, 13

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**1.7.5.** This was done in detail in discussion, so some details may need to be filled in by the student. First assume  $\beta$  is a basis. Since a basis spans, we only need to see that each nonzero  $x \in V$  has a unique representation as

$$x = c_1u_1 + \cdots + c_nu_n$$

where the  $c_i \in F$  are nonzero scalars and each  $u_i \in \beta$ . If not,  $x$  has two representations, say

$$c_1u_1 + \cdots + c_nu_n = x = d_1v_1 + \cdots + d_mv_m$$

where the scalars are nonzero and the vectors are from  $\beta$ . Since  $c_1 \neq 0$  we can divide by it and get

$$u_1 = \frac{1}{c_1} (d_1v_1 + \cdots + d_mv_m - c_2u_2 - \cdots - c_nu_n)$$

So  $u_1$  is a linear combination of vectors from  $\beta$ . This contradicts that  $\beta$  is linearly independent.

Now assume  $\beta$  has the property in the problem statement. We need to see that  $\beta$  is a basis. It is not hard to see that  $\beta$  spans, so we need to show linear independence. First note, that by the property on  $\beta$ , each vector  $u \in \beta$  has a unique representation as

$$u = 1 \cdot u$$

If  $\beta$  is not linearly independent, then there are vectors  $u, u_1, \dots, u_n \in \beta$  and nonzero scalars  $c_1, \dots, c_n$  such that

$$u = c_1u_1 + \cdots + c_nu_n$$

This contradicts  $u$  having the unique representation stated above.

**1.7.7.** This was done in detail in discussion, so some details may need to be filled in by the student. Note that  $S \cup \beta$  is a spanning set, since  $\beta$  is spanning, so by Theorem 1.12, it suffices to find a maximal linearly independent subset of  $S \cup \beta$ . Let

$$\mathcal{F} = \{S \cup B \subseteq S \cup \beta \mid S \cup B \text{ is linearly independent} \}$$

It is not hard to verify that  $\mathcal{F}$  has the maximal principle: if  $\mathcal{C} \subseteq \mathcal{F}$  is a chain, then an upperbound is the union of  $\mathcal{C}$ , one just needs to check that this union is an element of  $\mathcal{F}$ .

Since  $\mathcal{F}$  satisfies the maximal principle, it has a maximal element,  $S \cup B^*$ . By definition of  $\mathcal{F}$ ,  $S \cup B^*$  is linearly independent. To see it is a maximal linearly independent subset of  $S \cup \beta$ , suppose not. Then, there is  $v \in (S \cup \beta) - (S \cup B^*)$  such that  $S \cup B^* \cup \{v\}$  is linearly independent. But this contradicts the maximality of  $S \cup B^*$  in  $\mathcal{F}$ .

- 2.1.1.**
- a. True
  - b. False
  - c. False (need  $T$  to be linear)
  - d. True
  - e. False
  - f. False
  - g. True
  - h. False

**2.1.5.**  $T$  is linear: let  $p, q \in P_2(\mathbb{R})$  and  $c \in \mathbb{R}$ .

$$\begin{aligned} T(cp(x) + q(x)) &= x(cp(x) + q(x)) + (cp(x) + q(x))' \\ &= c xp(x) + xq(x) + cp'(x) + q'(x) \\ &= c(xp(x) + p'(x)) + xq(x) + q'(x) \\ &= cT(p(x)) + T(q(x)) \end{aligned}$$

If  $p(x) \in N(T)$ , then it is easy to see that  $p(x) = 0$ , so  $N(T) = \{0\}$  and the basis is  $\emptyset$ . Thus,  $T$  is injective.

Since  $T$  is injective, a basis for  $R(T)$  is  $\{T(1), T(x), T(x^2)\} = \{x, x^2 + 1, x^3 + 2x\}$ . By dimension considerations,  $T$  is not onto. And we also have

$$\text{rank}(T) + \text{nullity}(T) = 3 + 0 = 3 = \dim(P_2(\mathbb{R}))$$

**2.1.6.**  $T$  is linear: Let  $c \in F$  and  $A, B \in M_n(F)$ .

$$\begin{aligned} T(cA + cB) &= (cA_{11} + B_{11}) + (cA_{22} + B_{22}) + \dots + (cA_{nn} + B_{nn}) \\ &= c(A_{11} + A_{22} + \dots + A_{nn}) + (B_{11} + B_{22} + \dots + B_{nn}) \\ &= cT(A) + T(B) \end{aligned}$$

A basis for  $N(T)$  is the set of matrices  $A$  such that  $A$  has a 1 off its main diagonal, and 0 elsewhere, or  $A$  has a 1 in the top left and a  $-1$  in some other diagonal entry, and 0 everywhere else. More formally, a basis is

$$B = \{A_1^{j_*, k_*} \mid j_*, k_* \in \{1, \dots, n\} \text{ and } j_* \neq k_*\} \cup \{A_2^{j_*} \mid j_* = 2, \dots, n\}$$

$$(A_1^{j_*, k_*})_{jk} = \begin{cases} 1 & \text{if } (j, k) = (j_*, k_*) \\ 0 & \text{otherwise} \end{cases} \quad (A_2^{j_*})_{jk} = \begin{cases} 1 & \text{if } j = k = 1 \\ -1 & \text{if } j = k = j_* \\ 0 & \text{otherwise} \end{cases}$$

A basis for  $R(T) = \{1\}$ , so

$$\text{nullity}(T) + \text{rank}(T) = n^2 - 1 + 1 = n^2 = \dim(M_n(F))$$

Moreover,  $T$  is not injective, but it is surjective.

**2.1.19.** Define  $T : \mathbb{R}^3 \rightarrow \mathbb{R}^2$  by:

$$\begin{aligned} T(1, 0, 0) &= (0, 0) \\ T(0, 1, 0) &= (1, 0) \\ T(0, 0, 1) &= (0, 1) \end{aligned}$$

Define  $U : \mathbb{R}^3 \rightarrow \mathbb{R}^2$  by:

$$\begin{aligned} U(1, 0, 0) &= (0, 0) \\ U(0, 1, 0) &= (0, 1) \\ U(0, 0, 1) &= (1, 0) \end{aligned}$$

Then  $N(T) = \{(a, 0, 0) \mid a \in \mathbb{R}\} = N(U)$  and  $R(T) = \mathbb{R}^2 = R(U)$ . There are other examples of course.

**2.1.20.** Suppose  $V_1$  is a subspace of  $V$ . We have  $T(V_1)$  is a subspace of  $W$  by the subspace criterion:

- (a)  $0 \in V_1$  since  $V_1$  is a subspace, so  $0 = T(0) \in T(V_1)$ .
- (b) If  $T(x), T(y) \in T(V_1)$  then  $x, y \in V_1$  and since  $V_1$  is a subspace,  $x + y \in V_1$ . Hence,  $T(x) + T(y) = T(x + y) \in T(V_1)$  where the equality comes from linearity of  $T$ .
- (c) If  $T(x) \in T(V_1)$  and  $c \in F$  then  $cx \in V_1$  since it's a subspace, so  $cT(x) = T(cx) \in T(V_1)$  where again, the equality is from linearity.

Let  $T^{-1}(W_1) = \{x \in V \mid T(x) \in W_1\}$ . We have  $T^{-1}(W_1)$  is a subspace of  $V$  by the subspace criterion:

- (a)  $0 \in T^{-1}(W_1)$  since  $T(0) = 0 \in W_1$  because  $W_1$  is a subspace and  $T$  is linear.  $\implies 0 \in T^{-1}(W_1)$
- (b) If  $x, y \in T^{-1}(W_1)$  then  $T(x), T(y) \in W_1$ , so  $T(x + y) = T(x) + T(y) \in W_1$  since  $W_1$  is a subspace and  $T$  is linear. This gives  $x + y \in T^{-1}(W_1)$ .
- (c) If  $x \in T^{-1}(W_1)$  and  $c \in F$ , then  $T(cx) = cT(x) \in W_1$  since  $W_1$  is a subspace and  $T$  is linear. Hence,  $cx \in T^{-1}(W_1)$ .

- 2.2.2.**
- a.  $\begin{bmatrix} 2 & -1 \\ 3 & 4 \\ 1 & 0 \end{bmatrix}$
  - b.  $\begin{bmatrix} 2 & 3 & 1 \\ 1 & 0 & 1 \end{bmatrix}$
  - c.  $[2 \ 1 \ -3]$
  - d.  $\begin{bmatrix} 0 & 2 & 1 \\ -1 & 4 & 5 \\ 1 & 0 & 1 \end{bmatrix}$
  - e.  $\begin{bmatrix} 1 & 0 & & & \\ 1 & 0 & & & \\ 1 & 0 & 0 & & \\ \vdots & & & & \\ 1 & 0 & & & \end{bmatrix}$
  - f.  $\begin{bmatrix} & & & & & & & & 1 \\ & 0 & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & 1 & & \\ & & & & & & & & \\ & & & & & & & & \\ & & & & & & & & \\ 1 & & & & & & & & \end{bmatrix}$
  - g.  $[1 \ 0 \ 0 \ \dots \ 0 \ \dots \ 0 \ 0 \ 1]$

- 2.2.5.**
- a.  $\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$
  - b.  $\begin{bmatrix} 0 & 1 & 0 \\ 2 & 2 & 2 \\ 0 & 0 & 0 \\ 0 & 0 & 2 \end{bmatrix}$
  - c.  $[1 \ 0 \ 0 \ 1]$
  - d.  $[1 \ 2 \ 4]$
  - e.  $\begin{bmatrix} 1 \\ -2 \\ 0 \\ 4 \end{bmatrix}$
  - f.  $\begin{bmatrix} 2 \\ -6 \\ 1 \end{bmatrix}$

g.  $[a]$

**2.2.14.** Fix  $n \in \mathbb{N}$ . Suppose

$$a_1T_1 + a_2T_2 + \dots + a_nT_n = 0 \tag{1}$$

By induction on  $j \leq n$  we show  $a_j = 0$ .

Note that (1) means

$$a_1T_1(p(x)) + a_2T_2(p(x)) + \dots + a_nT_n(p(x)) = 0 \tag{2}$$

for every polynomial  $p(x) \in P(x)$ .

Base case ( $j = 1$ ):

Apply (2) to  $p(x) = x$ .

$$a_1T_1(x) + a_2T_2(x) + \dots + a_nT_n(x) = 0 \implies a_1 + 0 + \dots + 0 = 0 \implies a_1 = 0$$

Inductive step

Assume  $a_1, a_2, \dots, a_j = 0$ ; we want to show  $a_{j+1} = 0$ . Apply (2) to  $p(x) = x^{j+1}$ .

$$a_1T_1(x^{j+1}) + a_2T_2(x^{j+1}) + \dots + a_nT_n(x^{j+1}) = 0$$

$$\text{By assumption } a_1, a_2, \dots, a_j = 0, \text{ so } 0 + \dots + 0 + a_{j+1}T_{j+1}(x^{j+1}) + \dots + a_nT_n(x^{j+1}) = 0 \implies (j+1)a_{j+1} + 0 + \dots + 0 = 0 \implies a_{j+1} = 0$$

Hence, by induction,  $a_1, \dots, a_n = 0$ , so  $T_1, \dots, T_n$  are linearly independent.

**2.2.16.** This was done in detail in discussion, so some details may need to be filled in by the student. Assume  $\dim(V) = \dim(W) = n$ . Start with a basis  $\{v_1, \dots, v_m\}$  for  $N(T)$ . Inductively, for  $i = m+1, \dots, n$  find vectors

$$v_i \in V - \text{span}(v_1, \dots, v_m, \dots, v_{i-1})$$

Then,  $\beta = \{v_1, \dots, v_n\}$  is linearly independent, so is a basis for  $V$ . For  $i = m+1, \dots, n$ , let

$$w_i = T(v_i)$$

Show inductively that  $\{w_{m+1}, \dots, w_n\}$  is linearly independent. (If not,  $w_i = c_{m+1}w_{m+1} + \dots + c_{i-1}w_{i-1}$  use this to show  $v_i \in \text{span}(v_1, \dots, v_{i-1})$  which is a contradiction.) So  $\{w_{m+1}, \dots, w_n\}$  is a linearly independent set in  $W$ , so we can extend it to a basis  $\gamma = \{w_1, \dots, w_n\}$ . Then,

$$[T]_{\beta}^{\gamma} = [\vec{0} \quad \dots \quad \vec{0} \quad e_{m+1} \quad \dots \quad e_n]$$

where  $e_j$  is the  $j^{\text{th}}$  standard basis vector in  $F^n$  i.e. the vector with a 1 in slot  $j$  and 0 elsewhere, and  $\vec{0}$  denotes the zero vector in  $F^n$ .

**2.3.2.** a.  $A(2B+3C) = \begin{bmatrix} 20 & -9 & 18 \\ 5 & 10 & 8 \end{bmatrix}$

$$(AB)P = A(BD) = \begin{bmatrix} 29 \\ -26 \end{bmatrix}$$

b.  $A^t = \begin{bmatrix} 2 & -3 & 4 \\ 5 & 1 & 2 \end{bmatrix}$

$$A^t B = \begin{bmatrix} 23 & 19 & 40 \\ 26 & -1 & 10 \end{bmatrix}$$

$$BC^t = \begin{bmatrix} 12 \\ 16 \\ 29 \end{bmatrix}$$

$$CB = \begin{bmatrix} 27 & 7 & 9 \end{bmatrix}$$

$$CA = \begin{bmatrix} 20 & 26 \end{bmatrix}$$

**2.3.4.** a.  $\begin{bmatrix} 1 \\ -1 \\ 4 \\ 6 \end{bmatrix}$

b.  $\begin{bmatrix} -6 \\ 2 \\ 0 \\ 6 \end{bmatrix}$

c. [5]

d. [12]

**2.3.12.** a. Suppose  $x_1, x_2 \in V$  and  $x_1 \neq x_2$ . If  $T(x_1) = T(x_2)$ , then  $UT(x_1) = UT(x_2)$ . So  $UT$  is not injective. Contradiction.

No,  $U$  need not be injective. For example, let  $T : \mathbb{R} \rightarrow \mathbb{R}^2 : 1 \mapsto (1, 0)$  and let  $U : \mathbb{R}^2 \rightarrow \mathbb{R} : (1, 0) \mapsto 1, (0, 1) \mapsto 0$ . Then  $UT : \mathbb{R} \rightarrow \mathbb{R}$  is injective but  $U$  is not.

b. Let  $z \in Z$ . Then there is  $v \in V$  such that  $UT(v) = z$ . So if  $T(v) = w \in W$ , then  $U(w) = z$ . So  $U$  is surjective.

No,  $T$  need not be surjective. The previous example works here too.

c.  $UT$  is injective: if  $UT(v) = 0$ , then  $T(v) \in N(U)$ .  $N(U) = \{0\}$  by injectivity of  $U$ , so  $T(v) = 0$ . Similarly, injectivity of  $T$  gives that  $v = 0$ . So  $N(UT) = \{0\}$ .

$UT$  is surjective: If  $x \in Z$ , then there is  $w \in W$  such that  $U(w) = x$ . Also, there is  $v \in V$  such that  $T(v) = w$ . Hence,  $UT(v) = U(T(v)) = U(w) = x$ .

**2.3.13.** (i) Using properties of summations and matrix multiplication:

$$\begin{aligned} \operatorname{tr}(AB) &= \sum_{l=1}^n (AB)_{ll} \\ &= \sum_{l=1}^n \left( \sum_{k=1}^n A_{lk} B_{kl} \right) \\ &= \sum_{k=1}^n \sum_{l=1}^n A_{lk} B_{kl} \\ &= \sum_{k=1}^n \sum_{l=1}^n B_{kl} A_{lk} \\ &= \sum_{k=1}^n \left( \sum_{l=1}^n B_{kl} A_{lk} \right) \\ &= \sum_{k=1}^n (BA)_{kk} \\ &= \operatorname{tr}(BA) \end{aligned}$$

(ii) Note that,  $(A^t)_{ii} = A_{ii}$  for  $i = 1, \dots, n$ . So,

$$\operatorname{tr}(A^t) = \sum_{i=1}^n A_{ii}^t = \sum_{i=1}^n A_{ii} = \operatorname{tr}(A)$$