## $\mathrm{MIP}^{*}=\mathrm{RE}$

## Isaac Goldbring

University of California, Irvine


## ASL North American Annual Meeting <br> Cornell University April 7, 2022

## 1 Nonlocal games

## 2 A quantum detour

## $3 \mathrm{MIP}^{*}=\mathrm{RE}$

## 4 A few words about the proof of MIP* $=$ RE

## Alice and Bob against the world



■ Alice and Bob are two cooperating but noncommunicating players playing a game against a "referee."

- They are each asked a question $x, y \in[k]:=\{1, \ldots, k\}$ randomly according to some probability distribution $\pi$ on $[k] \times[k]$.
- Somehow they return answers $a, b \in[n]$ respectively.
- There is a function $D:[k]^{2} \times[n]^{2} \rightarrow\{0,1\}$, called the decision predicate, which determines if they win this round of the game, that is, they win if and only if $D(x, y, a, b)=1$
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## Strategies for nonlocal games

- Alice and Bob can meet before the game to decide on a strategy for playing $\mathfrak{G}$ that they will use before the game.
describing the conditional probability they respond with answers $(a, b) \in[n]^{2}$ given that they are asked questions $(x, y) \in[k]^{2}$.
- Given a strategy $p$, the value of the game $\mathfrak{G}$ with respect to $p$ is the quantity

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■ $\operatorname{val}(\mathfrak{G}, p)$ measures the expected probability of winning the game if they play according to the strategy $p$.

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■ For us, a strategy will simply be a matrix $p(a, b \mid x, y) \in[0,1]^{k^{2} n^{2}}$ describing the conditional probability they respond with answers $(a, b) \in[n]^{2}$ given that they are asked questions $(x, y) \in[k]^{2}$.
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\operatorname{val}(\mathfrak{G}, p):=\sum_{(x, y) \in[k]^{2}} \pi(x, y) \sum_{(a, b) \in[n]^{2}} p(a, b \mid x, y) D(a, b, x, y)
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## Classical strategies for nonlocal games

$\square$ A deterministic strategy is given by a pair of functions $A, B:[k] \rightarrow[n]$ such that

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p(A(x), B(y) \mid x, y)=1 \text { for all }(x, y) \in[k]^{2} .
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■ A classical (or local) strategy is given by a probability space $(\Omega, \mu)$ together with pairs of functions $A_{\omega}, B_{\omega}:[k] \rightarrow[n]$ such that $p(a, b \mid x, y)=\mu\left(\left\{\omega \in \Omega: A_{\omega}(x)=a\right.\right.$ and $\left.\left.B_{\omega}(y)=b\right\}\right)$.

- $C_{\text {loc }}(k, n) \subseteq[0,1]^{k^{2} n^{2}}$ denotes the set of classical strategies. It is the convex hull of the set $C_{\text {det }}(k, n)$ of determinstic strategies.
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■ The classical value of $\mathfrak{G}$ is the quantity

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\operatorname{val}(\mathfrak{G}):=\sup _{p \in C_{\mathrm{loc}}(k, n)} \operatorname{val}(\mathfrak{G}, p)=\sup _{p \in C_{\operatorname{det}}(k, n)} \operatorname{val}(\mathfrak{G}, p)
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## The CHSH game

## Example

The CHSH game (named after Clauser, Horne, Shimony, and Holt) is the game $\mathfrak{G}_{\mathrm{CHSH}}$ with $k=n=2$ and such that:
$\square$ If $x=1$ or $y=1$, then Alice and Bob win if and only if their answers agree.
■ If $x=y=2$, then Alice and Bob win if and only if their answers disagree.
By inspecting all deterministic strategies, one sees that

$$
\operatorname{val}\left(\mathfrak{G}_{\mathrm{CHSH}}\right)=\frac{3}{4}
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## The spin of an electron



- An electron can have one of two spins: "up" or "down."
- At any given moment, however, it does not have a definite spin and instead is in a superposition of the two spins, as represented by the linear combination $\alpha \mid$ up $\rangle+\beta \mid$ down $\rangle \in \mathbb{C}^{2}$, where $\mid$ up $\rangle$ and down) are two orthogonal vectors in $\mathbb{C}^{2}$ and $\alpha, \beta \in \mathbb{C}$ are such that $|\alpha|^{2}+|\beta|^{2}=1$
- If it is not disturbed, its state evolves linearly according to the Shrödinger equation.
- However, when it is measured, its state randomly and discontinuously jumps to one of the two definite spin states |up〉 or down $\rangle$ with probabilities $|\alpha|^{2}$ and $|\beta|^{2}$ respectively;


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## Recommended summer reading



THE UNFINISHED QUEST FOR THI MEANING OF QUANTUM PHYSICS

ADAM BECKER
the conceptual foundations of quantum mechanics



## More summer reading (shameless plug)

| onsums muest 220 |
| :--- | :--- |
| Ultrafilters |
| Throughout |
| Mathematics |
| Isaac Goldbring |

## General quantum systems

- Associated to a quantum system is its state space, which is a complex Hilbert space $H$.
- The state of the system at any given moment is described by a unit vector $\xi \in H$, which evolves linearly until it is measured. A measurement with $n$ outcomes is a tuple $M_{1}, \ldots, M_{n} \in B(H)$ such that, upon measurement, the probability of outcome $i$ occurring is given by $\left\|M_{i} \xi\right\|^{2}$, in which case the state of the system jumps to $\frac{M_{i} \xi}{\left\|M_{i} ;\right\|}$. (Born rule)
- For these to determine legitimate probabilities, for all unit vectors $\xi \in H$, one must have



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1=\sum_{i=1}^{n}\left\|M_{i} \xi\right\|^{2}=\sum_{i=1}^{n}\left\langle M_{i}^{*} M_{i} \xi, \xi\right\rangle
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## POVMs and PVMs

■ If one only cares about the statistics of the outcomes of a measurement (like us!), then we can simplify matters by assuming that each measurement operator is positive.

- A POVM (positive operator-valued measure) of length $n$ is a collection $A_{1}, \ldots, A_{n}$ of positive operators on $H$ such that $\sum_{i=1}^{n} A_{i}=I_{H}$.
- On state $\xi$, the probability outcome $i$ occurs is given by $\left\langle A_{i} \xi, \xi\right\rangle$
- If each $A_{i}$ is actually a projection, we speak of PVMs (projection-valued measures). This is the same as an orthogonal decomposition of $H$ into $n$ orthogonal subspaces.
- The case of the spin of an electron was a PVM corresponding to the one-dimensional subspaces spanned by |up $\rangle$ and $\mid$ down $\rangle$


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## The EPR state



■ Another axiom of quantum mechanics is that if $H_{A}$ and $H_{B}$ are the state spaces for two quantum systems, then the state space for their composite system is given by $H_{A} \otimes H_{B}$.

- Thus, the state space for two electrons is given by $\mathbb{C}^{2} \otimes \mathbb{C}^{2} \cong \mathbb{C}^{4}$.

■ The EPR state is given by $\left.\psi_{E P R}=\frac{1}{\sqrt{2}}|u p\rangle|u p\rangle+\frac{1}{\sqrt{2}} \right\rvert\,$ down $\rangle \mid$ down $\rangle$

- It was used by Einstein. Podolsky, and Rosen in their famous paper arguing that quantum mechanics was incomplete!
- The spookiness of entanglement!


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- Upon receiving question $x \in[k]$, Alice will perform a POVM $A^{x}=\left(A_{1}^{x}, \ldots, A_{n}^{x}\right)$ on her part of $\xi$ to decide which answer to give.
- Bob similarly has a POVM $B^{y}=\left(B_{1}^{y}\right.$ $B_{n}^{y}$ ) for measuring on his part of $\xi$.
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- We then have $p(a, b \mid x, y)=\left\langle\left(A_{a}^{x} \otimes B_{b}^{y}\right) \xi, \xi\right\rangle$.


## The entangled value of a nonlocal game

■ $C_{q}(k, n)$ denotes the set of strategies for which there are:

- finite-dimensional Hilbert spaces $H_{A}$ and $H_{B}$,

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## CHSH, EPR, and Bell's Theorem



## Theorem (Bell's Theorem)

$\operatorname{val}^{*}\left(\mathfrak{G}_{\mathrm{CHSH}}\right)>\operatorname{val}\left(\mathfrak{G}_{\mathrm{CHSH}}\right)$.

■ Recall val $\left(\mathfrak{G}_{\mathrm{CHSH}}\right)=\frac{3}{4}$.
■ However, there is an entangled strategy $p$, based on the EPR state $\psi_{\text {EPR }}$, such that $\operatorname{val}(\mathfrak{G}, p)=\cos ^{2}\left(\frac{\pi}{8}\right) \approx 0.85$ (which equals val ${ }^{*}\left(\mathfrak{G}_{\text {CHSH }}\right)$ by a result of Tsirelson).
■ This inequality showed that EPR were wrong!

## How hard is it to compute val* ${ }^{*}(\mathfrak{G})$ ?

■ One can effectively compute lower bounds for val* ${ }^{*}(\mathfrak{G})$ uniformly in $\mathfrak{G}$ :

- Given some dimension d, you can enumerate a computable sequence of finite nets $N_{1}^{d} \subseteq N_{2}^{d} \subseteq \cdots$ over all states and POVMs in dimension $d$ with $\left|N_{m}^{d}\right|=m^{O\left(d^{2}\right)}$ such that for any $p \in C_{a}(k, n)$ based on a $d$-dimensional strategy and any $m$, there is $q \in N_{m}^{d}$ with $|\operatorname{val}(\mathfrak{G}, p)-\operatorname{val}(\mathfrak{G}, q)|<\frac{1}{m}$.
- Set

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\operatorname{val}^{\eta}(\mathfrak{G}, p)=\max _{d, m \leq n} \max _{p \in N_{m}^{d}} \operatorname{val}(\mathfrak{G}, p) .
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- Then $\operatorname{val}^{n}(\mathfrak{G}, p)$ is computable and $\operatorname{val}^{n}(\mathfrak{G}, p) \nearrow \operatorname{val}(\mathfrak{G})$.
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## $\mathrm{MIP}^{*}=\mathrm{RE}$



Theorem (Ji, Natarajan, Vidick, Wright, Yuen (2020))
There is an effective mapping $\mathcal{M} \mapsto \mathfrak{G}_{\mathcal{M}}$ from Turing machines to nonlocal games such that:

■ If $\mathcal{M}$ halts, then val $\left(\mathfrak{G}_{\mathcal{M}}\right)=1$.

- If $\mathcal{M}$ does not halt, then val ${ }^{*}\left(\mathfrak{G}_{\mathcal{M}}\right) \leq \frac{1}{2}$.


## 1 Nonlocal games

## 2 A quantum detour

$3 \mathrm{MIP}^{*}=\mathrm{RE}$

4 A few words about the proof of MIP* $=$ RE

## Uniform game sequences

## Definition

A uniform game sequence (UGS) is an infinite sequence $\overline{\mathfrak{G}}:=\left(\mathfrak{G}_{1}, \mathfrak{G}_{2}, \ldots,\right)$ of nonlocal games for which there is a single Turing machine $V$ which computes in time poly $(\log n)$ :

■ The number of questions and answers in $\mathfrak{G}_{n}$.
■ A Turing machine which specifies the probability distribution for $\mathfrak{G}_{n}$.
■ A Turing machine which specifies the decision predicate for $\mathfrak{G}_{n}$.

## Entanglement lower bound for nonlocal games

## Definition

Given a nonlocal game $\mathfrak{G}$ and $r \in[0,1]$, we set $\mathcal{E}(\mathfrak{G}, r)$ to be the minimum dimension $d$ for which there exists a strategy $p \in C_{q}$ based on $d$-dimensional Hilbert spaces so that $\operatorname{val}(\mathfrak{G}, p) \geq r$.

## Example

- $\mathcal{E}^{\left(\mathrm{C}_{\mathrm{CHSH}}, \frac{3}{4}\right)=0}$
$2 \mathcal{E}\left(\mathfrak{G}_{\mathrm{CHSH}}, \cos ^{2}\left(\frac{\pi}{8}\right)\right)=2$$\mathcal{E}\left(\mathfrak{G}_{\mathrm{CHSH}}, 1\right)=\infty$


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## Compression theorem for nonlocal games

## (

## Theorem

There exists an algorithm $C$ such that upon input a Turing machine $V$ describing a UGS $\overline{\mathfrak{G}}$ with each $\mathfrak{G}_{n}$ of "complexity" at most $O\left(n^{2}\right)$ outputs a Turing machine $V^{\prime}$ describing a UGS $\overline{\mathfrak{G}^{\prime}}$ of polynomial-time computable games such that:

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E $\left(\mathfrak{G}_{n}^{\prime}, \frac{1}{2}\right) \geq \max \left\{\mathcal{E}\left(\mathfrak{G}_{n}, \frac{1}{2}\right), n\right\}$.
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## MIP* $^{*}=$ RE from Compression: Part I

■ Given $\mathcal{M}$, we define a Turing machine $V^{\mathcal{M}}$ which computes a UGS $\overline{\mathfrak{G}} \mathcal{M}=\left(\mathfrak{G}_{1}, \mathfrak{G}_{2}, \ldots\right)$.

- Here is how $\mathfrak{G}_{n}$ looks:
- Run $\mathcal{M}$ on the empty input for $n$ time steps. If $\mathcal{M}$ halts, then victory!
- If not, run $C$ on $V^{\mathcal{M}}$ to get $V^{\prime}:=\left(V^{\mathcal{M}}\right)^{\prime}$ which computes the UGS
- Then play $\mathfrak{G}_{n+1}^{\prime}$.
- This is self-referential, but we are used to that :)
- The compression algorithm is indeed applicable (check execution times of the various steps...)
- Define $\mathfrak{G}_{\mathcal{M}}:=\mathfrak{G}_{1}$.
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## MIP* $=$ RE from Compression: Part II

■ Case 1: $\mathcal{M}$ halts, say in $T$ steps.

- Then val* $\left(\mathfrak{G}_{n}\right)=1$ for all $n \geq T$.

■ What about $n<T$ ?
■ For $n<T$, val ${ }^{*}\left(\mathfrak{G}_{n}\right)=\operatorname{val}^{*}\left(\mathbb{G}_{n+1}^{\prime}\right)$.

- So val* $\left(\mathfrak{G}_{T-1}\right)=\operatorname{val}^{*}\left(\mathfrak{G}_{T}^{\prime}\right)=1$ since val* $\left(\mathfrak{G}_{T}\right)=1$ (preservation of perfect completeness).
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## MIP* $=$ RE from Compression: Part III

■ Now suppose that $\mathcal{M}$ does not halt.
Then val ${ }^{*}\left(\mathfrak{G}_{n}\right)=\operatorname{val}^{*}\left(\mathscr{G}_{n+1}^{\prime}\right)$ and $\mathcal{E}\left(\mathfrak{G}_{n}, r\right)=\mathcal{E}\left(\mathfrak{G}_{n+1}^{\prime}, r\right)$ for all $n \in \mathbb{N}$ and $r \in[0,1]$.
$\square \mathcal{E}\left(\mathfrak{G}_{n+1}^{\prime}, \frac{1}{2}\right) \geq \mathcal{E}\left(\mathfrak{G}_{n+1}, \frac{1}{2}\right)=\mathcal{E}\left(\mathfrak{G}_{n+2}^{\prime}, \frac{1}{2}\right) \geq \mathcal{E}\left(\mathfrak{G}_{n+2}, \frac{1}{2}\right)$
$\therefore \mathcal{E}\left(\mathfrak{G}_{n}, \frac{1}{2}\right) \geq \mathcal{E}\left(\mathfrak{G}_{m}^{\prime}, \frac{1}{2}\right)$ for all $m>n$.

- OTOH $\mathcal{E}\left(\mathfrak{G}_{m}^{\prime}, \frac{1}{2}\right) \geq m$ for all $m \in \mathbb{N}$.

■ Therefore $\mathcal{E}\left(\mathfrak{G}_{n}, \frac{1}{2}\right)=\infty$ for all $n \in \mathbb{N}$ and thus


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$\square \mathcal{E}\left(\mathfrak{G}_{n+1}^{\prime}, \frac{1}{2}\right) \geq \mathcal{E}\left(\mathfrak{G}_{n+1}, \frac{1}{2}\right)=\mathcal{E}\left(\mathfrak{G}_{n+2}^{\prime}, \frac{1}{2}\right) \geq \mathcal{E}\left(\mathfrak{G}_{n+2}, \frac{1}{2}\right) \cdots$

# $\mathcal{E}\left(\mathfrak{G}_{n}, \frac{1}{2}\right) \geq \mathcal{E}\left(\mathfrak{G}_{m}^{\prime}, \frac{1}{2}\right)$ for all $m>n$. <br> - OTOH $\mathcal{E}\left(\mathfrak{G}_{m}^{\prime}, \frac{1}{2}\right) \geq m$ for all $m \in \mathbb{N}$. <br> - Therefore $\mathcal{E}\left(\mathfrak{G}_{n}, \frac{1}{2}\right)=\infty$ for all $n \in \mathbb{N}$ and thus 



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■ $\therefore \mathcal{E}\left(\mathfrak{G}_{n}, \frac{1}{2}\right) \geq \mathcal{E}\left(\mathfrak{G}_{m}^{\prime}, \frac{1}{2}\right)$ for all $m>n$.

- $\mathrm{OTOH} \mathcal{E}\left(\mathfrak{G}_{m}^{\prime}, \frac{1}{2}\right) \geq m$ for all $m \in \mathbb{N}$.

■ Therefore $\mathcal{E}\left(\mathfrak{G}_{n}, \frac{1}{2}\right)=\infty$ for all $n \in \mathbb{N}$ and thus

$$
\operatorname{val}^{*}\left(\mathfrak{G}_{\mathcal{M}}\right)=\operatorname{val}^{*}\left(\mathfrak{G}_{1}\right)<\frac{1}{2}
$$

## Hand-waving about the proof of the Compression Theorem

■ Question reduction
■ Get the players to sample questions for themselves.
■ Uses rigidity of nonlocal games and the Heisenberg uncertainty principle.
■ Brings the sampler complexity down from poly $(n)$ to poly $(\log n)$.

- Answer reduction
- The players must now also compute the decision predicate
$D_{n}(x, y, a, b)$ for themselves
- They must include a succint proof that they computed $D_{n}$ correctly
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