

# STATEMENTS OF CULMINATING SIGNIFICANCE FROM CLASS WORK ON THE PROJECTS

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## 1. SOLVING PELL'S EQUATION

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I will show the historical progress on understanding solutions of

$$\{(x, y) \in R \mid P(x, y) = 0\} - P \text{ irreducible over } \mathbb{Q}.$$

Here  $R$  will have two cases  $\mathbb{Z}$  and  $\mathbb{Q}$ . The distinction will be whether there are finitely or infinitely many solutions.

Further, I will first illustrate the major results with  $P$  running over just 3 cases:

$$P_{u,N} = x^u - Ny^u - 1, u = 2, 3, 4, \text{ with } N \text{ squarefree.}$$

1.1.  $P_{2,N}$ : **Pell's equation.** The group showed there were always infinitely many solutions. In my outline of the project, I showed the key idea in mathematics:

Break the goal into separate steps.

(1.1a) The box principle: Choose any large integer  $M$ . With each  $b \leq M+1$ , for some  $a$ ,  $|a - b\sqrt{N}| < 1$ . So there two pairs  $(a, b)$  and  $(a', b')$  with  $x = a - a'$ ,  $y = b - b'$ ,  $|x - y\sqrt{N}| < 1/M$ .

(1.1b) For  $M$  in (1.1a), plug  $\frac{x}{y}$  into Pell's equation and clear denominators for

$$|x^2 - Ny^2| \leq 3\sqrt{N} : \text{a finite number of } k \text{ values on the right side.}$$

(1.1c) From (1.1b), changing  $M$ , gives  $\infty$ -ly many solutions to the collection

$\{x^2 - Ny^2 = k \mid k \leq 3\sqrt{N}\} : \text{For some } k = k', x^2 - Ny^2 = k' \text{ has } \infty\text{-ly many solutions.}$

(1.1d) The Pell Equation project description noted that (1.1c)  $\implies$  you have infinitely many pairs  $(x_i, y_i)$  of solutions of  $x^2 - Ny^2 = k'$  with  $x_i \bmod k' \equiv a$  and  $y_i \bmod k' \equiv b$  constant (with  $i$ ).

(1.1e) Take two of these, say  $(a_1, b_1)$  and  $(a_2, b_1)$  from (1.1d). Then

$$\left(\frac{a_1 - \sqrt{N}b_1}{a_2 - \sqrt{N}b_2}\right) \left(\frac{a_1 + \sqrt{N}b_1}{a_2 + \sqrt{N}b_2}\right) = \frac{k'}{k'} = 1.$$

Finally, just look separately at the left term  $\frac{a_1 - \sqrt{N}b_1}{a_2 - \sqrt{N}b_2}$  multiplied by  $\frac{a_2 + \sqrt{N}b_2}{a_2 + \sqrt{N}b_2}$ . You get  $k'$  in the denominator, and  $(a_1a_2 - b_1b_2N) + (a_1b_2 - a_2b_1)\sqrt{N}$  in the numerator.

The result, from (1.1d): Both coefficients are  $\equiv 0 \bmod k'$  and the result is  $x' + y'\sqrt{N}$  with  $x'$  and  $y'$  are integers. Similarly with the left term, concluding finding integer solutions for Pell's equation.

The genus of  $P(x, y)_{2,N}$  is 0. Diophantus' method showed it had infinitely many solutions in  $\mathbb{Q}$ . Now we know it has infinitely many solutions in  $R = \mathbb{Z}$ .

**1.2. Look at  $P(x, y)_{3,N}$ .** Its genus is 1. When you try (1.1a) on  $N^{\frac{1}{3}}$  it won't work. Another diophantine result shows the truth goes in the other direction. This is the *Thue-Siegel-Roth Theorem*. The conclusion from this is that  $P(x, y)_{3,N}$  has only *finitely* many solutions.

For  $f(y)$  irreducible,  $F(x, y)$  its homogenization, Thue-Siegel-Roth says [Mont91]:

$$|F(x, y)| > \max(|x|, |y|)^{d-2-\epsilon} \text{ for } \max(|x|, |y|) > C, C = C(f, \epsilon).$$

Immediately, if  $g(x, y)$  has  $\deg(g) < d - 2$ , then  $F(x, y) = g(x, y)$  has  $< \infty$ -ly many integer solutions. Siegel (1938) eventually used this result to show that

any  $P(x, y)$  of genus  $\geq 1$  has only finitely many solutions in  $\mathbb{Z}$ .

. This required immensely new ideas about how to treat algebraic equations based on Riemann's ideas.

**1.3. Look at  $P(x, y)_{4,N}$ .** It has genus  $> 1$ : its genus  $\mathbf{g}$  is given by

$$2(4 + \mathbf{g} - 1) = 4 \cdot 3, \text{ or } \mathbf{g} = 3.$$

Faltings in the early 1980s showed what was then called Mordell's Conjecture.

Any  $P$  of genus  $> 1$  has only finitely many  $\mathbb{Q}$  solutions.

## 2. PROJECTIVE GEOMETRY

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**2.1. Context of so many perspectives.** Here is how I interpret the attempt of so many artists to show you the result of forcing different perspectives (from different points of view) into their works.

No one perspective works; but in some world you can see them all together.

The mathematical world is that of projective space, for which there is  $\mathbb{CP}^1$ , the projective line,  $\mathbb{CP}^2$ , the projective plane, and in general  $\mathbb{CP}^n$ , projective  $n$ -space.

**2.2. What remains when you change perspectives?** Alberti's view of points at  $\infty$  represented a point of intersection of all lines parallel to a given one. The collection of points at  $\infty$  from Alberti's view becomes a line in the complex plane  $\mathbb{CP}^2$ , for which we can give coordinates by writing it as

$$L_z = \{(x, y, z) \neq \mathbf{0} \mid z = 0\}.$$

A curve, from a homogeneous equation  $p(x, y, z) = 0$ , in this plane will hit only finitely many of the points at  $\infty$  unless when  $z = 0$ ,  $p(x, y, 0)$  is always 0.

**2.3. Rational points.** On the points of  $p(x, y, z) = 0$ , there is no difference between talking about points over  $\mathbb{Q}$  and points over  $\mathbb{Z}$ . Why? On, however,

$$C = \{(x, y) | p(x, y, 1) = P(x, y) = 0,$$

there is a big difference. If for example, the genus of  $C$  is 1 then there is never more than finitely many points in  $\mathbb{Z}$  (Siegel's theorem, §1.2). Yet, there are many genus 1 such  $P$  for which there are  $\infty$ -ly many  $\mathbb{Q}$  points.

The key is this. If we declare that  $L_{a,b,c} = \{(x, y, z) \in \mathbb{CP}^2\}$  is the line at  $\infty$ , then the (projective) curve for  $p(x, y, z) = 0$  restricted to  $\mathbb{CP}^2 \setminus L_{a,b,c}$  – called the affine piece – can look very different than that for  $P(x, y)$ . Stillwell was talking about this when he said all the conic sections are really very similar, even though they don't look alike. Indeed, if  $a, b, c \in \mathbb{Q}$ , and if  $C$  has infinitely many  $\mathbb{Q}$  points, then the affine piece on  $\mathbb{CP}^2 \setminus L_{a,b,c}$  will also have  $\infty$ -ly many  $\mathbb{Q}$  points.

### 3. BEZOUT'S THEOREM

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We never quite finished the connection between the resultant  $r(x, z)$  and counting the number of points of intersection of two curves  $C_1$  and  $C_2$  given respectively by

$$C_i = \{(x, y, z) \in \mathbb{CP}^2 \mid p_i(x, y, z) = 0\}, i = 1, 2$$

with  $p_1$  homogeneous of degree  $m$  and  $p_2$  homogeneous of degree  $n$ .

Make this connection in the following case:

$$p_1 = y^m - u_1(x, z), p_2 = y^n - u_2(x, z),$$

$u_1$  homogenous of degree  $m$ ,  $u_2$  homogenous of degree  $n$ , in  $x, z$ .

### 4. GROUP OF AN EQUATION

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**4.1. Groups and resulting mathematical directions.** I intended this presentation to lead into a discussion of the two roads on which mathematicians embarked in the years after Galois introduced his group  $G_P$  attached to an irreducible polynomial  $P$  over a field  $F$ .

(4.1a) When  $F = \mathbb{Q}$ : The biggest immediate direction was toward investigating when  $G_P$  is abelian.

(4.1b) When  $F = \mathbb{C}(x)$ : That direction was toward how to put together the equations  $P$  that give any group  $G$  as  $G_P$ .

Galois solved the famous problem: When can roots of  $P$  in case (4.1a) be written as functions from taking  $m$ th roots running over any possible  $m$ . His solution was that  $G_P$  is solvable. Solvable groups still have a mysterious place dividing abelian groups from simple groups; even which they are closer is not resolved, if you also exclude a special case of groups called *nilpotent* among the solvable groups.

Tying the two topics of (4.1) together in the lectures was the idea that both benefited from using the appearance of the complex numbers  $e^{\frac{2\pi i}{n}}$ , solutions of

$y^n - 1$ . The presentation on *Riemann and the Genus* took up (4.1b). The class lectures tried to use (4.1a) with the success of the Kronecker Weber theorem: all  $G_P$  abelian arose because the zeros of  $P$  were in the field  $\mathbb{Q}(e^{\frac{2\pi i}{n}})$  for some  $n$ .

**4.2. The project was intended to feature two parts.** Consider an irreducible polynomial  $P$ , of degree  $n$ , over  $\mathbb{Q}$ . Denote the complete collection of zeros of  $P$  by  $y_1, \dots, y_n$ . Then, by substituting  $y_{k'}$  for  $y_k$  in the vector space (over  $\mathbb{Q}$ )

$$L_{y_k} \stackrel{\text{def}}{=} \left\{ \sum_{j=0}^{n-1} a_j y_k^j \mid a_j \in \mathbb{Q} \right\}$$

you get a vector space isomorphism  $u_{k,k'} : L_{y_k} \rightarrow L_{y_{k'}}$  that sends the basis for  $L_{y_k}$  to the basis for  $L_{y_{k'}}$ . Every element in  $L_{y_k}$  is a polynomial  $g(y)$  evaluated at  $y_k$ .<sup>1</sup> Then, these hold:

- (4.2a) It is automatically a ring isomorphism –  $g_1(y_k)g_2(y_k) \mapsto g_1(y_{k'})g_2(y_{k'})$ .
- (4.2b) From the Euclidean Algorithm, because  $P$  is irreducible, all elements (except 0) in the ring are invertible. So it is a field isomorphism.
- (4.2c) It is easy to give examples, though, where  $L_{y_k}$  is different from  $L_{y_{k'}}$ .<sup>2</sup>

This idea of a vector space isomorphism is from your linear algebra course. All these vector spaces,  $k = 1, \dots, n$ , are in the complex numbers  $\mathbb{C}$ . Take all the sums and products of all the elements in the spaces  $L_{y_1}, \dots, L_{y_n}$ . The result is still in  $\mathbb{C}$ , and it is called  $\mathbb{Q}(y_1, \dots, y_n)$ . It is a vector space, too, containing all the vector spaces  $L_k$ . The project described finding a linear combination  $\alpha = \sum a_k y_k$  ( $a_k$  s in  $\mathbb{Q}$ ) of  $y_1, \dots, y_n$  for which:

$$\text{with } y_1 \text{ replaced by } \alpha \quad L_\alpha = \mathbb{Q}(y_1, \dots, y_n).$$

*The primitivity condition::* Such an  $\alpha_1$  is called a primitive element. Then,  $\alpha = \alpha_1$  satisfies an irreducible polynomial,  $P_\alpha$ . Elements of Galois' group,  $G_P$ , correspond to the roots  $\alpha_1, \dots, \alpha_N$  of  $P_\alpha$  with  $\deg(P_\alpha) \stackrel{\text{def}}{=} N$  by mapping  $\alpha_1 \rightarrow \alpha_k$  because this maps  $\mathbb{Q}(y_1, \dots, y_n)$  onto itself, permuting the zeros,  $y_1, \dots, y_n$ , of  $P$ .

That is, any element  $\tau \in G_P$  is a permutation of  $y_1, \dots, y_n$ , and so an element of  $S_n$ . Importantly, for some  $P$  not all permutations of  $S_n$  are in  $G_P$ . That happens exactly when  $N < n!$ .

(4.3a) Use  $\mathbb{Q}(e^{\frac{2\pi i}{n}})$  as an example of when the primitivity condition holds.

(4.3b) Actually consider – in special cases – what is the group  $G_{P_n}$  if  $P_n$  is the irreducible factor of  $y^n - 1$  having  $\alpha_n \stackrel{\text{def}}{=} e^{\frac{2\pi i}{n}}$  as a zero.

Yechun considered, in particular ways, both topics. My comments enhance his two topics. In class we learned that in case (4.3)  $G_P$  is a subgroup of the integers prime to  $n$ ,  $G \stackrel{\text{def}}{=} (\mathbb{Z}/n)^*$ , an abelian group under multiplication. For  $n = p^2$  with  $p$  an odd prime, the order of this group – number of elements – is  $p^2 - p = (p-1)p$ . In class we discussed – using very simple algebra – the following topics.

- (4.4a) If there is  $\tau_1, \tau_2 \in G$  with the order of  $\tau_1$  ( $\text{ord}(\tau_1)$ ) equal  $p-1$  and  $\text{ord}(\tau_2) = p$ , then  $\tau = \tau_1 \tau_2$  has order  $p^2 - p$ .

<sup>1</sup>This is the analog of how we substituted  $-\sqrt{N}$  for  $\sqrt{N}$  when we discussed Pell's equation.

<sup>2</sup>Hint: This is the case for  $y^3 - N$ , with  $N$  any cube-free integer where  $y_1$  is the real root. Why?

- (4.4b) From (4.4a)  $G$  is generated by  $\tau$  and so it is a cyclic group.
- (4.4c) Further, if another group  $G^*$  is cyclic of order  $p^2 - p$ , then it contains elements of  $\tau_1$  and  $\tau_2$  with their orders given in (4.4a). Why?
- (4.4d) Finally, all of the groups  $\mathbb{Z}/p^u$ ,  $u \geq 2$  have an element of order  $p^{u-1}$ .<sup>3</sup>

## 5. RIEMANN AND THE GENUS

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This starts by saying that given any equation  $p(x, y, z)$  – homogeneous – defining an irreducible curve in  $\mathbb{CP}^2$ , there is a map of that curve to  $\mathbb{CP}^1$  defining its genus using fractional power series for the finite number of values that arise from a resultant as in Bezout's Theorem.

Further, this also gives Galois's group of the equation, and elements in the group – arising from those fractional power series – that generate it, and have product one. What I will do here is use the definitions and see what the inverse theorem of Riemann says that answers the question given to this project.

**5.1. Applying Riemann's Theorem.** We apply it to the case  $G = A_5$  to product an irreducible polynomial  $P(x, y)$  that defines a curve of genus 0, for which  $G_P$  – Galois' group – is  $A_5$  when you regard  $P$  as a polynomial with coefficients in  $\mathbb{C}(x)$ .

Riemann says that given any group  $G$  and some elements  $\tau_1, \dots, \tau_r$  in the group that *generate it* and have *product one*, you get a curve in  $\mathbb{CP}^2$  from which all this comes by using fractional power series. I suggested trying  $r = 4$  and using all of  $\tau_1, \dots, \tau_r$  to be 3-cycles. We aren't proving Riemann's Theorem. We are just trying to find these  $\tau$ s so that Riemann's Theorem applies.

What we have to find is four  $\tau$ s of the form  $(i j k)$  with these properties:

(5.1a) Product-one:  $\tau_1 \tau_2 \tau_3 \tau_4 = 1$ , and;

(5.1b) Generation: Every element in  $A_5$  is a product of these  $\tau$ s.

Once we have found those, Riemann's Theorem does the rest.

**5.2. Finding those  $\tau$ s.** Try these elements for the  $\tau$ s:

$$\tau = (\tau_1 = (1\ 2\ 3), \tau_2 = (1\ 3\ 2) = \tau_1^{-1}, \tau_3 = (3\ 4\ 5), \tau_4 = \tau_3^{-1}).$$

Notice their product is already 1, so you have only to show the group they generate is  $A_5$ . There are only three kinds of elements in  $A_5$ , besides the identity; Elements of order 5 – 5-cycles; of order 3 – 3-cycles; and of form  $(i j)(k l)$  – product of 2 disjoint 2-cycles. Denote the group generated by  $\tau_1, \tau_2$  by  $G$ .

By conjugating  $\tau_1$  by powers of  $\tau_2$  and get  $(1\ 2\ k)$  with  $k = 3, 4, 5$ . By conjugating the last by  $\tau_1$  we get  $(2\ 3\ k)$  with  $k = 4$  or  $5$ . By taking inverses of these elements, we get all possible 3-cycles in  $G$ .

Now that you have all 3-cycles, just check that  $\tau_1 \tau_2 = (1\ 2\ 3\ 4\ 5)$ . From this by changing the 3-cycles, you can write any 5-cycle as a product of 3-cycles. Similarly, write  $(2\ 3)(1\ 4)$  as  $\tau_1(1\ 2\ 4)$ .

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<sup>3</sup>Hint: Use the binomial theorem on  $1 + p$  to check that  $p^{u-1}$  is the smallest power of it congruent to 1 mod  $p^u$ .

**5.3. Now consider using the genus formula.** This is a separate problem from the above. Take  $P^* = P(y) - x$  and  $p(x, y, z) = z^{\deg(P)}(P(y/z) - (x/z))$ , with  $P$  a degree 5 polynomial.

- (5.2a) What are the elements in  $S_5$  that commute with  $\tau = (1\ 2\ 3\ 4\ 5)$ , a 5-cycle?
- (5.2b) In class we learned the branch cycle at  $\infty$  for polynomial is a 5-cycle. That means  $G_{P^*}$  contains a 5-cycle.
- (5.2c) We learned in another exercise that the genus of the curve defined by  $p(x, y, z)$  is 0.
- (5.2d) Assume  $G_{P^*}$  is abelian. Conclude from the genus formula that  $\{(x, y, z) \in \mathbb{CP}^2 \mid p(x, y, z) = 0\} \rightarrow \mathbb{CP}^1$ , by  $(x, y, z) \mapsto (x, z)$ . has only 1 branch point other than  $\infty$ .
- (5.2e) Conclude further: therefore  $G_{P^*}$  is cyclic of order 5.<sup>4</sup>

## 6. DIOPHANTINE UNDECIDABILITY

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<sup>4</sup>Hint: Use the product-one condition.