

HURWITZ SPACE COMPONENTS; AND THE COLEMAN-OORT CONJECTURE

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ABSTRACT. Hurwitz spaces are moduli of isotopy classes of covers. A specific space is formed from a finite group G and \mathbf{C} , r of its conjugacy classes: $\mathcal{H}(G, \mathbf{C})^\dagger$ with \dagger an equivalence relation. Components, \mathcal{H}' , of $\mathcal{H}(G, \mathbf{C})^\dagger$ interpret as a *braid orbits* on *Nielsen classes*, $\text{Ni}(G, \mathbf{C})^\dagger$.

[FrV91] related absolute ($\dagger = \text{abs}$), corresponding to a permutation representation, T , of G and inner ($\dagger = \text{in}$) equivalence classes. It noted two situations producing multiple components:

1. the action of a normalizer subgroup from T on components; and
2. distinct components from the Schur multiplier of G (the Fried-Serre *lift invariant*).

[FrV92] applied these to a general Inverse Galois Problem application. Here we consider components of type #1 and #2 under one umbrella using a definition in [GoH92] (with more clarity in [GhT23]) and so generalize these papers.

Our applications use *Modular Towers* to generalize Serre's Open Image Theorem. That distinguishes two types of decomposition groups – designated GL_2 and \mathbf{CM} – that occur on towers of modular curves, for groups G related to dihedral groups. Our generalization, natural – with mild constraints – for any pair (G, \mathbf{C}) , generalizes modular curve towers to what we call *Modular Towers*. It uses the arithmetic properties of Jacobian varieties to connect Hilbert's Irreducibility theorem to the Coleman-Oort conjecture.

Our examples emphasize tools to make computations, using the lift invariant, and the shift-incidence pairing on cusps lying on *reduced* Hurwitz spaces.

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1. INVARIANTS SEPARATING MODULI SPACE COMPONENTS

Our categories are (moduli) families of compact Riemann surfaces covering the Riemann sphere, $\mathbb{P}_{\mathbf{z}}^1$. We compare two papers, [GoH92] and [FrV91], using [GhT23], that start with Galois covers but draw conclusions on more general families. The precise topic is connected components of two related families computed from this initial data: (G, \mathbf{C}, T) ,

(1.1a) G is the Galois closure group of covers of degree n of a (faithful, transitive) permutation representation T , with

(1.1b) the covers having branch cycles in $r = r_{\mathbf{C}}$ conjugacy classes, \mathbf{C} , of G .¹

§1.1 gives notation to introduce the objects we study, components of Hurwitz spaces, and briefly goes through the examples we use to show the types of components that arise and how we detect them. §1.2 describes the two layers of our main Theorem, based on homeomorphisms of covers of the projective line, $\mathbb{P}_{\mathbf{z}}^1$, and how that puts structure in the different types of components that arise. Then, §1.3 uses the braid group to construct the spaces and *braid orbits* on Nielsen classes to distinguish the components. §1.4 reminds of the key tools for describing these components effectively

¹In most of our examples, $r \geq 4$ where there is a serious moduli space.

– the lift invariant and moduli definition fields – allowing these spaces to support generalizing the Open Image Theorem.

Serre’s case, referred to as **OIT** (Open Image Theorem) has $G = (\mathbb{Z}/\ell)^2 \times {}^s\mathbb{Z}/2$, $\ell \neq 2$, (Lem. 4.2 uses it as dihedral related) with \mathbf{C} four repetitions of the involution conjugacy class. In our notation, Serre’s $\mathrm{GL}_2(\mathbb{Z}_\ell)$ case is called **HIT** (Hilbert’s Irreducibility Theorem) because, assuming a certain property of the tower of spaces – it is eventually ℓ -Frattini – with a conclusion that is a precise version of what is expected from applying Hilbert’s Theorem, with a conspicuous exception (called **CM**, for complex multiplication), you get an open subgroup of the whole arithmetic monodromy group of the tower fibers. We concentrate on the production of the analog towers, called Modular Towers (**MTs**) and the role of the *lift invariant* for their existence and properties (beyond the use of that tool in [FrV91]) using example groups G for which our computations can be explained with basic linear algebra.

Our first example is an addition to Serre’s, showing the lift invariant appearing as a substitute for conclusions from the Weil pairing. Our other two examples have G run (respectively) over alternating groups and $(\mathbb{Z}/\ell)^2 \times {}^s\mathbb{Z}/3$, $\ell \neq 3$. Both have serious literature precedents. Ex. 4.31 concludes with a statement to show how we use the Jacobians of curves occurring in Hurwitz spaces to form spaces, based on using braid action on Nielsen classes and the lift invariant, akin to those Serre used to see if his conclusion holds in far greater generality, reflecting on a range of problems far outside what would come from considering Siegel space and variants as Shimura did.

1.1. Objects of Study. §1.1.1 gives the notation to display the spaces and components. §1.1.2 summarizes the main properties of these objects, as in §3, which places Hurwitz spaces in towers comparable to modular curve towers. The examples section §4 shows the relation of these towers to properties of Jacobians (as in the André-Oort conjecture), Weil’s ℓ -adic pairing, and Serre’s Open Image Theorem. Jacobian varieties are the semi-linear objects attached to curves. Here, we utilize them to interpret major unsolved problems regarding families of covers of the Riemann sphere and their interrelationships.²

1.1.1. Preliminary Notation. Denote automorphisms of G by $\mathrm{Aut}(G)$; those – keeping multiplicity of appearance the same — permuting classes of \mathbf{C} by $\mathrm{Aut}(G, \mathbf{C})$. Automorphisms associated with (1.1) are the subgroup of $\mathrm{Aut}(G, \mathbf{C})$ of the normalizer, $N_{S_n}(G, \mathbf{C}) = K$, in S_n of G .

²Évariste Galois’s death (1832) in approaching 200 years ago, shows how unlikely that someone will magically (and usefully) pluck solutions to the regular inverse Galois problem with some perspicacious trick. Better to limit its scope, keeping connection to significant problems – Serre’s **OIT**, versions of André-Oort, Complex Multiplication – that reveal why the full problem has eluded serendipity. [FrBG], with a prelude on polarizations, elaborates on what tethers finite groups and spaces whose points provide structure to these problems.

Lemma 1.1. *A transitive representation, T , acts on the (we take right) cosets of the stabilizer, $G(T, 1)$, of an integer in the representation: $g \mapsto$ effect of right multiplication on $G(T, 1)g_i$ with each g_i chosen to map 1 to i , $i = 1, \dots, n$. Then, T is faithful if and only if $\cap G(T, i) = \{1_G\}$.*

Denote the configuration space of r distinct points in $\mathbb{P}^r(\mathbb{C})$ by U_r . Our spaces are all moduli or r -branched covers of the projective line, \mathbb{P}_z^1 , uniformized by the standard complex variable z , and they will naturally map to either U_r , or for reduced Hurwitz spaces to $U_r/\mathrm{PSL}_2(\mathbb{C})$ with $\mathrm{PSL}_2(\mathbb{C})$ Möbius transformations. The distinction doesn't change the description of components since $\mathrm{PSL}_2(\mathbb{C})$ is connected. Denote the normalizer of G in S_n by $N_{S_n}(G)$ and $N_{S_n}(G) \cap \mathrm{Aut}(G, \mathbb{C})$ by $N_{S_n}(G, \mathbb{C})$. For T the regular representation, then $N_{S_n}(G, \mathbb{C}) = \mathrm{Aut}(G, \mathbb{C})$, but that is rarely our best choice of T (there may be several).

Here is how the pairs arise. The first space is $\mathcal{H}(G, \mathbb{C})^K \stackrel{\mathrm{def}}{=} \mathcal{H}(G, \mathbb{C})^{\mathrm{abs}}$, with $K = N_{S_n}(G, \mathbb{C})$, the space of $\deg(T)$ covers, up to the usual equivalence (called absolute). The second space is $\mathcal{H}(G, \mathbb{C})^{\mathrm{in}}$: Galois closures of covers in $\mathcal{H}(G, \mathbb{C})^K$, modulo inner equivalence.³ This uses the Hurwitz space version of the fiber product construction of Galois closures of covers. Thm. 1.21 sets up the dichotomy from using T based on this *Galois Closure Principle*:

(1.2a) Components of $\mathcal{H}(G, \mathbb{C})^K$ are homeomorphism-separated; and

(1.2b) components of $\mathcal{H}^{\mathrm{in}}$ above a given $\mathcal{H}(G, \mathbb{C})^K$ component are automorphism-separated.

Example: (1.2b) says, if $\mathcal{H}_j^{\mathrm{in}} \rightarrow U_r$, $j = 1, 2$, are components from braid orbits on $\mathrm{Ni}(G, \mathbb{C})^{\mathrm{in}}$, lying above the same component, \mathcal{H}' , of \mathcal{H}^K , then their braid orbits (in $\mathrm{Ni}(G, \mathbb{C})^{\mathrm{in}}$) differ by a non-braidable $\alpha \in K = N_{S_n}(G, \mathbb{C})$. From Cor. 1.22, $\mathcal{H}_j \rightarrow \mathcal{H}'$, $j = 1, 2$, are equivalent as covers, though they support different families of Galois covers of \mathbb{P}_z^1 .

We usually assume T is understood. *Nielsen classes* (Def. 1.13) associated to each of these two types, respectively $\mathrm{Ni}(G, \mathbb{C})^{\mathrm{abs}}$ and $\mathrm{Ni}(G, \mathbb{C})^{\mathrm{in}}$, allow making computations of their properties. The covers in each family have a genus – with resp. notation like $\mathbf{g}_{\mathrm{abs}}$ or \mathbf{g}_{in} – computed from Riemann-Hurwitz. Don't confuse this, when $r = 4$, with the genus (2.14) of the reduced Hurwitz space (a nonsingular projective curve) attached to each space.

We use the following notation for these families:

(1.3a) $\mathcal{H}(G, \mathbb{C}, T) \stackrel{\mathrm{def}}{=} \mathcal{H}(G, \mathbb{C})^{\mathrm{abs}} \stackrel{\mathrm{def}}{=} \mathcal{H}(G, \mathbb{C}, T)^{N_T}$, meaning, equivalence these $\deg(T)$ covers when their branch cycles differ by the action of $N_{S_n}(G, \mathbb{C})$; and

(1.3b) $\mathcal{H}(G, \mathbb{C})^{\mathrm{in}}$, the family of covers given by taking the Galois closure of the covers in (1.3a), modulo conjugation by G (inner equivalence).

³Algebraic number theory assumes that all field extensions occur inside a fixed algebraic closure of the base field F . Therefore, the Galois closure of an extension of F in that field is well-defined. For several reasons, that is not a valuable assumption. So, §2.3.3 considers carefully the fiber product construction of the Galois closure.

§1.2 describes the classification of components and states brief versions of the paper's results about them. §1.3 gives the tools for getting the properties of the Hurwitz spaces. With a given permutation representation T of G , Thm. 1.21 divides consideration of components into two steps: first listing components of the absolute space (homeomorphism-separated), and then organizing components of the inner Hurwitz space above a given absolute component (automorphism-separated). Thus, this part improves on [GoH92], [FrV91] and [GhT23].

1.1.2. *Serre's Case and our examples.* Our examples follow a pattern of generalizing Serre's case. We refer to Serre's case as the **OIT** (Open)I(mage)T(heorem) (or **OIT**). That started by looking at modular curves as Hurwitz spaces [Fr95, Introduction]. Roughly speaking, the generalization, based on the notation (G, \mathbf{C}) from Serre goes from G related to dihedral groups and \mathbf{C} four repetitions of the involution conjugacy class – producing sequences of modular curves – to where G is a general finite group and \mathbf{C} is chosen to assure the production of non-trivial spaces.

Serre's program for modular curve towers $\{X(\ell^{k+1})\}_{k \geq 0}$ compared these groups:

- (1.4a) the projective limit of decomposition groups of a projective sequence of points above a particular $j_0 \in \mathbb{P}_j^1$ (the j -line) with;
- (1.4b) the projective sequence of monodromy groups, arithmetic and geometric (esp. $\mathrm{GL}_2(\mathbb{Z}_\ell)$ and $\mathrm{SL}_2(\mathbb{Z}_\ell)$) of the components over the j -line.

§1.4.1 has the important basic definitions we use repeatedly for group covers. One is especially important, allowing constructing the towers of spaces generalizing those used by Serre in his **OIT**:

Definition 1.2. A profinite cover $\psi : H \rightarrow G$ is *Frattini* if, for any $H^* \leq H$ with $\psi(H^*) = G$, then $H^* = H$. It is central (resp. ℓ -Frattini) if $\ker(\psi)$ is in the center of H (resp. an ℓ group), etc.

§3.1 applies the universal abelianized ℓ -Frattini cover of G to form the spaces that generalize the framework for Serre's **OIT**. The existence of a nonempty sequence of irreducible components of the spaces at level $k \geq 0$ has one potential obstruction. The check for its vanishing is our most sophisticated use of the lift invariant. By applying T. Weigel's generalization, Thm. 3.15, of Serre's use of an ℓ -Poincaré duality group, we give an if and only if criterion for this. This includes there is no obstruction whenever the ℓ part of the Schur multiplier of G is trivial.

Prop. 3.21 connects the whole project to **HIT** by giving the criterion that, general decomposition groups on a **MT** are open subgroups of the **MT** imonodromy if it is eventually ℓ -Frattini.

§3.2 returns to Serre’s case to interpret with Jacobians of the level 0 curves how to compare extension of constants and the moduli definition field of a **MT**. We remind of Shimura’s generalization of complex multiplication points to consider – comparing with **HIT** – how to distinguish level 0 points of a **MT** with radical differences between their corresponding decomposition groups.

§3.2.3 summarizes the Shimura-Taniyama notation of **CM** (or **ST**) points on Siegel space, emphasizing this is about the corresponding abelian variety. Our main comparison is with the conjecture of Coleman-Oort, since our questions concern the Jacobians associated with the curve covers on a Hurwitz space. Many Hurwitz spaces include as covers almost every curve of genus g , for example [Fr10, Thm. 6.15] with Nielsen classes of odd order branching and the corresponding questions about nontrivial θ -nulls and their connection to Hilbert’s original paper on **HIT**.

§4.1 warms up using the Fried-Serre lift invariant (§3.1.1), applying the Hurwitz space interpretation to relate to the Weil pairing, and the moduli definition field. The two **OIT** cases:

- (1.5a) **CM**: j_0 is a complex multiplication point; and the decomposition group, an open subgroup of $\hat{\mathbb{Z}}_\ell$, identifies as the group of the maximal abelian ℓ -adic extension of $\mathbb{Q}(j_0)$; and
- (1.5b) **GL₂**: In the Hurwitz space interpretation, an open subgroup of $\mathrm{GL}_2(\mathbb{Z}_\ell)$.

[Fr78, §2] took the case $G = \mathbb{Z}/\ell^{k+1} \times {}^s\mathbb{Z}/2$ ($\ell \neq 2$), a dihedral group and $\mathbf{C} = \mathbf{C}_{2^4}$ four repetitions of the involution class. This recasts Serre’s **CM** case as generalizing a famous conjecture of Schur from its statement about polynomials to rational functions.⁴

Then, §4.2 with $G = A_n$ and \mathbf{C} consisting of odd order conjugacy classes engages (with elements of collaboration with Serre) has results that tie together a sizable literature. §4.2.1 gives collections where the Lift Hypothesis holds (1.9), and when, if it doesn’t, to producing situations – called pure-cycle – to generalize the result on irreducible components first produced by [LO08] for which I use an interpretation of [Se90] (or [Fr10, §2.2]). §4.2 has this special case:

Theorem 1.3. *With $G = A_n$, $n \geq 4$, T the standard degree n representation and $\mathcal{H}(G, \mathbf{C})^{\mathrm{abs}}$ is any genus 0 Nielsen class with \mathbf{C} any $2'$ classes, $\mathcal{H}(G, \mathbf{C})^{\mathrm{abs}}$ has precisely one component.*

§4.3 is our major example with $G = (\mathbb{Z}/\ell)^2 \times {}^s\mathbb{Z}/3$. Notationally, it resembles §4.1 with $G = (\mathbb{Z}/\ell)^2 \times {}^s\mathbb{Z}/2$, but into territory beyond the **OIT**, so our computations use 2×2 matrices. It illustrates all aspects of Thm. 1.21, including computing the lift invariant explicitly.

§1.4 starts the arithmetic of the Galois closure process applied to covers and their moduli. While [FrV91] used the lift invariant to delineate components of Hurwitz spaces given by the

⁴Describing prime-squared degree exceptional rational functions is equivalent to Serre’s GL_2 -case of as in [Fr05b, §6.1D6.3] which also documents the result of [GSM03]: All other degrees of indecomposable exceptional rational functions are sporadic (fall in finitely many Nielsen classes).

parameters (G, \mathbf{C}) , we assumed the multiplicity of the classes appearing in \mathbf{C} is large. That would do nothing for generalizing the **OIT**. [BFr02] developed Modular Towers (**MT**, the projective sequence of spaces generalizing modular curve towers) beyond [Fr95] and showed how it applied to $G = A_n$ for $n = 5$ and four repetitions of 3-cycle classes.

1.1.3. *Three uses of the lift invariant.* The first use of the lift invariant is the division of Thm. 1.21 into two levels of component types: absolute spaces and above these inner spaces based on taking Galois closures. The second use is to form the towers (**MTs**, Def. 3.7) of inner moduli spaces of curves that generalize how Serre used modular curves. Third: Sometimes the lift invariant helps us determine the moduli definition field of inner space components.

The example of §4.3 displays all three of these lift invariant uses. This allows comparing expectations with formulations of others (Rem. 3.34) based on the Siegel Upper half space and complex multiplication.⁵

Definition 1.4. By increasing the multiplicity of *each* conjugacy class in \mathbf{C} – refer to this as *high multiplicity* – (1.6b) shows the configuration of components in Thm. 1.21 simplifies.⁶

Our examples have $r = r_{\mathbf{C}} = 4$, so high multiplicity doesn't hold. Even in the most intricate cases, the structure of Thm. 2.20 clearly displays the components, separating out the most serious arithmetic and identifying the moduli definition fields of **HM** components.

Def. 1.24 gives the formula for the lift invariant, $\hat{\mathbf{g}} \in \text{Ni}(G, \mathbf{C})^{\text{in}} \mapsto s_{\hat{\mathbf{g}}}$. Our examples satisfy $(\ell, N_{\mathbf{C}}) = 1$. Then, $s_{\hat{\mathbf{g}}}$ is always an element in the ℓ part, $\text{SM}_{G, \ell}$, of the Schur multiplier of G . It's a braid invariant, constant on any braid orbit. We give an explicit formula for it in our examples.

There is a natural action of N_T/G (Def. 1.5) on the lift invariants attached to the components of $\mathcal{H}(G, \mathbf{C})^{\text{in}}$ lying over a component $\mathcal{H}' \leq \mathcal{H}(G, \mathbf{C})^{\text{abs}}$. Property (1.6a), follows from Main Thm. 1.21.

Definition 1.5. With \mathcal{H}' corresponding to the braid orbit of $\mathbf{g} \in \text{Ni}(G, \mathbf{C})^{\text{abs}}$ and $\hat{\mathbf{g}} \in \text{Ni}(G, \mathbf{C})^{\text{in}}$ lying over \mathbf{g} , $\alpha \in N_T/G : s_{\hat{\mathbf{g}}} \rightarrow s_{\hat{\mathbf{g}}^\alpha}$.

(1.6a) The components of $\mathcal{H}(G, \mathbf{C})^{\text{in}}$ lying over a component $\mathcal{H}' \leq \mathcal{H}(G, \mathbf{C})^{\text{abs}}$, correspond to elements of an orbit of N_T/G on $s_{\hat{\mathbf{g}}}$.

(1.6b) With high multiplicity, each $s' \in \text{SM}_{G, \ell}$ will have the form $s_{\hat{\mathbf{g}}}$ for some $\hat{\mathbf{g}} \in \text{Ni}(G, \mathbf{C})^{\text{in}}$ and components of $\mathcal{H}(G, \mathbf{C})^{\text{abs}}$ correspond one-one to orbits of N_T/G on $\text{SM}_{G, \ell}$.

⁵[Fr10] shows I have nothing against Siegel space, but curves and their arithmetic are the tougher nonlinear case for which Jacobian varieties are an aid.

⁶The Ex. 4.24 result is explicit on *high multiplicity*. To keep the result of applying the **BCL** Thm. 2.20 the same, increase the multiplicity of classes in \mathbf{C} so the cyclotomic action on the new \mathbf{C} doesn't change.

Comments on (1.6):

- (1.7a) The description of components in (1.6a) is independent of the representative \mathbf{g} .
- (1.7b) Rem. 3.17 give the formula for $s_{\mathbf{g}}$ without the $(\ell, N_{\mathbf{C}}) = 1$ assumption, adding only a slight complication to Def. 1.5.
- (1.7c) For $N_T(G)/G$ an ℓ' group, its action can be expressed in a less mysterious form (Cor. 3.5) using the universal abelianized ℓ -Frattini cover of G .
- (1.7d) (1.6a) was started in [FrV91], assuming High Multiplicity in \mathbf{C} ; it didn't show how that affected the two-sequence result of Thm. 1.21.

The production of the Schur multiplier at all levels of the **MT** and the explicit computation of the lift invariant (as was done in the Alternating group case above at level $k = 0$) allows comparing with the **OIT** case. Example §4.3 has Hurwitz spaces $\mathcal{H}((\mathbb{Z}/\ell^{k+1})^2 \times^s \mathbb{Z}/3, \mathbf{C}_{\pm 3})$ and as with Serre's case, we eventually go to reduced Hurwitz spaces by modding out by $\mathrm{PSL}_2(\mathbb{C})$. §4.3.1 shows the superficial resemblance of this to Serre's case but in this case finding projective sequences of components must deal with potentially obstructed components, coming from the lift invariant, to ensure the possibility of taking projective sequences of points.

Thm. 3.15, Weigel's generalization of Serre's oriented p -Poincaré duality group, handles this, except here we have an extension, $\mathcal{L} \rightarrow \hat{G}_\ell \rightarrow G$, of G by an ℓ -adic lattice, \mathcal{L} defined in §3.1.1. This gives a sequence of Frattini covers with abelian ℓ -group kernels $G_{k+1} \rightarrow G_k$, $k \geq 0$, $G_0 = G$, and given our conjugacy classes a tower of Hurwitz spaces $\{\mathcal{H}(G_k, \mathbf{C})\}_{k=0}$. The topic of obstructed components and the construction of **MTs** first arose in [FrK97, Obst. Comp. Lem. 3.2] to give an if and only if criterion that all tower levels are nonempty. Princ. 1.6 gives the main theorem – a lift invariant criterion – for the existence of an abelianized **MT** through a specific component at a specific level, which requires only a check at level 0.

Principle 1.6. *There exists k_0 with $\psi_{k_0} : G_{k_0} \rightarrow G$, the ℓ -Frattini cover above, factors through an ℓ -representation cover $H \rightarrow G$. Then, the spaces above form a non-empty **MT** over a component corresponding to a braid orbit in $O \leq \mathrm{Ni}(G, \mathbf{C})$ if and only if there is $\mathbf{g}_{k_0} \in \mathrm{Ni}(G_{k_0}, \mathbf{C})$ over $\mathbf{g} \in O$.*

This obstruction interprets as saying, in generalization to the lift inv. notation above, that $s_{H, \mathbf{g}_{k_0}} = 0$. In particular, this holds if the ℓ part of the Schur multiplier of G is trivial.

As with (1.5), generalizing what arose in Serre's case (especially the idea of an *eventually Frattini* projective sequence of finite groups), allows generalizing Hilbert's Irreducibility Theorem. The first result, Thm. 3.21, describes when, for general points on a **MT**, the analog of (1.4a) is an open subgroup of the analog of (1.4b).

1.2. Results and homeomorphisms of covers. §1.2.1 emphasizes Thm. 1.21, in terms of what we know about component types. It displays how the list (1.6) (with corresponding comments (1.7)) works based on the natural map from components of $\mathcal{H}(G, \mathbf{C})^{\text{in}}$ to those of $\mathcal{H}(G, \mathbf{C})^{\text{abs}}$ in (1.3). §1.2.2 defines the *moduli definition field* and the key problems on components: the $G_{\mathbb{Q}}$ action on them, and finding the correct field over which a point on a component represents a cover.

Remark 1.7 (Warning!). As Ex. 2.22 – from solving Davenport’s problem⁷ – shows the moduli definition field, in general, is a proper extension of the definition field of the moduli space component with its map to the configuration space.

1.2.1. Types of components. Components correspond to braid orbits on a *Nielsen class* (Def. 1.13). Improving the main result of [GoH92] and [GhT23], they distinguish Nielsen class components. Suppose \mathcal{H}_i , $i = 1, 2$, are inner space components, both over the same absolute component, \mathcal{H}' .

(1.8a) Then, each cover in \mathcal{H}_1 is homeomorphic (Def. 1.12) to a cover in \mathcal{H}_2 , the homeomorphism commuting between the covering maps to \mathbb{P}_z^1 inducing $\alpha \in \text{Aut}(G, \mathbf{C})$, but

(1.8b) α is *non-braidable* (Def. 1.17).

§1.3 reminds us of isotopy classes of covers and how to compute components and their properties using an explicit quotient of the braid group. Suppose \mathcal{H}' and \mathcal{H}'' are distinct components of $\mathcal{H}(G, \mathbf{C})^{\text{abs}}$. We call them homeomorphism-separated. We don’t yet know exactly *what* distinguishes homeomorphism-separated components, yet most §4 examples of homeomorphism-separated components, \mathcal{H}' , of $\mathcal{H}(G, \mathbf{C})^{\text{abs}}$ have this *Schur-separation* property using the collection, $S_{\mathcal{H}'}$, of lift invariants of inner components above \mathcal{H}' (Def. 1.5 or Def. 1.25):

(1.9) $S_{\mathcal{H}'}$ determines \mathcal{H}' uniquely.

Exceptions often have multiple Harbater-Mumford (Def. 1.14, lift invariant 0) components.

1.2.2. Moduli definition problem. Denote the least common multiple of the order of elements in \mathbf{C} by $N_{\mathbf{C}}$. Given $\sigma \in G_{\mathbb{Q}}$, its restriction to the cyclotomic numbers gives $n_{\sigma} \in (\mathbb{Z}/N_{\mathbf{C}})^*$ (Def. 2.18). Given $\psi : X \rightarrow \mathbb{P}_z^1$ representing $\mathbf{p} \in \mathcal{H}(G, \mathbf{C})^{\dagger}(\bar{\mathbb{Q}})$, $\dagger = \text{in or abs}$, denote its conjugate by applying σ by ψ^{σ} . Here is the first corollary of the *Branch Cycle Lemma* §2.3.1 (**BCL** of [Fr77]).

Corollary 1.8. *Then, ψ^{σ} is a representative of $\mathbf{p}^{\sigma} \in \mathcal{H}(G, \mathbf{C}^{n_{\sigma}})^{\dagger}(\bar{\mathbb{Q}})$.*

The **BCL** gives much more: For example, under the assumption that

(1.10) $\mathcal{H}(G, \mathbf{C})^{\dagger}$ is irreducible and has fine moduli,

⁷That should set straight any misunderstanding that definition fields for all reasonable moduli spaces are \mathbb{Q} .

it gives the precise (minimal) *cyclotomic field*, $\mathbb{Q}_{\mathcal{H}^\dagger}$, for which $\mathbf{p} \in \mathcal{H}(G, \mathbf{C})^\dagger$ has a representing cover over $\mathbb{Q}_{\mathcal{H}^\dagger}(\mathbf{p})$. Assuming (1.10), this makes $\mathbb{Q}_{\mathcal{H}^\dagger}$ the *moduli definition field* (Def. 2.16) of the Hurwitz space. When the Hurwitz space has more than one component, we consider the moduli definition field, $\mathbb{Q}_{\mathcal{H}^\dagger}$, for a component \mathcal{H}^\dagger .

That definition uses the *total space* over \mathcal{H}^\dagger : $\mathcal{T}^\dagger \rightarrow \mathcal{H}^\dagger \times \mathbb{P}_z^1$, with fibers, $\mathcal{T}_{\mathbf{p}}^\dagger \rightarrow \mathbf{p} \times \mathbb{P}_z^1$ for $\mathbf{p} \in \mathcal{H}^\dagger$, representing covers. (We also use the reduced space version.) §2.3 does better – a reason for choosing T carefully when possible – effectively generalizing the **BCL** assuming:

$$(1.11) \quad \text{we know } \mathbb{Q}_{\mathcal{H}'} \text{ } (\mathcal{H}' \leq \mathcal{H}(G, \mathbf{C})^K); \text{ and (1.10) holds for } \mathcal{H}^* \leq \mathcal{H}(G, \mathbf{C})^{\text{in}} \text{ above } \mathcal{H}'.$$

A general result for Schur-separated absolute components (1.9) with *cyclic* (or trivial) Schur multiplier gives the moduli definition field that suffices for the §4 examples. That excludes the case of multiple **HM** components in §4.3. Going beyond condition (1.11) is under the heading of *extension of constants*, starting in (1.30) and taking off in §2.3.3. This abstracts the central mystery in using *Hilbert's Irreducibility Theorem*, generalizing how [Fr78] viewed [Se68].

Problem 1.9. Unirationality question: In the cases [GoH92] and [GhT23] give, where the spaces equivalence *all* covers if they are conjugate by $\text{Aut}(G)$, are the moduli spaces unirational?

By computing some genres of reduced spaces when $r = 4$, we show the answer is “No!” These examples illustrate our main Thm. 1.21 on components and give the significance of finding $G_{\mathbb{Q}}$ orbits and – more strongly – moduli definition fields.

§4.2 with G an alternating group, generalizes results of Fried, Liu-Osserman, and Serre. Computing moduli definition fields for components reverts to finding an easily stated property of discriminants of genus 0 covers over \mathbb{Q} . §4.3 is our main case for the full force of Thm. 1.21 to handle the configuration of components and their moduli definition fields. It has $G = (\mathbb{Z}/\ell^{k+1})^2 \times^s \mathbb{Z}/3$, ℓ a prime, $k \geq 0$ as an example extending Serre's Open Image Theorem (**OIT**). This is a case of **MTs** developed to handle the simplest unanswered example for any ℓ -perfect group G :

$$(1.12) \quad \begin{array}{l} \text{Assuming the regular inverse Galois is correct (say, over } \mathbb{Q}), \\ \text{where are the regular realizations of } \ell\text{-Frattini covers of } G? \end{array}$$

If the main conjecture for **MTs** is correct – Rem. 1.10 reminds of evidence for it as a generalization of Faltings Theorem – then, the appearance of those regular realizations requires rational points on a sequence of Hurwitz spaces of unbounded dimension.⁸

Our examples use spaces four branch point covers whose reduced versions are (therefore) upper half-plane quotients [BFr02, §2.10]. Though these aren't modular curves, we can still explicitly

⁸That applies to the case G is a dihedral group, putting generalizations of Mazur's modular curve result as a particular case [DFr94].

compute their genres (answering a question in [GhT23] negatively). The main computation is computing the orders of cusps (points over $j = \infty$). This gives one tool for verifying that they aren't modular curves. Proposition 3.33 makes that computation in a particular case.

[GhT23] wanted total spaces.⁹ Their approach differed from [FrV91], and their total spaces may have several repeats of the same cover. §2.1.2 contrasts this with the *Grothendieck nonabelian cohomology* approach of [Fr77].

Remark 1.10 (Main **MT** Conj.). [BFr02] proved the Main **MT** conjecture – high tower levels have no rational points – for the **MT** with $n = 5$, $r = 4$, $\ell = 2$, of Ex. 4.6. That explicitly showed, by level 2, the genus of the reduced components – using a version of (2.14) – exceeds 1. Applying Faltings' inner Hurwitz space tower levels $k \geq 2$ have only finitely many rational points over a fixed number field, F . Rem. 1.11 now gives this case of the Main **MT** conjecture.

Remark 1.11 (Other uses of the lift invariant¹⁰). The conclusion (for $r = 4$ over a number field F) of Rem. 1.10 used Weil's Theorem on the Frobenius action and a reduction theorem of Grothendieck, Falting's Theorem and the Tychonoff Theorem to show a **MT**, with reduced Hurwitz space components of genus > 1 , could have F points off the cusps at only finitely many levels.

Otherwise, they would produce an ℓ -adic representation on the Jacobian of a particular cover in the Nielsen class over a finite field, with trivial G_F action. The Falting's part is not explicit, but the level of the high genus result is. The hardest case of the Main **MT** Conj. (for any r) is when there is a uniform bound on the moduli definition field of the tower levels. Ex. 4.24 has examples of explicit $(G, \mathbf{C}, r = r_{\mathbf{C}})$ with $r_{\mathbf{C}} > 4$ for which this holds.

1.3. Isotopies and braidable automorphisms. §1.3.1 explains three main tools:

- (1.13a) using pairs of related cover types described by corresponding *Nielsen classes*;
- (1.13b) recognizing homeomorphic covers that differ by nonbraidable automorphisms; and
- (1.13c) classifying covers that aren't homeomorphic, though they are in the same Nielsen class.

Our model for (1.13a) comes from classical pairs of modular curves. Using it, Thm. 1.21 effectively separates components of type (1.13b) – covers in different components might be homeomorphic, but differ by a non-braidable automorphism – from those of type (1.13c).

§1.3.2 defines isotopy of covers using “dragging a cover by its branch points,” and so the Hurwitz monodromy group, H_r . With this, we can compute the components of a natural space of such covers using Nielsen classes. In $(\mathbb{P}_z^1)^r$, the *fat diagonal*, Δ_r , consists of points with two or more

⁹Even with G abelian (so fine moduli doesn't hold).

¹⁰Uses of the Tychonoff Theorem came together in different papers at different times.

coordinates equal. Denote the quotient result, Δ_r/S_n , on coordinates by D_r . This sits inside $(\mathbb{P}_z^1)^r/S_r = \mathbb{P}^r$, projective r -space.

The collection of possible, unordered, and distinct branch points for an r -branched cover of \mathbb{P}_z^1 is given by $U_r \stackrel{\text{def}}{=} \mathbb{P}^r \setminus D_r$. Consider $U_{\mathbf{z}} \stackrel{\text{def}}{=} \mathbb{P}_z^1 \setminus \{\mathbf{z}\}$. For z' distinct from any of the coordinates of \mathbf{z} , form $\pi_1(U_{\mathbf{z}})^{\text{in}}$ by modding out by inner automorphisms on $\pi_1(U_{\mathbf{z}}, z')$. §1.3.3 gives Main Thm. 1.21, dividing Hurwitz space components into a heirarchy of types.

1.3.1. *Tools.* The symbol \mathbb{P}^1 denotes the Riemann sphere. (Nonsingular, ramified) covers of it here are compact Riemann surfaces X with a nonconstant morphism $\varphi : X \rightarrow \mathbb{P}^1$. Until we get to examples and comparison with classical constructions, we use the notation \mathbb{P}_z^1 (and its like) to mean z is an explicit (inhomogeneous) uniformizing variable (as in 1st-year complex variables).

§A describes *classical generators* \mathcal{P} of the (fundamental group of the) r -punctured sphere, $\pi_1(U_{\mathbf{z}}, z_0)$ with the punctures at $\mathbf{z} = z_1, \dots, z_r$, and $U_{\mathbf{z}} \stackrel{\text{def}}{=} \mathbb{P}_z^1 \setminus \{\mathbf{z}\}$ and z_0 distinct from any entries of \mathbf{z} . Given (\mathcal{P}, z_0) , a cover φ – with a fixed naming of the points, $\varphi^{-1}(z_0)$, above z_0 – with branch points \mathbf{z} is analytically determined by the branch cycles \mathbf{g} computed from (\mathcal{P}, z_0) .

(1.3) references covers as given by branch cycles and absolute and inner equivalences of covers using branch cycles. Given any such φ by its branch cycles \mathbf{g} , elements in S_n , with $n = \deg(T)$, we can always reference the Galois closure, $\hat{\varphi} : \hat{X} \rightarrow \mathbb{P}_z^1$ which has group $G = \langle \mathbf{g} \rangle$. Several possible branch cycles, $\hat{\mathbf{g}}$, associated to $\hat{\varphi}$, differ by actions of N_T fixed on \mathbf{g} . §2.3.3 reminds of our construction, including for families of covers. Möbius transformations of \mathbb{P}_z^1 act on such covers:¹¹

(1.14) $\beta \in \text{PSL}_2(\mathbb{C}) : \varphi \rightarrow \beta \circ \varphi$. This action on spaces of covers forms their *reduced versions*.

[GoH92] starts with a pair, (\hat{X}_1, G) ,

(1.15a) $\hat{\varphi}_1 : \hat{X}_1 \rightarrow \mathbb{P}_z^1$, a Galois cover with group G , and then considers

(1.15b) all homeomorphic Galois covers, $\hat{X} \rightarrow \mathbb{P}_z^1$, by $\hat{\theta} : \hat{X}_1 \rightarrow \hat{X}_2$ (Def. 1.12) with group G .

Definition 1.12. For covers, $\varphi_i : X_i \rightarrow \mathbb{P}_z^1$, $i = 1, 2$, a homeomorphism θ between them is a homeomorphism $\theta : X_1 \rightarrow X_2$ that *preserves fibers*: maps a fiber $\varphi_1^{-1}(z_1)$ of φ_1 to a fiber of φ_2 . So, it is also a homeomorphism on \mathbb{P}_z^1 . We say the covers are *homeomorphic*.

By contrast, [FrV91] starts with a group G and $\mathbf{C} = \{C_1, \dots, C_r\}$, a collection of conjugacy classes in G . Then, it has two related approaches.

(1.16a) Consider all Galois covers, $\hat{\varphi} : \hat{X} \rightarrow \mathbb{P}_z^1$, with group G , having branch cycles,

$\mathbf{g} = (g_1, \dots, g_r)$, for the cover in the classes \mathbf{C} (with the same multiplicity).

¹¹Our examples will illustrate the equivalences on branch cycles from applying this action.

(1.16b) For a given (usually faithful and transitive) permutation representation $T : G \rightarrow S_n$, consider all covers $\varphi : X \rightarrow \mathbb{P}_z^1$ with Galois closures given by (1.16a).

In both cases of (1.16), we say $\mathbf{g} \in \mathbf{C}$. Def. 1.13 has mandatory *product-one* and *generation* conditions for elements of \mathbf{g} . This defines *Nielsen classes*, $\text{Ni}(G, \mathbf{C})$, with which we can be explicit about these objects and refer to $\mathbf{g} \in \text{Ni}(G, \mathbf{C})$:

- (1.17a) equivalences of covers;
- (1.17b) connected components of families of those covers up to one of those equivalences;
- (1.17c) a braid group action for computing those components; and properties of covers by which we can recognize those components.

Applications rarely require naming points in $\varphi^{-1}(z_0)$. Equivalences change this naming, starting with equivalencing \mathbf{g} and $h\mathbf{g}h^{-1} \in \text{Ni}(G, \mathbf{C})$, for $h \in G$: they differ by inner automorphisms, $\text{Inn}(G)$, of G . Denote $\pi_1(U_{\mathbf{z}_0}, z_0)$ mod inner automorphisms by $\pi_1(U_{\mathbf{z}_0})$.

- (1.18a) Inner equivalence for covers of \mathbb{P}_z^1 relative to a given set of classical generators, \mathcal{P} , around \mathbf{z}_0 implies a representation $\pi_1(U_{\mathbf{z}_0}, z_0)$ for inner equivalence factors through $\pi_1(U_{\mathbf{z}_0})$.
- (1.18b) We can always braid inner automorphisms [BiFr82, Lem. 3.8]. Using such an equivalence class doesn't change finding the components we are after.¹²

Def. 1.13, gives the first topological invariant preserved by a homeomorphism of covers associated with the same permutation representation $T : G \rightarrow S_n$.

Definition 1.13. Consider a subgroup, $\text{Inn}(G) \leq K \leq \text{Aut}(G, \mathbf{C})$. This gives *K-Nielsen classes*:

$$\text{Ni}(G, \mathbf{C})^K \stackrel{\text{def}}{=} \{\mathbf{g} \in \mathbf{C} \mid \prod_{i=1}^r g_i, \dots, g_r = 1 \text{ (product-one) and } \langle \mathbf{g} \rangle = G \text{ (generation)}\} / K.$$

Denote the special case $K = \text{Inn}(G)$ by $\text{Ni}(G, \mathbf{C})^{\text{in}}$. From Prop. 1.18, *K-Nielsen classes* make sense. With $K = N_{S_n}(G, \mathbf{C})$, and T understood, call these *absolute classes*, $\text{Ni}(G, \mathbf{C})^{\text{abs}}$.

Then, denote the Nielsen classes with $K = N_{S_n}(G, \mathbf{C})$ by $\text{Ni}(G, \mathbf{C})^{\text{abs}}$ when T is understood; these Nielsen class elements characterize the usual equivalence of covers of \mathbb{P}_z^1 of degree $\deg(T)$.

Equivalence of covers $f : \mathbb{P}_w^1 \rightarrow \mathbb{P}_z^1$, with $f : w \rightarrow f(w) = z$ a rational function, is usually absolute equivalence. From Prop. 1.18, $\text{Ni}(G, \mathbf{C})/K$ (with $\text{Inn}(G) \leq K \leq \text{Aut}(G, \mathbf{C})$), *K-Nielsen classes*, makes sense.¹³

Def. 1.14 gives Nielsen classes representatives that arise often. Ex. 4.24 uses them to produce abundant components of absolute spaces with trivial lift invariant and these properties:

¹²Indeed, not using inner equivalence would make many applications untenable.

¹³There are other – beyond quotienting by K as here – useful equivalences on Nielsen classes (as used in, say, [BiFr82]). This paper only uses these.

- (1.19a) they are homeomorphism-separated from all other components;
 (1.19b) they have only one inner component above them.

Definition 1.14 (HM reps). $\mathbf{g} = (g_1, g_1^{-1}, \dots, g_s, g_s^{-1}) \in \text{Ni}(G, \mathbf{C})$ (so $2s = r$) is called a Harbater-Mumford rep. Its braid orbit (or its component) is **HM**.

Many classical generators are based at (\mathbf{z}, z_0) . Variations of them – in the process of “dragging a cover, up to inner equivalence, by its branch points” (1.20) – produces the braid action for computations in this paper (as in (1.21)). For now, fix classical generators $\mathcal{P}_{\mathbf{z}_0, z_0}$ based at z_0 , with all covers in Lem. 1.15 branched at \mathbf{z}_0 and branch cycles computed from them.

Lemma 1.15. *Take $\theta : \varphi_1 \rightarrow \varphi_2$, a homeomorphism of covers, with branch cycles $\mathbf{g}_i \in \text{Ni}(G, \mathbf{C})^{\text{abs}}$, $i = 1, 2$. Then, φ_i has a Galois closure cover $\hat{\varphi}_i$ with branch cycles $\hat{\mathbf{g}}_i \in \text{Ni}(G, \mathbf{C})^{\text{in}}$, $i = 1, 2$, and an extending homeomorphism $\hat{\theta} : \hat{\varphi}_1 \rightarrow \hat{\varphi}_2$. Further, there is $\alpha \in N_{S_n}(G, \mathbf{C})$ with $\hat{\mathbf{g}}_1^\alpha = \hat{\mathbf{g}}_2$.*

Proof. [BFr02, §3.1.3] gives the fiber product description of the Galois closure of φ_1 . We have added details for our application in Prop. 2.26 for the fiber product construction for a family of covers. Use here (2.28) for constructing an individual cover in the family.

For φ_1 , the Galois closure is a component, $\hat{\varphi}_1 : \hat{X}_1 \rightarrow \mathbb{P}_z^1$, of the fiber product of φ_1 taken n times with the fat diagonal removed. The subgroup of the natural S_n action fixing \hat{X}_1 identifies with the group of the Galois closure.¹⁴

The chosen group is G , but if the group $G \neq S_n$, then the complete set of components (off the fat diagonal) comes by applying coset reps of G in S_n to the given component. As families of covers of \mathbb{P}_z^1 , covers in these components have Galois groups identified as a conjugate in S_n of G .

We want components with covers having groups identified precisely with G .

**Inner components with that property differ by conjugating
by representatives of cosets of G in $N_{S_n}(G, \mathbf{C})$.**

Do the same for φ_2 , and apply θ to the fiber product construction. It will map \hat{X}_1 to a component, $\hat{\varphi}_2 : \hat{X}_2 \rightarrow \mathbb{P}_z^1$, of the fiber product for φ_2 , which also has G as the group of its projection to \mathbb{P}_z^1 . Refer to the extension of θ to those components as $\hat{\theta}$. This induces a morphism between the respective groups (both of which are G) that we denote by $\alpha = \alpha_{\hat{\theta}} \in N_{S_n}(G)$. The induced map on branch cycles for $\hat{\varphi}_2$ is given by conjugating by α on the branch cycles \mathbf{g}_1 . \square

¹⁴It is the Galois group because it has as many elements as the degree of the cover.

1.3.2. *Dragging a cover by its branch points.* [Fr77] (also [Fr20, §2.2.1]) calls the following process *dragging a cover*, φ_0 , *by its branch points* along a path \bar{P} , $z_{\bar{P}} : [0, 1] \rightarrow U_r$ in U_r starting at \mathbf{z}_0 .

Choose $z_t \in U_{\mathbf{z}_t}$ continuously with z_t distinct from entries in $z_{\bar{P}}(t)$. Take classical generators $\mathcal{P}_{\mathbf{z}_0, \mathbf{z}_0}$ (above Lem. 1.15). For a cover, φ_0 , branched at \mathbf{z}_0 :

(1.20a) $\mathcal{P}_{\mathbf{z}_0, \mathbf{z}_0}$ canonically defines $\mathbf{g}_0 \in \text{Ni}(G, \mathbf{C})^{\text{in}}$ and *dragging* $\mathcal{P}_{\mathbf{z}_0, \mathbf{z}_0}$ along \bar{P} gives classical generators $\mathcal{P}_{\mathbf{z}_t, \mathbf{z}_t}$ on $U_{\mathbf{z}_t}$ based at z_t .

(1.20b) This produces a path of homeomorphic covers, $\varphi_t : X_t \rightarrow \mathbb{P}_z^1$, with (the same) branch cycles \mathbf{g} relative to $(\mathcal{P}_{\mathbf{z}_t, \mathbf{z}_t})$, for all $t \in [0, 1]$.

[Fr77, Lem. 1.1] shows the independence of the basepoint in this process and the representative $z_{\bar{P}}$ of its homotopy class.

Definition 1.16. The cover $\varphi_1 : X_1 \rightarrow \mathbb{P}_z^1$ is the *isotopy* of φ_0 along \bar{P} . For $\bar{P} \in \pi_1(U_r, \mathbf{z}_0)$ a closed path, and $\varphi_1 : X_1 \rightarrow \mathbb{P}_z^1$ the cover at the end of the path, define \mathbf{g}_1 to be branch cycles for φ_1 relative to \mathcal{P}_0 . Then the *braid action*, $q_{\bar{P}}$, of \bar{P} is given as $\mathbf{g} \mapsto (\mathbf{g})q_{\bar{P}} = \mathbf{g}_1$. This works equally well as a braid action on any K -Nielsen class elements.

**Unless otherwise said, assume the transitive permutation
representation T is given, and $N_{S_n}(G, \mathbf{C}) \stackrel{\text{def}}{=} N_T$.**

This leads to the following ingredients for describing isotopies of covers parametrized by paths in U_r , up to homotopy classes of $\pi_1(U_r, \mathbf{z}_0)$. The following statements are documented in [BiFr82] and [Fr77] (with expositions in [V96] and [Fr20, §2.2]).

(1.21a) Identification of $\pi_1(U_r, \mathbf{z}_0)$ with the *Hurwitz monodromy group*, H_r .

(1.21b) With $\text{Inn}(G) \leq N_T \leq \text{Aut}(G)$, the H_r action on $\text{Ni}(G, \mathbf{C})^{N_T}$ has two generators:¹⁵

The 2-twist $q_2 : \mathbf{g} \mapsto (g_1, g_2 g_3 g_2^{-1}, g_2, g_4, g_5, \dots)$;

The shift $\mathbf{sh} : \mathbf{g} \mapsto (g_2, g_3, \dots, g_r, g_1)$.

Def. 1.17 is the key for Thm. 1.21, for which we consider a braid orbit \mathcal{O}^{in} in $\text{Ni}(G, \mathbf{C})^{\text{in}}$.

Definition 1.17. An $\alpha \in N_T$ is *braidable* on \mathcal{O}^{in} if for $\mathbf{g} \in \mathcal{O}^{\text{in}}$, $(\mathbf{g})^\alpha \in \mathcal{O}^{\text{in}}$. Denote the subgroup of N_T , of braidable elements on \mathcal{O}^{in} , by N_T^{br} (or with related appropriate decoration).

Lemma 1.18. “*Dragging*” corresponds each element of $\text{Ni}(G, \mathbf{C})^{N_T}$ to a representative cover – up to isotopy – branched over any choice of $\mathbf{z}_0 \in U_r$ with classical generators, \mathcal{P} , based at $\mathbf{z}_0 \notin \{\mathbf{z}_0\}$.

From (1.22a), up to G inner action, a Def. 1.16 isotopy is independent of the choice of z_t .

(1.22a) For $h \in G$ and $\mathbf{g} \in \text{Ni}(G, \mathbf{C})$, there is $q \in H_r$ with $(\mathbf{g})q = h\mathbf{g}h^{-1}$.

¹⁵Conjugating q_2 by the i th power of \mathbf{sh} gives the $(i+2)$ -twist q_{i+2} , $-1 \leq i \leq r-1$.

(1.22b) Conjugating $\mathbf{g} \in \text{Ni}(G, \mathbf{C})^{\text{in}}$ by $\alpha \in N_T$ commutes with the action of H_r .

(1.22c) Elements of $N_T/\text{Inn}(G)$ permute the braid orbits of H_r on $\text{Ni}(G, \mathbf{C})^{\text{in}}$.

Proof. The first sentence follows from the description of the “dragging” process (1.20).

[BiFr82, Lem. 3.8] shows (1.22a). An explicit check on generators of H_r in (1.21b) gives (1.22b).

Then, (1.22c) follows from the previous statements. \square

Remark 1.19. From (1.22b), you can test if $\alpha \in N_T$ is braidable on just one element of \mathcal{O}^{in} . That has often been used effectively (e.g. in [FrV91]) on Harbater-Mumford braid orbits (Def. 1.14).

1.3.3. *Dragging gives Thm. 1.21.* From covering space theory, the permutation action of H_r on $\text{Ni}(G, \mathbf{C})^{N_T}$ defines a cover $\Psi \stackrel{\text{def}}{=} \Psi_{\varphi_0, \mathcal{P}} : \mathcal{H}(G, \mathbf{C})^{N_T} \rightarrow U_r$. It can have more than one component. One is $\mathcal{H}_{\varphi_0, \mathcal{P}}$, defined by the orbit, \mathcal{O}_{φ_0} , of H_r on $\mathbf{g}_0 \in \text{Ni}(G, \mathbf{C})^K$ corresponding to φ_0 .

List the braid orbits on $\text{Ni}(G, \mathbf{C})^{N_T}$ as orbit collections denoted $\mathcal{O}_1^{N_T}, \dots, \mathcal{O}_u^{N_T}$, $1 \leq i \leq u$. Consider $\mathbf{g} \in \mathcal{O}_i^{N_T}$. Thm. 1.21 compares the braid orbits of $\mathcal{H}(G, \mathbf{C})^{N_T}$ with the braid orbits of $\text{Ni}(G, \mathbf{C})^{\text{in}}$. Each of the latter lies above a unique braid orbit of the former.

(1.23a) Assume $u = 1$ and denote this unique braid orbit by \mathcal{O}^{N_T} .

(1.23b) If $u > 1$, all the $\mathcal{O}_1^{N_T}, \dots, \mathcal{O}_u^{N_T}$ are homeomorphism-separated.

Lemma 1.20 (Check at \mathbf{z}_0). *To check the division of braid orbits on $\mathcal{H}(G, \mathbf{C})^{\text{in}}$, for the situations listed in (1.23), it suffices to choose any \mathcal{P} classical generators based at any choice of (\mathbf{z}_0, z_0) . Then, compute covers representing isotopy classes by their corresponding branch cycles.*

Proof. If two covers $\varphi_i \rightarrow \mathbb{P}_z^1$ are homeomorphic, and are branched at \mathbf{z}_1 , then they have Galois closure with branch cycles $\hat{\mathbf{g}}_i$ computed relative to \mathcal{P}' related by $(\hat{\mathbf{g}}_1)\alpha = \hat{\mathbf{g}}_2$, for some $\alpha \in K$.

Apply the “dragging” process to drag them back to \mathbf{z}_0 and compute their branch cycles relative to \mathcal{P} , etc. Since the braid action commutes with the action of α , (1.22b), this proves the lemma. \square

Thm. 1.21 runs through (1.23) by applying Lem. 1.20 on branch cycles in $\text{Ni}(G, \mathbf{C})^{\text{in}}$. Lem. 1.15 says if two (not necessarily Galois) covers are homeomorphic, so are their Galois closures.

Theorem 1.21. *Assume (1.23a) with braid orbits in $\text{Ni}(G, \mathbf{C})^{\text{in}}$ above \mathcal{O}^{N_T} listed as $\mathcal{O}_1^{\text{in}}, \dots, \mathcal{O}_v^{\text{in}}$. With $\mathbf{g} \in \text{Ni}(G, \mathbf{C})^{N_T}$, $\hat{\mathbf{g}} \in \mathcal{O}_1^{\text{in}}$ above it, denote braidable elements of N_T on $\mathcal{O}_1^{\text{in}}$ by N_1^{br} .*

Then, $v = (N_T : N_1^{\text{br}})$. With $\{\alpha_j \mid j = 1, \dots, v\}$ coset representatives,

(1.24a) $\{(\mathbf{g})\alpha_j\}$ are branch cycle reps. of covers in each braid orbit on $\text{Ni}(G, \mathbf{C})^{\text{in}}$, $j = 1, \dots, v$.

(1.24b) The degree of the Hurwitz space component $\mathcal{H}_{\mathcal{O}_1^{\text{in}}}$ over $\mathcal{H}_{\mathcal{O}^{N_T}}$ is $(N_1^{\text{br}} : \text{Inn}(G))$.

Now consider the case $\text{Ni}(G, \mathbf{C})^{N_T}$ has $u > 1$ braid orbits as in (1.23b). Then, no two are automorphism-separated. List the braid orbits $\mathcal{O}_{1_i}^{\text{in}}, \dots, \mathcal{O}_{v_i}^{\text{in}}$ in $\text{Ni}(G, \mathbf{C})^{\text{in}}$ above $\mathcal{O}_i^{N_T}$. Denote the braidable α s on $\mathcal{O}_{1_i}^{\text{in}}$ by $N_{1_i}^{\text{br}}$, $1 \leq i \leq u$. Then, juxtapose the braid orbits on $\text{Ni}(G, \mathbf{C})^{\text{in}}$ by, running over i , replacing $v = (N_T : N_1^{\text{br}})$ by $v_i = (N_T : N_{1_i}^{\text{br}})$, etc.

Proof. Choose a representative $\mathbf{g} \in \text{Ni}(G, \mathbf{C})^{N_T}$ with $\hat{\mathbf{g}} \in \text{Ni}(G, \mathbf{C})^{\text{in}}$ lying over it. The branch cycles of covers over the cover represented by \mathbf{g} are of the form $(\hat{\mathbf{g}})\alpha$ with α in the cosets of G in $N_{S_n}(G, \mathbf{C})$. Two belong in the same braid orbit if α is braidable. The expressions of (1.24) make explicit the degrees of inner and absolute covers using braidable vs non-braidable automorphisms. That handles case (1.23a).

Suppose $u > 1$. Here is why (1.23b) holds. If $\mathbf{g}_1, \mathbf{g}_2 \in \text{Ni}(G, \mathbf{C})^{N_T}$ are in separate braid orbits but not homeomorphism-separated, then above them are, respectively, $\hat{\mathbf{g}}_1, \hat{\mathbf{g}}_2 \in \text{Ni}(G, \mathbf{C})^{\text{in}}$ that are automorphism-separated by an element in N_T . Since $\mathbf{g}_1, \mathbf{g}_2$ are obtained from $\hat{\mathbf{g}}_1, \hat{\mathbf{g}}_2$ by modding out by N_T , modulo a braid, \mathbf{g}_1 and \mathbf{g}_2 are in the same braid orbit, contrary to our assumption.

Consider (1.23b) and how to count braid orbits by dividing the branch cycles in $\text{Ni}(G, \mathbf{C})^{\text{in}}$ according to the braid orbits of $\text{Ni}(G, \mathbf{C})^{N_T}$ they lie over. Then, taking representatives of these, apply the naming we have given by using which automorphisms are braidable as in (1.23a). \square

1.4. More on Thm. 1.21. Def. 1.24 defines the lift invariant for use in two ways that never made an appearance in [FrV91], though it did in subsequent papers, especially [Fr95] and [BFr02]. Refer to the statements of (1.6): Thm. 1.21 immediately gives (1.6a).

The appendix of [FrV91] (for general Nielsen classes in [Fr10]) says, assuming high multiplicity, lift invariants determine inner Hurwitz space components. Also, for absolute spaces, N_T orbits on lift invariants collect the inner spaces above a given absolute component. That gives (1.6b).

Having one absolute component (1.23a) arises for Hurwitz space variants of classical spaces, say, as interpreting problems related to hyperelliptic jacobians, for example §4.1.1. Indeed, all our examples play on this. Cor. 1.22 is almost immediate from Thm. 1.21, using N_T orbits.

Corollary 1.22. *With ${}_j\mathcal{H}^{\text{in}}$ spaces corresponding to ${}_j\mathcal{O}^{\text{in}}$, $j = 1, 2$, etc., $\Phi_j : {}_j\mathcal{H}^{\text{in}} \rightarrow \mathcal{H}_{\mathcal{O}^{N_T}}$, $j = 1, 2$ are equivalent covers of $\mathcal{H}_{\mathcal{O}^{N_T}}$. The degree of the Hurwitz space component $\mathcal{H}_{\mathcal{O}_1^{\text{in}}}$ over $\mathcal{H}_{\mathcal{O}^{N_T}}$ is $(N_1^{\text{br}} : \text{Inn}(G))$ with N_1^{br} the braidable elements on \mathcal{O}^{N_T} .¹⁶*

Proof. Consider $\mathbf{g}' \in \mathcal{O}^{N_T}$ lying under ${}_1\mathbf{g} \in {}_1\mathcal{O}^{\text{in}}$. The cover ${}_1\mathcal{H}^{\text{in}} \rightarrow \mathcal{H}_{\mathcal{O}^{N_T}}$ is determined by the action of the subgroup of the braid group stabilizing ${}_1\mathbf{g}$ acting on the elements, S_1 , of ${}_j\mathcal{O}^{\text{in}}$ lying

¹⁶That Φ_j , $j = 1, 2$ are equivalent covers does not mean that the families of covers corresponding to the spaces are the same: for that you must include the total families (2.4) and their moduli definition fields.

over \mathbf{g}' . The action of the braid group commutes with the action of α . Therefore, applying α to the elements of S_1 gives S_2 with compatible braid actions on the Nielsen classes in ${}_2\mathcal{O}^{\text{in}}$. This makes the corresponding covers equivalent. \square

§1.4.1 defines what it means that the components of $\mathcal{H}(G, \mathbf{C})^{N_T}$ are Schur-separated. It starts the connection between representation covers and the Schur multiplier in the context of Frattini covers of a finite group. These connections show why Modular Towers and the lift invariant fit together. §1.4.2 shows how Thm. 1.21 strengthens [GoH92] and [GhT23] for situations of (1.23) that arise in practice. §1.4.3 distinguishes the geometric and arithmetic monodromy of covers. Thm. 1.21 is a statement on the geometric monodromy groups of components of $\mathcal{H}(G, \mathbf{C})^{N_T} \rightarrow U_r$. Interpreting the *moduli definition fields* (Def. 2.16, in particular, definition fields) of these and the components of $\mathcal{H}(G, \mathbf{C})^{\text{in}}$ is the significant addition. §1.4.3 does the first step in using *Hilbert's Irreducibility Theorem* as a tool for the $G_{\mathbb{Q}}$ action on Hurwitz space components.¹⁷ We abbreviate reference to it by the acronym **HIT**.

1.4.1. *Schur-separated definitions.* A representation cover, $\hat{\psi} : \hat{G} \rightarrow G$, is a Frattini central extension of G whose kernel – the Schur multiplier of G – is SM_G . As with all Frattini covers, we can write this as the fiber product over G of ℓ -Frattini covers $\hat{\psi}_{\ell} : \hat{G}_{\ell} \rightarrow G$ (an ℓ -representation cover of G) for which the kernel is the ℓ part, $\text{SM}_{G, \ell}$ of SM_G . Our examples have these conditions:

- (1.25a) The ℓ' condition, $(N_{\mathbf{C}}, \ell) = 1$, on \mathbf{C} holds and there is only one prime ℓ dividing SM_G .
- (1.25b) From (1.25a), and Schur-Zassenhaus we interpret the classes of \mathbf{C} uniquely as classes in the representation cover.
- (1.25c) The ℓ -representation cover, $\tilde{\psi}_{\ell}$, is ℓ -perfect (has no \mathbb{Z}/ℓ quotient).¹⁸

Lemma 1.23. *A profinite group of order divisible by ℓ is ℓ -perfect if and only if it has generators among its ℓ' elements.*

Proof. The subgroup, H , of G generated by all its ℓ' elements is a normal subgroup of G . It is easy to see that $H = G$ if and only if G is ℓ -perfect. \square

Def. 1.24 is the formula for the lift invariant when the ℓ' condition holds.

Definition 1.24 (Lift invariant). For O a braid orbit on $\text{Ni}(G, \mathbf{C})$, and $\mathbf{g} \in O$, as in (1.25c)

the lift invariant is $s_{\mathbf{g}}(O) \stackrel{\text{def}}{=} s_{\tilde{\psi}_{\ell}} = \prod_{i=1}^r \tilde{g}_i$. More generally,
for $\psi_{H/G} : H \rightarrow G$ an ℓ -central Frattini cover, define $s_{\psi_{H/G}}$ using $\tilde{\mathbf{g}} \in \mathbf{C} \cap H$ over \mathbf{g} .

¹⁷**HIT** has always been underappreciated, but [Se68], and the related [Fr78] show their fascination with enhancing it. [Se97, §5.1] [FrJ86, Chaps. 13 and 14]₄ give more extensive references in support of that.

¹⁸Therefore, the ℓ -representation cover is uniquely defined and is a characteristic quotient of the universal ℓ -Frattini cover of G .

It is an elementary exercise that **HM** elements (Def. 1.14) always have trivial lift invariant; as do Nielsen classes with the generalizing form of (3.6d).

Recall (1.5) $\alpha \in N_T$ acting on a lift invariant: $s_{\hat{g}} \mapsto s_{\hat{g}^\alpha}$.

Definition 1.25. To a braid orbit $\mathcal{O}' \leq \text{Ni}(G, \mathbf{C})^{\text{abs}}$, attach the collection $S_{\mathcal{O}'}$ of lift invariants running over braid orbits of $\mathcal{H}(G, \mathbf{C})^{\text{in}}$ above \mathcal{O}' . Components corresponding to braid orbits \mathcal{O}' and \mathcal{O}'' in $\text{Ni}(G, \mathbf{C})^{\text{abs}}$ are *Schur-separated* if the $S_{\mathcal{O}'}$ and $S_{\mathcal{O}''}$ are distinct.

Lem 3.4 shows Schur-separated components have different moduli properties. Thus, their topological separation. In our examples, $\text{SM}_{G,\ell}$ – always abelian – will be cyclic. That allows determining the moduli definition field of $\mathcal{H}(G, \mathbf{C})^{N_T}$ components. From the ℓ' condition on \mathbf{C} , with $H \rightarrow G$ an ℓ -Frattini cover, The notation $\tilde{g} \in \mathbf{C} \cap H$ as lying over $g \in \text{Ni}(G, \mathbf{C})$ now makes sense. §3.1.1 puts this in the context of the Universal ℓ -Frattini cover of G when $\ell || |G|$.

If we can decide what values of the lift invariant are achieved, this reduces finding moduli definition fields to finding them for automorphism-separated $\mathcal{H}(G, \mathbf{C})^{\text{in}}$ components. Assume an ℓ -representation cover $\hat{\psi} : \hat{G}_\ell \rightarrow G$ satisfies ℓ -perfect condition (1.25c).

This holds in its purest form in the **OIT**-related §4.1 example: We explicitly compute the (distinct) lift invariants of the $\mathcal{H}(G, \mathbf{C})^{N_T}$ components with T the coset representation of the class of involutions in $(\mathbb{Z}/\ell^{k+1})^2 \times {}^s\mathbb{Z}/2$, and $\mathbf{C} = \mathbf{C}_{2^4}$, four repetitions of the involution class. Above each $\mathcal{H}(G, \mathbf{C})^{N_T}$ component there is only one $\mathcal{H}(G, \mathbf{C})^{\text{in}}$ component. One corollary: The lift invariant gives the Weil pairing – giving the moduli definition field – on modular curves classically denoted X_n , extending our interpretation of the modular curves $X_0(\ell^{k+1})$ as Hurwitz spaces.

§4.2 (resp. §4.3) applies Thm. §1.21 when $G = A_n$ (resp. $G = (\mathbb{Z}/\ell^{k+1})^2 \times {}^s\mathbb{Z}/3$). §1.1.2 discussed the former in detail. For the latter, which we denote as $G_{\ell,0,3}$ ($k = 0$ indicating the group at level 0), we encounter some of the problems that we haven't resolved in this paper. We divided the Hurwitz space structure into two types of components, whose union forms $\mathcal{H}_{\mathbf{HM}-DI}$. Applying the lift invariant implies only the **HM** components give **MTs**. Further, at each level, there are several **HM** components, so these – with lift invariant 0 – are not Schur-separated.

Qualitative description of the geometric and arithmetic monodromy groups of the corresponding **MTs** generalizes Serre's **OIT**. §4.4.3 uses conjectures, André-Oort and Coleman-Oort, in particular, to compare the nature of the arising of ℓ -adic representations (on Tate modules) and decomposition groups as the image of G_K , K a number field, from:

(1.26a) Serre's representations of G_K^{ab} , the Galois group of the abelian closure of K ;

(1.26b) Shimura-Taniyama (**ST**) abelian varieties in Siegel Space; and

(1.26c) **MT** Jacobian fibers from (G, \mathbf{C}) , distinguishing between the Shimura-Taniyama case and when the fiber decomposition is open in the arithmetic monodromy of the **MT**.

Things to keep in mind: (1.26c) meshes Coleman-Oort, Hilbert’s irreducibility theorem and Serre compatible with many of Serre’s related papers (e.g. [Se81]). While Serre’s characterization (1.26a) is explicit, the gadgets he uses (e.g. Weil’s clever restriction of scalars (4.39)) are used by few mathematicians, and they don’t produce ℓ -adic representations that we know how to relate to ℓ -adic cohomology, much less to abelian varieties. Indeed, the closest we come to explicitly knowing G_F images is when they are abelian.

Yet, having geometric objects representing the **MTs** has graphic representation, especially from **sh**-incidence cusp pairing diagrams from which we can apply our main tool, the braid action (to test the target property, that the **MT** is eventually ℓ -Frattini, Def. 3.20). We allude to these only twice in this paper; our preoccupation was on the lift invariant, but [FrBG] and [Fr26], corresponding to our two main examples §4.2 and §4.3 have more complete diagrams.¹⁹

Dispensing with the distinction between arithmetic and geometric monodromy isn’t the complete story, but Ex. 4.24 gives **MTs**, starting with any group G , where each level has a Schur-separated component, giving levels defined over \mathbb{Q} using the argument of [Fr95, Thm. 3.21].²⁰

1.4.2. *Thm. 1.21 strengthens* [GoH92] and [GhT23]. [FrV91] primarily concentrated on Schur-Separated components, mostly by changing \mathbf{C} so there was just one component. Our examples show that it doesn’t suffice in practical applications. As corollaries, [FrV91] used Automorphism-separated components in [FrV92], though without recognizing the key definition of homeomorphic covers (Def. 1.12) for which a version dominates [GoH92] and [GhT23]. For those two papers, T is the regular representation.²¹

The main result of [GoH92] is the connectedness of the space of covers in $\mathcal{H}(G, \mathbf{C})^{\text{Aut}}(G, \mathbf{C})$ ($\text{Aut} = \text{Aut}(G, \mathbf{C})$ homeomorphic to a particular cover φ_0 they select at the beginning. They use the connectedness of a Teichmüller ball. Thus, avoiding Teichmüller theory, [GhT23] rightfully claims an easier proof. Ours is easier still, using “dragging” covers from [Fr77]. Here, we add distinguishing between Automorphism-separated and Homeomorphism-separated components for comparison with the Schur-separated components, as in §1.4.1.

¹⁹The **sh**-incidence pairing gives matrix blocks corresponding to components, for all values of r , but only gives a symmetric matrix if $r = 4$).

²⁰The value of $r = r_{\mathbf{C}}$ is explicit, but $\gg 4$. Thus, it is beyond my hand-calculational ability. I could use a computer programmer here to apply GAP, say, to compute **sh**-incidence matrices.

²¹I was unaware of [GoH92] until 2022, while refereeing [GhT23]. I thought those authors were unaware of [FrV91], but they list a 1991 Völklein paper in their references (without citing it in the paper). [FrV91] and [FrV92] were written and sent to journals while the authors worked together at the University of Florida, 1986-1989.

For Riemann surface covers, selecting one cover for comparison with all others lacks a moduli interpretation of the isotopy classes of cover collections. Usually, there are several possible permutation representations for G , and therefore different possibilities for K . In comparison with, say, [GhT23], we might want $K = \text{Aut}(G)$ for application in the genus formula (2.14). It is optional to take the regular representation for this. (1.27) gives reasons to choose T thoughtfully.

(1.27a) Doing so can produce $\mathcal{H}(G, \mathbf{C})^K$ s (and reduced versions) as classical moduli, as in §4.2).

(1.27b) Having fine moduli is valuable, rare for $\mathcal{H}(G, \mathbf{C})^K$ when T is the regular rep. (Rem. 2.8).

Remark 1.26 (Automorphisms not preserving \mathbf{C}). An $\alpha \in \text{Aut}(G)$ (or $N_{S_n}(G)$) not preserving \mathbf{C} , would not be braidable. Yet, the equivalences used in [GoH92] and [GhT23] would still have included it. Applying α to $\text{Ni}(G, \mathbf{C})^\dagger$ would map it into another Nielsen class, $\text{Ni}(G, (\mathbf{C})_\alpha)^{(\dagger)\alpha}$ where α might even change the permutation representation. We excluded this consideration.

Still: Components corresponding by α on $\text{Ni}(G, \mathbf{C})^\dagger$ and $\text{Ni}(G, (\mathbf{C})_\alpha)^{(\dagger)\alpha}$ would give (as in Cor. 1.22) equivalent covers of U_r (or of J_r). Although the Nielsen classes differ for these components, we can ask if some $\sigma \in G_{\mathbb{Q}}$ conjugates the total spaces over these components. Ex. 2.22 has a moduli definition field larger than the definition field of the configuration space cover.

1.4.3. *Geometric vs Arithmetic Monodromy of covers.* Throughout we apply [Gr-Re57] – an analytic cover, $\varphi : Y \rightarrow X$, (of normal varieties) of an open subset of a quasiprojective variety is algebraic – as did [Fr77], [FrV91], [GhT23], etc. This allows:

(1.28a) taking function fields of our main spaces over a defining field; and

(1.28b) having a well-defined field generated by coordinates of a point on a *fine* moduli space.

The braid calculations of Thm. 1.21 give us (geometric) components of the spaces $\mathcal{H}(G, \mathbf{C})^{\text{in}}$ and $\mathcal{H}(G, \mathbf{C})^{N_T}$. We use moduli interpretations of the definition field of a cover $\hat{\varphi}_{\hat{\mathbf{p}}} : \hat{X}_{\hat{\mathbf{p}}} \rightarrow \mathbb{P}_z^1$, the Galois closure of $\varphi_{\mathbf{p}} : X_{\mathbf{p}} \rightarrow \mathbb{P}_z^1$; both are fibers in total spaces over $\mathcal{H}(G, \mathbf{C})^{\text{in}}$ and $\mathcal{H}(G, \mathbf{C})^{N_T}$:

(1.29a) the coordinates of $\hat{\mathbf{p}} \in \mathcal{H}(G, \mathbf{C})^{\text{in}}$ lying over $\mathbf{p} \in \mathcal{H}(G, \mathbf{C})^{N_T}$ and;

(1.29b) definition fields of total spaces over components containing those points: as in Cor. 2.27.

Although (1.29b) does not appear explicitly in some classical moduli results, it is necessary.²²

[Fr77, §0.C] has the details for considering finite/flat morphisms of normal varieties, giving the Grothendieck definition of (ramified) covers by quoting [Mu66]. Except Mumford has everything over an algebraically closed field, inappropriate in our applications.

The following, expressed in function fields, for the cover of normal, absolutely irreducible varieties $\varphi : X \rightarrow Y$ with definition field F , appears in [Fr77, (2.2)]. For simplicity, assume $F \leq \mathbb{C}$,

²²Ex. 2.22, implicit in the solution of Davenport's Problem, was put here explicitly to clarify that.

and X is absolutely irreducible. We use it for defining the moduli definition field (Def. 2.16), especially when Hurwitz spaces have more than one component.

Extension of Constants Diagram

With $\widehat{F(X)}$ a Galois closure of $F(X)/F(Y)$, denote the constants of $\widehat{F(X)}$, the *extension of constants field*,²³ by \hat{F} . Rest. denotes restriction of automorphisms of $\widehat{F(X)}$ to \hat{F} , surjective in (1.30) because $\overline{F} \cap F(\varphi) = F$. The following sequence of groups is exact.

$$(1.30) \quad 1 \rightarrow G \stackrel{\text{def}}{=} G(\widehat{F(X)}/\widehat{F(Y)}) \rightarrow G(\widehat{F(X)}/F(Y)) \xrightarrow{\text{rest.}} G(\hat{F}/F) \rightarrow 1$$

The middle (resp. first) term of (1.30) is the *arithmetic* (resp. *geometric*) monodromy of the extension $F(X)/F(Y)$. The diagram produces \hat{F} by applying *Hilbert's Irreducibility Theorem* (often aiming for $\hat{F} = F$; so realizing G as a Galois group over F).

Prop. 2.26 applies it to $\varphi_{\mathbf{p}} : X_{\mathbf{p}} \rightarrow \mathbb{P}_z^1$, with $\mathbf{p} \in \mathcal{H}'$ a component of $\mathcal{H}(G, \mathbf{C})^{N_T}$, to compare with $\hat{\mathbf{p}} \in \mathcal{H}(G, \mathbf{C})^{\text{in}}$ over \mathbf{p} , to find the correct field over which a Galois cover of \mathbb{P}_z^1 represents $\hat{\mathbf{p}}$.

We connect **HIT** and the *Coleman-Oort conjecture* (Rem. 3.34) as about decomposition groups on towers of moduli spaces. §2 reminds of total families of Hurwitz spaces.

§3 forms the generalization of modular curve towers on which we can formulate a result comparing the decomposition groups in the tower with the monodromy groups of the towers. This is the deepest place for the lift invariant: ensuring the existence of the tower using a generalization of a classical notion called ℓ -Poincaré duality. Using Serre's **OIT** as a guide, we introduce the two types of decomposition groups – **HIT** and **ST**, respectively generalizing GL_2 and **CM**.

The Coleman-Oort conjecture²⁴ concentrates on the locus of Jacobians of curves in Siegel space (and their variants). If true, it says that Serre's **OIT** generalizes in a surprising way. Our goal, using examples, illustrates its relevance to modern problems: First, showing the relationship between the lift invariant applied to Serre's **OIT** for the cyclotomic definition fields usually arising from the Weil pairing; and then two general cases where the group theory is modest, but gives dramatic Hurwitz space component results.

2. TOTAL SPACES

A *total space* – the topic of §2.1 – over a component of $\mathcal{H}(G, \mathbf{C})^{\dagger}$ is given by

$$(2.1) \quad \begin{aligned} \Phi : \mathcal{T}^{\dagger} &\rightarrow \mathcal{H}(G, \mathbf{C})^{\dagger} \times \mathbb{P}_z^1 \text{ for which the fiber, } \mathcal{T}_{\mathbf{p}} \rightarrow \mathbf{p} \times \mathbb{P}_z^1, \\ &\text{over } \mathbf{p} \in \mathcal{H}(G, \mathbf{C})^{\dagger} \text{ represents the cover corresponding to } \mathbf{p}. \end{aligned}$$

²³See (1.3) on the branch cycle view of choices.

²⁴Often it is the André-Oort conjecture that is mentioned, but that is purely about points on Siegel space, and has none of the refinement of differentiating what happens for special curve loci.

For spaces reduced by the action of $\mathrm{PSL}_2(\mathbb{C})$, the target is more complicated (Rem. 2.10). §2.2 gives everything required for reduced spaces to generalize the goals of [GoH92] and [GhT23].

Problem 2.1 ($G_{\mathbb{Q}}$ Goal). Give the action of $G_{\mathbb{Q}}$ on total spaces over components of $\mathcal{H}(G, \mathbf{C})^{\dagger}$.

Suppose \mathcal{H}' is a component of $\mathcal{H}(G, \mathbf{C})^{\dagger}$. Then, the total space over \mathcal{H}' defines the *moduli definition field* (Def. 2.16), $\mathbb{Q}_{\mathcal{H}'}$, of the component. Given $\mathbf{p} \in \mathcal{H}'(\bar{\mathbb{Q}})$, $\mathbb{Q}_{\mathcal{H}'}(\mathbf{p})$ is the minimal definition field of a representing cover corresponding to \mathbf{p} . Even if $\mathbb{Q}(\mathbf{p}) = \mathbb{Q}$, this will be a larger field if $[\mathbb{Q}_{\mathcal{H}'} : \mathbb{Q}] > 1$. §2.3 thus gives structure to answer Prob. 2.1.

The *branch cycle lemma*, Prop. 2.20, is our model for computing the moduli definition field. It gives $\mathbb{Q}_{\mathcal{H}^{\dagger}}$ explicitly (with $\dagger = \text{in or abs}$) when the Hurwitz space is absolutely irreducible.

Rem. 3.5 answers Prob. 2.1 when we only have components defined by topological separation from Schur-separation, and G has a cyclic Schur multiplier.

2.1. Fine moduli conditions. Again, T is transitive and faithful. In Lem. 2.3, denote $G(T, 1)$ – the stabilizer of 1 in the representation T – as $G(1)$. §2.1.1 gives the conditions for fine inner and fine absolute moduli corresponding to the parameters (G, \mathbf{C}, T) . §2.1.2 compares the different approaches of [Fr77] and [GhT23] to forming total spaces without having fine moduli.

2.1.1. Fine inner and absolute moduli. Lem. 2.3 improves [Fr77, Prop. 2.2] by simplifying the relation between absolute and inner fine moduli, interpreting both on Nielsen classes. This enhancement relates fine absolute and fine inner moduli of Hurwitz spaces. [FrV91], and its corollary paper [FrV92], often assumed fine absolute, so, automatically, fine inner moduli.

Lem. 2.2 tightens [Fr77, Lem. 2.2]. Use the notation of the Extension of Constants diagram (1.30) for a cover $\varphi : X \rightarrow Y$ with $G = G(\widehat{F(X)}/\widehat{F(Y)})$, \widehat{F} the constants of $\widehat{F(X)}$.

Lemma 2.2. *The normalizer of $G(1) = G(\widehat{F(X)}/\widehat{F(X)})$ in G , $N_G(G(1))/G(1)$, identifies with $\mathrm{Aut}(X/Y, F)$.²⁵*

Proof. Let $x = x^{(1)}$ be a primitive generator of $F(X)/F(Y)$; $x^{(1)}, \dots, x^{(n)}$ the conjugates of x over $F(Y)$. A $\beta \in \mathrm{Aut}(X/Y, F)$, induces a field automorphism of $F(Y)(x^{(1)})$ determined by a polynomial $m(x) \in F(Y)[x]$. Take $m(x) \in F(Y)[x]$ where $m(x)$ is the unique polynomial of degree at most $n - 1$ with coefficients in $F(Y)$ with $m(x^{(1)}) = \beta(x^{(1)})$. Since X is absolutely irreducible, automorphisms of $F(X)/F(Y) = F(Y, x^{(1)})/F(Y)$ correspond to automorphisms of $\widehat{F(X)}/\widehat{F(Y)}$.

A fundamental lemma of Galois theory says any such automorphism extends to an automorphism β^* of $\widehat{F(X)}/\widehat{F(Y)}$, that maps $\widehat{F(Y, x^{(1)})}$ into itself. Therefore, for $g \in G(1)$ – fixed on

²⁵As noted in [Fr77, Lem. 2.2], in particular, if T is primitive (meaning no groups properly between G and $G(1)$; e.g., doubly transitive), and G is not a cyclic group of prime degree, then $\mathrm{Aut}(X/Y, F) = \{\mathrm{Id.}\}$.

$F(Y, x^{(1)})$ – so is $(\beta^*)^{-1}g\beta^*$: β^* normalizes $G(1)$. Thus, automorphisms of $F(Y)(x^{(1)})/F(Y)$ identify with $N_G(G(1))/G(1)$. \square

Denote the centralizer of G in S_n by $\text{Cen}_{S_n}(G)$. It is a normal in $N_{S_n}(G)$. Prop. 2.3 puts fine inner and absolute moduli on par with a Nielsen class interpretation, making the former a natural result of the latter.

Proposition 2.3. *Elements of G that permute the right cosets of $G(1)$ by action on the left are in $N_G(G(1))$; distinct actions are given by $N_G(G(1))/G(1)$. Then, $\text{Cen}_{S_n}(G)$ is isomorphic to $N_G(G(1))/G(1)$ and so to the automorphisms, $\text{Aut}(X/Y, F)$, of X over Y , defined over F .*

(2.2a) *Elements of $\text{Cen}_{S_n}(G)$ also permute the (right) cosets of $G(1)$ by action on the left.*

(2.2b) *$N_G(G(1))/G(1) \cong \text{Cen}_{S_n}(G)$, and if the former is trivial, then G has no center.*

Fine moduli for $\mathcal{H}(G, \mathbf{C})^{\text{in}}$ (resp. $\mathcal{H}(G, \mathbf{C})^{N_T}$) is that the center of G (resp. $\text{Cen}_{S_n}(G)$) is trivial.²⁶ So, the latter implies the former.

Proof. A $g \in G$ normalizes $G(1)$ if and only $gG(1)g_i = gG(1)g^{-1}gg_i = G(1)g_{\alpha_j}$ for some α_j . Therefore, those $g \in G$ that permute these cosets under multiplication on the left are exactly the elements of $N_G(G(1))$. Elements that stabilize all these left cosets are the elements of $G(1)$. This identifies $N_{S_n}(G(1))/G(1)$ as acting faithfully by multiplication on the left of these cosets.

List the elements of $h \in N_G(G(1))/G(1)$ as $\{h_1, \dots, h_k\}$. Write $h_jG(1) = G(1)g_{\alpha_j}$, $1 \leq j \leq k$ with the equation for $h' \in S_n$ centralizing G :

$$(2.3) \quad h' \circ T(g) = T(g) \circ h' \text{ for each } g \in G.$$

We will form an h'_j in $\text{Cen}_{S_n}(G)$ that starts with $h'_j : 1 \mapsto \alpha_j$.

$$\text{Apply both sides of (2.3) to } 1 \text{ with } g = g_i: (\alpha_j)T(g_i) = (i)h'_j.$$

This only depends on the coset $G(1)g_i$ and not on g_i since the right side has the same image on 1.

Running over coset representatives, g_i determines h'_j as a permutation that commutes with G . Therefore, the orders of $\text{Cen}_{S_n}(G)$ and $N_G(G(1))/G(1)$ both equal k .

Now we interpret fine moduli for the spaces $\mathcal{H}(G, \mathbf{C})^{\text{in}}$ and $\mathcal{H}(G, \mathbf{C})^{N_T}$. Start with the latter. List an element of $\text{Ni}(G, \mathbf{C})^{\text{abs}} = \text{Ni}(G, \mathbf{C})^{N_T}$ as the set $\bar{\mathbf{g}} \stackrel{\text{def}}{=} \{\alpha \mathbf{g} \alpha^{-1}\}_{\alpha \in N_{S_n}(G)}$. Suppose \mathcal{H}' is a component of $\mathcal{H}(G, \mathbf{C})^{N_T}$ and at (\mathbf{z}_0, z_0) we have, relative to classical generators, $\mathcal{P}_{\mathbf{z}_0, z_0}$, as used in §1.3, chosen branch cycles \mathbf{g} for a cover with given labeling of the points over z_0 . Suppose $\mathbf{g}' \in \bar{\mathbf{g}}$ are branch cycles of the path dragged to the end point of \bar{P} relative to $\mathcal{P}_{\mathbf{z}_0, z_0}$.

²⁶Referred to as the *self-normalizing* condition.

Fine moduli over \mathcal{H}' is equivalent, for every event described above, to uniquely picking out an isomorphism between the original cover given by \mathbf{g} and the new cover given by \mathbf{g}' . This isomorphism comes from choosing an element in N_T to rename the points over z_0 . For fine moduli, we need constraints to make this choice unique. This happens if and only if conjugation by only one element of N_T gives the same branch cycles. This is equivalent to $\text{Cen}_{S_n}(G)$ is trivial.

The same argument applies to a component of $\mathcal{H}(G, \mathbf{C})^{\text{in}}$, except here, instead of $N_{S_n}(G)$, we must verify the conclusion for $\text{Inn}(G)$. That is, if and only if the center of G is trivial. \square

The conclusion of fine moduli with $\dagger = \text{in}$ or abs ([Fr77, §5] or [FrV91, Main Theorem]) is the existence of a unique total family over $\mathcal{H}(G, \mathbf{C})^\dagger$ (as in (2.1)):

$$(2.4) \quad \Phi_{G, \mathbf{C}, \dagger} : \mathcal{T}^\dagger \rightarrow \mathcal{H}(G, \mathbf{C})^\dagger \times \mathbb{P}^1 \xrightarrow{\text{pr} \times \text{Id}} U_r \times \mathbb{P}_z^1.$$

Remark 2.4. From Prop. 2.3 the conclusions of Lem. 2.2 can be stated as $\text{Cen}_{S_n}(G)$ is isomorphic to the automorphisms, $\text{Aut}(X/Y, F)$, of X over Y , defined over F . As in a footnote above, if T primitive and G not cyclic group of prime degree, then $\text{Aut}(X/Y, F) = \{\text{Id.}\}$.

2.1.2. *Interpreting Prop. 2.3 without fine moduli.* Don't assume any fine moduli conditions. Start from any point $\mathbf{z}_0 \in U_r$, with a cover $\varphi_0 \in \mathcal{H}(\mathbf{C}, G)^{\text{abs}}$. Then, the “dragging” process §1.3.2 combined with the fiber product Galois closure construction (proof of Lem. 1.15), allows forming both an inner and absolute (total) space of covers locally over a neighborhood $D_{\mathbf{z}_0}$ of \mathbf{z}_0 in U_r :

$$(2.5) \quad \begin{aligned} &\Phi_{D_{\mathbf{z}_0}}^{\text{in}} : \mathcal{T}_{D_{\mathbf{z}_0}}^{\text{in}} \rightarrow D_{\mathbf{z}_0} \times \mathbb{P}_z^1 \text{ and } \Phi_{D_{\mathbf{z}_0}}^{\text{abs}} : \mathcal{T}_{D_{\mathbf{z}_0}}^{\text{abs}} \rightarrow D_{\mathbf{z}_0} \times \mathbb{P}_z^1, \text{ and} \\ &\text{map between them giving } \hat{\varphi}_{\mathbf{z}}^{\text{in}} \rightarrow \varphi_{\mathbf{z}}^{\text{abs}} \text{ on each fiber over } \mathbf{z} \in D_{\mathbf{z}_0}. \end{aligned}$$

The proof of Prop. 2.3 shows this suffices to form Hurwitz spaces and the families locally over them. What [Fr77, p. 57-58] did has two parts.

(2.6a) Form total families over obvious affine pieces of U_r (noting, without fine moduli, they don't patch together uniquely).

(2.6b) Use Grothendieck's non-abelian H^1 set and his H^2 with coefficients in the center sheaf with stalks $\text{Cen}(G)$, applied to (2.6a) to form the set of total families.

[GhT23] notes the dichotomy between three cases for forming such a family:

(2.7a) G centerless, where such a family is unique over $\mathcal{H}(G, \mathbf{C})^{\text{in}}$;

(2.7b) G is abelian, where such a family exists over U_r though it is not unique; and

(2.7c) G has a center, but is not abelian, the [GhT23] construction forms a canonical system of families with natural maps between them over finite covers of $\mathcal{H}(G, \mathbf{C})^{\text{Aut}(G)}$.

[GhT23, p. 3]: “For general G , one cannot pick out a distinguished choice in a canonical way. This refers to [Fr77, p. 57-58] where a cohomological interpretation of this difficulty is given. They

want to form a total family of covers, doing it in all cases at the loss of getting several copies of the same cover in the family. (2.6) has each representative cover appear in the total family just once.

Problem 2.5. Find a common framework for (2.6) and (2.4). Keep in mind, Prop. 2.3 contains a comparison of the absolute and inner Hurwitz spaces, while (2.4) does not.

2.2. Reduced spaces and a genus formula. Consider the space from the reduction action of $\mathrm{PSL}_2(\mathbb{C})$ (as in (1.14)) on the spaces $\mathcal{H}(G, \mathbf{C})^\dagger$ with $\dagger = \text{abs or in}$. Denote the resulting reduced space $\mathcal{H}(G, \mathbf{C})^{\dagger, \text{rd}}$. Since $\mathrm{PSL}_2(\mathbb{C})$ is connected, components of $\mathcal{H}(G, \mathbf{C})^\dagger$ and $\mathcal{H}(G, \mathbf{C})^{\dagger, \text{rd}}$ will correspond one-one. §2.2.1 gives fine moduli conditions for each corresponding reduced space.

Our goal is to identify properties separating distinct components. Initially deal with $\mathcal{H}(G, \mathbf{C})^\dagger$. Then, quotient out by $\mathrm{PSL}_2(\mathbb{C})$, reducing the complex dimension of the spaces by three. For $r = 4$, normalizing reduced spaces gives a nonsingular cover of the j -line ramified only over $\{0, 1, \infty\}$.

Continuing §2.2.1, §2.2.2 uses the induced H_4 (1.21) action on *reduced Nielsen classes* (Def. 2.6) in particular showing how to compute components, cusps and genres of these j -line coverings. §4 use the rubric of Prop. 1.21 to make these computations on examples.

2.2.1. Reduced inner and absolute spaces. Using reduced Nielsen classes, we can make computations on reduced Hurwitz spaces.

Definition 2.6. For $r = 4$, the Klein 4-group $K_4 = \mathcal{Q}'' \stackrel{\text{def}}{=} \langle \mathbf{sh}^2, q_1 q_3^{-1} \rangle$ is the *reduction group* and $\mathrm{Cu}_4 = \langle q_2, \mathcal{Q}'' \rangle$ is the *cuspidal group*. Then, for $\dagger = \text{abs or in}$ equivalence, and $r = 4$,

$$\text{the reduced } \dagger \text{ Nielsen class is } \mathrm{Ni}(G, \mathbf{C})^\dagger / \mathcal{Q}'' \stackrel{\text{def}}{=} \mathrm{Ni}(G, \mathbf{C})^{\dagger, \text{rd}}.$$

For $r = 4$, define M_4 to be the quotient of the braid group B_4 (with classical generators denoted Q_1, Q_2, Q_3) with these extra relations:

$$\tau_1 = (Q_3 Q_2)^3 = 1, \tau_2 = Q_1^{-2} Q_3^2 = 1, \tau_3 = (Q_2 Q_1)^{-3} = 1 \text{ and } \tau = (Q_3 Q_2 Q_1)^4 = 1.$$

[BFr02, Lem. 2.10] shows adding these relations to B_4 is equivalent to adding $q_1^2 q_3^{-2} = 1$ to H_4 . This produces new equations:

$$(2.8) \quad q_1 q_2 q_1^2 q_2 q_1 = (q_1 q_2 q_1)^2 = (q_1 q_2)^3 = 1.$$

With $\mathcal{Q} = \langle (q_1 q_2 q_3)^2, q_1 q_3^{-1} \rangle$, [BFr02, Thm. 2.9] says the following.

(2.9a) $\mathcal{Q} \triangleleft H_4$ is the quaternion group of order 8; it contains the one nontrivial involution,

$z = (q_1 q_3^{-1})^2$ in H_4 , generating its center, and acting trivially on inner Nielsen classes.

(2.9b) So, \mathcal{Q} acts on all our Nielsen classes through \mathcal{Q}'' .

(2.9c) $\bar{M}_4 = H_4/\mathcal{Q}$ acts on *reduced* Nielsen classes as $\mathrm{PSL}_2(\mathbb{Z})$, making the induced U_j cover a natural upper half-plane quotient.

From (2.9b), H_4 action on reduced Nielsen classes factors through the relation $q_1 q_3^{-1} = 1$ ([BFr02, §3.7] and [BFr02, Prop. 3.28]). Our actions will all be on Nielsen classes, modulo inner action. So, rather than expliciting forming $H_4/\langle z \rangle$ to get to its action on reduced classes, as in (2.9c), we abuse notation slightly and refer to \bar{M}_4 in (2.9c) as H_4/\mathcal{Q}'' acting.

When $r = 4$, fine moduli of reduced spaces divides into three conditions [BFr02, Prop. 4.7] on † (^{abs} or ⁱⁿ) equivalence classes. For a braid orbit, $\mathcal{O} \leq \mathrm{Ni}(G, \mathbf{C})^\dagger$, define the *reduced braid orbit* to be the H_4/\mathcal{Q}'' orbit on $\mathcal{O}/\mathcal{Q}'' = \mathcal{O}^{\mathrm{rd}}$

(2.10a) Before reduction, the Hurwitz space, $\mathcal{H}(G, \mathbf{C})^\dagger$, has fine moduli (Prop. 2.3).

(2.10b) *b-fine moduli*²⁷: The Klein 4-group, K_4 , through which the reduction group, \mathcal{Q}'' maps, acts faithfully on \mathcal{O} : all orbits have length 4.

(2.10c) Given (2.10b), the actions of $\gamma_0 \stackrel{\mathrm{def}}{=} q_1 q_2 \bmod \mathcal{Q}''$ and $\gamma_1 \stackrel{\mathrm{def}}{=} q_1 q_2 q_1 \bmod \mathcal{Q}''$ (the elliptic point branch cycles) on $\mathcal{O}^{\mathrm{rd}}$ have no fixed points.

When there are several components (braid orbits), the conditions (2.10b) and (2.10c) may vary from component to component. Fine moduli for $\mathcal{O}^{\mathrm{rd}}$ is equivalent to these two conditions.

Example 2.7 (Not fine reduced moduli). Consider $D_{\ell^{k+1}}$ (dihedral group of order $2 \cdot \ell^{k+1}$) with ℓ odd, and absolute equivalence the standard degree ℓ^{k+1} representation on the (unique) conjugacy class, \mathbf{C} , of involutions. [Fr95] opens with showing that the compactifications of $\mathcal{H}(D_{\ell^{k+1}}, \mathbf{C}_{2^4})^{\dagger, \mathrm{rd}}$, $^\dagger = \mathrm{abs}$ and in with \mathbf{C}_{2^4} four repetitions of the involution class, $k \geq 0$, over \mathbb{P}_j^1 identify with the respective modular curves $X_0(\ell^{k+1})$ and $X_1(\ell^{k+1})$.

§4.1, in relating to Serre's **OIT** program takes a related Nielsen class, $\mathrm{Ni}((\mathbb{Z}/\ell)^2 \times {}^s\mathbb{Z}/2, \mathbf{C}_{2^4})$. Here are the respective genres of covers in the absolute and inner families.

(2.11a) Points of $\mathcal{H}((\mathbb{Z}/\ell^{k+1})^2 \times {}^s\mathbb{Z}/2, \mathbf{C}_{2^4})^{\mathrm{abs}}$ correspond to covers of genus $\mathbf{g}_{\mathrm{abs}}$:

$$2((\ell^{k+1})^2 + \mathbf{g}_{\mathrm{abs}} - 1) = 4 \frac{((\ell^{k+1})^2 - 1)}{2} \text{ or } \mathbf{g}_{\mathrm{abs}} = 0.$$

(2.11b) Points of $\mathcal{H}((\mathbb{Z}/\ell^{k+1})^2 \times {}^s\mathbb{Z}/2, \mathbf{C}_{2^4})^{\mathrm{in}}$ correspond to covers of genus \mathbf{g}_{in} :

$$2((\ell^{k+1})^2 + \mathbf{g}_{\mathrm{abs}} - 1) = 4 \frac{2(\ell^{k+1})^2}{2} \text{ or } \mathbf{g}_{\mathrm{in}} = 1.$$

Formula (2.14) gives a non-classical computation of the respective genres of the reduced spaces as j -line covers. The Hurwitz spaces (absolute and inner) for both families of covers have fine moduli (Prop. 2.3), but the reduced spaces don't. For example, when the group is $D_{\ell^{k+1}}$, there

²⁷Stands for *birational* fine moduli.

is one braid orbit containing an **HM** rep. (Def. 1.14). Easily compute that \mathcal{Q}'' stabilizes it. This shows the necessity of having the **HM** rep. not be given by involutions in Lem. 2.15.

In both cases, the absolute spaces are spaces of covers, $\varphi : X \rightarrow \mathbb{P}_z^1$, with X of genus 0, and the Galois closure a genus one curve above 1. Since the degree of φ is odd, ℓ^{k+1} , over any field of definition of the cover, we can take X a copy of \mathbb{P}_z^1 . The map from the inner space covering to the absolute space lies over the identity on $U_j = \mathbb{P}_j^1 \setminus \{\infty\}$ (2.9c) making the genus 1 curve a homogenous space for an elliptic curve. \triangle

Remark 2.8 (Fine^{abs} vs fineⁱⁿ moduli). Prop. 2.3, since $\text{Cen}(G) \leq \text{Cen}_{S_n}(G)$, says fine absolute moduli implies fine inner moduli. The former holds if there is no proper group between G and $G(1)$ (primitivity; implied by double transitivity) and G is not cyclic of prime order. It can, though happen that $\text{Cen}_{S_n}(G)$ is not trivial, but $\text{Cen}(G)$ is. For example, for T , the regular representation of a centerless G , $\text{Cen}_{S_n}(G)$ is isomorphic to G with the opposed multiplication.

Remark 2.9 (Reduced fine moduli for $r \geq 5$). We don't use reduced fine moduli for $r \geq 5$ in this paper. Still, for completeness, there is no group like that \mathcal{Q}'' as in (2.10b) to worry about. That is, b-fine moduli holds automatically, assuming $\mathcal{H}(G, \mathbf{C})^\dagger$ has fine moduli. Suppose, however, $\alpha \in \text{PSL}_2(\mathbb{C})$ has a fixed point on U_r . The analog of not satisfying (2.10c) arises when a point $J_0 \in J_r$ is fixed by $\alpha \in \text{PSL}_2(\mathbb{C})$ and the reduced Hurwitz space has a singular point \mathbf{p} above J_0 . That corresponds to $\mathbf{g} \in \text{Ni}(G, \mathbf{C})^\dagger$ fixed by α , with $\mathbf{p} = \mathbf{p}_{\mathbf{g}}$ the corresponding cover.

Remark 2.10 (What $\mathbf{p}^{\text{rd}} \in \mathcal{H}(G, \mathbf{C})^{\dagger, \text{rd}}$ represents). Consider $\mathbf{p} \in \mathcal{H}(G, \mathbf{C})^\dagger$ – with fine moduli – represented by $\varphi : X \rightarrow \mathbb{P}_z^1$. For $\mathbf{p}^{\text{rd}} \in \mathcal{H}(G, \mathbf{C})^{\dagger, \text{rd}}$, the image of \mathbf{p} , there may be no cover $X \rightarrow \mathbb{P}^1$ over the coordinates for \mathbf{p} representing it. [BFr02, Reduced Cocycle Lemma 4.11] gives the precise cohomological condition for a target isomorphic to \mathbb{P}^1 over the coordinates of \mathbf{p} .

2.2.2. A genus formula when $r = 4$. As usual $\mathcal{H}(G, \mathbf{C})^\dagger$ has $\dagger = \text{in}$ or abs Nielsen classes. Using reduced Hurwitz spaces compares [FrV91] to [GoH92] and [GhT23]. For $r = 4$, reduced spaces are upper half-plane quotients ramified over the expected j -line places, but they *aren't* modular curves except as variants on the case G is a dihedral group case. See Ex. 2.7.

Problem 2.11. [Main **MT** conj.] Starting over a particular number field K , show high tower levels – $\mathcal{H}(G_k, \mathbf{C})^{\text{in}, \text{rd}}$, $k \gg 0$, have no K points. For $r = 4$, the explicit approach has been to use Falting's Thm. and show the genus of all components goes up with k . In proving Prob. 2.11 for $\ell = 2, 3$ and 5 when $G = A_5$, [BFr02] followed this procedure.

Then, from (2.8), respectively denote the images of q_1q_2 , $q_1q_2q_1$ and q_2 in \bar{M}_4 acting on reduced Nielsen classes as γ_0 , γ_1 and γ_∞ . These satisfy product-one (as in Def. 1.13):

$$(2.12) \quad \gamma_0\gamma_1\gamma_\infty = 1.$$

The upper half-plane appears as a classical ramified Galois cover of the j -line minus ∞ . The elements γ_0 and γ_1 in \bar{M}_4 generate the local monodromy of this cover around 0 and 1 [BFr02, §4.2].

Denote $q_1q_2q_3$ as **sh**, the shift from (1.21b). From the above, **sh** and γ_1 are the same in \bar{M}_4 . Denote $\mathbb{P}_j^1 \setminus \{\infty\}$ by U_∞ . Prop. 2.12 is [BFr02, Prop. 4.4].

Proposition 2.12 (*j*-line branch cycles). *Therefore H_4 acts on reduced Nielsen classes (as used in Prop. 2.12 given by (2.9c)) through \bar{M}_4 . Then, \bar{M}_4 orbits on $\text{Ni}(G, \mathbf{C})^{\dagger, \text{rd}}$ correspond one-one to H_4 orbits on $\text{Ni}(G, \mathbf{C})^\dagger$.*

For \mathcal{O}' , a reduced orbit corresponding to a Nielsen class orbit \mathcal{O} , orbits of the cusp group, Cu_4 give the cusps. Denote the respective actions of $\bar{\gamma} = (\gamma_0, \gamma_1, \gamma_\infty)$ by $\bar{\gamma}' = (\gamma'_0, \gamma'_1, \gamma'_\infty)$.

Then, \mathcal{O}' corresponds to a cover of $\beta_{\mathcal{O}'} : \mathcal{H}_{\mathcal{O}'}^{\text{rd}} \rightarrow U_\infty$
with $\bar{\gamma}'$ a branch cycle description of its compactification over \mathbb{P}_j^1 .

Suppose $\{\mathbf{g}, (\mathbf{g})q_2, (\mathbf{g})q_2^2, \dots\}$ is the orbit of $\mathbf{g} = (g_1, g_2, g_3, g_4)$ under q_2 . For \mathbf{g}^* any element in the orbit, the product of its 2nd and 3rd entries is always $g_2g_3 = g$; denote $\text{ord}(\mathbf{g})$ by o (called the *middle product* or **mp**). Below, denote the orbit length (or width) by **wd**(\mathbf{g}), of q_2 on \mathbf{g} .²⁸ With actual numbers in Prop. 2.13 we indicate the pair **(mp**(\mathbf{g}), **wd**(\mathbf{g})) by (u, v) and refer to this as its *orbit type*. With the center of $\langle g_2, g_3 \rangle$ denoted $\text{Cen}(g_2, g_3)$, the following is [BFr02, Prop. 2.17].

Proposition 2.13. *If $g_2 = g_3$, then $u = v = 1$. With $g_2 \neq g_3$, $g = g_2g_3$ and $g' = g_3g_2$:*

$$(2.13a) \quad u = \text{ord}(g_2g_3)/|\langle g_2g_3 \rangle \cap \text{Cen}(g_2, g_3)|. \text{ Also, } v = 2 \cdot u, \text{ unless,}$$

$$(2.13b) \quad \text{with } x = (g)^{(u-1)/2} \text{ and } y = (g')^{(u-1)/2} \text{ (so } g_2y = xg_2 \text{ and } yg_3 = g_3x),$$

$$u \text{ is odd, and } yg_3 \text{ has order 2. Then, } v = u.$$

Denote a q_2 orbit with type (u, v) by ${}_c\mathcal{O}(u, v)$. For $\mathbf{g} \in {}_c\mathcal{O}(u, v)$,

$$\text{use } \text{Stab}_{\mathcal{Q}''}(\mathbf{g}) \text{ (resp. } \text{Stab}_{\mathcal{Q}''}({}_c\mathcal{O}(u, v))$$

for the stabilizer in \mathcal{Q}'' of \mathbf{g} (resp. the subgroup of \mathcal{Q}'' mapping \mathbf{g} into ${}_c\mathcal{O}(u, v)$). Since $\mathcal{Q}'' \triangleleft \text{Cu}_4$, $|\text{Stab}_{\mathcal{Q}''}(\mathbf{g})|$ and $|\text{Stab}_{\mathcal{Q}''}({}_c\mathcal{O}(u, v))|$ depend only on ${}_c\mathcal{O}(u, v)$.

Definition 2.14 (Reduced orbit length). The reduced orbit factor associated to ${}_c\mathcal{O}(u, v)$ is

$$f_{u,v} = |\text{Stab}_{\mathcal{Q}''}({}_c\mathcal{O}(u, v)) / \text{Stab}_{\mathcal{Q}''}(\mathbf{g})|. \text{ An } f_{u,v} \neq 1 \text{ gives orbit shortening.}$$

²⁸That is interpreted as the ramification index of the cusp over its image in $j = \infty$.

With an actual cusp computation, several γ'_∞ orbits may have the same (u, v) . Use a peripheral symbol a to distinguish them. Riemann-Hurwitz then gives the genus $g_{\mathcal{O}'}$ of the reduced Hurwitz space component $\mathcal{H}_{\mathcal{O}'}^{\text{rd}}$ corresponding to the reduced braid orbit \mathcal{O}' as

$$(2.14) \quad 2(|\mathcal{O}'| + g_{\mathcal{O}'} - 1) = \frac{2(|\mathcal{O}'| - \text{tr}(\gamma'_0))}{3} + \frac{|\mathcal{O}'| - \text{tr}(\gamma'_1)}{2} + \sum_{c\mathcal{O}'(u,v;a) \subset \mathcal{O}'} \frac{v}{f_{u,v}} - 1.$$

Lem. 2.15, rephrases [BFr02, Lem. 7.5], assuring b-fine moduli on some of our examples.

Lemma 2.15. *Assume $r = 4$, G centerless, and \mathcal{O} a braid orbit in $\text{Ni}(G, \mathbf{C})^\dagger$ containing an **HM** rep. $\mathbf{g} = (g_1, g_1^{-1}, g_2, g_2^{-1})$. Then the K_4 action is faithful unless g_1 and g_2 are involutions.*

2.3. Moduli Definition Fields: Part I. For a field F , a variety V defined over F , and $\mathbf{p} \in V$, $F(\mathbf{p})$ denotes the field generated over F by the coordinates of \mathbf{p} . Suppose \mathcal{H}' is a component of $\mathcal{H}(G, \mathbf{C})^\dagger$. Usually assuming \mathcal{H}' has fine moduli, we seek a field $\mathbb{Q}_{\mathcal{H}'}$ with the following property,

Definition 2.16 (Moduli definition field). For $\mathbf{p} \in \mathcal{H}'(\bar{\mathbb{Q}})$ there will be a representative cover $\varphi^\dagger : X^\dagger \rightarrow \mathbb{P}_z^1$ with equations defined over $\mathbb{Q}_{\mathcal{H}'}(\mathbf{p})$, and any other cover representing \mathbf{p} will be equivalent to φ^\dagger over some extension of $\mathbb{Q}_{\mathcal{H}'}(\mathbf{p})$.

In lieu of Thm. 1.21, §2.3.1 improves the original Branch Cycle Lemma (**BCL**) as a model for Def. 2.16. §2.3.2 (Ex.2.22) is an explicit example that came from the solution of Davenport's problem. It shows the moduli definition field is *not* always the definition field of the moduli space with its map to its configuration space. §2.3.3 deals with Galois closures of covers.

Remark 2.17. Our concentration on points on fine moduli spaces, combined with our use of Grauert-Remmert, allows a fairly uniform approach. There are, however, places where one must pause.

(2.15a) We sometimes, as in §4.1, use spaces that don't have fine moduli (in going from Hurwitz spaces to reduced Hurwitz spaces); and

(2.15b) in comparing points on a **MT** with points on a Jacobian variety, as in §3.2.3, on Shimura-Taniyama **CM** varieties, the definitions of moduli fields aren't transparently compatible.

Using remarks in §4.3, our approach works because we selected limited examples to apply Thm. 1.21

2.3.1. The BCL as a model. Denote the least common multiple of elements in \mathbf{C} by $N_{\mathbf{C}}$. Recall the elements $\text{Aut}(G, \mathbf{C})$ preserving \mathbf{C} , and the corresponding subgroup of $N_{S_n}(G, \mathbf{C})$ (§1.2).

Definition 2.18. With $\zeta_{N_{\mathbf{C}}}$ a primitive $N_{\mathbf{C}}$ th root of 1, Consider these subgroups of $G(\mathbb{Q}(\zeta_{N_{\mathbf{C}}})/\mathbb{Q})$:

$$M_{\mathbf{C}, \text{in}} \stackrel{\text{def}}{=} \{u \in \mathbb{Z}/N_{\mathbf{C}} \mid (u, N_{\mathbf{C}}) = 1 \text{ and } \mathbf{C}^u = \mathbf{C}\}$$

$$\text{and } M_{\mathbf{C}, \text{abs}} \stackrel{\text{def}}{=} \{u \in \mathbb{Z}/N_{\mathbf{C}} \mid (u, N_{\mathbf{C}}) = 1 \text{ and } \mathbf{C}^u = \mathbf{C} \pmod{N_{S_n}(G, \mathbf{C})}\}.$$

We say \mathbf{C} is a *rational union* if $M_{\mathbf{C}, \text{in}} = (\mathbb{Z}/N_{\mathbf{C}})^*$.

Assuming fine moduli, Cor. 1.8 says $\sigma \in G_{\mathbb{Q}}$ maps a representative of $\mathbf{p} \in \mathcal{H}(G, \mathbf{C})^{\dagger}(\bar{\mathbb{Q}})$ to a representative of $\mathbf{p}^{\sigma} \in \mathcal{H}(G, \mathbf{C}^{n_{\sigma}})^{\dagger}$ with n_{σ} the cyclotomic integer associated to σ . Compatible with Prop. 2.20, Def. 2.19 is a variant on Def. 2.16.

Definition 2.19. Replace $\mathcal{H}(G, \mathbf{C})^{\dagger}$ (with fine moduli) with an absolutely irreducible component, \mathcal{H}' . Its moduli definition field, $\mathbb{Q}_{\mathcal{H}'}$, give the minimal subfield (of $\bar{\mathbb{Q}}$) satisfying (2.16).

(2.16a) The fiber over $\mathbf{p}' \times \mathbb{P}_x^1$ in the unique total representing family $\Psi_{\mathcal{H}'} : \mathcal{T} \rightarrow \mathcal{H}' \times \mathbb{P}_x^1$ gives a cover representing \mathbf{p}' over $\mathbb{Q}_{\mathcal{H}'}(\mathbf{p}')$.

(2.16b) Applying $\sigma \in G_{\mathbb{Q}}$ to $\varphi_{\mathbf{p}'} : X_{\mathbf{p}'} \rightarrow \mathbb{P}_x^1$ – giving $\varphi_{\mathbf{p}'}^{\sigma} : X_{\mathbf{p}'}^{\sigma} \rightarrow \mathbb{P}_x^1$ – represents a cover in \mathcal{H}' if and only if $\sigma \in G_{\mathbb{Q}_{\mathcal{H}'}}$.

Assuming fine moduli and *irreducibility* for the Hurwitz space $\mathcal{H}(G, \mathbf{C})^{\dagger}$, the *Branch Cycle Lemma* (**BCL** of [Fr77, §5.1]) gives

(2.17) the moduli definition field for $\mathcal{H}(G, \mathbf{C})^{\dagger}$ is the fixed field of $M_{\mathbf{C}, \dagger}$ in Def. 2.18, an explicit cyclotomic field, depending only on \mathbf{C} and the equivalence \dagger .

In lieu of Thm. 1.21, we don't need $\mathcal{H}(G, \mathbf{C})^K$ to be irreducible. Replace that by (2.18).

(2.18a) Assume we know $\mathbb{Q}_{\mathcal{H}'}$, with $\mathcal{H}' \leq \mathcal{H}(G, \mathbf{C})^{\text{abs}}$, classes of covers that are an orbit for lift invariants under $N_{S_n}(G, \mathbf{C})$.

(2.18b) For the inner Hurwitz space: Replace absolute irreducibility of $\mathcal{H}(G, \mathbf{C})^{\text{in}}$ by there is one absolutely irreducible component of $\mathcal{H} \leq \mathcal{H}(G, \mathbf{C})^{\text{in}}$ above \mathcal{H}' .

Proposition 2.20 (extended **BCL**). *Assume (2.18) holds. Then $\mathbb{Q}_{\mathcal{H}}$ exists and is $\mathbb{Q}_{\mathcal{H}'}$ with the fixed field, $F_{\mathbf{C}, \text{in}}$, of $M_{\mathbf{C}, \text{in}}$ adjoined.*²⁹

[FrV91, Lem. 2] showed how to replace (G, \mathbf{C}) – when these did not have fine moduli – with an explicit, not canonical – “covering” (G^*, \mathbf{C}^*) with fine moduli, sufficing for some applications.

2.3.2. Example moduli definition field. The **BCL** arose in solving Davenport's problem [Fr73]. Ex. 2.22 explicitly displays a moduli definition field that is *not* the definition field of the Hurwitz space component over the configuration space. Davenport's problem was $L = \mathbb{Q}$ in Prob. 2.21.

²⁹When I left my tenured position at Stony Brook in 1974 for a full professorship at UC Irvine, I was given the least experienced typist. That typist couldn't produce a copy of [Fr77] that looked good photocopied into print. It could use a redo for typos and updates for placement on the arXiv and the proof of this extension.

Problem 2.21. Describe all pairs of (inequivalent) genus 0 indecomposable covers $\varphi_j : X_j \rightarrow \mathbb{P}_z^1$, $j = 1, 2$ covers, with total ramification over ∞ (polynomial covers), defined over a number field L , for which a certain arithmetic property holds.³⁰

Example 2.22 (Davenport pairs). Let T_j be the representation of φ_j in Prob. 2.21. [Fr73] gives this corollary of [Fr70]: indecomposable over \mathbb{C} for the polynomials in Prob. 2.21 is the same as indecomposable over L , the Galois closures of the two covers are the same [Fr73, Prop. 3], and $\text{tr}(T_1(g)) = \text{tr}(T_2(g))$ for $g \in G$ (tr the trace) is equivalent to the arithmetic statement.

The latter implies $\deg(T_1) = \deg(T_2) \stackrel{\text{def}}{=} n$. We now see the representations are doubly transitive [Fr73, Lem. 2]. These being inequivalent polynomial covers implies only one class in ${}_j\mathbf{C}$ is an n -cycle, and covers have at *most* 3 finite places that are ramified [Fr73, Thm. 1]. Denote one conjugacy class of n -cycles by C_∞ , and ${}_jC_\infty$ the resp. n -cycle classes for T_j , $j = 1, 2$.

A classical theory – *difference sets* – suited the branch cycle lemma implying all other n -cycle classes have the form C_∞^u , $(u, n) = 1$ and the classes ${}_j\mathbf{C}$, $j = 1, 2$ differed only in their n -cycles. Finding those u values was the hard group theory.

One more general conclusion. [Fr73, Lem 5]: The cyclotomic field given by the **BCL** for the moduli definition field of these covers is the fixed field of (2.19a).

(2.19a) $\mathcal{M}_{G, {}_j\mathbf{C}} \stackrel{\text{def}}{=} \{u \in (\mathbb{Z}/n)^* \mid {}_jC_\infty^u = {}_jC_\infty\}$. Further, $-1 \notin \mathcal{M}_{G, {}_j\mathbf{C}}$ and ${}_1C_\infty^{-1} = {}_2C_\infty$.

(2.19b) An $\alpha \in \text{Aut}(G)$, as in Rem. 1.26, maps ${}_1\mathbf{C}$ to ${}_2\mathbf{C}$; the argument of Cor. 1.22 applies.

(2.19c) From (2.19b), Hurwitz spaces for T_1 and T_2 are equivalent covers of U_4 .

From (2.19a), the moduli definition field here is not \mathbb{Q} , giving the result – no such polynomial pairs – over \mathbb{Q} that Davenport expected. For general number fields L , work explicitly with Nielsen classes by noting these gave G closely related to $\text{PGL}_k(\mathbb{F}_q)$. The two different permutation representations are on points and hyperplanes of projective space.³¹ [Fr12, §5] lists possible Nielsen classes and outcomes from these calculations:

(2.20a) There is just one braid orbit on either Nielsen class, and the cyclotomic definition field from the **BCL** is given explicitly.

(2.20b) There were only finitely many corresponding Nielsen classes (or degrees), and so only finitely many Davenport polynomial pairs, no matter what is L .³²

(2.20c) Those with $r = 4$ correspond to degrees $n = 7, 13$ and 15 .

³⁰For almost all primes of L , the covers have identical ranges on the residue class fields. This turned out to be equivalent to Schinzel's problem: Among polynomials pairs f_1, f_2 , with f_1 indecomposable, find those for which $f_1(x) - f_2(y)$ is (nontrivially) reducible.

³¹There was also an exceptional degree 11 case. This was before the classification of finite simple groups, but eventually, it was shown these were all cases.

³²Again, this uses that polynomials give genus 0 covers.

(2.20d) Reduced j -line covers from (2.20c) have genus 0 (from (2.14) [Fr12, §6]).

The punchline: From (2.20d), the Hurwitz spaces as j -line covers are explicitly isomorphic to \mathbb{P}^1 over \mathbb{Q} , so \mathbb{Q} points are dense in the Hurwitz spaces of (2.20d). You *must* adjoin the moduli definition field ($\neq \mathbb{Q}$) to get actual polynomial pairs: [Fr99, §9.2] for a complete exposition.

Explicit **PARI** generated equations of [CaCo99, §5.4] display the essential parameter; [Fr12, §7.2.2] notes their dependence on [Fr73]. [Fr12, Thm. 6.9]. shows all this with reduced spaces of $r = 4$ branch point covers as a case of genus formula (2.14). \triangle

2.3.3. Galois closure. Consider the extension of constants diagram (1.30) from $F(V)/F(W)$, an absolutely irreducible extension defined over F , as coming geometrically from $\Psi : V \rightarrow W$, a finite flat, degree n , morphism of normal, absolutely irreducible varieties over a field F . Below, we use the permutation representation T_Ψ attached to Ψ .

Construct the n -fold fiber product of Ψ :

$$(2.21) \quad \{(v_1, \dots, v_n) \in V^n \mid \Psi(v_i) = \Psi(v_j)\} = V'.$$

As in the proof of Lem. 1.15, remove the fat diagonal and normalize what remains of V' to form $\bar{\Psi} : \bar{V} \rightarrow W$. Take a base point $w \in W(\mathbb{Q})$ with no singular points of \bar{V} over it and $F(w)$ and \hat{F} disjoint fields over F .³³

A $\pi' \in S_n$ maps $(v_1, \dots, v_n) = \mathbf{v}_1 \mapsto \mathbf{v}_{\pi'} \stackrel{\text{def}}{=} (v_{(1)\pi'}, \dots, v_{(n)\pi'})$, inducing $\pi' : \bar{V} \rightarrow \bar{V}$ permutating (absolutely) irreducible components and determined by what it does on elements in $\bar{\Psi}^{-1}(w)$. Therefore, below, we assume $\mathbf{v}_{\pi'}$ is in the fiber over w . We only have to go up to \hat{F} to get coefficients for the equations of absolutely irreducible components.

Lemma 2.23. *Assume, as above, that Ψ is defined over F . Take \bar{V}_1 , an absolutely irreducible component of \bar{V} . For $\mathbf{v}_1 \in \bar{V}_1$, identify G with $G_1 \stackrel{\text{def}}{=} \{g \in S_n \mid \mathbf{v}_g \in \bar{V}_1\}$. For $\pi' \in S_n$, representing a right coset of G in S_n , consider*

$$(2.22) \quad \bar{V}_{\pi'} = \{\mathbf{v}_{g\pi'} = \mathbf{v}_{\pi' \cdot (\pi')^{-1}g\pi'} \mid \mathbf{v}_g \in \bar{V}_1\}.$$

(2.23a) *Each $\bar{V}_{\pi'}$ is a Galois closure of Ψ over \hat{F} with group $G_{\pi'} = \{(\pi')^{-1}g\pi' \in S_n \mid g \in G\}$.*

(2.23b) *The π' for which $G_{\pi'} = G$ are those cosets represented by $\pi' \in N_{S_n}(G)$. (2.23a) gives the quotients of $G_{\pi'}$ that factor through a particular copy of V in the symmetric product.*

(2.23c) *The resulting $G_{\pi'}$, the group of the fiber over w of $\bar{V}_{\pi'}$, is independent of the choice of $\mathbf{v}_1 \in \bar{V}_1$; it depends only on the coset of π' .*

³³Apply Hilbert's irreducibility to a projection of $W \rightarrow U$ defined over F , with U an open subset of some projective space, to get such a point w , lying over a point of $U(\mathbb{Q})$.

(2.23d) *The set of Galois closure components over \bar{F} that lie on \bar{V} that factor through Ψ is closed under the action of $G_{\bar{F}}$ acting on these components through the group $N_{S_n}(G)$.*

Each G_F orbit in (2.23d) is represented by a subgroup of $N_{S_n}(G)/G$.

Proof. Proof of (2.23a) From (2.22), the elements $\psi_{\pi'} : g \mapsto (\pi')^{-1}g\pi'$ map \bar{V}_1 to the elements in $\bar{V}_{\pi'}$. Thus $G_{\pi'}$ maps $\bar{V}_{\pi'}$ into $\bar{V}_{\pi'}$. This component has $|G_{\pi'}|$ elements and is Galois over W from Galois theory: It has precisely as many automorphisms as the degree of the cover over W . Also, $\psi_{\pi'}$ maps the subgroups $G(i) < G$ defining the permutation representation $T = T_1$ to subgroups $G_{\pi'}(i)$ defining the permutation representation $T_{\pi'}$.

The first sentence of (2.23b) is obvious from the definitions; they define the elements in S_n that normalize G . Now, $\bar{V}_{\pi'}$ maps through V if and only if $\bar{V}_{\pi'}$ has a quotient V' whose fiber over w is the same as the fiber of $V = V_1$ over w . Then (2.23a) shows this happens if only if π' is a coset of $N_{S_n}(G)$ in S_n . This shows (2.23b).

For (2.23c), replace π' by $g\pi'$ with $g \in G$. Then, $\mathbf{v}_{\pi'} \mapsto (\mathbf{v}_g)\pi'$, changing \mathbf{v}_1 to \mathbf{v}_g in the fiber of \bar{V}_1 over w , and $G_{\pi'} \mapsto (\pi')^{-1}(g^{-1}Gg)\pi' = G_{\pi'}$.

Each absolutely irreducible component of \bar{V} is determined, as an algebraic set, by its fibers over w indicated by the coset of G in S_n defining it, and \bar{V} is defined over F . Since Ψ is defined over F , any conjugate of a \bar{V} component that factors through Ψ also factors through Ψ . This shows (2.23d), and since the action of G_F will factor through the decomposition group of the collection of components, this also shows the last sentence of the lemma. \square

According to (2.23d) (and the following sentence), we can divide the components of \bar{V} that factor through Ψ into F -components. We regard Prop. 2.24 as a precise version of **HIT**. With no loss, assume there is one F -component, denoted $\mathcal{A}(\bar{V}_1)$, on which G_F acts through a transitive permutation representation T^* on \mathcal{A} . Prop. 2.24 applies the Weil co-cycle condition; we are not after just the definition field of a variety but the definition field of a Galois cover. The conclusion says coefficients of the components generate the constants in the Galois closure of Ψ in $\mathcal{A}(\bar{V}_1)$.

Proposition 2.24. *Assume G is centerless. With the assumption above, we may assume T^* is faithful on the collection of Galois closures of $V \rightarrow \mathbb{P}_z^1$ that factor through Ψ . Therefore, if G_F is fixed on the unique equivalence classes of covers, G is regularly realized as a Galois group over F .*

Proof. Consider the (normal) subgroup, G^* , of $G(\hat{F}/F)$ that leaves each element of $\mathcal{A}(\bar{V}_1)$ fixed (as an algebraic set). The fixed field, F^* , of G^* in \hat{F} is Galois over F . With the centerless assumption, [Fr77, Prop. 2] shows there is an algebraic set V_1^* such that $V_1^* \otimes \hat{F}$ is \bar{V}_1 , with V_1^* defined over

F^* . Now apply $G(F_1^*/F)$ to transport V_1^* to the algebraic sets of the other $\mathcal{A}(\bar{V}_1)$ components. It is clear now that $G(F^*/F)$ has faithful action on these transported components. \square

Def. 2.25 gives the fiber product components analogous for one cover of \mathbb{P}_z^1 of the components of Hurwitz space components of $\mathcal{H}(G, \mathbf{C})^{\text{in}}$ that lie over a given component of $\mathcal{H}(G, \mathbf{C})^{\text{abs}}$.³⁴

Definition 2.25 (Normalizer components). Denote the union of the components associated with the cosets of G in $N_{S_n}(G)$ as in (2.23b) by $\mathcal{A}(N_{S_n}(G))$. This contains $\mathcal{A}(\bar{V}_1)$ from above Prop. 2.24.

2.4. Moduli Definition fields: Part II. Start from an absolute Nielsen class $\text{Ni}(G, \mathbf{C})^{\text{abs}}$. We run over components of $\mathcal{H}(G, \mathbf{C})^{\text{in}}$ using §2.3.3. §2.4.1 is the Galois closure fiber product construction applied to Hurwitz spaces. This produces the moduli definition field for an inner component from the moduli definition of an absolute component below it using the division of Thm. 1.21 into homomorphism and automorphism-separated components, and *Weil's cocycle condition* applied to (Galois covers) inner moduli. §2.4.2 assumes only fine inner moduli, not fine absolute moduli.

2.4.1. Fiber product applied to Hurwitz spaces. Suppose \mathcal{H}' (resp. \mathcal{H}) is a component on a particular Hurwitz space, $\mathcal{H}(G, \mathbf{C})^{\text{abs}}$ (resp. $\mathcal{H}(G, \mathbf{C})^{\text{in}}$) with \mathcal{H} lying over \mathcal{H}' , $\mathbb{Q}_{\mathcal{H}'}$ and $\mathbb{Q}_{\mathcal{H}}$ the respective moduli definition fields (Def. 2.16).³⁵ Prop. 2.26 does the Galois closure construction in families that allows relating $\mathbb{Q}_{\mathcal{H}}$ to $\mathbb{Q}_{\mathcal{H}'}$ in Cor. 2.27.

This assumes $\mathcal{H}(G, \mathbf{C})^{\text{abs}}$ has fine moduli (the self-normalizing condition for $G(1)$ in G of Prop. 2.3). By assumption there is a unique total family, or *fine moduli* structure, defined over $\bar{\mathbb{Q}}$:

$$(2.24) \quad \Psi_{\text{abs}} : \mathcal{T}^{\text{abs}} \rightarrow \mathcal{H}(G, \mathbf{C})^{\text{abs}} \times \mathbb{P}_z^1 \rightarrow U_r \times \mathbb{P}_z^1$$

on $\mathcal{H}(G, \mathbf{C})^{\text{abs}}$. Pullback over $\mathbf{p}' \times \mathbb{P}_z^1$ represents the cover class associated to $\mathbf{p}' \in \mathcal{H}(G, \mathbf{C})^{\text{abs}}(\bar{\mathbb{Q}})$.

Proposition 2.26. *A canonical fiber product construction gives the following commutative diagram*

$$(2.25) \quad \begin{array}{ccccc} \mathcal{T}^{\text{in}} & \xrightarrow{\Psi_{\text{in}}} & \mathcal{H}(G, \mathbf{C})^{\text{in}} \times \mathbb{P}_z^1 & \longrightarrow & U_r \times \mathbb{P}_z^1 \\ \downarrow \Psi_{\text{abs}, \text{in}} & & \downarrow \Phi_{\text{abs}, \text{in}} \times \text{Id}_z & & \downarrow \text{Id}_r \times \text{Id}_z \\ \mathcal{T}^{\text{abs}} & \xrightarrow{\Psi_{\text{abs}}} & \mathcal{H}(G, \mathbf{C})^{\text{abs}} \times \mathbb{P}_z^1 & \longrightarrow & U_r \times \mathbb{P}_z^1. \end{array}$$

In (2.26), \mathcal{H}' is a component of $\mathcal{H}(G, \mathbf{C})^{\text{abs}}$ and $\mathcal{H}(G, \mathbf{C})_{\mathcal{H}'}^{\text{in}} = \mathcal{H}_{\mathcal{H}'}^* \leq \mathcal{H}(G, \mathbf{C})^{\text{in}}$ in the top line is the pullback of \mathcal{H}' to $\mathcal{H}(G, \mathbf{C})^{\text{in}}$.

$$(2.26) \quad \text{rest}(\Psi_{\text{abs}}) : \mathcal{T}_{\mathcal{H}'}^* \rightarrow \mathcal{H}_{\mathcal{H}'}^* \times \mathbb{P}_z^1 \rightarrow \mathcal{H}' \times \mathbb{P}_z^1 \rightarrow U_r \times \mathbb{P}_z^1 \text{ defined over } \mathbb{Q}_{\mathcal{H}'}.$$

³⁴The point: With a number theory tool like HIT, deal with a Nielsen class rather than one cover at a time.

³⁵Compatible with Thm. 1.21 we assume $\mathbb{Q}_{\mathcal{H}'}$ has been computed from the **BCL** or information on homeomorphism-separated components.

The space $\mathcal{H}_{\mathcal{H}'}^*$ may have several connected components, all conjugate under a $N_{S_n}(G)/G$ action. Given one of these, \mathcal{H} , the pullback of $\text{rest}(\Psi_{\text{abs}})$ over it gives the following diagram:

$$(2.27) \quad \begin{array}{ccccc} \mathcal{T}_{\mathcal{H}} & \xrightarrow{\text{rest}(\tilde{\Psi} \otimes \mathbb{Q}_{\mathcal{H}'})} & \mathcal{H} \times \mathbb{P}_z^1 & \longrightarrow & U_r \times \mathbb{P}_z^1 \\ \downarrow \Psi_{\text{abs}, \text{in}} & & \downarrow \Phi_{\text{abs}, \text{in}} \times \text{Id}_z & & \downarrow \text{Id}_r \times \text{Id}_z \\ \mathcal{T}' & \xrightarrow{\text{rest}(\Psi_{\text{abs}})} & \mathcal{H}' \times \mathbb{P}_z^1 & \longrightarrow & U_r \times \mathbb{P}_z^1. \end{array}$$

The definition field of the (2.27) upper row is the \mathcal{H} moduli definition field, $\mathbb{Q}_{\mathcal{H}}$. For $\mathbf{p} \in \mathcal{H}(\bar{\mathbb{Q}})$ over $\mathbf{p}' \in \mathcal{H}'$, the fiber of $\mathcal{T}_{\mathcal{H}}$ over $\mathcal{P} \times \mathbb{P}_z^1$ is a Galois closure, over $\mathbb{Q}_{\mathcal{H}}(\mathbf{p})$, of $X_{\mathbf{p}'} \rightarrow \mathbb{P}_z^1$.

Proof. Apply the fiber product Galois closure construction to the diagram of (2.24): $V \mapsto \mathcal{T}^{\text{abs}}$, $W \mapsto \mathcal{H}^{\text{abs}} \times \mathbb{P}_z^1$. Then, \mathcal{H}^{in} is the normalization of the integral closure of \mathcal{H}^{abs} in the function field of the resulting $\widehat{\mathcal{T}^{\text{abs}}}$. As in [BFr02, §3.1.3], check on the fibers of $\widehat{\mathcal{T}^{\text{abs}}}_{\mathbf{p}'} \rightarrow \mathbf{p}' \times \mathbb{P}_z^1 \subset \mathcal{H}^{\text{abs}} \times \mathbb{P}_z^1$, with (possibly) several components, each a geometric Galois closure of $\mathcal{T}_{\mathbf{p}'}^{\text{abs}} \rightarrow \mathbf{p}' \times \mathbb{P}_z^1$ satisfying:

(2.28a) It represents forming the Galois closure construction on $\mathcal{T}_{\mathbf{p}'}^{\text{abs}} \rightarrow \mathbf{p}' \times \mathbb{P}_z^1$.

(2.28b) Restrict S_n to a component as in (2.23b); this gives $h : G \rightarrow \text{Aut}(\widehat{\mathcal{T}^{\text{abs}}}_{\mathbf{p}'}/\mathbb{P}_z^1)$ in the inner Nielsen class $\text{Ni}(G, \mathbb{C})^{\text{in}}$, an isomorphism between G and the group of the cover.

(2.28c) Mapping between inner and absolute spaces takes

$$\mathbf{p} \text{ to } \mathbf{p}' = \Phi_{\text{in}, \text{abs}}(\mathbf{p}) \text{ with } \mathbf{z} = \Phi_{\text{abs}} \circ \Phi_{\text{abs}, \text{in}}(\mathbf{p}).$$

The argument that $\mathbb{Q}_{\mathcal{H}}$ has the moduli definition field property is that if we take the Galois closure construction over $\mathbb{Q}_{\mathcal{H}'}(\mathbf{p}')$ that – using fine moduli for $\mathcal{H}(G, \mathbb{C})^{\text{in}} - \hat{\mathcal{T}}_{\mathbf{p}'} \rightarrow \mathbf{p}' \times \mathbb{P}_z^1$ represents \mathbf{p} over $\mathbb{Q}_{\mathcal{H}}(\mathbf{p})$. The argument uses Weil's cocycle condition exactly in Prop. 2.24. \square

Reminder: Def. 1.17 defines *braiding* $\alpha \in N_{S_n}(G, \mathbb{C})$. Cor. 2.27 elaborates on the HIT aspects of Prop. 2.26. Expression (2.29c) is the extension of constants for the Galois closure over $\mathbb{Q}_{\mathcal{H}'}(\mathbf{p}')$ given by the cover $X_{\mathbf{p}'} \rightarrow \mathbb{P}_z^1$ for $\mathbf{p}' \in \mathcal{H}'$.

Corollary 2.27. *Consider a pair $(\mathcal{H}', \mathcal{H})$ as in (2.27). Then,*

(2.29a) $\text{rest}(\Phi_{\text{abs}, \text{in}}) : \mathcal{H} \rightarrow \mathcal{H}' \otimes \mathbb{Q}_{\mathcal{H}}$ is a geometrically irreducible Galois cover with group

$$\{h \in N_{S_n}(G, \mathbb{C})/G \mid h \text{ is braidable}\}.$$

(2.29b) $\text{rest}(\Phi_{\text{abs}, \text{in}}) : \mathcal{H} \rightarrow \mathcal{H}'$ is a $\mathbb{Q}_{\mathcal{H}'}$ irreducible and Galois cover with group a subgroup of $N_{S_n}(G, \mathbb{C})/G$.

(2.29c) (2.29a) is a normal subgroup of (2.29b) with quotient group $G(\mathbb{Q}_{\mathcal{H}}/\mathbb{Q}_{\mathcal{H}'})$.

(2.29d) For $\mathbf{p} \in \mathcal{H}$ over $\mathbf{p}' \in \mathcal{H}'(\bar{\mathbb{Q}})$, $G(\mathbb{Q}_{\mathcal{H}}(\mathbf{p})/\mathbb{Q}_{\mathcal{H}'}(\mathbf{p}')) \leq N_{S_n}(G, \mathbb{C})/G$.

Restrict \mathbf{p}' to points with images in $U_r(\mathbb{Q})$. Then, the intersection of all the corresponding decomposition fields $\mathbb{Q}_{\mathcal{H}'}(\mathbf{p}')$ (resp. $\mathbb{Q}_{\mathcal{H}}(\mathbf{p})$) is $\mathbb{Q}_{\mathcal{H}}$.

Proof. Proof of (2.29a): Select a base point, $\mathbf{z}^* \in U_r$ and classical generators, $\mathcal{P}_{\mathbf{z}^*}$ based at \mathbf{z}^* (§A). Then, each $\mathbf{p}' \in \mathcal{H}'$ corresponds to an element $\mathbf{g}' \in \text{Ni}(G, \mathbf{C})^{\text{abs}}$ lying below some $\mathbf{g} \in \text{Ni}(G, \mathbf{C})^{\text{in}}$. Here is the set of \mathbf{g}^* above \mathbf{g}' :

$$\{\mathbf{g}^* = h\mathbf{g}h^{-1} \mid h \in N' \leq N_{S_n}(G, \mathbf{C})/G \text{ with } \mathbf{g} = (\mathbf{g}^*)q \text{ for some } q \in H_r\}.$$

That is, N' consists of those $h \in N_{S_n}(G, \mathbf{C})/G$ for which conjugating by h is braidable.

Proof/explanation of (2.29b) and (2.29c): Suppose $\bar{\sigma} \in G(\mathbb{Q}_{\mathcal{H}}/\mathbb{Q}_{\mathcal{H}'})$ is the image of $\sigma \in G_{\mathbb{Q}_{\mathcal{H}'}}$ and $\mathbf{p}' \in \mathcal{H}'$ corresponds to a cover in the absolute Nielsen class with $\mathbf{p} \in \mathcal{H}$ lying above it. Then, σ extends to an action on \mathbf{p} , and on the whole galois closure construction of (2.28). The result is that $(\mathbf{p})^\sigma$ is a cover representing a point in $(\mathcal{H})^\sigma$ lying above \mathbf{p}' , inducing the action of $\bar{\sigma}$ on $\mathbb{Q}_{\mathcal{H}}$. This gives the homomorphisms of (2.29b) and (2.29c). The statement of (2.29d) therefore interprets as saying a decomposition group is a subgroup of the Galois group of a cover.

Finally, consider the last statement of the Cor. From (2.29c), every decomposition field contains $\mathbb{Q}_{\mathcal{H}}$. We want to show that for any proper field extension $L/\mathbb{Q}_{\mathcal{H}}$, there is a \mathbf{p} lying over a point of $U_r(\mathbb{Q})$ for which $\mathbb{Q}(\mathcal{H})(\mathbf{p})$ is disjoint over $\mathbb{Q}_{\mathcal{H}}$ from L . From the the Bertini-Noether reduction [FrJ86, Prop. 10.4.2]₂ we may reduce to a dimension 1 version of the situation. Simplify notation and take $K' = \mathbb{Q}_{\mathcal{H}'}$ (resp. $K = \mathbb{Q}_{\mathcal{H}}$).

This gives a sequence of covers

$$(2.30) \quad W^* \xrightarrow{\varphi_{W^*}} W \xrightarrow{\varphi_W} X \xrightarrow{\varphi_X} \mathbb{P}_x^1$$

with φ_X an absolutely irreducible cover defined over K' , φ_{W^*} an injection, and the composite $f = \varphi_X \circ \varphi_W \circ \varphi_{W^*} : W^* \rightarrow \mathbb{P}_z^1$ absolutely irreducible, Galois with group G , and defined over K . To finish, find $x' \in \mathbb{P}_x^1(\mathbb{Q})$ such that for any $w^* \in W^*$ over x' , $K(w^*)$ is disjoint from L/K . Hilbert's irreducibility theorem says there are infinitely many such $x' \in \mathbb{Q}$ with $[K(w^*) : K] = \deg(f)$. To include the disjointness condition, replace K with $L \cdot K$. Taking the intersection of these $K(w^*)$ fields over $\mathbb{Q}_{\mathcal{H}'}$ has the fields $\mathbb{Q}_{\mathcal{H}}$ as their common subfield. \square

Remark 2.28 (Applying Thm. 1.21). Assume Schur-Separation property (1.9) holds. Apply the generators of H_r (\mathbf{sh} and q_2) to $\text{Ni}(G, \mathbf{C})^{\text{in}}$ to compute the complete braid orbit $\mathcal{O}_{\mathbf{g}}$ of some $\mathbf{g} \in \text{Ni}(G, \mathbf{C})^{\text{in}}$ with a particular lift invariant $s_{\mathbf{g}}$. Check those $\alpha \in N_{S_n}(G, \mathbf{C})$ that appear as $(\mathbf{g})\alpha \in \mathcal{O}_{\mathbf{g}}$, denoting this K_{br} . The union over coset representatives, $(K : K_{\text{br}})$, of elements in $\mathcal{O}_{\mathbf{g}}$ give the braid orbits on $\text{Ni}(G, \mathbf{C})^{\text{in}}$ that lie over the image of $\mathcal{O}_{\mathbf{g}}$ in $\text{Ni}(G, \mathbf{C})^{\text{abs}}$. Now form the corresponding braid orbits running over a list of lift invariant representatives. The result is a Nielsen class list of all absolute and inner components.

2.4.2. *Fine inner, but not fine absolute, moduli.* Prop. 2.29 states an extension of Prop. 2.26 when $\mathcal{H}(G, \mathbf{C})^{\text{in}}$ has fine moduli (G has no center) but $\mathcal{H}(G, \mathbf{C})^{\text{abs}}$ may not. Showing the nature of $\mathbb{Q}_{\mathcal{H}'}$ (assume \mathcal{H}' is absolutely irreducible) when it is not given by **BCL** Prop. 2.20 is our main goal. We don't give an explicit proof, but note that works similarly except using the stronger application of the Weil cocycle condition that is in [Fr77, p. 33-35].

Proposition 2.29. *Assume $\mathcal{H}(G, \mathbf{C})^{\text{in}}$ has fine moduli, but $\mathcal{H}(G, \mathbf{C})^{\text{abs}}$ may not. Also, \mathcal{H}' is a component of $\mathcal{H}(G, \mathbf{C})^{\text{abs}}$ with $\mathcal{H} \leq \mathcal{H}(G, \mathbf{C})^{\text{in}}$ lying above it.*

(2.31a) *Local construction of the fine absolute moduli space gives the construction of the unique fine inner total space, and therefore $\mathbb{Q}_{\mathcal{H}}$ for any component $\mathcal{H} \leq \mathcal{H}(G, \mathbf{C})^{\text{in}}$.*

(2.31b) *Suppose a representing cover of $\mathbf{p}' \in \mathcal{H}'$ has definition field $F_{\mathbf{p}'}$. Then a definition field of a representing cover for $\mathbf{p} \in \mathcal{H}$ lying above \mathbf{p}' is given by $F_{\mathbf{p}} \cdot \mathbb{Q}_{\mathcal{H}}(\mathbf{p})$.*

3. TOWERS OF HURWITZ SPACES

Abelian varieties of dim. $\mathbf{g} > 2$ form a higher-dimensional space than do projective non-singular curves. It is Jacobians of curves in Hurwitz families that we consider in generalizing Serres' **OIT**.

Definition 3.1. Describing the locus of curves on the space of Jacobians by equations about singularities of the θ divisor of the Jacobian was called *the Schottky problem* [Mu76, §IV].

Modular Towers (**MTs**) takes a different approach, using decomposition groups in towers of Hurwitz families to detect special Jacobians and what they show about properties of curves. This section constructs these towers and definitions related to their decomposition groups, thereby connecting the unsolved problems of Serre's **OIT** and related decomposition groups to Hilbert's Irreducibility Theorem (**HIT**).

3.1. **ℓ -Frattini covers.** Refer to a finite group G as ℓ -perfect if

$$(3.1) \quad \ell || G|, \text{ but } G \text{ has no } \mathbb{Z}/\ell \text{ quotient.}$$

Lift invariant Def. 1.24 suffices with G that is ℓ -perfect and ℓ' conjugacy classes \mathbf{C} .

Definition 3.2. A *representation cover* of G is a central, surjective, Frattini cover $\psi_R : R \rightarrow G$ for which $\ker(\psi_R)$ is the Schur multiplier, SM_G , of G . Write the (finite) abelian group SM_G as a product of its ℓ -primary parts, $\text{SM}_G = \prod_{\ell} \text{SM}_{G,\ell}$. For each ℓ , there is the induced $\psi_{R,\ell} : R_{\ell} \rightarrow G$, an ℓ -representation cover. with $\ker(\psi_{\ell})$ isomorphic to $H_2(G, \mathbb{Z}_{\ell}) = \text{SM}_{G,\ell}$. For G that is ℓ -perfect, $\psi_{R,\ell}$ is the unique, universal central ℓ -extension of G .

§3.1.1 does the basics on the lift-invariant which comes from $\psi_{R,\ell}$. Then §3.1.1 expands to general Frattini covers. §3.1.2 uses these to produce Modular Towers, **MTs**, that generalize towers of modular curves. It reviews types of **MTs**, especially abelianized **MTs**, \mathbf{MT}_{ab} .

(3.2a) \mathbf{MT}_{ab} s require only one lift invariant check to ensure nonempty **MT** levels.

(3.2b) \mathbf{MT}_{ab} s support our investigations of extending **HIT** and comparing decomposition groups in the tower using Jacobians.

(3.2c) §4.1, our addition to Serre's case, is the abelianized case. §4.3 also uses the abelianized case though both cases have full **MTs** that map to the abelianized **MTs**.

§3.1.3, inspired by Serre's case, introduces the two types of decomposition groups on a **MT** for which we have some precise understanding: **HIT** where the decomposition group is an open subgroup of maximal (equal to the decomposition group of the **MT**) and **CM** (or **ST**, Shimura-Taniyama) type, akin to most of the conjectures such as André-Oort (which [GhT23] called its main motivation). These expand on two famous David Hilbert contributions:

HIT and the theory of *complex multiplication* (**CM**).

The first case of **CM** is the explicit description of the abelian extensions of those quadratic extensions of \mathbb{Q} whose (archimedean) completions are \mathbb{C} . Asking questions about the decomposition groups of projective systems of points on a **MT** is a direct analog of Serre's questions, about decomposition groups attached to curves in a Hurwitz family based on their Jacobian varieties.

3.1.1. *The ℓ' -lift invariant and Frattini covers.* We simplify the lift invariant by assuming \mathbf{C} consists of ℓ' classes. Rem. 3.17 shows how to drop the ℓ' condition on \mathbf{C} and comments on the ℓ -perfect condition. Kernels of Frattini covers are always pronilpotent (product of ℓ -Sylows) [FrJ86, §25.6-25.7]₄.³⁶ So we profitably consider the cases of ℓ -Frattini covers: Frattini covers with the kernel an ℓ -group. Then, there is always a universal ℓ -Frattini cover, $\tilde{\psi}_\ell : \tilde{G}_\ell \rightarrow G$, that factors through any ℓ -Frattini cover. Finally, the abelianization of these covers is given by $\tilde{\psi}_{\ell,\text{ab}} : \tilde{G}_{\ell,\text{ab}} = \tilde{G}_\ell / (\ker(\tilde{\psi}_\ell, \tilde{\psi}_\ell))$ (modding out by commutators of the kernel). Possible (nontrivial) lift invariants arise when G has a (nontrivial) central Frattini cover $\psi_R : R \rightarrow G$, as in our §4.1, §4.2 and §4.3 examples.

Def. 3.3 gives the formula (as in Def. 1.24) for the lift invariant in this case. From the ℓ' condition, Schur-Zassenhaus allows interpreting \mathbf{C} uniquely as classes, of same order elements, in R_ℓ . The notation $\hat{\mathbf{g}} \in \mathbf{C} \cap R_\ell$ as lying over $\mathbf{g} \in \text{Ni}(G, \mathbf{C})$ now makes sense. From the Frattini condition, $\langle \hat{\mathbf{g}} \rangle = R_\ell$, and from the central condition, $\hat{\mathbf{g}} \in \mathbf{C} \cap H$ lying over $\mathbf{g} \in \mathbf{C}$ is unique:

(3.3) $\hat{\mathbf{g}}\hat{\mathbf{g}}'\hat{\mathbf{g}}^{-1}$ and $\widehat{gg'g^{-1}}$ have the same order and lie over $gg'g^{-1}$. So they are equal.

³⁶[Fr20, §3.2] has an extensive discussion of how to use universal Frattini covers.

Definition 3.3 (Lift invariant). For O a braid orbit on $\text{Ni}(G, \mathbf{C})^{\text{in}}$, and $\mathbf{g} \in O$,

$$\text{the lift invariant is } s_{\mathbf{g}} = s_{\psi}(O) \stackrel{\text{def}}{=} \prod_{i=1}^r \hat{g}_i.$$

Apply braid generators q (the shift or a twist) to \mathbf{g} and check that $(\mathbf{g})q$ has the same lift invariant. Therefore (3.3) is a braid invariant (as in [Fr90], [Se90], [FrV91], [Fr10]). For O' the braid orbit below it on $\text{Ni}(G, \mathbf{C})^{\text{abs}}$, and $\mathbf{g}' \in O'$ below \mathbf{g} , the braid invariant is the N_K orbit, $S_{O'}$ on $s_{\mathbf{g}}$.

Lem. 3.4 says components with different lift invariants have different moduli properties. As usual \dagger signifies inner or absolute equivalence.

Lemma 3.4. *Suppose $\varphi_i : X_i \rightarrow \mathbb{P}_z^1$, $i = 1, 2$, are absolute covers for which S_{φ_1} contains a lift invariant λ_1 not in S_{φ_2} . With $R \rightarrow G$ the representation cover defining the invariants, take $C_{-\lambda_1}$ the conjugacy class of R defined by the element $-\lambda_1$. Then, the deformation class of φ_1 is the image of a cover in $\text{Ni}(R, \mathbf{C} \cup C_{-\lambda_1})$ while that of φ_2 is not.*

Proof. Assume $\mathbf{g}_1 \in \text{Ni}(G, \mathbf{C})^{\text{in}}$ has lift invariant λ_1 , corresponding to $\varphi_i \stackrel{\text{def}}{=} \varphi_{\mathbf{g}_1}$ lying above $\mathbf{g}'_1 \in \text{Ni}(G, \mathbf{C})^{\text{abs}}$. Then, the lift, $\tilde{\mathbf{g}}_1 \in \mathbf{C} \cap R$, gives an element $(\tilde{\mathbf{g}}_1, -\lambda_1) \in \text{Ni}(R, \mathbf{C} \cup C_{-\lambda_1})$. The map $\text{Ni}(R, \mathbf{C} \cup C_{-\lambda_1}) \rightarrow \text{Ni}(G, \mathbf{C})$ induced from $R \rightarrow G$ interprets at the Hurwitz space level – from Riemann's existence Theorem – as giving a cover $\varphi_{(\tilde{\mathbf{g}}_1, -\lambda_1)}$ of \mathbb{P}_z^1 that factors through $\varphi_{\mathbf{g}}$.

Now suppose φ_2 , a cover corresponding to \mathbf{g}'_2 is homeomorphic to φ_1 . Then, its lift invariant is in the N_K orbit λ_1 , contradicting that the lift invariant is a braid (deformation) invariant. \square

We use ℓ (corresponding to ℓ -adic representations as in [Se68]) instead of p for the main prime that appears in related papers. From Def. 3.3, any quotient of SM_G (Def. 3.2 – or as generalized in Rem. 3.17 if $(\ell, \text{SM}_G) \neq 1$) defines a lift invariant for a braid orbit on a Nielsen class $\text{Ni}(G, \mathbf{C})$. The full separation of Schur components may require the whole central extension, but proper quotients can give important information. Denote by $\psi_{1,0} : G_1 \rightarrow G = G_0$ the maximal ℓ -Frattini cover of G with elementary ℓ group kernel, $M_1 = \ker(\psi_{1,0})$. [Fr95, §II.B].³⁷

(3.4a) Denote the level $k+1$ cover by $\psi_{k,k+1} : G_{k+1} = G_1(G_k) \rightarrow G_k$. The projective limit of these covers is ${}_{\ell}\tilde{G}$, the universal ℓ -Frattini cover.

(3.4b) Denote the universal exponent ℓ central extension of G_k by $\mu_{k,\ell} : R_{k,\ell}^* \rightarrow G_k$ (Rem. 3.18).

(3.4c) Since $\mu_{k,\ell}$ is an ℓ -Frattini cover, $G_{k+1} \rightarrow G_k$ factors through $\mu_{k,\ell}$; and

(3.4d) $\ker(R_{k,\ell}^* \rightarrow G_k)$ is the max. elementary ℓ -quotient of the Schur multiplier of G_k .

³⁷For the pure group theory see [EFr80], or any edition of [FrJ86], e.g. [FrJ86, §25.6–25.8]₄.

In contrast to the mysterious N_K action on lift invariants given in Def. 3.3, the first paragraph of Cor. 3.5 gives a direct action of N_T/G on the Schur multiplier. This can help describe the N_K orbits of Def. 3.3. Again, G is ℓ -perfect and $\hat{\psi}_\ell : \hat{R}_\ell \rightarrow G$ is the ℓ -representation cover.

Corollary 3.5. *An ℓ' subgroup $H \leq N_K$ acts faithfully on the \tilde{G}_ℓ , thereby producing the universal ℓ Frattini cover $\tilde{G}_\ell \times^s H$ of $G \times^s H$. This induces an action on $\tilde{\psi}_{\ell, \text{ab}}$ and on $\ker(\mu_{\ell, k})$ in (3.4b) extending its action on G giving the desired H action on the lift invariant N_K orbits on SM_ℓ .*

Suppose $\alpha \in H$ and $s_\mathcal{O}$ is the lift invariant of a component $\mathcal{H} \leq \mathcal{H}(G, \mathbf{C})^{\text{in}}$ over the component $\mathcal{H}' \leq \mathcal{H}(G, \mathbf{C})^{\text{abs}}$. If $(s_\mathcal{O})\alpha \neq s_\mathcal{O}$, then α applied to \mathcal{H} is a component over \mathcal{H}' distinct from \mathcal{H} .

Now suppose $\ker(\hat{\psi}_\ell) = \mathbb{Z}/\ell^u$, with $\zeta = e^{2\pi i/\ell^u}$. Denote the moduli definition field of \mathcal{H}' by $K_{\mathcal{H}'}$ and assume $\alpha^ \in G(K'_\mathcal{H}(\zeta)/K_{\mathcal{H}'})$. Then, α^* applied to the equations for \mathcal{H} gives a component \mathcal{H}^{α^*} over \mathcal{H}' with lift invariant $s_\mathcal{O}^*$.*

Proof. [FrJ86, Prop. 25.13.2]₄ or [Fr95, p. 134] has the first sentence of the corollary.³⁸ Since $\ker(\hat{\psi}_\ell)$ is a finite group, for some k , $\tilde{\psi}_{k, \text{ab}}$ factors through it, Conjugating by α acts on $\tilde{G}_{\ell, \text{ab}} \rightarrow G$. This induces the Frattini quotient $\alpha \hat{R}_\ell \alpha^{-1} \rightarrow G$. For \hat{g} a lift of $g \in G$ to \hat{R}_ℓ , $\alpha \hat{g} \alpha^{-1}$ is a lift of $\alpha g \alpha^{-1} = g' \in G$. Therefore, $\alpha \hat{\psi}_\ell \alpha^{-1}$ is also a representation cover. From uniqueness of the ℓ -representation cover, this is $\hat{R}_\ell \rightarrow G$; with α acting on the kernel.

Now consider the lift invariant $s_\mathcal{O}$ in the second paragraph, moved by α . The orbit $\alpha \mathcal{O} \alpha^{-1}$ will have lift invariant given by the action of α on $s_\mathcal{O}$. As the lift invariant is a braid invariant, these two orbits must be distinct.

The argument of the proof of Prop. 2.20 applied to covers in the Nielsen class $\text{Ni}(\hat{R}_\ell, \mathbf{C} \cup C_{-s_\mathcal{O}})$ (Lem. 3.4) gives the 3rd paragraph statement. As stated in a footnote, the notation of [Fr77] needs enhancement so that it applies to the more advanced notation of this paper. \square

Remark 3.6. The 1st and 3rd paragraphs of Cor. 3.5 produce components by lift invariants above an absolute component \mathcal{H}' by different processes. The components in the 3rd paragraph must arise by conjugating by an element in N_K , but not necessarily by an ℓ' element. There are unanswered questions here, especially if the Schur multiplier is not cyclic, as in the 3rd paragraph. Then, the **BCL** now gives its moduli definition field, a cyclotomic extension of $K_{\mathcal{H}'}$.

3.1.2. Production of MTs. We produce the inner Hurwitz space components for formulating generalizations of Serre's **OIT**. Specifically, abelianized **MTs** with inner, $\text{PSL}_2(\mathbb{C})$ reduced, spaces.

³⁸While this can be made primitive recursive, especially in applying it to the abelianized ℓ -Frattini quotient, it requires ingenuity to compute this. [Fr95, Part B] can be helpful.

Denote the pro- ℓ completion of the fundamental group of the (compact) Riemann surface $X_{\mathbf{g}}$ by $\pi_1(X_{\mathbf{g}})^{(\ell)}$. [BFr02, Prop. 4.15] produces $\mathcal{M}_{\bar{\mathbf{g}}}$, as fitting in this short exact sequence

$$(3.5) \quad \pi_1(X_{\mathbf{g}})^{(\ell)} \rightarrow \mathcal{M}_{\bar{\mathbf{g}}} \xrightarrow{\psi_{\bar{\mathbf{g}}, \bar{\mathbf{g}}}} G = G(\hat{X}_{\mathbf{g}}/\mathbb{P}_z^1)$$

with $\bar{\mathbf{g}}$ associated to classical generators, as in §A, mapping to \mathbf{g} . Then, mod out by the commutators of $\ker(\mathcal{M}_{\bar{\mathbf{g}}} \rightarrow G)$ to get $\mathcal{M}_{\bar{\mathbf{g}}, \text{ab}}$ with $\ker(\mathcal{M}_{\bar{\mathbf{g}}, \text{ab}} \rightarrow G)$ the profinite \mathbb{Z}_{ℓ} homology of $X_{\mathbf{g}}$. Extending $\psi_{\bar{\mathbf{g}}, \mathbf{g}} : \mathcal{M}_{\bar{\mathbf{g}}} \rightarrow G$ to ${}_{\ell}\tilde{G}_{\text{ab}}$ is equivalent to extending $\mathcal{M}_{\bar{\mathbf{g}}, \text{ab}} \rightarrow G$ to ${}_{\ell}\tilde{G}_{\text{ab}}$.

Definition 3.7 (MT). A projective system of (nonempty) H_r orbits $\mathcal{O} \stackrel{\text{def}}{=} \{\mathcal{O}_k \leq \text{Ni}(G_k, \mathbf{C})^{\text{in}}\}_{k=0}^{\infty}$ is a M(odular) T(ower), with its corresponding spaces by $\mathcal{H} = \mathcal{H}_{\mathcal{O}} = \{\mathcal{H}_k\}_{k \geq 0}$ – a **MT** on (starting at) $\text{Ni}(G, \mathbf{C})^{\text{in}}$. Denote $\ker(G_k \rightarrow G_0 = G)$ by $\ker_{k,0}$.

The k th level Nielsen class for an *abelianized MT* (\mathbf{MT}_{ab}) replaces G_k with

$$G_k/(\ker_{k,0}, \ker_{k,0}) = G_{k,\text{ab}} \text{ [BFr02, Prop. 4.16].}$$

Similarly: $\mathcal{O}_{\text{ab}} = \{\mathcal{O}_{k,\text{ab}}\}_{k \geq 0}$ and $\mathcal{H}_{\mathcal{O}_{\text{ab}}} = \{\mathcal{H}_{k,\text{ab}}\}_{k \geq 0}$ for the spaces of the corresponding abelianization.

Definition 3.8. For a given value of k in Def. 3.7, we say \mathcal{H} goes through $\mathcal{H}_k \leftrightarrow$ braid orbit \mathcal{O}_k . Similarly, for the abelianization version. Refer to \mathcal{O}_k as *obstructed* if there is no $\mathbf{g}_{k+1} \in \text{Ni}(G_{k+1}, \mathbf{C})$ above \mathbf{g}_k . In particular, there is no **MT** through \mathcal{H}_k .

The limit group, $\mathcal{M}_{\bar{\mathbf{g}}, \text{ab}}$ is an extension $\mathcal{L}_{\mathbf{g}} \rightarrow \mathcal{M}_{\mathbf{g}} \rightarrow G$, with kernel a $\mathbb{Z}_{\ell}[G]$ lattice with characteristic quotients $\mathcal{M}_{\bar{\mathbf{g}}, \text{ab}}/\ell^{k+1}\mathcal{M}_{\bar{\mathbf{g}}, \text{ab}} \rightarrow \mathcal{M}_{\bar{\mathbf{g}}, \text{ab}}/\ell^k\mathcal{M}_{\bar{\mathbf{g}}, \text{ab}} = M_1$, the characteristic ℓ -Frattini module.

Definition 3.9 (MT quotient). A quotient of an abelianized **MT** has an associated $\mathbb{Z}_{\ell}[G]$ lattice tail $\mathcal{L}^* = \ker(\mathcal{M}^* \rightarrow G)$. Then, the $\mathbb{Z}/\ell[G]$ quotients $\mathcal{M}^*/\ell^{k+1}\mathcal{M}^* \rightarrow \mathcal{M}^*/\ell^k\mathcal{M}^*$ (the kernel is again M^*) is a $\mathbb{Z}/\ell[G]$ quotient of M_1 (independent of k).

Our §4 examples use \mathbf{MT}_{ab} s. We will tend to drop the $_{\text{ab}}$ subscript. For **MT**s and abelianized **MT**s, we also have reduced versions with their components covering (respectively) $U_r = \mathbb{P}^r \setminus \Delta_r$ and $U_r/\text{SL}_2(\mathbb{C})$. §4.1 and §4.3, as listed in (4.2), use **MT** quotients.

Example 3.10 (What M^* s work in Def. 3.9?). We won't know for certain, but suppose $\mathbf{1}_G$ is a $\mathbb{Z}/\ell[G]$ quotient of M_1 . If this served as an M^* , then the corresponding quotient, $\mathcal{M}_{\bar{\mathbf{g}}}^*$, would give an infinite tail on a Schur multiplier quotient for G . That is an impossibility. \triangle

Princ. 1.6 gives the condition for the existence of a **MT**, guaranteeing, under a lift invariant condition, that we have nontrivial Nielsen classes.

Denote the projective limit of all $G_{k,\text{ab}}$ s by ${}_\ell\tilde{G}/(\ker_0, \ker_0) = {}_\ell\tilde{G}_{\text{ab}}$. Though $G_{1,\text{ab}} = G_1$, for $k > 1$ the natural map $G_k \rightarrow G_{k,\text{ab}}$ has (known) degree 1 if and only if

$$\dim_{\mathbb{Z}/p} \ker(G_1 \rightarrow G) = 1 \Leftrightarrow G_0 \text{ is } \ell \text{ super-solvable [BFr02, §5.7].}$$

Prop. 3.13 addresses, for a component \mathcal{H} of $\mathcal{H}(G_k, \mathbf{C})$, when it obstructs a **MT**. Allude to statements on **MT**s interchangeably by reference to braid orbits (always assumed nonempty) or spaces. The more elementary parts of Prop. 3.13 are on subquotients of $M_{k+1} = \ker(G_{k+1} \rightarrow G_k)$ in which the irreducibles consist only of the trivial module, $\mathbf{1}_{G_k} = \mathbf{1}_G$ (Rem. 3.19).

Definition 3.11 (Loewy Path). A *Loewy path* through the indecomposable module M_{k+1} consists of a string of irreducible G_k modules $\bar{M}_u \rightarrow \bar{M}_{u-1} \rightarrow \cdots \bar{M}_1$ with \bar{M}_i in Lowy layer i , where $\bar{M}_{i+1} \rightarrow \bar{M}_i$ denotes an indecomposable G_k subquotient of M_{k+1} . See Ex. 3.12. [FrK97, Lem. 2.4].

Example 3.12 (Loewy Layer). In Def. 3.11 the symbol $\bar{M}_{i+1} \rightarrow \bar{M}_i$ for an indecomposable G_k module M means \bar{M}_i is a quotient, and \bar{M}_{i+1} is the kernel of $M \rightarrow \bar{M}_i$. The case where \bar{M}_{i+1} and \bar{M}_i are the trivial module is given by the small Heisenberg group (4.4). \triangle

In (3.6a), Prop. 3.13 explains existence of a **MT** using elements of Nielsen classes. (3.6d) gives a general criterion for existence of a **MT** over a given braid orbit $\mathcal{O}_k \leq \text{Ni}(G_k, \mathbf{C})^{\text{in}}$ under special circumstances. These include that the orbit contains an **HM** rep. (3.6c) gives a pure lift invariant criterion for an abelianized **MT** over \mathcal{O}_k . The territory between them is spanned by the if and only if lift invariant criterion (3.6b) for $\text{Ni}(G_{k+1}, \mathbf{C})$ having an orbit above \mathcal{O}_k .

Proposition 3.13. *If G has ℓ' center, then so does G_k , and since G is ℓ -perfect, so is G_k , $k \geq 1$.*

- (3.6a) *There is a **MT** on a braid orbit $\mathcal{O}_k \subset \text{Ni}(G_k, \mathbf{C})$ if and only if the preimage of \mathcal{O}_k in $\text{Ni}(G_{k+t}, \mathbf{C})$ is nonempty for all $t \geq 0$.*
- (3.6b) *A braid orbit $\mathcal{O}_k \subset \text{Ni}(G_k, \mathbf{C})$ is obstructed (Def. 3.8) if and only if it is not in the image of $\text{Ni}(R_{k,\ell}^*, \mathbf{C})$, with $R_{k,\ell}^*$ the universal central extension of (3.4b).*
- (3.6c) *There is an abelianized **MT** on a braid orbit $\mathcal{O}_{k,\text{ab}}$ of $\text{Ni}(G_{k,\ell,\text{ab}}, \mathbf{C})$ if and only if $\mathcal{O}_{k,\ell,\text{ab}}$ has trivial lift invariant computed from $R_{k,\ell}^* \rightarrow G_k$.*
- (3.6d) *There is a **MT** on a braid orbit \mathcal{O} containing $\mathbf{g} = (\mathbf{h}_1, \dots, \mathbf{h}_u)$ with*
 - \mathbf{h}_i satisfying product-one and $\langle \mathbf{h}_i \rangle = H_i$ is an ℓ' group, $1 \leq i \leq u$.
 - The **HM** case has H_i a cyclic ℓ' group.

*In (3.6c) and (3.6d) there may be more than one branch (**MT** braid orbit).*

Proof. [BFr02, Prop. 3.21] replaces the phrase “has ℓ' center” with “is centerless:” a consequence of interpreting having no center by inspecting the *Loewy displays* of the universal ℓ -Frattini covers of G . This version states it for one prime ℓ . We go through the list (3.6) one by one.³⁹

Proof of (3.6a): For $\mathbf{g}_k \in \mathcal{O}_k$, finding a **MT** on \mathcal{O}_k is equivalent to producing a sequence $\{\mathbf{g}_{k+t} : t \geq 0\}$ with $\mathbf{g}_{k+t} \in G_{k+t}$ and $\mathbf{g}_{k+t} \mapsto \mathbf{g}_k$ by the canonical map (3.4a), $t \geq 0$. Since Nielsen classes are finite sets (therefore compact), and these maps define chains, a **MT** is a maximal chain. By the Tychonoff Theorem, such exists under the hypothesis (3.6a).

Proof of (3.6b): From (3.4c), $\psi_{k,k+1}$ factors through μ_k . If $\mathbf{g}_k \in \text{Ni}(G_k, \mathbf{C})$ is the image of $\mathbf{g}_{k+1} \in G_{k+1}^r \cap \mathbf{C}$ (as in Def. 1.24), which satisfies product-one, etc., then the image of \mathbf{g}_{k+1} in $(R_{k,\ell}^*)^r \cap \mathbf{C}$, \mathbf{g}_{k+1}^* also satisfies product-one and generation, etc.

The converse – existence of \mathbf{g}_{k+1}^* satisfying Nielsen class properties, produces \mathbf{g}_{k+1} – went through two stages. (3.7) rephrases [FrK97, Obs. Lem. 3.2]. No braid orbit, $\mathcal{O}_{k+1} \subset \text{Ni}(G_{k+1}, \mathbf{C})$ above \mathcal{O}_k is equivalent to this:

(3.7a) in any Loewy Path (Def. 3.11 on M_{k+1}) the trivial $\mathbb{Z}/\ell[G_k]$ module $\mathbf{1}_{G_k} = \bar{M}_{i+1}$ appears as $\ker(G_{**} \rightarrow G_*)$ in a sequence $G_{k+1} \rightarrow G_{**} \rightarrow G_* \rightarrow G_k$ with

(3.7b) $\mathbf{g}^* \in \text{Ni}(G_*, \mathbf{C})$ over \mathbf{g} , $\mathbf{g}^{**} \in \mathbf{C} \cap G_{**}$ (uniquely defined) over \mathbf{g}^* and $g_1^{**} \cdots g_r^{**} \neq 1$.

Now I simplify [Fr06, Lem. 4.9]. [Fr06, Prop. 4.19] substitutes all tests in (3.7) by just one:

(3.8) As in Def. 1.24: $s_{R_k^*/G_k}(\tilde{\mathbf{g}}) \neq 1$, $\tilde{\mathbf{g}} \in R_{k,\ell}^* \cap \mathbf{C}$ over \mathbf{g}_k .

The homological interpretation of this is part of (3.6c).⁴⁰

Proof of (3.6c): Following the procedure of (3.6a), refer to a maximal projective sequence of elements $\mathbf{g}' = \{\mathbf{g}_{k+t} \in \text{Ni}(G_{k+t}, \mathbf{C})\}_{t=0}^\infty$ as a *branch*.

Definition 3.14 (Component branch). Denote the corresponding (to \mathbf{g}') projective sequence of braid orbits $\mathcal{B}_k \stackrel{\text{def}}{=} \mathcal{B}_{k,\mathbf{g}'} = \{\mathcal{O}_{k+t}\}$ as a *component branch*; another way to describe a **MT**.

Nielsen classes generalize to any (profinite) quotient G' of ${}_\ell\tilde{G} \rightarrow G$. Consider a braid orbit $O' \leq \text{Ni}(G', \mathbf{C})$ over O_k . This corresponds to $\psi' : \mathcal{M}_O \rightarrow G'$ factoring through ψ_{O_k} . Weigel’s Th. 3.15 says \mathcal{M}_O is an *oriented ℓ -Poincaré duality group*.

Limit braid orbits \mathcal{O}^* in $\text{Ni}(G^*, \mathbf{C})$ define limit groups. Any quotient $G^\#$ of ${}_\ell\tilde{G}$ has attached component and cusp graphs by running over Nielsen classes corresponding to quotients of $G^\#$.

³⁹The tests to be passed are independent of what equivalence relation we apply to the Hurwitz space components.

⁴⁰Here (resp. in (3.6c)) asserting there is a component above \mathcal{O}_k is nontrivial even when $\ker(R_{k,\ell}^* \rightarrow G_k)$ (resp. $\ker(R_{k,\ell} \rightarrow G_k)$) is 0.

Theorem 3.15. $\mathcal{M}_{\mathbf{g}}$ is a dimension 2 oriented ℓ -Poincaré duality group. [Fr06, Lem. 4.14]: $\mathcal{O}_{\mathbf{g}}$ starts a component branch if and only if, running over $\psi_{R'} : R' \rightarrow G'$ with $\ker(\psi_{R'})$ a quotient of $\mathcal{SM}_{G,\ell}$, each $\psi_{G'} : \mathcal{M}_{\mathbf{g}} \rightarrow G'$ extending $\mathcal{M}_{\mathbf{g}} \rightarrow G$ extends to $\psi_{R'} : \mathcal{M}_{\mathbf{g}} \rightarrow R'$. The obstruction to extending $\psi_{G'}$ to $\psi_{R'}$ is the image in $H^2(\mathcal{M}_{\mathbf{g}}, \ker(\psi_{R'}))$ by inflation of $\alpha \in H^2(G', \ker(\psi_{R'}))$ defining the extension $\psi_{R'}$.

Comment. [Fr06, §4.3] discusses this using classical generators x_1, \dots, x_r to describe the fundamental group of $\pi_1(X)^{(\ell)}$. \square

The phrase (dimension 2) ℓ -Poincaré duality [We05] expresses an exact cohomology pairing

$$(3.9) \quad H^k(\mathcal{M}_{\mathbf{g}}, U^*) \times H^{2-k}(\mathcal{M}_{\mathbf{g}}, U) \rightarrow \mathbb{Q}_{\ell}/\mathbb{Z}_{\ell} \stackrel{\text{def}}{=} I_{\mathcal{M}_{\mathbf{g}},\ell}$$

where U is any abelian ℓ -power group that is also a $\Gamma = \mathcal{M}_{\mathbf{g}}$ module, U^* is its dual with respect to $I_{\mathcal{M}_{\mathbf{g}},\ell}$ and k is any integer. [Se91, I.4.5] has the same definition, except in place of $\mathcal{M}_{\mathbf{g}}$ has a pro- ℓ -group. By contrast, $\mathcal{M}_{\mathbf{g}}$ is ℓ -perfect, being generated by ℓ' elements (Lem. 1.23).

Capturing the extension problems for forming a **MT** through quotients of $\mathcal{M}_{\mathbf{g}}$ involves Frattini covers of G , which are also ℓ -perfect. The fiber over O_k is empty if and only if there is some central Frattini extension $R \rightarrow G_k$ with kernel isomorphic to \mathbb{Z}/ℓ for which $\psi_{\mathbf{g}}$ does not extend to $\mathcal{M}_{\mathbf{g}} \rightarrow R \rightarrow G$ [Fr06, Cor. 4.19].

Comment on the proof: The key is [Fr95, Prop. 2.7], which says $H^2(G_k, M_{k+1}) = \mathbb{Z}/\ell$ (it is 1-dimensional.) Then, the obstruction to lifting G_k to G_{k+1} is the inflation of some fixed generator $H^2(G_k, M_{k+1})$ to $H^2(\mathcal{M}_{\mathbf{g}}, M_{k+1})$ [Fr06, Lem. 4.14]. That proof also applies to limit groups. [Fr06, Cor. 4.20]: If G^* is a limit group in a Nielsen class and a proper quotient of ${}_{\ell}\tilde{G}$, then G^* has exactly one nonsplit extension by a $\mathbb{Z}/\ell[G^*]$ module, and that module must be trivial.

Proof of (3.6d): Consider $\mathbf{g} = (\mathbf{h}_1, \dots, \mathbf{h}_u) \in \text{Ni}(G_k, \mathbf{C})$ as in (3.6d). Apply Schur-Zassenhaus to lift H_i to G_k from G_k giving $\{\mathbf{h}_i^*\}_{i=1}^t$ satisfying the same conditions in G_{k+1} as given for $\{\mathbf{h}_i\}_{i=1}^t$. Since $G_{k+1} \rightarrow G_k$ is an ℓ -Frattini cover, it is automatic that $\langle \mathbf{h}_i^*, i = 1, \dots, t \rangle = G_{k+1}$. \square

Definition 3.16. For emphasis on the head of the group $\mathcal{M}_{\mathbf{g}}$, with the G module lattice tail, we sometimes refer to it as a (G, ℓ) -Poincaré Duality group.

Remark 3.17 (dropping $(N_{\mathbf{C}}, \ell) = 1$ on the lift invariant). To drop the ℓ' assumption on \mathbf{C} , as in [FrV91, App.]: Replace ${}_{\ell}R$ by its maximal quotient, for which any class \tilde{C}_i of H^* over any C_i of \mathbf{C} , has $|\tilde{C}_i| = |C_i|$. This is equivalent to modding out by the group generated by elements of form $\{g'g(g')^{-1}g^{-1} \mid g' \in \mathbf{C}, g \in G\} \cap \text{SM}_G$.

Remark 3.18. [Fr06, §2.1] expositis on the universal ℓ -central extension when G is ℓ -perfect; it was not classical to restrict to one prime at a time, though it is based on [Br82]. Another description of a representation cover is as a maximal quotient of ${}_{\ell}\tilde{G}_{\text{ab}} \rightarrow G$ on whose kernel G acts trivially.

In (3.4b) the order of $\ker(\mu_k)$ in $\mu_k : R_{k,\ell}^* \rightarrow G_k$ grows with k for fundamentally the same reason the elements of order ℓ in the ℓ -Frattini cover $\mu : \mathbb{Z}/\ell^2 \rightarrow \mathbb{Z}/\ell$ map to 0 by μ .

Remark 3.19 (Appearances of $\mathbf{1}_G$ in the modules M_k). This is an addendum to Prop. 3.13. For example, if G_k has ℓ' center, but G_{k+1} does not, then $\mathbf{1}_{G_k}$ appears at the left end of the Loewy display of M_k . Also, a subquotient with Loewy layers $\mathbf{1}_{G_k} \rightarrow \mathbf{1}_{G_k}$ can't appear in M_k ; that would – contrary to G_{k+1} is ℓ -perfect – give a homomorphism [BFr02, (3.17b)]

$$G_{k+1} \rightarrow \left\{ \begin{pmatrix} 1 & b \\ 0 & 1 \end{pmatrix} \mid b \in \mathbb{Z}/\ell \right\} \rightarrow \{b \in \mathbb{Z}/\ell\}.$$

Using the universal Frattini cover of G produces a great number of Schur-separated components among the levels of Modular Towers over most ℓ -perfect finite groups G . For example, from the result of Darren Semmen quoted in [BFr02, Prop. 5.3].

3.1.3. HIT decomposition groups. We state results for covers in a given absolute Nielsen class $\text{Ni}(G, \mathbf{C})^{\text{abs}}$ to remind of Hilbert's Irreducibility Theorem (**HIT**). Start with $\varphi : X \rightarrow \mathbb{P}_z^1$

(3.10a) defined by $\mathbf{p}' \in \mathcal{H}' \leq \mathcal{H}(G, \mathbf{C})^{\text{abs}}$ over the number field $K = K_{\mathcal{H}'}(\mathbf{p}')$; and

(3.10b) a Galois closure, $\hat{\varphi} : \hat{X} \rightarrow \mathbb{P}_z^1$, of φ , given by $\mathbf{p} \in \mathcal{H} \leq \mathcal{H}(G, \mathbf{C})^{\text{in}}$ lying over \mathbf{p}' , with \mathcal{H} a component over \mathcal{H}' .

Assuming fine moduli (G has no center), then $\hat{\varphi}_{\mathbf{p}}$ has definition field $K_{\mathcal{H}}(\mathbf{p})$ given by the moduli definition field of \mathcal{H} . The decomposition group $D_{z'}$ of $z' \in \mathbb{P}_z^1(\bar{K})$ is the Galois group of the field obtained by joining coordinates of all points of X above z' , and their conjugates over $K(z')$. This will be a subgroup of the (arithmetic) monodromy group, $\hat{G}_{\mathbf{p}/\mathbf{p}'} \stackrel{\text{def}}{=} G(K_{\mathcal{H}}(\mathbf{p})/K_{\mathcal{H}'}(\mathbf{p}'))$, an extension of the group of the constants field (1.30). The simplest **HIT** statement:⁴¹

(3.11a) **Hi** $_{\varphi,K}$: for z' dense in $\mathbb{P}_z^1(K)$ (even in \mathbb{Q}) the fiber $X_{z'}$ is irreducible (over $K(z')$);

(3.11b) and (3.11a) applied to $\hat{\varphi}_{\mathbf{p}}$, $D_{z'}$ is the monodromy group $\hat{G}_{\mathbf{p}/\mathbf{p}'}$. [Hi1892]

Definition 3.20. Call a sequence of finite group covers

$$\cdots \rightarrow H_{k+1} \rightarrow H_k \rightarrow \cdots \rightarrow H_1 \rightarrow H_0 = G$$

eventually Frattini (resp. *eventually ℓ -Frattini*) if there is a k_0 for which $H_{k_0+k} \rightarrow H_{k_0}$ is a Frattini (resp. ℓ -Frattini) cover for $k \geq 0$. If the projective limit of the H_k s is \tilde{H} , we say \tilde{H} is *eventually ℓ -Frattini*. Then, any open subgroup of \tilde{H} will also be *eventually ℓ -Frattini*.

⁴¹Hilbert's examples didn't need Hurwitz spaces, or the apparatus we use, but we do.

Refer to a **MT** $\mathcal{H}_{\mathcal{O}}$, as Frattini (resp. eventually ℓ -Frattini) if its *geometric* monodromy group has this property. In the notation of a component branch (Def. 3.14), this says the projective system of groups given by the braid group action on the sequence $\mathcal{B}_{\mathcal{O}} = \{B_k\}_{k \geq 0}$ has this property.

Use the notation $\mathcal{H}_{\mathcal{O}} = \{\mathcal{H}_k\}_{k \geq 0}$ for a **MT** on $(\mathrm{Ni}(G, \mathbf{C}))^{\mathrm{in}}$, above Def. 3.8) with $\mathcal{O} = \{\mathcal{O}_k\}_{k \geq 0}$ the corresponding braid orbits on the **MT** levels. Denote the group of braid actions on \mathcal{O}_k by B_k , $k \geq 0$, assuming we have identified \mathcal{O} . For $\mathbf{z}' \in U_r(K)$, denote by $\bar{\mathbf{p}} = \{\mathbf{p}_k \in \mathcal{H}_k\}_{k \geq 0}$ a projective system of points on $\mathcal{H}_{\mathcal{O}}$ over \mathbf{z}' lying on the **MT**. Consider these systems of groups.

(3.12a) The projective system of decomposition (arithmetic monodromy) groups, $D_{\mathbf{z}', k}$ for the cover $\varphi_{\mathbf{p}_k} : X_{\mathbf{p}_k} \rightarrow \mathbb{P}_z^1$ and its projective limit: $\mathbf{D}_{\mathbf{z}'} = \lim_{\leftarrow k} \{D_{\mathbf{z}', k}\}_{k \geq 0}$.

(3.12b) Then, the projective system, $\mathbf{D}_{\mathbf{MT}, U_r}$ of the arithmetic monodromy of the **MT**.

Compatible with the notation, $\mathbf{D}_{\mathbf{z}'}$, for the \mathbf{z}' fiber group, is independent of $\bar{\mathbf{p}}$.

Proposition 3.21. *Assume $\mathcal{H}_{\mathcal{O}}$ is eventually Frattini and L is a number field. Then, for a dense set of $\mathbf{z}' \in U_r(L)$ (or in $U_r/\mathrm{PSL}_2(\mathbb{Q})(L) \stackrel{\mathrm{def}}{=} J_r(L)$),*

(3.13) *the fiber of \mathcal{H} over \mathbf{z}' equals the arithmetic group of the Modular Tower over L .*

Proof. Given a Galois cover of normal varieties, $\varphi : W \rightarrow V$ over a field K , the decomposition field over $v' \in V(K)$ automatically contains the extension of constants field of φ . Since the use of Grauert-Remmert to form the Hurwitz space components in a **MT** uses projective normalization, all covers are of normal varieties, if the decomposition group of a fiber contains the geometric monodromy group of the cover, it automatically contains the arithmetic monodromy group.

With k_0 the starting index for eventually Frattini, a standard generalization of (3.11) implies the conclusion to **HIT** holds for any cover of a variety birational to projective space. So it applies to a cover of U_r . This gives a dense set of $\mathbf{p}_{k_0} \rightarrow \mathbf{z}'$ for which the (from above, arithmetic or geometric) monodromy group attached to the cover $\varphi_{k_0} \in \mathcal{H}_{k_0}$, equals the monodromy of $\mathcal{H}_{k_0} \rightarrow U_r$. From eventually Frattini, we can change k_0 to any $k \geq k_0$ for a corresponding dense set of \mathbf{z}' . The image of this dense set modulo $\mathrm{PSL}_2(\mathbb{Q})$ will be dense in the image, giving the same conclusion for J_r . \square

Definition 3.22. Refer to $\mathbf{D}_{\mathbf{z}'}$, in (3.12a) as **HIT** (resp. *full HIT*) on the **MT** if it is an open subgroup of (resp. equals) the decomposition group of the **MT**.

Example 3.23 (**HIT** results without Nielsen classes). One attempt for a definitive **HIT** result is to form an explicit (*primitive recursive*) Hilbert Set, $\mathbf{Hi}_{\varphi, K} \leq \mathbb{P}_z^1(K)$: for $\mathbf{z}' \in \mathbf{Hi}_{\varphi, K}$, (3.11) holds. For one cover, $\varphi : X \rightarrow \mathbb{P}_z^1$, [Fr74, Thm. 2] gives a nonregular analog of the Chebotarev density theorem, and [Fr74, Thm. 3] applies it to construct $\mathbf{Hi}_{\varphi, K}$ as an arithmetic progression in \mathbb{Z} .

[Fr85, Thm. 4.9] gives an explicit universal Hilbert subset \mathbf{Hi}_K for which (3.11) holds for each φ with finitely many exceptional z 's dependent on φ .⁴² Examples of [D87] – these are for irreducibility of $\varphi_{z'}$ – are memorable: $\{2^n + n \mid n \geq 0\}$, but it relies on Siegel's Theorem; so is not effective. The examples of [DZ98, Thm. 4] give a bound N_φ such that $\{n \in \mathbf{Hi}_{\varphi, \mathbb{Q}} \mid n > N_\varphi\}$. \triangle

3.2. Hurwitz spaces and Jacobians. §3.2.1 explains Serre's **OIT** as about decomposition groups on the fibers of a **MT** that is identified with a tower of modular curves. This emphasizes the eventually ℓ -Frattini property. Serre's **OIT** has two possibilities for $\mathbf{D}_{z'}$ for $\mathrm{Ni}((\mathbb{Z}/\ell)^2 \times^s \mathbb{Z}/2, \mathbf{C}_{2^4})$, for a fixed prime $\ell \neq 2$ with list (3.14) stating this more precisely. §3.2.2 connects Serre's **OIT** (and generalizing it) and the main **MT** conjecture to naming and divining properties of the Jacobians along the curves attached to points on Hurwitz spaces. This connection starts with Hilbert's conjecture on geometrically interpreting abelian extensions of complex quadratic fields, but it's a bigger topic than that (see list (3.14)). Prop. 3.21 says for any **MT** that is eventually ℓ -Frattini, we can expect the “general” $\mathbf{D}_{z'}$ to be **HIT**, our name generalizing Serre's GL_2 type.

But, in Serre's case, there is another type, in §3.2.3, **CM**, for which the ℓ -adic representation presents the Galois group of $\mathbf{D}_{z'}$ as an abelian extension of $\mathbb{Q}(j')$ with j' the j -invariant corresponding to an elliptic curve with complex multiplication. In §4.1 this corresponds to a cover in the Nielsen class of (4.2a).

§3.2.4 reminds how, using *Wohlfahrt's Theorem* and the Riemann-Hurwitz formula Prop. (2.12) for reduced Hurwitz spaces with $r = 4$, to exclude a reduced Hurwitz space cover from being a modular curve. André's Theorem 3.31 requires knowing our reduced Hurwitz space is not a modular curve to conclude an example where we don't get the **CM** analog of Serre. Instead, for any compact subset of $\mathbb{P}_j^1 \setminus \{\infty\}$, only finitely many fibers of the **MT** are **ST** (the general analog of **CM**) type. This is the case $\ell = 2$ in series of examples in §4.3.

3.2.1. Tying to the **OIT**.

(3.14a) **CM** type: With j' corresponding to an elliptic curve with ring of endomorphisms an order in a complex quadratic extension of \mathbb{Q} , then $\mathbf{D}_{z'}$ an open subgroup of \mathbb{Z}_ℓ .

(3.14b) With j' not an ℓ -adic integer; $\mathbf{D}_{z'}$ is full **HIT** with $\mathbf{D}_{z'}$ (resp. geometric monodromy) equal to $\mathrm{GL}_2(\mathbb{Z}_\ell)$ (resp. $\mathrm{SL}_2(\mathbb{Z}_\ell)$) [Se68, §3.2].

(3.14c) With j' an algebraic integer but not of **CM** type, in our language, $\mathbf{D}_{z'}$ is **HIT** (type).

In Serre's case, refer to the decomposition groups as of GL_2 type.

⁴²On the scope of **HIT** (a la, the title of [Fr85]): I used Weil's Theory of (arithmetic) distributions (1928 thesis), Sprindzuk used diophantine approximation and Weissaur used nonstandard arithmetic.

A Tate paper that never materialized suggested *all* non-**CM** fibers (not just those in (3.14b)) would give **HIT** for $\mathbf{D}_{\mathbf{z}'}$; (3.14c) requires Faltings Theorem. §4.4.2 reviews Serre's constructions, his characterization of compatible collections of ℓ -adic representations, and especially his showing that **ST** is included.

[Fr20, Prop. 3.20] – Prop. 3.24 – shows the eventually ℓ -Frattini property applies to Serre's case. Thus, Prop. 3.21 says the “general” decomposition group of Serre's case has GL_2 type.

Proposition 3.24. *The natural cover $\mathrm{SL}_2(\mathbb{Z}/\ell^{k+1}) \rightarrow \mathrm{SL}_2(\mathbb{Z}/\ell)$ is an ℓ -Frattini cover for all k if $\ell > 3$. For $\ell = 3$ (resp. 2), $\mathrm{SL}_2(\mathbb{Z}/\ell^{k+1}) \rightarrow \mathrm{SL}_2(\mathbb{Z}/\ell^{k_0+1})$, $k \geq k_0$ where $k_0 = 1$ (resp. 2), is the minimal value for which these are Frattini covers. For all ℓ ,*

$$\mathrm{PSL}_2(\mathbb{Z}_\ell) \rightarrow \mathrm{PSL}_2(\mathbb{Z}/\ell) \text{ is eventually } \ell\text{-Frattini.}$$

3.2.2. *Jacobians of curves on a Hurwitz space.* Start from a **MT** (of inner spaces) and take the level k component \mathcal{H}_k . For each $\mathbf{p} \in \mathcal{H}_0$, consider the cover $\hat{\varphi}_{\mathbf{p}} : \hat{X}_{\mathbf{p}} \rightarrow \mathbb{P}_z^1$ and the level k space J_k of covers of the Jacobians, $J_{k,\mathbf{p}}$, of $\varphi_{\mathbf{p}}$ with kernel $(\mathbb{Z}/\ell^{k+1})^{2\mathbf{g}}$. [Fr10, §6] discusses the natural map $\mathcal{H}(G, \mathbf{C})^{\mathrm{rd}} \rightarrow J_{G,\mathbf{C}}$ curves in a Hurwitz space to their corresponding Jacobian varieties. We need the curve in its Jacobian. [Mu76, Lect. III] is analytic, following Riemann using holomorphic differentials, with no reference to $G_{\mathbb{Q}}$.

This starts from Riemann's birational equivalence of the Jacobian $J_{\mathbf{p}}$ associated to the curve $\hat{X}_{\mathbf{p}}$ (of genus \mathbf{g}) – here Galois over \mathbb{P}_z^1 is irrelevant – with the symmetric product $\mathrm{Sym}_{\mathbf{g},\mathbf{p}} = (X_{\mathbf{p}})^{\mathbf{g}}/S_{\mathbf{g}}$. An application of the Riemann-Roch theorem shows that, for *general* divisors D_1 and D_2 on $\mathrm{Sym}_{\mathbf{g},\mathbf{p}}$ there is a unique linear equivalence class $D_3 \in \mathrm{Sym}_{\mathbf{g},\mathbf{p}}$ linearly equivalent to $D_1 + D_2$. Therefore, modulo linear equivalence $J_{\mathbf{p}}$ is the *group* of degree 0 divisor classes on $\hat{\varphi}_{\mathbf{p}}$. The algebraic structure on $J_{\mathbf{p}}$ comes from the analytic functions on multiplies of the linear system from the θ -divisor $\Theta_{\mathbf{p}}$. This identifies with the space of divisor classes of degree $\mathbf{g}-1$ modulo linear equivalence and (again due to Riemann, but made algebraic by Weil). So, there is a definition field of this structure⁴³ giving an embedding of $J_{\mathbf{p}}$ in a projective space. Points on $\hat{X}_{\mathbf{p}}$ (resp. $\Theta_{\mathbf{p}}$) map to points of degree 0 by translating by a divisor class $D'_{\mathbf{p}}$ of degree 1 (resp. $D''_{\mathbf{p}}$ of degree $\mathbf{g}-1$). Lem. 3.25 now uses that $\hat{\varphi}$ is a Galois cover: giving an action of G on $J_{\mathbf{p}}$ in (3.17).

Lemma 3.25. *There is a copy of $\hat{X}_{\mathbf{p}}$ in $J_{\mathbf{p}}$ on which G acts compatibly with its action on $J_{\mathbf{p}}$.*

Proof. Take $x_0 \in \hat{X}_{\mathbf{p}}$ and form $\hat{X}_{\mathbf{p}} - x_0$. Since $g \in G$ maps $\hat{X}_{\mathbf{p}}$ to $\hat{X}_{\mathbf{p}}$, it maps $\hat{X}_{\mathbf{p}} - x_0$ to $\hat{X}_{\mathbf{p}} - x_0^g$ by a map that is uniquely detected by what it does to $\hat{X}_{\mathbf{p}}$. This action gives a 1-cocycle $g \in G \mapsto x_0^g - x_0$

⁴³Weil needed this to complete his thesis: the proof of finite generation of the points defined on an abelian variety over a number field.

of translations of $\hat{X}_{\mathbf{p}} - x_0$ inside $J_{\mathbf{p}}$ along with unique maps between the translations. Now apply Weil's cocycle condition (as in the proof of Prop. 2.26) to construct $\hat{X}_{\mathbf{p}}^* \subset J_{\mathbf{p}}$ with G action.

Fix a basis $\omega_{\mathbf{p}} \stackrel{\text{def}}{=} \omega_1, \dots, \omega_{\mathbf{g}}$ of the holomorphic differentials on $\hat{X}_{\mathbf{p}}$. Form \mathbf{g} -tuples of integrals

$$(3.15) \quad \begin{aligned} \Omega(x_0, x) &\stackrel{\text{def}}{=} (\int_{x_0}^x \omega_1, \dots, \int_{x_0}^x \omega_{\mathbf{g}}), x \in \hat{X}_{\mathbf{p}} \pmod{L}, \text{ periods along closed paths at } x_0; \\ \text{and } \bar{\Omega}(x_0, \mathbf{x}) &\stackrel{\text{def}}{=} (\int_{x_0}^{x_1} \omega_1, \dots, \int_{x_0}^{x_{\mathbf{g}}} \omega_{\mathbf{g}}), x_1, \dots, x_{\mathbf{g}} \in \hat{X}_{\mathbf{p}} \pmod{L} \end{aligned}$$

Therefore, $\bar{\Omega}(x_0, \mathbf{x})$ is independent of the choice of paths from x_0 to x (resp. x_0 to x_i , $i = 1, \dots, \mathbf{g}$). The following is due to Riemann.

(3.16a) The collection of path integrals, $J_{\mathbf{p}}$, of the second line of (3.15) is a description of the linear systems on $\hat{X}_{\mathbf{p}}$ of degree 0. These form a complex torus of dimension \mathbf{g} .

(3.16b) From (3.16a), the collection of path integrals of the first line gives an embedding of $\hat{X}_{\mathbf{p}}$ in $J_{\mathbf{p}}$ (dependent on x_0) as degree 0 divisors of form $\hat{X}_{\mathbf{p}} - x_0 = \{x - x_0\}_{x \in \hat{X}_{\mathbf{p}}}$.

For $g \in G$, write $\Omega(x_0, x^g)$ as $U(x_0, x^g) \stackrel{\text{def}}{=} \Omega(x_0, x_0^g) + \Omega(x_0^g, x^g)$. As a collection of points, this is the same as $\hat{X}_{\mathbf{p}} - x_0$. The second summand is obtained from g acting on (endpoints of) the paths of the integrals of the second line of (3.15). Here is the action.

$$(3.17) \quad \begin{aligned} \begin{pmatrix} g & U(x_0, x_0^g) \\ 0 & 1 \end{pmatrix} (U(x_0, x) \ 1)^{\text{tr}} &= (U(x_0^g, x^g) + U(x_0, x_0^g) \ 1)^{\text{tr}} \text{ and multiplying matrices} \\ \begin{pmatrix} g_2 & U(x_0, x_0^{g_2}) \\ 0 & 1 \end{pmatrix} \begin{pmatrix} g_1 & U(x_0, x_0^{g_1}) \\ 0 & 1 \end{pmatrix} &= \begin{pmatrix} g_2 g_1 & U(x_0, x_0^{g_1})^{g_2} + U(x_0, x_0^{g_2}) \\ 0 & 1 \end{pmatrix}, \end{aligned}$$

the result of first applying g_1 and then g_2 is the same as applying $g_2 g_1$. \square

Corresponding to $\mathbf{p}_0 \in \mathcal{H}(G_0, \mathbf{C})$, denote the Jacobian from the second line of (3.15) by $J_{\mathbf{p}_0}$. Suppose $\mathbf{MT} = \{\mathcal{H}_k \leq \mathcal{H}(G_{k, \text{ab}}, \mathbf{C})\}_{k=0}^{\infty}$ is a(n abelianized) Modular Tower, and $\{\mathbf{p}_k \in \mathcal{H}_k\}_{k=0}^{\infty}$ is a projective sequence of points over \mathbf{p}_0 on the \mathbf{MT} . For, $k \geq 1$, each $\mathbf{p}_k \leftrightarrow \hat{\varphi}_k : \hat{X}_{\mathbf{p}_k} \rightarrow \mathbb{P}_z^1$ is a Galois unramified cover of $\hat{\varphi}_{\mathbf{p}_0}$ obtained by pullback to J_k^* , a quotient of $\psi_{J, k+1} : J_{\mathbf{p}_0} \rightarrow J_{\mathbf{p}_0}$, $k \geq 0$, from modding out by the lattice $\ell^{k+1} \mathcal{L}_{\mathbf{p}_0}$.⁴⁴

The action of G extends to the ℓ -adic Jacobian module $\mathcal{L}_{J, \mathbf{p}_0}$ and to $\mathcal{L}_{J, \mathbf{p}_0} / \ell^{k+1} \mathcal{L}_{J, \mathbf{p}_0} = M_{J, k+1}$ (Lem. 3.25). This module already appears as the $\mathbb{Z}/\ell[G]$ quotient of $\mathcal{M}_{\mathbf{g}}$ in (3.5).⁴⁵

Definition 3.26. Taking $G_{J, k}$ as the composite of G and $M_{J, k+1}$, this therefore gives a Nielsen class $\text{Ni}(G_{J, k}, \mathbf{C})$ with our usual equivalences compatible, extending $\text{Ni}(G_k, \mathbf{C})$, with the extending braid group action. [Fr20] referred to this as the *Jacobian case*.

⁴⁴The same G module, M_1 in previous notation, is in the kernel from $\ell^{k-1} \mathcal{L}_{\mathbf{p}_0} \rightarrow \ell^k \mathcal{L}_{\mathbf{p}_0}$ independent of k .

⁴⁵Unlike the characteristic ℓ -Frattini module, $M_{J, 1}$ may not be indecomposable (as in the Serre's case §4.1).

Lemma 3.27. *There is an explicit procedure for computing an open subgroup of the action of H_r (Hurwitz monodromy) on the projective sequence of Nielsen class braid orbits of a **MT** equivalent to an action on the lattice tail of the extension ${}_\ell\tilde{G}_{\text{ab}} \rightarrow G$ (below (3.5)). This gives a check of the eventually Frattini property of **MT**.*

Proof. Use notation as in (3.6) for the braid orbits $O_k \leq \text{Ni}(G_k, \mathbf{C})^{\text{in}}$ defining the **MT**. Take $\mathbf{g}_1 \in O_1$ to define the cover $\hat{X}_1 \rightarrow \hat{X}_0$ for the first level unramified ℓ -Frattini cover. By the universal property of the Jacobian variety, the pullback, $\hat{X}_{J,1}$ of \hat{X}_0 in the Jacobian cover

$$(3.18) \quad \psi_{J,1}: J_{\mathbf{g}} \xrightarrow{\text{mult. by } \ell} J_{\mathbf{g}} \text{ is an unramified cover (it may not be connected).}$$

Consider the subgroup $H^* \leq H_r$ that is fixed on an element of O_0 , and take the component of $\hat{X}_{J,1}$ mapping surjectively to \hat{X}_1 which defines the cover $\hat{X}_1 \rightarrow \hat{X}_0$ fulfilling the first step in a **MT**. This works inductively for $k \geq 1$, and the kernels of $G(\hat{X}_{J,k+1}/\mathbb{P}_j^1) \rightarrow G(\hat{X}_{J,k}/\mathbb{P}_j^1)$ define an ℓ -adic lattice on which H^* has an orbit in $\ker(\mathcal{M}_{\mathbf{g}} \rightarrow G)$. Scheier's construction of generators of H^* (using the two standard generators of H_r) gives an explicit action on the lattice. The eventually Frattini property of a **MT** is equivalent to this action being eventually Frattini. \square

Remark 3.28. In going from the Nielsen classes for $\text{Ni}(G_k, \mathbf{C})$ to $\text{Ni}(G_{J,k+1}, \mathbf{C})$, as in Serre's **OIT** §4.1 with $G_k = (\mathbb{Z}/\ell^{k+1}) \times {}^s\mathbb{Z}/2$ and $G_{J,k} = (\mathbb{Z}/\ell^{k+1})^2 \times {}^s\mathbb{Z}/2$, because the Schur multipliers of the groups may be different, the components and the Thm. 3.15 check on **MTs**, may come out quite different despite the maps between them.

3.2.3. ST points and their abelian varieties. §3.2.2 gave Riemann's production of the Jacobian. Riemann also gave the construction of a complex algebraic (embeddable in projective space) abelian variety from \mathbb{C}^g/\mathcal{L} when \mathcal{L} is a $2g$ dimensional lattice with the imaginary part of the matrix of generators of \mathcal{L} is positive definite. Def. 3.1 gives the most famous problem, Schottky's, for differentiating general such complex torii from the Jacobians of curves.⁴⁶

Serre's **OIT** with its two types of decomposition groups – both eventually ℓ -Frattini – immediately raises these questions. For each, the tacit assumption is that you would also ask for which Nielsen classes (or if possible, which **MTs**) you would expect the answer to manifest. This section has sufficient information about the Shimura-Taniyama abelian varieties (**ST**) to demonstrate why they appear as the appropriate generalization of **CM**. What is, perhaps, surprising is how much they seem to be the *only* type of abelian varieties that garner special attention, though I (and in his case, Serre) emphasize those that I am calling **HIT**, giving a definition of them dependent on generalizing Serre's modular curve towers to **MTs**.

⁴⁶I can't see its use here.

Take L a number field. If complex conjugation $\bar{} : L \rightarrow L$ acts nontrivially on L , then the fixed field K is real, of index 2 and $L = K(\alpha)$ with α and $\bar{\alpha}$ conjugate. My notation is similar to [Sh71, §5.5], starting with his §A on (what he calls) **CM** fields.

Definition 3.29. Refer to L as a **CM** field if all embeddings $\psi : L \rightarrow \mathbb{C}$ are complex (L is totally complex). Then, all embeddings of K in \mathbb{C} are real, and all such ψ 's commute with $\bar{}$ acting on L .

Lemma 3.30. *Given two **CM** extensions L_i/\mathbb{Q} , $i = 1, 2$, their composite is another; therefore the Galois closure of a **CM** extension is also **CM**.*

Proof. Embeddings of $L_1 \cdot L_2$ into \mathbb{C} are given by compositing separate embeddings of L_1 and L_2 . Check: $\bar{} : L_1 \cdot L_2 \mapsto L_1 \cdot L_2$ therefore commutes with any embedding of $L_1 \cdot L_2$. The Galois closure of L_1/\mathbb{Q} is the composite of all the conjugates of L_1/\mathbb{Q} with each of form $\psi(L_1/\mathbb{Q})$, ψ an embedding in \mathbb{C} . So it satisfies Def. 3.29. \square

Shimura constructs abelian varieties $A = \mathbb{C}^n/\mathcal{L}$ – a complex torus – with $\theta : L \mapsto \text{End}_{\mathbb{Q}}(A)$ with $2n = \deg(L/\mathbb{Q})$. He called them **CM** type; we will often use **ST**.

(3.19a) There is a divisor, D , on A for which multiples of D have a linear system that gives an embedding of A in projective space.

(3.19b) [Sh71, (5.5.10)] uses the distinct complex embeddings, $\varphi_1, \dots, \varphi_n$, of L and their conjugates; to define A from $L_{\mathbb{R}} = L \otimes_{\mathbb{Q}} \mathbb{R}$ modulo a \mathbb{Z} lattice in L (e.g. integers of L).

(3.19c) [Sh71, p. 258-259] reminds of Riemann's Theorem saying a complex torus has the structure of an abelian variety (as in (3.19a) if and only if there is a *Riemann form*:

- an alternating and \mathbb{R} -valued bilinear pairing, $E(\mathbf{x}, \mathbf{y})$, $\mathbf{x}, \mathbf{y} \in \mathbb{C}^n$; and
- E takes integer values on $\mathcal{L} \times \mathcal{L}$ with $E(\mathbf{x}, \sqrt{-1}\mathbf{y})$ symmetric and positive definite.

Riemann constructed the Θ -function from (3.19c). After normalizing [Sh71, (5.5.15)] gives a formula for a Riemann form: (3.19b) is linear in $\varphi_1, \dots, \varphi_n$ and its complex conjugates.

(3.20a) How would you detect whether a component $\mathcal{H}_0 \subset \mathcal{H}(G, \mathbf{C})^{\text{in,rd}}$ contains a dense set of points whose Jacobians are Shimura-Taniyama?

(3.20b) More generally: As an example related to Schottky's problem (Quest. 3.1) and to the Coleman-Oort conjecture, when is an **ST** variety the Jacobian of a curve?

3.2.4. *Using Wohlfahrt's Theorem*, [Woh64]. We noted that most reduced Hurwitz spaces with $r = 4$ (appearing after projective normalization as covers of \mathbb{P}_j^1) are *not* modular curves. Relevant to discussing **ST** varieties, this section, based on computing cusps, shows how to give an example.

Theorem 3.31. [Fr06, Prop. 6.12] *is the case $\ell = 2$ of §4.3, $\mathcal{H}(A_4, \mathbf{C}_{\pm 3^2})^{\text{in,rd}}$ has two components; called there **HM** and **DI** components, but labeled here as \mathcal{H}_+ and \mathcal{H}_- corresponding to their lift invariant values being ± 1 . Each is embedded in $\mathbb{P}_j^1 \times \mathbb{P}_j^1$, but neither is a modular curve. André's Thm. [An98] says there are no accumulation points in either component, off the cusps, whose Jacobians are **ST**. Ex. 4.31 notes only \mathcal{H}_+ supports a **MT**, and so is relevant to the Coleman-Oort Conjecture, while \mathcal{H}_- is still relevant to André-Oort.*

The remainder of this section proves Thm. 3.31. For $\Phi^{\text{rd}} : \mathcal{H}^{\text{rd}} \rightarrow U_\infty$, a reduced Hurwitz space covers, let $\Gamma \leq \text{SL}_2(\mathbb{Z})$ define it as an upper half-plane quotient \mathbb{H}/Γ ([BFr02, §2.10]). Let N_Γ be the least common multiple (lcm) of its cusp widths; the lcm of the ramification orders of points of the compactification $\bar{\mathcal{H}}^{\text{rd}}$ over $j = \infty$ (lcm of the orders of γ_∞ on reduced Nielsen classes, §2.2.2).

Theorem 3.32 (Wohlfahrt). *Γ is congruence if and only if it contains the congruence subgroup, $\Gamma(N_\Gamma)$, defined by N_Γ .*

Using Thm. 3.32 to show (some) j -line covers aren't modular. Compute γ_∞ orbits on Ni^{rd} . Then, check their distribution among $\bar{M}_4 = \langle \gamma_\infty, \mathbf{sh} \rangle$ orbits (\mathcal{H}^{rd} components). For each \mathcal{H}^{rd} component \mathcal{H}' , check the lcm of γ_∞ orbit lengths to compute N' , the modulus as if it were a modular curve. Then, see whether a permutation representation of $\Gamma(N')$ could produce $\Phi' : \mathcal{H}' \rightarrow \mathbb{P}_j^1$, and the type of cusps now computed.

Use notation of §2.2.1. [Fr10, Prop. 3.5] has the **sh**-incidence diagram on the Nielsen class $\text{Ni}(A_4, \mathbf{C}_{\pm 3})^{\text{in,rd}}$ with the detailed calculations and explanation for it in [Fr10, §3.3.2]. Reduced classes are given by modding out by \mathcal{Q}'' on inner Nielsen classes. The γ_∞ orbits appear in two blocks with those in the first block labeled ${}_c\mathcal{O}_{1,1}^4, {}_c\mathcal{O}_{1,3}^3, {}_c\mathcal{O}_{3,1}^3$ and those in the second block labeled ${}_c\mathcal{O}_{1,4}^4, {}_c\mathcal{O}_{3,4}^1, {}_c\mathcal{O}_{3,5}^1$: with each labeling along the top and left side. The integers in each square matrix indicate a pairing between two such orbits ${}_c\mathcal{O}$ and ${}_c\mathcal{O}'$ given by computing the cardinality of the intersection of ${}_c\mathcal{O}$ and the shift applied to ${}_c\mathcal{O}'$. Because $r = 4$, these are square matrices.

The blocks correspond to lift invariant values of ± 1 . The first contains the **HM** reps. whose orbits are ${}_c\mathcal{O}_{1,1}^4$ and ${}_c\mathcal{O}_{3,1}^3$. The second block contains the **DI** element whose cusp is labeled ${}_c\mathcal{O}_{1,4}^4$. The superscripts are the lengths of the orbits, or the cusp widths, and the degree of the cover is given by summing the cusp widths in a block. Note: Neither of $\mathcal{H}_0^{\text{in,rd},\pm}$ have reduced fine moduli. The Nielsen braid orbit for $\mathcal{H}_0^{\text{in,rd},-}$ (resp. $\mathcal{H}_0^{\text{in,rd},+}$) fails (3.21a) (resp. and also (3.21b)):

(3.21a) \mathcal{Q}'' has length 2 (not 4 as required in (2.10b)) orbits; and

(3.21b) γ_1 has a fixed point (contrary to (2.10c)).

This gives all the data required for applying the genus formula of (2.14).

Proposition 3.33. *The two \bar{M}_4 orbits on $\text{Ni}(A_4, \mathbf{C}_{\pm 3^2})^{\text{in,rd}}, \text{Ni}_0^+$ and Ni_0^- , having respective degrees 9 and 6 over U_j , and their normalized completion both have genus 0. Both have natural covers $\bar{\mu}^\pm : \bar{\mathcal{H}}_0^{\text{in},\pm} \rightarrow \mathbb{P}_j^1$ by completing the map – using that both are families of genus 1 curves:*

$$(3.22) \quad \mathbf{p} \in \mathcal{H}_0^{\text{in,rd},\pm} \mapsto \beta(\mathbf{p}) \stackrel{\text{def}}{=} j(\text{Pic}(X_{\mathbf{p}})^{(0)}) \in \mathbb{P}_j^1.$$

Then, this case's identification of inner and absolute reduced classes gives

$$(3.23) \quad \mathbf{p} \in \mathcal{H}_0^{\text{in,rd},\pm} \mapsto (j(\mathbf{p}), j(\text{Pic}(X_{\mathbf{p}})^{(0)})),$$

a birational embedding of $\bar{\mathcal{H}}_0^{\text{in,rd},\pm}$ in $\mathbb{P}_j^1 \times \mathbb{P}_j^1$. Neither is a modular curve.

Proof. The only point not proved is that neither is a modular curve. In the case of $\mathcal{H}_0^{\text{in,rd},+}$ (resp. $\mathcal{H}_0^{\text{in,rd},-}$) Thm, 3.32 says $\text{PSL}(\mathbb{Z}/12)$ (resp. $\text{PSL}(\mathbb{Z}/4)$) would have an index 9 (resp. 6) subgroup, and that index would divide the order of the group. (3.24) is an algorithm for computing the order of $\text{GL}_n(\mathbb{Z}/N)$ from which you see we don't have $9|\text{PSL}(\mathbb{Z}/12)|$, et. cet.

(3.24a) From linear algebra the determinant, D_M , of a matrix M with entries in \mathbb{Z}/N is invertible if and only if the columns of the matrix generate the \mathbb{Z}/N module.

(3.24b) Chinese remainder theorem: D_M is invertible if and only if it is invertible modulo each prime power dividing N , reverting the calculation to the case N is a prime power.

(3.24c) With $N = p^u$, use that $(\mathbb{Z}/p^u)^k \rightarrow (\mathbb{Z}/p)^k$ is a Frattini cover.

Starting with (3.24c), use the standard algorithm for counting invertible transformations of basis vectors over a finite field, and go back up the ladder of (3.24). \square

Remark 3.34 (Conjectures related to **CM** jacobians from Wikipedia). Shimura wrote many papers on variants of the Siegel Upper-half space, say [Sh70]. Variants of these conjectures use Shimura varieties. Appropriate for us are these statements for sufficiently large \mathbf{g} :

Conjecture 3.35 (Coleman-Oort). Coleman: Only finitely many smooth projective curves of genus \mathbf{g} have Jacobians of **ST** type. Oort generalization: The *Torelli locus* – of abelian varieties of dimension \mathbf{g} – has no special subvariety of dimension > 0 that intersects the image of the Torelli mapping in a dense open subset.

For properties of the relation between the space of Jacobians of curves and the moduli space of curves, see discussions of the Torelli map between them [tor]. **MTs**, with its emphasis on describing spaces using the braid action on Nielsen classes is not asking the same kind of questions. For example, while our conditions for fine moduli are stated group theoretically, the Torelli type conditions statements are about general loci where fine moduli doesn't hold.

4. HURWITZ SPACE COMPONENTS FROM THM. 1.21

All our example series of applying Thm. 1.21 *start* with one in the series having $\mathcal{H}(G, \mathbf{C})^{\text{abs}}$ a space of genus zero covers, even the first (Ex. 2.7) modular curve-related example. §3.1 explains what we need from Frattini covers, Schur multipliers and the lift invariant. All cases have the order of the Schur multiplier of G , SM_G , prime to $N_{\mathbf{C}}$ (the lcm of orders of elements in \mathbf{C}). They have nontrivial, but cyclic, Schur multipliers, for which we understand the moduli definition fields of Schur-separated components. There is a prime, ℓ (explained in each example) related to a specific system of groups. We assume G is ℓ -perfect ((3.1); e.g. not abelian).

§4.1 connects the lift invariant to the *Weil Pairing* as it arises in the moduli definition field of spaces appearing in Serre's **OIT**. §4.2 puts an umbrella over the literature (from Serre, Liu-Osserman, and the author) on Hurwitz spaces starting with G an alternating group, especially where covers in the Nielsen class $\text{Ni}(G, \mathbf{C})^{\text{abs}}$ have genus 0. Examples show cusps on general reduced Hurwitz spaces can have more intricate structures than they do on modular curves.

§4.2 calculates in A_n by multiplying permutations. In [BFr02], we operated from the right on letters of a permutation representation. Here, though, we operate from the left. Example: In considering the middle product of **HM**₁ in Lem. 4.14, the result is

$$(1\ 2\ \dots\ \frac{n+1}{2}) \cdot (\frac{n+1}{2}\ \frac{n+3}{2}\ \dots\ n) = (1\ 2\ \dots\ n-1\ n).$$

Operating from the left on integers, that is the correct product, but not from the right.

Recall previous notation. An *absolute Hurwitz space component*, \mathcal{H}' , corresponds to

$$(4.1) \quad \text{a braid orbit, } O', \text{ in } \text{Ni}(G, \mathbf{C})^{\text{abs}} \stackrel{\text{def}}{=} \text{Ni}(G, \mathbf{C})/N_{S_n}(G, \mathbf{C}).$$

Then, $\Psi_{\text{abs, in}} : \mathcal{H}(G, \mathbf{C})^{\text{in}} \rightarrow \mathcal{H}(G, \mathbf{C})^{\text{abs}}$ sends an inner component $\mathcal{H} \subset \mathcal{H}(G, \mathbf{C})^{\text{in}}$ – corresponding to an inner braid orbit O lying above O' – by restriction $\mathcal{H} \rightarrow \mathcal{H}' \subset \mathcal{H}(G, \mathbf{C})^{\text{abs}}$.

§4.3, with $G = (\mathbb{Z}/\ell^{k+1})^2 \times {}^s\mathbb{Z}/3$, starts with a procedure (§4.3.1) for finding the Schur multiplier (and so a non-trivial lift invariant) when G is an ℓ -split group.⁴⁷ Indeed, this case and that of §4.1 appear similar: the kernels of the splittings have the same ℓ -groups, $H = (\mathbb{Z}/\ell^{k+1})^2$. But the Hurwitz space components that arise are different, and the seemingly trivial H is deceiving.

In this case we start to compare decomposition groups in a **MT** with the Coleman-Oort Conjecture, Rem. 3.34, and Serre's **OIT** by asking about the two types, **HIT** and **ST** decomposition groups in the **MTs** that arise.

⁴⁷The procedure uses two brilliant theorems from modular representation theory, Heller's and Jennings, which I learned to use from interacting with the authors of [Be91], [S05] – The author was my student at UCI. He started with a hint based on the main example of [BFr02] – and [Se88].

(3.5) defines $\mathcal{M}_{\mathbf{g}}$ as the extension of G given by branch cycles \mathbf{g} with a tail, the ℓ -adic cohomology of $\hat{X}_{\mathbf{g}}$. Now figure the relation with the quotient of the Universal abelianized ℓ -Frattini cover which has a lattice used to define a **MT** whose level 0 inner space contains $\hat{X}_{\mathbf{g}} \rightarrow \mathbb{P}_z^1$. The goal is to find braid orbits of all homomorphisms of $\mathcal{M}_{\mathbf{g}} \rightarrow G$ to ${}_{\ell}\tilde{G}_{\text{ab}} \rightarrow G$, with lattice kernel $L_{\text{ab},G}$ for abelianized **MTs**. The k level modules $\ell^k L / \ell^{k+1} L$ are the same as G modules and equal to M_1 .

But there are other Frattini quotients of ${}_{\ell}\tilde{G}_{\text{ab}}$, extensions of $G = G_0$, that you can use in place of ${}_{\ell}\tilde{G}_{\text{ab}}$, on which the braid group acts. These arise by taking any $\mathbb{Z}/\ell[G]$ quotient, $M_{1,q}$, of M_1 , forming this at each level k , giving the extension $L_{\text{ab},G,c} \rightarrow {}_{\ell}\tilde{G}_{\text{ab},c} \rightarrow G$ which inherits all the branch cycle properties of ${}_{\ell}\tilde{G}_{\text{ab}}$.

We describe the $G = G_0$ lattice tails, $\mathcal{L}_{\text{ab},G,q}$, in our examples, by listing the modules $M_{1,q}$:

$$(4.2a) \text{ Serre's Case §4.1 : } G_0 = (\mathbb{Z}/\ell^{k+1})^2 \times {}^s\mathbb{Z}/2, M_{1,q} = (\mathbb{Z}/\ell)^2.$$

$$(4.2b) \text{ Prop. 4.17: } G_0 = A_n, \ell = 2, \mathbf{C}_{4, \frac{n+1}{2}}. \text{ For } n = 5, M_{1,q} \text{ has Loewy display } V_2 \oplus V_2 \mapsto \mathbf{1}_{A_5}.^{48}$$

$$(4.2c) \text{ §4.3: } G_0 = (\mathbb{Z}/\ell^{k+1})^2 \times {}^s\mathbb{Z}/3, M_{1,q} = (\mathbb{Z}/\ell)^2.$$

The reduced Hurwitz space components, all of dimension 1, are modular curves only in the case (4.2a). For all cases, the modules M_1 from the lattice kernel of ${}_{\ell}\tilde{G}_{\text{ab}} \rightarrow G_0$ are indecomposable G_0 modules. As G_0 quotients of M_1 , they are decomposable for case (4.2a) and for (4.2c) when $\ell \equiv 1 \pmod{4}$. [Fr95, §II.B] applies Heller's construction, using projective indecomposable modules corresponding to the irreducible modules for $\mathbb{Z}/\ell[G_0]$. Almost a formula for M_1 : [Fr95, Proj. Indecomposable Lem. 2.3 and §II.C], except it is difficult to compute projective indecomposables.

[Fr95, §II.C] on the case $p = 2$ lists the four simple $\bar{\mathbb{F}}_2[A_5]$ modules: $\mathbf{1}_G$, reduction $\pmod{2}$ of the degree 4 summand of the standard representation and the two conjugate – over \mathbb{F}_4 – adjoint representations using that $A_5 = \text{SL}_2(\mathbb{F}_4)$. This gives the second Loewy layer of M_1 , and shows $G_1 \rightarrow G_0$ has kernel a 5-dimensional $\mathbb{Z}/2[G]$ module with the Schur multiplier, $\mathbb{Z}/2$ at its head.

The remarks Rem. 4.18 and §4.4 show how our main examples extend Serre's **OIT**, respectively, in considering the Nielsen classes related to alternating groups and the groups $(\mathbb{Z}/\ell^{k+1})^2 \times {}^s\mathbb{Z}/3$. [Fr25] and [FrBG] provide full details of the respective refined conclusions.

4.1. Lift invariants and OIT Nielsen class. This section's Nielsen class has a group with semidirect product with $\mathbb{Z}/2$, a variant on that with the semidirect product with $\mathbb{Z}/3$ in [Fr20, §5] and §4.3. This is a different approach to a conclusion in Serre's **OIT** traditionally from the Weil Pairing. The Hurwitz spaces of $\text{Ni}(G_{\ell,k,1_2} = (\mathbb{Z}/\ell)^{k+1} \times {}^s\mathbb{Z}/2, \mathbf{C}_{2^4})$ have several components.

The rubric of Rem. 2.28 is applied to find components of our main object of study; (4.9a) computes the class \mathbf{C}_2 . §4.1.1 is the preliminary setup – since Serre's **OIT** wasn't regarded as

⁴⁸We know this for a few other values of $n \geq 4$, only. See § 3.2.4 for $n = 4$.

related to the lift invariant – intended to also help with the superficially similar example of §4.3 which gets more deeply into the relation of the **OIT** and hyperelliptic jacobians.⁴⁹

§4.1.2 computes the lift invariants of these components to directly find their moduli definition fields, a result attributed by a different approach to Weil’s ℓ -adic pairing. Our computations will be done in semi-direct products of a group M with a group N acting on it, written $M \times^s N$. We compute using the notation of 2×2 matrices, with $m_2^{n_1}$ the action of n_1 on m_2 ,

$$(4.3) \quad \text{the product is } \begin{pmatrix} n_1 & 0 \\ m_1 & 1 \end{pmatrix} \begin{pmatrix} n_2 & 0 \\ m_2 & 1 \end{pmatrix} = \begin{pmatrix} n_1 n_2 & 0 \\ m_1^{n_2} m_2 & 1 \end{pmatrix} \text{ and “.” is group multiplication.}$$

4.1.1. *The **OIT** Nielsen class.* The lift invariant attached to these Nielsen classes comes from the *small Heisenberg group*:

$$(4.4) \quad \mathbb{H}_{\ell,k} \stackrel{\text{def}}{=} \left\{ M(a, a', w) \stackrel{\text{def}}{=} \begin{pmatrix} 1 & a & w \\ 0 & 1 & a' \\ 0 & 0 & 1 \end{pmatrix}, a, a', w \in \mathbb{Z}/\ell^{k+1} \right\}.$$

We show $\mathbb{Z}/2$ in $G_{\ell,k,2_2}$ extends to $\mathbb{H}_{\ell,k}$ with trivial action on the kernel of $\mathbb{H}_{\ell,k} \rightarrow (\mathbb{Z}/\ell^{k+1})^2$. With the action of -1 given by ${}^\beta M(a, a', w) = M(-a, -a', w)$.⁵⁰

$$(4.5) \quad \begin{aligned} &\text{Check that } \beta \text{ applied to } M(a_1, a'_1, w_1)M(a_2, a'_2, w_2) = {}^\beta M(a_1, a'_1, w_1){}^\beta M(a_2, a'_2, w_2) \\ &\text{or } \begin{pmatrix} 1 & -a_1 - a_2 & w_1 + w_2 + a_1 a'_2 \\ 0 & 1 & -a'_1 - a'_2 \\ 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & -a_1 & w_1 \\ 0 & 1 & -a'_1 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & -a_2 & w_2 \\ 0 & 1 & -a'_2 \\ 0 & 0 & 1 \end{pmatrix}. \end{aligned}$$

The following three statements – shown in §4.1.2 – give the significance of this, starting with the distinction between absolute and inner classes, $\text{Ni}(\ell, k, 2_2)^\dagger$, $\dagger = \text{abs or in}$.

(4.6a) There are $\varphi(\ell^{k+1})$ braid orbits on the inner classes, $\text{Ni}(\ell, k, 2_2)^{\text{in}}$, whose corresponding components are conjugate by the action of $G(\mathbb{Q}(\zeta_{\ell^{k+1}})/\mathbb{Q})$.

(4.6b) The geometric (resp. arithmetic) monodromy of the absolute, reduced, spaces as a cover of \mathbb{P}_j^1 is $\text{SL}_2(\mathbb{Z}/\ell^{k+1})$ (resp. $\text{GL}_2(\mathbb{Z}/\ell^{k+1})$).

(4.6c) The roots of 1 in (4.6a) arise from the lift invariant to the central extension in (4.5).

Display elements of $\text{Ni}_{\ell,k,1_2}$ subject to product-one and generation as in Def. 1.13.

$$(4.7) \quad \begin{aligned} &\text{With } A_{\ell^{k+1}} \stackrel{\text{def}}{=} \{ \mathbf{a} = (a_1, \dots, a_4) \in (\mathbb{Z}/\ell^{k+1})^4 \mid a_1 - a_2 + a_3 + a_4 \equiv 0 \pmod{\ell^{k+1}}, \\ &\quad \langle a_i - a_j, 1 \leq i < j \leq 4 \rangle = \mathbb{Z}/\ell^{k+1} \}, \text{ consider } \mathbf{g}_{\mathbf{a}} \in \text{Ni}_{\ell,k,1_2} \text{ given by} \\ &\quad \left(\begin{pmatrix} -1 & 0 \\ a_1 & 1 \end{pmatrix}, \begin{pmatrix} -1 & 0 \\ a_2 & 1 \end{pmatrix}, \begin{pmatrix} -1 & 0 \\ a_3 & 1 \end{pmatrix}, \begin{pmatrix} -1 & 0 \\ a_4 & 1 \end{pmatrix} \right). \end{aligned}$$

⁴⁹Serre, in private writings, considered generic extensions of his **OIT** using hyperelliptic jacobians.

⁵⁰In §4.3.1, we use the notation $\mathbb{H}_{\ell,k,2}$ to differentiate this extension from another representation cover, $\mathbb{H}_{\ell,k,3}$, of $V_{\ell,k}$ on which there is a $\mathbb{Z}/3$ action.

By substituting (a_i, a'_i) for $a_i, i = 1, \dots, 4$, in the above with \wedge designating the wedge product, define $\text{Ni}_{\ell, k, 2_2} \stackrel{\text{def}}{=} \{\mathbf{g}_{\mathbf{a}, \mathbf{a}'} \mid \mathbf{a} \wedge \mathbf{a}' \neq 0\}$. The representation T for absolute classes is on the cosets of $\begin{pmatrix} -1 & 0 \\ 0 & 1 \end{pmatrix}$ (resp. $\begin{pmatrix} -1 & 0 \\ \mathbf{0} & 1 \end{pmatrix}$), with $\mathbf{0} = (0, 0)$ for $\text{Ni}_{\ell, k, 1_2}$ (resp. $\text{Ni}_{\ell, k, 2_2}$).

Proposition 4.1. *The Nielsen class $\text{Ni}_{\ell, k, 1_2}^\dagger$ has one braid orbit. The action of H_4 on $\text{Ni}_{\ell, k, 2_2}^\dagger$ extends its action on $\text{Ni}_{\ell, k, 1_2}^\dagger$. This is the example of Lem. 3.27 noted in Rem. 3.28.*

Proof. The first sentence is noted geometrically in [Fr74, Lem. 5]; with more arithmetic detail in [Fr78, Thm. 2.1] as a special case of a general problem. The second sentence is immediate from the definition of braid action. \square

Using Prop. 4.1, compute braid orbits on $\text{Ni}_{\ell, k, 2_2}^{\text{in}}$ by choosing any one allowable \mathbf{a} . Then, check possibilities for \mathbf{a}' that go with it. Start with $\mathbf{a} \leftrightarrow$ shift of an **HM** rep:

$$(4.8) \quad \mathbf{a}_{\text{sh}} = (0, a, a, 0) \text{ with } a \in (\mathbb{Z}/\ell^{k+1})^* \text{ and } \mathbf{a} \leftrightarrow (4.7) \text{ with } a_1 = a_4 = 0, a_2 = a_3 = a.$$

Lemma 4.2. *Represent a class in $\text{Ni}_{\ell, k, 2_2}^{\text{in}}$ by $\mathbf{g}_{\mathbf{a}, \mathbf{a}'}$ modulo these conditions:*

$$(4.9a) \quad (a_1, a'_1) = \mathbf{0} \text{ and } \sum_{i=2}^4 (-1)^i (a_i, a'_i) \equiv \mathbf{0} \pmod{\ell^{k+1}}; \text{ and}$$

$$(4.9b) \quad \{(a_i, a'_i) \pmod{\ell} \mid 2 \leq i \leq 4\} \text{ aren't all on a line through } \mathbf{0}.$$

Starting with $\mathbf{a} = \mathbf{a}_{\text{sh}}$, allowable \mathbf{a}' , up to inner equivalence, have the form

$$\{(0, a'_2, a'_3, a'_3 - a'_2)\} \text{ with } a'_3 - a'_2 \not\equiv 0 \pmod{\ell}.$$

Proof. For the 1st item of (4.9a), replace the original element by the inner equivalent representative by conjugating by $\begin{pmatrix} 1 & 0 \\ (a_1/2, a'_1/2) & 1 \end{pmatrix}$. Since

$$\begin{pmatrix} 1 & 0 \\ (a_1/2, a'_1/2) & 1 \end{pmatrix} \begin{pmatrix} -1 & 0 \\ (a, a') & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ -(a_1/2, a'_1/2) & 1 \end{pmatrix} = \begin{pmatrix} -1 & 0 \\ (a - a_1, a' - a'_1) & 1 \end{pmatrix},$$

we may assume $(a_1, a'_1) = \mathbf{0}$. Complete (4.9a) from product-one.

Recognize (4.9b) as equivalent to this: entries of $\mathbf{g}_{\mathbf{a}, \mathbf{a}'}$ generate $(\mathbb{Z}/\ell^{k+1})^2 \times^s \mathbb{Z}/2$. Given that the first entry is now $\begin{pmatrix} -1 & 0 \\ \mathbf{0} & 1 \end{pmatrix}$, this says $\langle (a_i, a'_i), i = 2, 3, 4 \rangle = V_{\ell, k}$.

Since $V_{\ell, k}$ is a Frattini cover of $V_{\ell, 1}$, this is equivalent to showing the image of $\langle (a_i, a'_i), i = 2, 3, 4 \rangle$ is all of $V_{\ell, 1}$. For this, it suffices that in the 2-dimensional space $V_{\ell, 1}$, the hoped-for generators aren't all on one line (through the origin).

Now consider allowable \mathbf{a}' that go with \mathbf{a}_{sh} . Having the 4th entry nonzero $\pmod{\ell}$ is necessary and sufficient for the second line condition of (4.9); the first line is automatic from its form. \square

4.1.2. *Values of the lift invariant.* We show values of the lift invariant to the small Heisenberg group separate braid orbits on $\text{Ni}_{\ell,k,2_2}^{\text{in}}$. Indirectly, this accounts for the constants that come from $\mathbb{Q}(e^{2\pi i/\ell^{k+1}})$ traditionally arising from the *Weil pairing*. These now interpret as values of a Nielsen class lift invariant, as given in Def. 1.24.

Lem. 4.3 identifies the action of the normalizing group $N_{S_n}(G)$ on Nielsen classes; the effect of conjugating by elements of S_n that normalize

$$(4.10) \quad G_{\ell,k,2_2} = \left\{ \begin{pmatrix} \pm 1 & 0 \\ (a,a') & 1 \end{pmatrix} \mid (a,a') \in (\mathbb{Z}/\ell^{k+1})^2 \right\}.$$

(4.10) lists the left cosets of $\mathbb{Z}/2$ running over (a,a') , the matrices $M_{a,a'} \stackrel{\text{def}}{=} \begin{pmatrix} 1 & 0 \\ (a,a') & 1 \end{pmatrix}$ multiplied on the left of the copy of $\mathbb{Z}/2$, represented by $\begin{pmatrix} \pm 1 & 0 \\ \mathbf{0} & 1 \end{pmatrix}$.

Lemma 4.3. *The actions of the normalizer of $G_{\ell,k,2_2}$ in S_{ℓ^2} , $N_{S_{\ell^2}}(G_{\ell,k,2_2})$, identifies with conjugations by $\text{GL}_2(\mathbb{Z}/\ell^{k+1})$. The cosets of $\text{SL}_2(\mathbb{Z}/\ell^{k+1})$ in $\text{GL}_2(\mathbb{Z}/\ell^{k+1})$ are represented by the matrices $\begin{pmatrix} b & 0 \\ 0 & 1 \end{pmatrix}$, $b \not\equiv 0 \pmod{\ell}$, with the action of conjugation given by $\begin{pmatrix} -1 & 0 \\ (a,a') & 1 \end{pmatrix} \mapsto \begin{pmatrix} -1 & 0 \\ b(a,a') & 1 \end{pmatrix}$.*

Proof. If conjugation by γ normalizes $G_{\ell,k,2_2}$, then it normalizes the characteristic subgroup $(\mathbb{Z}/\ell^{k+1})^2$. So it gives an element of $\text{GL}_2(\mathbb{Z}/\ell^{k+1})$. Multiplying $\begin{pmatrix} b^{-1} & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} -1 & 0 \\ (a,a') & 1 \end{pmatrix} \begin{pmatrix} b & 0 \\ 0 & 1 \end{pmatrix}$ gives the result $\begin{pmatrix} -1 & 0 \\ b(a,a') & 1 \end{pmatrix}$, concluding the proof. \square

Prop. 4.4 first computes the lift invariant; (4.12) shows how the braid orbits on $\text{Ni}(G_{\ell,k,2_2}, \mathbf{C}_{2^4})^\dagger$ fulfill the situation in Thm. 1.21. Use the notation $M(a,a',w)$, $w \in \mathbb{Z}/\ell^{k+1}$ compatible with (4.4) for an element in $\mathbb{H}_{k,\ell} \times^s \mathbb{Z}/2$ above $M(a,a')$.

Proposition 4.4. *Order 2 elements $\begin{pmatrix} -1 & 0 \\ M(a,a',w) & 1 \end{pmatrix} \in \mathbb{H}_{k,\ell} \times^s \mathbb{Z}/2$ have $w = \frac{aa'}{2}$.*

(4.11a) *Since every braid orbit contains an element $\mathbf{g}_{\mathbf{a}_{\text{sh}}, \mathbf{a}'}$, to compute all lift invariant values it suffices to compute $s_{\mathbf{g}_{\mathbf{a}_{\text{sh}}, \mathbf{a}'}}$ with $\mathbf{a}' = (0, a'_2, a'_3, a'_2 - a'_3)$ and $a'_2 \neq a'_3 \pmod{\ell}$.*

(4.11b) *The lift value from (4.11a) is $a(a'_3 - a'_2)$, running over all values in $(\mathbb{Z}/\ell^{k+1})^*$ as \mathbf{a}' varies.*

(4.12a) *There are two braid orbits on $\mathcal{H}_{\ell,k,2_2}^{\text{abs}}$. Each has inner components above it, corresponding, respectively, to the square (resp. non-square) values of the lift invariant.*

(4.12b) *the inner Hurwitz space components are conjugate by the action of $G(\mathbb{Q}(e^{2\pi i/\ell^{k+1}})/\mathbb{Q})$, so $\mathbb{Q}(e^{2\pi i/\ell^{k+1}})$ is their moduli definition field;*

(4.12c) *and the geometric (resp. arithmetic) monodromy group of any $\text{Ni}_{\ell,k,2_2}^{\text{in,rd}}$ components over \mathbb{P}_j^1 is $\text{SL}_2(\mathbb{Z}/\ell^{k+1})$ (resp. $\text{GL}_2(\mathbb{Z}/\ell^{k+1})$).*

Proof. An order 2 lift, $\begin{pmatrix} -1 & 0 \\ M(a, a', w) & 1 \end{pmatrix}$, of $\begin{pmatrix} -1 & 0 \\ (a, a') & 1 \end{pmatrix}$ to $\mathbb{H}(\mathbb{Z}/\ell^{k+1}) \times^s \mathbb{Z}/2$ from (4.5) satisfies

$$\begin{pmatrix} -1 & 0 \\ M(a, a', w) & 1 \end{pmatrix} \begin{pmatrix} -1 & 0 \\ M(a, a', w) & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ M(-a, -a', w)M(a, a', w) & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ M(0, 0, 0) & 1 \end{pmatrix}.$$

Calculate: $M(-a, -a', w)M(a, a', w)$ has $2w - aa'$ in its upper right corner, or $w = \frac{aa'}{2}$, as stated.

Use (4.11a), to show (4.11b). In the product of order 2 lifts of $\mathbf{g}_{\mathbf{a}_{\text{sh}}, \mathbf{a}'}$ entries to $\mathbb{H}(\mathbb{Z}/\ell^{k+1}) \times^s \mathbb{Z}/2$, with $\mathbf{a}' = (0, a'_2, a'_3, a'_3 - a'_2)$, lift invariants run over the w value in the lower left matrix

$$\begin{pmatrix} -1 & 0 \\ M(0, 0, 0) & 1 \end{pmatrix} \begin{pmatrix} -1 & 0 \\ M(a, a'_2, \frac{aa'_2}{2}) & 1 \end{pmatrix} \begin{pmatrix} -1 & 0 \\ M(a, a'_3, \frac{aa'_3}{2}) & 1 \end{pmatrix} \begin{pmatrix} -1 & 0 \\ M(0, a'_3 - a'_2, 0) & 1 \end{pmatrix}.$$

Multiply the first two matrices, then the last two matrices. This gives

$$\begin{pmatrix} 1 & 0 \\ M(a, a'_2, \frac{aa'_2}{2}) & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ M(-a, -a'_2, \frac{aa'_2}{2}) & 1 \end{pmatrix}.$$

Conclude the lift invariant value is $aa'_3/2 + aa'_3/2 - aa'_2 = a(a'_3 - a'_2)$, an element in $(\mathbb{Z}/\ell^{k+1})^*$ according to the conditions of Lem. 4.2. Lem. 4.3 gives the normalizer of $G_{\ell, k, 2_2}$ as $\text{GL}_2(\mathbb{Z}/\ell^{k+1})$. Its action on Nielsen class elements satisfying the condition of fixing \mathbf{g}_{sh} allows us to take \mathbf{a}' anything off of \mathbf{a}_{sh} . From the formula for the lift invariant, it clearly takes on all values in $(\mathbb{Z}/\ell^{k+1})^*$, giving the full action, as required by Def. 3.3, of the normalizer. That concludes the proof of (4.11b).

We give the effect of H_4 generators on the 2nd and 3rd entries of $\mathbf{g}_{\mathbf{a}_{\text{sh}}, \mathbf{a}'}$, after conjugating by $\begin{pmatrix} 1 & 0 \\ (0, \frac{a'_3 - a'_2}{2}) & 1 \end{pmatrix}$ to have $\begin{pmatrix} -1 & 0 \\ (0, 0) & 1 \end{pmatrix}$ in the first entry:

$$\begin{aligned} \text{sh} : \mathbf{g}_{\mathbf{a}_{\text{sh}}, \mathbf{a}'} &\rightarrow (\bullet, \begin{pmatrix} -1 & 0 \\ (0, a'_3 - a'_2) & 1 \end{pmatrix}, \begin{pmatrix} -1 & 0 \\ (-a, a'_3 - 2a'_2) & 1 \end{pmatrix}, \bullet) \\ q_2 : \mathbf{g}_{\mathbf{a}_{\text{sh}}, \mathbf{a}'} &\rightarrow (\bullet, \begin{pmatrix} -1 & 0 \\ 2(a, a'_2) - (-a, -a'_3) & 1 \end{pmatrix}, \begin{pmatrix} -1 & 0 \\ (a, a'_2) & 1 \end{pmatrix}, \bullet). \end{aligned} \tag{4.13}$$

That is, sh is represented by $\begin{pmatrix} -1 & -2 \\ 1 & 1 \end{pmatrix}$ and q_2 is represented by $\begin{pmatrix} 2 & 1 \\ -1 & 0 \end{pmatrix}$. The square of $\begin{pmatrix} -1 & -2 \\ 1 & 1 \end{pmatrix}$ is $-I_2$. Multiply $q_1 q_2 q_1 = q_2 q_1 q_2$ by q_2^{-1} to get $q_1 q_2$. That acts as

$$\begin{pmatrix} -1 & -2 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} 2 & 1 \\ -1 & 0 \end{pmatrix}^{-1} = \begin{pmatrix} -2 & -3 \\ 1 & 1 \end{pmatrix}.$$

Check this has order 3. Therefore, elements of respective orders 3 and 2, independent of ℓ , represent the actions of γ_0 and sh .

So, as expected, they give generators for $\text{SL}_2(\mathbb{Z}/\ell^{k+1})$ and thereby give (4.12a) and geometric monodromy statements of the rest of (4.12). The arithmetic monodromy statements of (4.12b) and (4.12c) are a special case of Cor. 3.5 applied to this case of a cyclic Schur multiplier. That concludes the proof. \square

4.2. Absolute vs Inner spaces when $G = A_n$. §4.2.1 considers the spaces $\mathcal{H}(G, \mathbf{C})^{\text{abs}}$ with $G = A_n$, T the standard representation and \mathbf{C} consisting of $2'$ conjugacy classes (elements of odd order). The Schur multiplier is well known to be $\mathbb{Z}/2$, for $n \geq 4$, and its presence is graphically clear from the covering $\text{SL}_2(\mathbb{F}_4) \rightarrow \text{PSL}_2(\mathbb{F}_4) = A_5$ as below (4.2). The situation in applying Thm. 1.21 simplifies: $N_{S_n}(A_n) = S_n$, so $N_K/A_n = \mathbb{Z}/2$ and this will have trivial orbits on any lift invariants. Def. 1.25 is very simple in this case: Two braid orbits are Schur-separated if they respectively have lift invariants 0 and 1. The biggest issues are these:

(4.14a) Are all components Schur-separated (1.9)?

(4.14b) If not (4.14a), are there above a Hurwitz space component $\mathcal{H}' \leq \mathcal{H}(A_n, \mathbf{C})^{\text{abs}}$ two components $\mathcal{H}_j \leq \mathcal{H}(A_n, \mathbf{C})^{\text{in}}$, $j = 1, 2$, so conjugate by S_n/A_n .

With high multiplicity in \mathbf{C} (Def. 1.4), then (1.7) says there are precisely two (inner or absolute) components. Yet, when absolute covers have genus 0 (so they don't have high multiplicity), we never achieve both lift invariants.

Thm. 4.10 lists results that start with precursors from Fried, Liu-Osserman and Serre. §4.2.2 analyzes what happens with the inner spaces corresponding to the Nielsen class hypotheses of the results above, where the absolute spaces have one component. In the case of two components, determining the moduli definition field extension of \mathbb{Q} of these components can be described using discriminants of specific covers in the corresponding absolute classes (Rem. 4.18).

Prop. 4.17 uses special Liu-Osserman Nielsen classes to give examples of nontrivial Modular Towers generalizing the main example of [BFr02]. This relates the main theme of this paper to identifying this special case of (1.12):

(4.15) where would you find *any* \mathbb{Q} regular realizations
of the characteristic 2-Frattini covers of A_n .

Remark 4.5 (Being explicit about (4.15)). Suppose for some $n \equiv 1 \pmod{4}$, we could realize all the regular realizations of (4.15) with a uniform bound, B_n on the number of their branch points. A special case of [FrK97, Thm. 4.4] says there is a **MT** with each of those regular realizations corresponding to a \mathbb{Q} point on that tower.

The Main **MT** conjecture [FrK97, Main Conjecture 1.4], though, says this is not possible, a conjecture generalizing Mazur's Theorem on \mathbb{Q} points on modular curves, a consequence compatible with generalizing Falting's Theorem. No one has regularly realized even A_5 and the exponent 2, 2-Frattini cover ${}_2\psi_5 : {}_2\bar{A}_5 \rightarrow A_5$ (with kernel $(\mathbb{Z}/2)^5$) described in [Fr95, Prop. 2.4].⁵¹

⁵¹As special cases of general results, ${}_2\bar{A}_5$ is centerless and $\ker({}_2\psi_5)$ is indecomposable [FrK97, Lem. 2.4].

4.2.1. $G = A_n$ and absolute spaces. For a conjugacy class C , indicate its cycle type by (u_1, \dots, u_t) .

Example 4.6. (4.16) summarizes the main example of [Fr10] with $(G, C) = (A_n, C_{3^r})$, r repetitions of 3-cycle classes, $n \geq 5$.⁵²

(4.16a) Applying (1.18b), for any $h \in S_n$ there is a braid from g to hgh^{-1} if and only if there is such a braid for one case of $h \in S_n \setminus A_n$.

(4.16b) If (4.16a) holds, $\text{Ni}(A_n, C)^{\text{abs}}$ and $\text{Ni}(A_n, C)^{\text{in}}$ both have only one braid orbit.

(4.16c) (4.16b) holds for $r = n-1$ on $\text{Ni}(A_n, C_{3^r})^\dagger$, $\dagger = \text{abs}$ or in .⁵³

(4.16d) With $r \geq n$, (4.16c) holds by replacing “one braid orbit” with exactly “two Schur-separated (braid) orbits” on $\text{Ni}(A_n, C_{3^r})^\dagger$, $n \geq 5$.

[Se90] or [Fr10, Cor. 2.3] gives the circumstance of the initial collaboration between the author and Serre; giving the lift invariance formula of Thm. 4.9. \triangle

In our usual notation $\text{Ni}(G, C, T)$, refer to a conjugacy class in $G \leq S_n$ as *pure-cycle* if its elements have only one cycle of length exceeding one under the representation T .

Definition 4.7. [Wm73]: If a non-cyclic G is primitive and contains a pure-cycle, then G is A_n or S_n . An element $g = (u_1, \dots, u_t) \in G$ defines the collection of pure cycles C_1, \dots, C_t in the group, G_{pu} , generated by all disjoint cycles in elements of C . Refer to $\text{Ni}(G, C)^{\text{abs}}$ as *pure-cycle* if all conjugacy classes are pure-cycle and C as odd-cycle, if all $g \in C$ have odd order.

Lemma 4.8. Given $\text{Ni}(A_n, C)^{\text{abs}}$, there is a canonical pure-cycle Nielsen class, $\text{Ni}(G_{\text{pu}}, C_{\text{pu}})^{\text{abs}}$ attached to it in the group G_{pu} generated by the pure cycles of elements $g \in C$.

Then, $G_{\text{pu}} = A_n$ if and only C is odd-cycle. For $G_{\text{pu}} = A_n$, covers in $\text{Ni}(A_n, C_{\text{pu}})^{\text{abs}}$ and in $\text{Ni}(A_n, C)^{\text{abs}}$ have the same genus. Lift invariants of $\text{Ni}(A_n, C_{\text{pu}})^{\text{abs}}$ contain those of $\text{Ni}(A_n, C)^{\text{abs}}$.

Proof. Given disjoint cycle notation for $g = (u_1) \dots (u_t) \in G$, define the pure cycle classes associated to g as C_1, \dots, C_t . Applying Riemann-Hurwitz, the genus for the two Nielsen classes is the same; the non-zero contributions to the genus, in both cases, run over disjoint cycles, and those are the same for elements in the respective Nielsen classes.

If C is not odd-cycle, then there is $g \in C$ containing an even pure-cycle, and that would give an element in C_{pu} that is not in A_n . Thus, G_{pu} must be S_n . This leaves considering $g \in \text{Ni}(A_n, C)^{\text{abs}}$, with lift invariant s_g , whether we can realize that lift invariant in $\text{Ni}(G_{\text{pu}}, C_{\text{pu}})^{\text{abs}}$.

For g as above, consider the commuting elements – by abuse denoted as above (u_i) – and their respective lifts $\widetilde{(u_i)}$. Since the classes are $2'$, there is a unique lift to \tilde{A}_n , as there is for any of the

⁵²It also does the boundary examples $r = n-1$ and $n = 4$.

⁵³For $g \in C_{3^r}$ it is not necessary to include that $g = A_n$, just that $\langle g \rangle$ is transitive.

products $\widetilde{(u_i)}\widetilde{(u_{i+1})}$. Therefore this is $\widetilde{(u_1)}\widetilde{(u_2)}$. So, inductively replacing the lift of an entry g in \mathbf{g} by the product of the lifts of its disjoint cycles doesn't change the lift invariant.⁵⁴ \square

For odd order $g \in A_n$, $n \geq 3$, denote the count of length u disjoint cycles in g with

$$\frac{(u-1)^2}{8} \equiv 1 \text{ or } 2 \pmod{4} \text{ by } w(g).$$

For $g \in A_n$ of odd order, let $\omega(g)$ be the sum $\sum \frac{u^2-1}{8} \pmod{2}$ running of the lengths u of the disjoint cycles of g . The two proofs of Thm. 4.9 tie together the referenced articles.

Theorem 4.9. *Assume \mathbf{C} is odd-cycle. For $n \geq 3$, and any $\mathbf{g} \in \text{Ni}(A_n, \mathbf{C})^{\text{abs}}$ of genus 0,*

$$s_{\mathbf{g}} = \sum_{i=1}^r (-1)^{\omega(g_i)}; s_{\mathbf{g}} \text{ is constant on the Nielsen class.}$$

Example: For $\varphi : X \rightarrow \mathbb{P}^1$ in $\text{Ni}(A_n, \mathbf{C}_{3^{n-1}})^{\text{abs}}$, then X has genus 0, and $s_{\varphi} = n-1 \pmod{2}$.

Proof. References at the end of Ex. 4.6 give one proof of the lift invariant result. [Fr10, Cor. 2.3] gives a short proof of [Se90], reverting it to the example (original) above case, $\text{Ni}(A_n, \mathbf{C}_{n-1})^{\text{abs}}$.

Here is a 2nd proof. Assuming genus 0 for pure-cycle \mathbf{C}_{pu} , [LO08] says $\text{Ni}(A_n, \mathbf{C}_{\text{pu}})^{\text{abs}}$ has one component. Thus, running over $\mathbf{g} \in \text{Ni}(A_n, \mathbf{C}_{\text{pu}})^{\text{abs}}$ the lift invariant has only one value. From Lem. 4.8, the lift invariant has only one value running over $\mathbf{g} \in \text{Ni}(G, \mathbf{C})$. \square

The failure of Schur-separation of all components (4.14a) as reverting to the pure-cycle case in Thm. 4.10 generalizes. Cor. 4.10 follows almost immediately from Lem. 4.8 and the second proof of Thm. 4.9. Rem. 4.12 adds comments for where to look – in these Nielsen classes – for its failure.

Corollary 4.10. *Assume genus 0 for Nielsen class absolute covers and \mathbf{C} is odd-cycle. Then, $\mathcal{H}(A_n, \mathbf{C})^{\text{abs}}$ has exactly one component if and only if Schur-separation holds.*

Now consider the same hypotheses without the genus 0 assumption. Denote by $\text{Ni}(G, \mathbf{C})_k^{\text{abs}}$ the elements \mathbf{g} with $s_{\mathbf{g}} = u$, $u \in \mathbb{Z}/2$. There is one braid orbit on $\text{Ni}(G, \mathbf{C})_k^{\text{abs}}$, if and only if no other orbit has lift invariant u .

If the Schur-Separation fails above, then it fails for the pure-cycle \mathbf{C}_{pu} associated to \mathbf{C} . From (4.16d), it does not fail for any \mathbf{C} for which \mathbf{C}_{pu} is \mathbf{C}_{3^r} for some r .

Ex. 4.11 gives a Nielsen class of covers of genus > 0 having just one value of the lift invariant for $G = A_n$ and \mathbf{C} odd-cycle.

⁵⁴This isn't the correct calculation if $G_{\text{pu}} = S_n$.

Example 4.11. The lift invariant given below comes from [BFr02, Princ. 5.15]. There are two 5-cycle conjugacy classes in A_5 , which we denote C_{+5} and C_{-5} . The notation \mathbf{C}_{+5-5-3} adds the class of a 3-cycle to this. Covers in the Nielsen class $\text{Ni}(A_5, \mathbf{C}_{+5-5-3})^{\text{abs}}$ have genus \mathbf{g}_{553} given by

$$2(5 + \mathbf{g}_{553} - 1) = 4 + 4 + 2 = 10, \text{ or } \mathbf{g}_{553} = 1.$$

For each ordering of the conjugacy classes \mathbf{C}_{5+5-3} , the nielsen class $\text{Ni}(A_5, \mathbf{C}_{5+5-3})^{\text{in}}$ has exactly one element, for a total of six elements. All representatives \mathbf{g} have $s_{\mathbf{g}} = 1$. Note that by including both C_{5-} and C_{5+} this makes \mathbf{C} a rational union of classes (Def. 2.18). \triangle

Remark 4.12 (Pure-cycle failure?). In the first paragraph of Cor. 4.10, the Nielsen class assumes only one value. So if Schur-Separation holds, then there is only one braid component, etc. Using [LO08] and Lem. 4.8, in this case, Schur-Separation must hold. The argument of the lemma, though, didn't use genus 0. In the second paragraph, the only lift values are in $\mathbb{Z}/2$, and we can therefore separate the braid orbits according to those with a given lift value.

The second proof of Thm. 4.9 applies, but the strong conclusion does not, since [LO08] did not prove a result that used the value of the lift invariant in place of the genus 0 condition. In private conversation, Brian Osserman didn't realize that formulating Schur-separation didn't require Galois covers. I told him a Schur-separation version of Lem. 1.15.

Remark 4.13 (Liu-Osserman on S_n). Liu-Osserman considered all pure-cycle Nielsen classes, including $G = S_n$. That works as above, except it doesn't have the possibility of a non-trivial lift value, nor the outer automorphism. I left it out, as a less interesting case of Thm. 1.21.

4.2.2. A_n and inner spaces. This subsection is dedicated to $G = A_n$, T the standard degree n rep. and odd-cycle covers, in search of automorphism-separated components on $\text{Ni}(A_n, \mathbf{C})^{\text{in}}$. That is, we take up “the top” of Thm. 1.21 where we already know the components of $\mathcal{H}(A_n, \mathbf{C})^{\text{abs}}$ and the question reverts to whether we can braid, α , an outer automorphism from S_n on $\text{Ni}(A_n, \mathbf{C})^{\text{in}}$. According to (4.16a), for this question we can take α any 2-cycle in S_n . Lem. 4.14 says finding these reverts to the case of pure-cycle Nielsen classes.

Lemma 4.14. *As above, if you can braid the outer automorphism on $\text{Ni}(A_n, \mathbf{C})^{\text{in}}$, then you can braid it on $\text{Ni}(A_n, \mathbf{C}_{\text{pu}})^{\text{in}}$. For example, if covers in $\text{Ni}(A_n, \mathbf{C})^{\text{abs}}$ have genus 0, then either $\text{Ni}(A_n, \mathbf{C})^{\text{in}}$ has one component or two automorphism-separated components. Indeed, when absolute covers have genus 0, and r is even, it suffices to consider whether we can braid between the two **HM** reps. For example, with $g_1 = (\frac{n+1}{2} \dots 21)$ and $g_3 = (\frac{n+1}{2} \frac{n+3}{2} \dots n)$, can we braid between $\mathbf{HM}_1 = (g_1, g_1^{-1}, g_3, g_3^{-1})$ and $\mathbf{HM}_2 = (g'_1, (g'_1)^{-1}, g'_3, (g'_3)^{-1})$ with $g'_i = (1 \ n)g_i(1 \ n)$.*

Proof. Use the canonical association of $\mathbf{g} \in \text{Ni}(A_n, \mathbf{C})^{\text{in}}$ and assume for $\alpha \in S_n \setminus A_n$, $(\mathbf{g})\alpha = (\mathbf{g})q$ for $q \in H_r$. Use the fact that the actions of q and conjugating by α commute, and also with the \mathbf{pu} substitution. By leaving the disjoint cycles in place after the replacement $\mathbf{g} \mapsto \mathbf{g}_{\mathbf{pu}}$ as in the proof of Lem. 4.8, find $q_{\mathbf{pu}}$ for which $(\mathbf{g}_{\mathbf{pu}})\alpha = (\mathbf{g}_{\mathbf{pu}})q_{\mathbf{pu}}$. For the last sentence, apply Lem. 4.8 and [LO08]. \square

Prop. 4.15 details what happens with examples of this section. It is elementary to check when \mathbf{C} is a rational union (see Ex. 4.11). We already noted the absolute space (in this case, and so the inner (2.2b)) has fine moduli.

Proposition 4.15. *Assume Schur-Separation holds for odd-cycle $\text{Ni}(A, \mathbf{C})^{\text{abs}}$. With \mathbf{C} a rational union, consider the absolute-inner Hurwitz space cover*

$$\Phi_{\text{abs}, \text{in}} : \mathcal{H}(A_n, \mathbf{C})^{\text{in}} \rightarrow \mathcal{H}(A_n, \mathbf{C})^{\text{abs}}.$$

From Cor. 4.10, $\mathcal{H}(A_n, \mathbf{C})^{\text{abs}}$ has one (resp. 2) absolutely irreducible components according to the lift invariant is 0 (resp. 1) assumed on the corresponding braid orbit Ni_k , $k = 0, 1$, on $\text{Ni}(A_n, \mathbf{C})^{\text{abs}}$. In either case, components with their configuration maps have moduli definition field \mathbb{Q} and

$$\mathbf{p}^{\text{abs}} \in \mathcal{H}(A_n, \mathbf{C})^{\text{abs}} \text{ represents a cover } \varphi_{\mathbf{p}^{\text{abs}}} : X_{\mathbf{p}^{\text{abs}}} \rightarrow \mathbb{P}_z^1, \text{ defined over } \mathbb{Q}(\mathbf{p}^{\text{abs}}).$$

Suppose, vis-a-vis $\Phi_{\text{abs}, \text{in}}$, \mathcal{H}'' is the pullback of a component, $\mathcal{H}' \subset \mathcal{H}(A_n, \mathbf{C})^{\text{abs}}$. Since $N_{S_n}(A_n)/\text{Inn}(G) = \mathbb{Z}/2$ generated by any element of $S_n \setminus A_n$, (1.24) gives this. Either:

(4.17a) \mathcal{H}'' is absolutely irreducible and restriction of $\Phi_{\text{abs}, \text{in}}$ is Galois with group $\mathbb{Z}/2$; or

(4.17b) \mathcal{H}'' consists of two absolutely irreducible components, \mathcal{H}_1'' and \mathcal{H}_2'' , both with moduli definition field K/\mathbb{Q} , $[K : \mathbb{Q}] \leq 2$.

In case (4.17a), there is a Zariski dense subset of $\mathbf{p}' \in \mathcal{H}'(\bar{\mathbb{Q}})$ for which the cover $X_{\mathbf{p}'} \rightarrow \mathbb{P}_x^1$ has arithmetic Galois closure S_n over $\mathbb{Q}(\mathbf{p}')$.

For (4.17b), whatever is K , the discriminant of a cover $\mathbf{p}' \in \mathcal{H}(A_n, \mathbf{C})'(\bar{\mathbb{Q}})$ is a square in $K(\mathbf{p}')$. So, if $K = \mathbb{Q}$ and \mathbf{p}' has coordinates in \mathbb{Q} , then the discriminant of \mathbf{p}' is a square in \mathbb{Q} .

Proof. The statement on representation of the cover $\varphi_{\mathbf{p}^{\text{abs}}}$ over $\mathbb{Q}(\mathbf{p}^{\text{abs}})$ is from (2.4). From Cor. 2.27, either $\mathcal{H}(A_n, \mathbf{C})^{\text{in}}$ has two components, or $\Phi_{\text{abs}, \text{in}}$ is Galois with group $\mathbb{Z}/2$. Since $n \geq 4$, the normalizer of $A_n(1)$ in A_n is just $A_n(1)$ and both $\mathcal{H}(A_n, \mathbf{C})^{\dagger}$, $\dagger = \text{in or abs}$ have fine moduli as in Prop. 2.3. From Thm. BCL 2.20, in case (4.17a), since \mathcal{H}'' is absolutely irreducible, its moduli definition field is \mathbb{Q} ; in case (4.17b) the components are either permuted among each other, or they both have moduli definition field \mathbb{Q} .

Suppose (4.17a) holds. Then, Hilbert's irreducibility theorem says the density is 1 (for essentially any density) of \mathbf{p} for which the cover over \mathbf{p} has arithmetic monodromy S_n by the definition of $\mathcal{H}(A_n, \mathbf{C})^{\text{in}}$ in this case. The statement on the discriminant is from algebraic number theory. When an extension is geometrically A_n , the discriminant tells you whether it is arithmetically S_n by whether its square root extends the definition field. \square

Example 4.16 (Ex. 4.6 continued). [BFr02, §2.10.1, Table 2] uses the **sh-incidence matrix** for $\text{Ni}(A_5, \mathbf{C}_{3^4})^{\dagger, \text{rd}}$ with $\dagger = \text{abs}$ and in . From this, we read off the cusps and genus of a cover. [Fr20, Prop. 2.19] does the same for $\text{Ni}(A_4, \mathbf{C}_{\pm 3^2})$, which is, for $\ell = 2$, our main example, as in Prop. 3.33: two components, Schur separated, and both components at level 0 have genus 0. §4.4.1 reminds of the **sh-incidence matrix** and applies it for the main example of this paper. \triangle

Applications required a precise (and somewhat long) version of the construction of Nielsen classes representatives in Prop. 4.17. So we left it to [Fr25], but indicate in the proof below examples of where an easy construction gives many **MT**s.

Proposition 4.17. *Let $\mathbf{d} = d_1, \dots, d_r$, $r \geq 3$, with $\text{Ni}_{\mathbf{d}}^{\text{abs}}$ a Nielsen class of odd pure-cycle genus 0 covers. Then, $G = A_n$, $n \geq 4$. For $\ell = 2$, there is a (nonempty) abelianized **MT** above any component of $\mathcal{H}(A_n, \mathbf{C}_{\mathbf{d}})^{\text{in}}$ if and only if*

$$(4.18) \quad \sum_{i=1}^r \frac{o(g_i)^2 - 1}{8} \equiv 0 \pmod{2}.$$

*For $\ell \neq 2$, there is always an abelianized **MT** above any component of $\mathcal{H}(A_n, \mathbf{C}_{\mathbf{d}})^{\text{in}}$.*

*If the d_i s are equal in pairs, there is always (irrespective of ℓ) a (full — not abelianized) **MT** over any component of $\mathcal{H}(A_n, \mathbf{C}_{\mathbf{d}})^{\text{in}}$.*

Proof. Appearances of alternating groups come from [Wm73], whose hypotheses [LO08, Thm. 5.3]) imply a noncyclic, transitive subgroup G of A_n , generated by odd pure-cycles must be A_n , $n \geq 4$. If we exclude that G is cyclic, then $G = A_n$, $n \geq 4$, in any such Nielsen class. If, however, $G = \langle h \rangle$, then transitivity implies h is an n -cycle. Apply the pure-cycle and genus 0 conditions. Conclude: all g_i s are invertible powers of h . By RH: $2(n-1) = r(n-1)$, $r = 2$, contrary to hypothesis.

Why $\text{Ni}(A_n, \mathbf{C}_{\mathbf{d}})$ is nonempty: For $r = 3$ and $\mathbf{g}_{e_1 \cdot e_2 \cdot e_3} = 0$, there is a unique

$$\mathbf{g} \in \text{Ni}(G, \mathbf{C}_{e_1 \cdot e_2 \cdot e_3})^{\text{abs}} \text{ with } \text{ord}(g_i) = e_i, i = 1, 2, 3.$$

[Fr25, Princ. 4.9] constructs Nielsen class reps., for all \mathbf{d} satisfying the conditions above for $r = 4$, it also notes their easy construction when the d_i s are equal in pairs through **HM** reps. Then, and outside that case, it constructs special representatives having *split-cycle cusps*.

The Schur multiplier for A_n is $\mathbb{Z}/2$. From Thm. 4.9, the left side of (4.18) is the value of the lift invariant for $\ell = 2$, and the lift invariant is trivial for $\ell \neq 2$. So, Prop. 3.13 says (4.18) gives an abelianized **MT** for $\ell = 2$ over a trivial lift invariant braid orbit of $\text{Ni}(A_n, \mathbf{C_d})$, and such a **MT** always exists when $\ell \neq 2$. \square

Remark 4.18 (A_n component issues). [Fr25, §3.4] does this in the case of A_n with $n \equiv 1 \pmod{4}$ and \mathbf{C} consists of four $\frac{n+1}{2}$ cycles. With notation from (4.17), the hardest [LO08] case, toward finding their absolute Hurwitz spaces had one component, was $\mathcal{H}(A_n, \mathbf{C}_{(\frac{n+1}{2})^4})^{\text{abs}}$, $n \equiv 1 \pmod{4}$.

[Fr25] extends their paper to the inner case: is the moduli definition field \mathbb{Q} or a quadratic extension of \mathbb{Q} ? This reverts to a property of an explicitly constructed function $f_n : \mathbb{P}_x^1 \rightarrow \mathbb{P}_z^1$ in the absolute class, mapping $\{0, \infty, \pm 1\} \rightarrow \{0, \infty, \pm 1\}$: Is the discriminant of f_n a square in \mathbb{Q} ? Note: We can compositionally iterate the f_n s.

4.3. A Nielsen class for $(\mathbb{Z}/\ell^{k+1})^2 \times^s \mathbb{Z}/3 = G_{\ell,k,3}$, $k \geq 0$. As for $G_{\ell,k,2_2}$ in §4.1, $G_{\ell,k,3}$ is solvable. Here, $\mathbf{C} = \mathbf{C}_{\pm 3^2}$, two repetitions each of the order 3 classes in $\mathbb{Z}/3$; $\mathbb{Z}/3$ acts by taking $A^* = \begin{pmatrix} 0 & -1 \\ 1 & -1 \end{pmatrix} = \zeta_3 = e^{2\pi i/3}$ acting on $\mathbb{Z}^2 = \mathcal{O}_K$ – left action as in linear algebra classes – the algebraic integers of $\mathbb{Z}[\zeta_3]$ on the right. Reducing $\pmod{\ell^{k+1}}$, A^* on $V = \langle \mathbf{v}_1 = \zeta_3, \mathbf{v}_2 = \zeta_3^2 \rangle \otimes \mathbb{Z}$. In matrix multiplication notation: $\text{tr} = \text{transpose}$ turns a one-row vector to a one-column matrix:⁵⁵

$$(4.19) \quad \begin{aligned} A^* \mathbf{v}_1 &= A^* (1 \ 0)^{\text{tr}} = (0 \ 1)^{\text{tr}} = \mathbf{v}_2 \text{ and} \\ A^* \mathbf{v}_2^{\text{tr}} &= -\mathbf{v}_1^{\text{tr}} - \mathbf{v}_2^{\text{tr}} = (-1, -1)^{\text{tr}} \text{ from } \zeta_3^2 \cdot \zeta_3 = 1 = -\zeta_3 - \zeta_3^2. \end{aligned}$$

The representation T is on the cosets of $\mathbb{Z}/3 = \{((0, 0), \mathbb{Z}/3)\}$ in $G_{\ell,k,3}$. §4.3.1 shows the Schur multiplier of $G_{\ell,k,3}$ is nontrivial: giving an ℓ -Frattini extension of the group with \mathbb{Z}/ℓ^{k+1} kernel in the center of the extension. It is, therefore, superficially similar to the OIT example of §4.1, but the ℓ -Sylow of the restriction of its *representation cover* is not $\mathbb{H}_{\ell,k}$.

While the lift invariant is our main separator of components, for some Hurwitz spaces there can be more obvious geometric reasons why a Hurwitz space's components are dealt with in separate collections. §4.3.2 collects components in a subspace, $\mathcal{H}_{\mathbf{HM-DI}}$, where the components (all reduced Hurwitz spaces of dimension 1) have compactifications over \mathbb{P}_j^1 with a cusp – over $j = \infty$ – of width 1 (Lem. 4.23). These are of two such cusp types (**HM** and **DI** as in (4.31)).⁵⁶ Ex. 4.24 explains the related main example of [Fr95] which led to the name **HM** (Harbater-Mumford).

Then, §4.3.3 (Lem. 4.26) computes the lift invariants of the components in $\mathcal{H}_{\mathbf{HM-DI}}$ achieving all possible values in \mathbb{Z}/ℓ^{k+1} . Following Thm. 1.21 (rubric Rem. 2.28), we list absolute components,

⁵⁵This notation matches how elements in the Nielsen class multiply. The $\mathbb{Z}/3$ action descends from an action on the free group on two generators,

⁵⁶We decided not to deal in this paper with whether there are other components, since these components provide all the lessons on lift invariants we could handle.

first from lift invariant values and then including the separation between **HM** and **DI** components, followed by listing the automorphism-separated components above each absolute component.

Lem. 4.26 (§4.3.3) computes the lift invariant for Nielsen classes corresponding to components in $\mathcal{H}_{\mathbf{HM}-\mathbf{DI}}(\ell \neq 3)$. The formula is explicit. At level k , Those in $(\mathbb{Z}/\ell^{k+1})^*$ are **DI** orbits; **HM** orbits have lift invariant 0, but so, too, do some **DI** orbits. (4.20) gives the genres of the covers in two of the relevant families.

(4.20a) $\mathcal{H}(\mathbb{Z}/3, \mathbf{C}_{\pm 3^2})^{\text{in}}$ has covers of genus $\mathbf{g}_{\mathbb{Z}/3, \text{in}} = 2$: $2(3 + \mathbf{g}_{\mathbb{Z}/3, \text{in}} - 1) = 4 \cdot 2$.

(4.20b) $\mathcal{H}(G_{\ell, k}, \mathbf{C}_{\pm 3^2})^{\text{abs}}$ has covers of genus $\mathbf{g}_{G_{\ell, k}, \text{abs}}$:

$$2((\ell^{k+1})^2 + \mathbf{g}_{G_{\ell, k}, \text{abs}} - 1) = 4 \cdot 2 \frac{((\ell^{k+1})^2 - 1)}{3} \text{ or } \mathbf{g}_{G_{\ell, k}, \text{abs}} = \frac{\ell^{2(k+1)} - 1}{3}.$$

Remark 4.19. Action of $\mathbb{F}_\ell[\mathbb{Z}/3]$ on $V_{\ell, 0}$ has two 1-dimensional subspaces if and only if $x^2 + x + 1$ – irreducible for $\ell = 2$ – is reducible. For $\ell \neq 2$, this is equivalent to $x^2 + 3$ is reducible: equivalent to -3 is a square mod ℓ . (4.21) applies quadratic reciprocity: $(\frac{3}{\ell})(\frac{\ell}{3}) = (-1)^{(\frac{3-1}{2})(\frac{\ell-1}{2})}$.

(4.21a) either -1 and 3 are both squares mod $\ell \Leftrightarrow \ell \equiv 1 \pmod{4}$ and $1 \pmod{3}$, or

(4.21b) neither -1 nor 3 are squares mod $\ell \Leftrightarrow \ell \equiv 3 \pmod{4}$ and $1 \pmod{3}$.

These conclusions from quadratic reciprocity imply -3 is a square mod ℓ , $\Leftrightarrow \ell \equiv 1 \pmod{3}$.

4.3.1. *The Schur multiplier of $G_{\ell, k, 3}$.* We use the matrix multiplication indicated in (4.3). An element $\mathbf{v} \in V_{\ell, k} = (\mathbb{Z}/\ell^{k+1})^2$ is represented by $\begin{pmatrix} 1 & 0 \\ \mathbf{v} & 1 \end{pmatrix}$, α by $\begin{pmatrix} \alpha & 0 \\ \mathbf{0} & 1 \end{pmatrix}$ with $\mathbf{0} = (0, 0) \in V_{\ell, k}$ with the conjugacy classes of α in $V_{\ell, k} \times {}^s\mathbb{Z}/3$ the set $C_+ = \{ \begin{pmatrix} \alpha & 0 \\ \mathbf{v}\alpha - \mathbf{v} & 1 \end{pmatrix} \stackrel{\text{def}}{=} \mathbf{v}\alpha \mid \mathbf{v} \in V_{\ell, k} \}$ compatible with matrix multiplication and the notation for the **OIT** group in §4.1.2.

Definition 4.20. Refer to $\mathbf{v} \in V_{\ell, k}$ as an α -generator if $\langle \alpha, \mathbf{v} \rangle = V_{\ell, k} \times {}^s\mathbb{Z}/3$.

Denote $\mathbb{H}_{\ell, k, 2}$ for $\mathbb{H}_{\ell, k}$ in (4.4) to indicate the representation cover with a $\mathbb{Z}/2$ action on it.

Lemma 4.21. *There is no extension of the action of α to $\mathbb{H}_{\ell, k, 2}$ to produce a central extension of $G_{\ell, k, 3}$. Still, there is a central extension, $\mathbb{H}_{\ell, k, 3} \rightarrow V_{\ell, k}$, with kernel \mathbb{Z}/ℓ^{k+1} on which $\mathbb{Z}/3$ acts, producing the universal central extension $\mathbb{H}_{\ell, k, 3} \times {}^s\mathbb{Z}/3 \rightarrow G_{\ell, k, 3}$.*

Proof. Try extending α to the small Heisenberg group acting trivially on the center: substitute $\begin{pmatrix} \alpha & M(a, a', w) \\ 0 & 1 \end{pmatrix}$ for $\begin{pmatrix} -1 & M(a, a', w) \\ 0 & 1 \end{pmatrix}$ in the expression for β in (4.5) to check if

$$(4.22) \quad \alpha \text{ applied to } M(a_1, a'_1, w_1)M(a_2, a'_2, w_2) = {}^\alpha M(a_1, a'_1, w_1) {}^\alpha M(a_2, a'_2, w_2) \text{ or is } \\ \begin{pmatrix} 1 & -a_1 - a_2 - a'_1 - a'_2 & w_1 + w_2 + a_1 a'_2 \\ 0 & 1 & a_1 + a_2 \\ 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & -a_1 - a'_1 & w_1 \\ 0 & 1 & a_1 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & -a_2 - a'_2 & w_2 \\ 0 & 1 & a_2 \\ 0 & 0 & 1 \end{pmatrix}.$$

Result: the upper right-hand positions on the two sides are not generally equal.

Lem. 4.22 gives the extension in the last statement of the Lemma, with the centralizing $\mathbb{Z}/3$ action stated in (4.29b), given in detail in (4.30). \square

We use these basic facts applied to an ℓ -group K , with $|K| > \ell$.

(4.23a) A subgroup of K of index ℓ is automatically normal, and

(4.23b) K contains a subgroup of index ℓ [Ca56, p. 122].

(4.23c) K contains an element $w \neq 1$ in its center [Ca56, p. 68].

Lem. 4.25 gives cases from Lem. 4.22 satisfying the additional assumptions (4.24b) and (4.24c).

(4.24a) The nontrivial center C of K (4.23c) has order ℓ ;

(4.24b) the homomorphism $K \rightarrow K/C$ is a Frattini cover⁵⁷ with a split, faithful action of an ℓ' -group H on K/C ; and

(4.24c) H extends to $K \times^s H$ acting trivially on C .

Assume (4.24) holds for K . Each group in Lem. 4.22 is a \mathbb{Z}/ℓ extension of $V = \langle a, b \rangle = (\mathbb{Z}/\ell)^2$, distinguished by the orders of generators \dot{a}, \dot{b} of K .

Lemma 4.22. *With ℓ odd, there are three nonisomorphic nonabelian groups of order ℓ^3 . Each has generators \dot{a}, \dot{b} with $\langle w = \dot{a}\dot{b}\dot{a}^{-1}\dot{b}^{-1} \rangle = C$ with these respective properties:*

(4.25a) for $K_{\ell, \ell}$, \dot{a} and \dot{b} have order ℓ ;

(4.25b) for K_{ℓ^2, ℓ^2} , \dot{a} and \dot{b} have order ℓ^2 , $\dot{a}^\ell = \dot{b}^\ell = w$; and

(4.25c) for $K_{\ell^2, \ell}$, \dot{a} (resp. \dot{b}) has order ℓ^2 (resp. ℓ).

There is an ℓ^{k+1} version, $K_{\ell^2, \ell^2, k}$, of K_{ℓ^2, ℓ^2} whose properties we list in (4.29).

Proof. From (4.24b), K contains a normal subgroup, V^* , of order ℓ^2 . The same argument shows V^* is abelian. Also, since K is nonabelian, it has only one subgroup, $\langle w \rangle$, of order ℓ in its center.

If $V^* = \langle \dot{a} \rangle$ is cyclic, its automorphism group is $(\mathbb{Z}/\ell^2)^*$, invertible integers mod ℓ^2 , acting by putting \dot{a} to ℓ' powers. Conjugate V^* by $\dot{b} \in K \setminus V^*$ (of ℓ -power order). Replace \dot{b} by an appropriate ℓ' power to have it act as

$$(4.26) \quad \begin{aligned} \dot{a} \mapsto \dot{b}^{-1}\dot{a}\dot{b} &= \dot{a}^{1+\ell} \text{ giving } K_{**} = \langle \dot{a}, \dot{b} \mid \dot{a}^\ell = w \rangle; \text{ and} \\ &\text{from } \dot{b}^{-1}\dot{a}\dot{b}\dot{a}^{-1} = w, \dot{a}\dot{b}\dot{a}^{-1} = \dot{b}w. \end{aligned}$$

There are two cases with $V_{\dot{b}} \stackrel{\text{def}}{=} \langle \dot{b} \rangle$.

(4.27a) $K_{**} = K_{\ell^2, \ell^2}$: For $\text{ord}(\dot{b}) = \ell^2$, $V_{\dot{b}} \triangleleft K_{**}$ and $V \cap V_{\dot{b}} = \langle w \rangle$.

(4.27b) $K_{**} = K_{\ell^2, \ell}$: $\text{ord}(\dot{b}) = \ell$, and $V_{\dot{b}}$ is not normal.

⁵⁷From (4.24a) this is automatic.

We do the case $K_{**} = K_{\ell^2, \ell^2}$, leaving $K_{\ell^2, \ell}$ to the reader. Each element in the group has the form $\dot{a}^m \dot{b}^n w^u$ using that w centralizes; then reduce $m, n, u \bmod \ell$.

(4.28a) For example, we can always write $\dot{a}^m \dot{b}^n$ as $\dot{a}^{m'} \dot{b}^{n'} w^u$ with $m \equiv m', n \equiv n' \bmod \ell$.

(4.28b) Replace $\dot{b}^n \dot{a}^m$ by $\dot{a}^m \dot{b}^n w^{-m \cdot n}$ using $\dot{b} \dot{a} = \dot{a} \dot{b} w^{-1}$, applying (4.28a) when necessary.

This multiplication is associative since it doesn't depend on where you might put ()s, but only on the cardinality of \dot{a} s to the right of \dot{b} s.

We already have $K_{\ell, \ell}$ as the small Heisenberg group of §4.4. Here is a list of the K_{ℓ^2, ℓ^2} generalization, to level k :

(4.29a) If fits in the short exact sequence

$$\langle w_k \rangle \stackrel{\text{def}}{=} \mathbb{Z}/\ell^{k+1} \rightarrow K_{\ell^2, \ell^2, k} \stackrel{\text{def}}{=} \langle \dot{a}_k, \dot{b}_k \rangle \xrightarrow{\psi_k} (\mathbb{Z}/\ell^{k+1})^2, \text{ as an } \ell\text{-Frattini cover of } (\mathbb{Z}/\ell^{k+1})^2;$$

(4.29b) with a $\mathbb{Z}/3$ action that centralizes $\ker(\psi_k)$, extending the $\mathbb{Z}/3$ action for $k-1$, etc.

(4.29c) In the exponent condition in (4.28a) replace $\bmod \ell$ with $\bmod \ell^{k+1}$.

Here is the $\mathbb{Z}/3$ action of (4.29b); we use \dot{a}, \dot{b}, u , but it works for these generators with the k subscripts as well. As in (4.19), $\langle \alpha \rangle = \mathbb{Z}/3$; α acts on $V_{\ell, k} = \langle a, b \rangle$: $a \mapsto b$ and $b \mapsto -a-b$. With \dot{a} and \dot{b} respective generators of $K_{\ell^2, \ell^2, k}$ lying over a and b , use multiplicative notation.

(4.30a) Extend α (resp. α^{-1}) by $(\dot{a}, \dot{b}) \mapsto (\dot{b}, \dot{b}^{-1} \dot{a}^{-1} = (\dot{a} \dot{b})^{-1})$ (resp. $((\dot{a} \dot{b})^{-1}, \dot{a})$).

(4.30b) Then $(\dot{a}, \dot{b}) \mapsto (\dot{b}, \dot{b}^{-1} \dot{a}^{-1})$ has order 3:

$$\begin{aligned} (\dot{a}, \dot{b}) &\xrightarrow{(\alpha)^2} (\dot{b}^{-1} \dot{a}^{-1}, \dot{a}) \xrightarrow{-\alpha} (\dot{a}, \dot{b}); w = aba^{-1}b^{-1} \xrightarrow{-\alpha} b(b^{-1}a^{-1})b^{-1}(ab) \\ &= (ba)^{-1}(ab); \text{ conjugate by } ab \text{ and we are back to } w. \end{aligned}$$

[FrBG] gives universal properties of (4.29) showing it is the universal central extension of $\mathbb{H}_{\ell, k, 3}$. \square

4.3.2. The **HM-DI principle.** The following **HM-DI** principle will simplify computations. Instead of the whole Hurwitz space, consider the union of reduced components containing cusps defined by the following Nielsen class representatives:

(4.31a) An **HM** rep. of form $(g_1, g_1^{-1}, g_3, g_3^{-1})$, $\langle g_1, g_3 \rangle = G$; or

(4.31b) A *double identity*, **DI**, element of form (g_1, g_2, g_1, g_4) satisfying product-one with

$$\langle g_1, g_2, g_4 \rangle = G, \text{ and } g_2, g_4 \in C_-.$$

(4.31c) Apply (2.13b) to $\mathbf{g} = (g_1, g_2, g_3, g_4) \in \mathbf{C}_{\pm 3^2}$ to conclude the cusp width of \mathbf{g} is 1 if $g_2 = g_3^{\pm 1}$ and exceeds 1, otherwise.

We speak of **HM** and **DI** orbits or components.

Lemma 4.23. *Cusps associated to **sh** applied to (4.31a) and q_1 applied to (4.31b) have width 1, and a Hurwitz space component of $\mathcal{H}(G_{\ell, 0, 3}, \mathbf{C}_{\pm 3^2})$ has a cusp of width 1 if and only if its braid*

orbit has one of these cusps. Denote the union of such components by $\mathcal{H}_{\mathbf{HM}-\mathbf{DI}}$. The total space so defined has moduli definition field \mathbb{Q} .

Proof. The only piece requiring proof is the last line, and this follows because the Hurwitz space itself has moduli definition field \mathbb{Q} and $G_{\mathbb{Q}}$ acting on the components preserves the collection of the cusp widths of each component. (as the technique used on Ex. 4.24 shows here). \square

Denote $\mathbf{g} = (g_1, g_2, g_3, g_4)$ in the Nielsen class as in $\text{Ni}_{\pm\pm}$ if its elements are, in order, in the classes C_+, C_-, C_+, C_- . The steps for analyzing components of $\mathcal{H}_{\mathbf{HM}-\mathbf{DI}}$ for applying Thm. 1.21:

(4.32a) Lem. 4.26 computes lift invariants of \mathbf{DI} elements in $\text{Ni}_{\pm\pm}$, finding all possible lift invariant elements are achieved. Again, \mathbf{HM} elements have trivial lift invariant.

(4.32b) As in Ex. 4.27, some \mathbf{DI} elements have lift invariant 0. We need to know if \mathbf{DI} and \mathbf{HM} absolute components are distinct.

(4.32c) (4.32b) has two possibilities:

- Sometimes \mathbf{DI} and \mathbf{HM} components fall in they same absolute space.
- They are always homeomorphism-separated and belong in distinct absolute spaces.

(4.32d) In either case of (4.32c) we need to analyze inner space components above an absolute component for the effect of braiding the automorphisms.

Example 4.24. The proof of [Fr95, Thm. 3.21] uses projective normalization of the Hurwitz space in its function field, indicating how the absolute Galois group detects properties of Hurwitz spaces on their boundaries. The main application distinguishes the union of \mathbf{HM} components of a Hurwitz space by a total degeneration of curves in the family on the boundary. Then, it gives a criterion – \mathbf{HM} -gcomplete – for a braid orbit to contain all \mathbf{HM} reps in a Nielsen class, and that this implies the corresponding component has moduli definition field \mathbb{Q} . This used a special device, [Fr95, (3.21)], a (normalization) specialization sequence, designed explicitly for Hurwitz space compactification. Nevertheless, [DEm06] carried out a Deligne-Mumford-type compactification that included the same result.

Second: This has been used to show many \mathbf{MT} s that have moduli definition field \mathbb{Q} at all their levels. Thus, the Main \mathbf{MT} Conjecture can't be proven by showing that high \mathbf{MT} levels have high degree moduli definition field over \mathbb{Q} . This criterion does not apply, though, to $r = 4$. The Main Conjecture for $r > 4$ may require generalizing Falting's Theorem to higher dimension. \triangle

4.3.3. *Lift invariants of the \mathbf{DI} components in $\mathcal{H}_{\mathbf{HM}-\mathbf{DI}}$.* Lem. 4.22 gives the Schur cover of $G_{\ell,k,3}$, after adding the $\mathbb{Z}/3$ action: $K_{\ell^2, \ell^2, k} \times {}^s\mathbb{Z}/3$. We now compute the lift invariant, simplifying notation by doing just level $k = 0$.

Use the notation of $x^m y^n \alpha = (x^m y^n) \alpha y^{-n} x^{-m}$ for a general element of $C_3 = C_+$ since w is in the center. Similarly, for $C_{-3} = C_-$ replace α by α^{-1} . Each Nielsen class element braids to one in

$$\text{Ni}_{\pm\pm} = \{(\alpha, x_2^m y_2^n \alpha^{-1}, x_3^m y_3^n \alpha, x_4^m y_4^n \alpha^{-1}) \text{ satisfying product-one and generation.}\}$$

Lem. 4.25 gives the steps for computing $\text{Ni}_{\pm\pm}$ lift invariants. For compatibility use \dot{x} and \dot{y} in place of \dot{a} and \dot{b} from Lem. 4.22. Then, (4.34) gives presentations in $\hat{G}_{\ell,0,3}$ of the order 3 lift – with α^{-1} on either the right or left – of an element in C_- . For products of powers of \dot{x} and \dot{y} , take *standard form* to be $\dot{x}^m \dot{y}^n w^u$.

Lemma 4.25. *Useful formulas for writing a conjugate in standard form (all exponents mod ℓ):*

$$(4.33) \quad \begin{aligned} &\text{a. } (\dot{y}\dot{x})^n = \dot{x}^n \dot{y}^n w^{\frac{n(n+1)}{2}}, \quad \text{b. } \dot{y}^m \dot{x}^n = \dot{x}^n \dot{y}^m w^{m \cdot n} \\ &\text{c. } \dot{x}^m \dot{y}^n \alpha^{\pm 1} = \dot{y}^n \dot{x}^m \alpha^{\pm 1}, \quad \text{d. } (\dot{x}\dot{y})^n = \dot{x}^n \dot{y}^n w^{\frac{(n-1)n}{2}}. \end{aligned}$$

The order 3 lifts of elements in C_- have either of these two forms running over m, n :

$$(4.34) \quad \begin{aligned} &\dot{x}^m \dot{y}^n \alpha^{-1} = \dot{x}^m \dot{y}^n (\alpha^{-1} \dot{y}^{-n} \dot{x}^{-m} \alpha) \alpha^{-1} \text{ or } \alpha^{-1} (\alpha \dot{x}^m \dot{y}^n \alpha^{-1}) \dot{y}^{-n} \dot{x}^{-m} \\ &\text{which are respectively } \begin{cases} \dot{x}^m \dot{y}^n (\dot{x}\dot{y})^n \dot{y}^{-m} \alpha^{-1} = \dot{x}^{m+n} \dot{y}^{2n-m} \alpha^{-1} w^{\frac{3n^2-n}{2}}, \\ \alpha^{-1} (\dot{x}\dot{y})^{-m} \dot{x}^n \dot{y}^{-n} \dot{x}^{-m} = \alpha^{-1} \dot{x}^{n-2m} \dot{y}^{-m-n} w^{\frac{3m^2+m-4n^2}{2}}. \end{cases} \end{aligned}$$

Proof. Since results only depend on exponents mod ℓ , we can assume all exponents are ≥ 0 . For (4.33) a., to get to standard form in $(\dot{y}\dot{x})^n$, running over $1 \leq i \leq n$, move the i th \dot{y} past all \dot{x} s ($n-i+1$ of them) to its right. Use (4.26) to replace each $\dot{y}\dot{x}$ by $\dot{x}\dot{y}w$. The cumulative w s are $w^{\sum_{i=1}^n n-i+1} = w^{\frac{n \cdot (n+1)}{2}}$ to the right of $\dot{x}^n \dot{y}^n$, (4.33) b. is even easier. For (4.33) c., consider

$$\dot{x}^m \dot{y}^n w^u C_{\pm} = \dot{x}^m \dot{y}^n w^u C_{\pm} w^{-u} \dot{y}^{-n} \dot{x}^{-m}.$$

The result follows since w is in the center and $w^u w^{-u} = 1$. Finally, for (4.33) d.

$$(\dot{x}\dot{y})^n = \dot{x}(\dot{y}\dot{x})^{n-1}\dot{y} = \dot{x}^n \dot{y}^n w^{\frac{(n-1)n}{2}} \text{ from (4.33) a.}$$

Details of (4.34): The 1st line arranges for α^{-1} to be on, respectively, the right and left using the (4.30) action. Apply α in the 1st case and aim for standard form with α^{-1} and a power of w on the right. To finish that calculation write $\dot{y}^n (\dot{x}\dot{y})^n$ as $\dot{x}^n \dot{y}^{2n} w^{u(n)}$. First move each \dot{y} in \dot{y}^n past n copies of \dot{x} . For each such move add one w to the right side. That leaves $(\dot{x}\dot{y})^n (\dot{y}^n) w^{n^2}$. The exponent for w is $n^2 + \frac{(n-1)n}{2} = \frac{3n^2-n}{2}$ from (4.33) d.

For the 2nd cases line, put $(\dot{x}\dot{y})^{-m} \dot{x}^n \dot{y}^{-n} \dot{x}^{-m}$ in standard form. First apply (4.34) d. and b.:

$$\begin{aligned} &\mapsto \dot{x}^{-m} \dot{y}^{-m} \dot{y}^{-n} \dot{x}^n \dot{x}^{-m} w^u = \dot{x}^{-m} \dot{y}^{-m-n} \dot{x}^{n-m} w^u \text{ with } u = \frac{m^2+m-2n^2}{2}, \\ &\text{then apply (4.34) b. } \mapsto \dot{x}^{n-2m} \dot{y}^{-m-n} w^{u+(m-n)(m+n)}. \end{aligned}$$

Which we calculate to conclude the expression for the second case. \square

There is little difference between the proof of Lem. 4.26 for $k = 0$ and for general k , except for taking exponents mod ℓ^{k+1} . To simplify notation we take $k = 0$.

Lemma 4.26. *The lift invariant of a **DI** element in $\text{Ni}_{\pm\pm}$ is the product of the entries of some*

$$(4.35) \quad \dot{\mathbf{g}}_{m_2, n_2, m_3, n_3} \stackrel{\text{def}}{=} (\alpha^{-1}, \dot{x}^{m_2} \dot{y}^{n_2} \alpha^{-1}, \dot{x}^{m_3} \dot{y}^{n_3} \alpha^{-1}) \in \mathbf{C}_{-3}.$$

The following hold:

(4.36a) *Generation for the image element, $\mathbf{g}_{m_2, n_2, m_3, n_3} \in \text{Ni}(G_{\ell, 0, 3}, \mathbf{C}_{-3})$, fails if and only if $\langle m_2 x, n_2 y \rangle$ is an eigenspace for α (in particular, then $\ell \equiv 1 \pmod{3}$, Rem. 4.21).*

(4.36b) *Assuming generation in (4.36a) the lift invariant of $\dot{\mathbf{g}}_{m_2, n_2, m_3, n_3}$ is $w^{m_2^2 - n_2^2 - m_2 n_2}$.*

(4.36c) *For $k = 0$ and $\ell > 5$, there are distinct **DI** orbits running over $u \in (\mathbb{Z}/\ell)$. For $\ell = 5$, the lift invariants run over the squares in $(\mathbb{Z}/\ell)^*$.*

Proof. Apply g_2^{-1} to braid (g_1, g_2, g_1, g_4) to $(g_1, g_1, g_1^{-1} g_2 g_1, g_4)$. Now check, with $g_1^{-1} g_2 g_1 = g_2'$, that this has the same lift invariant as $(g_1^{-1} = g_1^2, g_2', g_4) \in \text{Ni}_{0, -3^3}$ which we take to be $\dot{\mathbf{g}}_{m_2, n_2, m_3, n_3}$, subject to the product-one condition using (4.34):

$$(4.37a) \quad n_2 - 2m_2 + m_3 + n_3 = 0 \text{ and } -m_2 - n_2 + 2n_3 - m_3 = 0;$$

$$(4.37b) \quad \text{add the terms of (4.37a) to get } m_2 = n_3 = m_3 + n_2, \text{ or } m_3 = m_2 - n_2.$$

That shows (4.36a). Braid $\dot{\mathbf{g}}_{m_2, n_2, m_3, n_3}$ to $(\dot{x}^{m_3+n_3} \dot{y}^{2n_3-m_3} w^{\frac{3n_3^2-n_3}{2}} \alpha^{-1}, \alpha^{-1})$. Apply the (left) shift and the second case of (4.34) to get the lift value by eliminating the product $\alpha^{-1} \alpha^{-1} \alpha^{-1} = 1$. Use product-one (4.37a) and (4.34) b. (in the middle terms) of

$$\dot{x}^{m_3+n_3} (\dot{y}^{2n_3-m_3} w^{\frac{3n_3^2-n_3}{2}} \dot{x}^{n_2-2m_2}) \dot{y}^{-m_2-n_2} w^{\frac{3m_2^2+m_2-4n_2^2}{2}}.$$

Using (4.37b), the lift invariant is $w^{\frac{3m_2^2-m_2}{2}} w^{\frac{3m_2^2+m_2-4n_2^2}{2}} w^{(m_2+n_2)(n_2-2m_2)} = w^{m_2^2 - n_2^2 - m_2 n_2}$, thus concluding (4.36b).

We achieve all lift invariant values $\pmod{\ell}$ follows if the 2-form $m_2^2 - n_2^2 - m_2 n_2$ maps onto \mathbb{Z}/ℓ . A solution $(m'_2, n'_2) \in (\mathbb{Z}/\ell)^2$ then gives solutions (um'_2, un'_2) for any $u \in \mathbb{Z}/\ell$. So, achieving all lift values is equivalent to $x^2 - x - 1 = (x-1/2)^2 - 5/4$ – or $x^2 - 5$ – has both square and nonsquare values for $x \in \mathbb{Z}/\ell$. It has only square values $\pmod{5}$.

For $\ell \neq 5$, the nonsingular projective curve C_a in \mathbb{P}^2 defined by $x^2 - 5y^2 - az^2 = 0$ has rational points over \mathbb{F}_ℓ from the triviality of Brauer-Severi varieties over finite fields. The value $a = 1$ (resp. a primitive root $\pmod{\ell}$) is a square (resp. nonsquare), concluding the proof of (4.36c). \square

Example 4.27. [**DI** orbits with 0 lift invariant] Since **HM** orbits have lift invariant 0, we have the question if these **DI** braid orbits are homeomorphism-separated from all **HM** braid orbits. They are not: Lem. 4.28 and Ex. 4.29.

Apply (4.36c) – quadratic reciprocity ((4.21) with 3 replaced by 5. The relevant formula is

$$\left(\frac{5}{\ell}\right) \left(\frac{\ell}{5}\right) = (-1)^{\left(\frac{5-1}{2}\right) \left(\frac{\ell-1}{2}\right)} = 1 \implies \text{for } \ell \equiv 1, 4 \pmod{5} \exists x, x^2 - 5 \equiv 0 \pmod{\ell}.$$

Subexample: $\ell = 11$, $x = 4$. Translate this to a **DI** element: $m_2 = 3, n_2 = -1 \pmod{11}$, and from (4.37), $m_3 = 4, n_3 = 3$. Also, since $11 \equiv 2 \pmod{3}$, generation holds (Ex. 4.21). \triangle

4.4. Serre’s goal and Coleman-Oort. §4.4.1 applies the **sh**-incidence matrix to analyze the steps in (4.32), for applying Thm. 1.21 restricted to the $\mathcal{H}_{\mathbf{HM}-\mathbf{DI}}$ components. §4.4.2 gives the context for Serre’s ℓ -adic representations, outlining his Main Theorem, which he applied to the **ST** representations. §4.4.3 summarizes expectations for types of fibers on a given **MT**.

We conclude with a statement on the whole context of our approach, driven by properties of finite groups that fit in series, and relations to many unsolved problems in Galois theory (like the Regular Inverse Galois Problem). The opening paper, [Fr95], on **MTs** stated this. The goals of [Fr26] bridge the topics, and the gap of many years of two Serre books ([Se68], [Se92], see [Fr94], not to mention that gap-bridger, Galois cohomology, a topic between Serre and me over many years). Here’s what makes my approach look so different. As the parameter (usually ℓ) changes, my moduli space (of curve covers) seems to change in a style distinct from that given by, for example, the moduli of abelian varieties of dimension **g**. True in a way, but expanding the applicable problems requires seeing that isn’t always an essential difference. For example, even for elliptic curves, there are different spaces, $X(\ell^{k+1})$, as ℓ varies, and also — should you so desire \mathbb{D} - my series of examples often fit within one rubric, with one finite group, H , acting on a lattice for which you vary the ℓ -adic completion. The results, however, for two different H s can be extraordinarily different, as examples §4.1 and §4.3 show, even if the lattices seem the same. ‘

4.4.1. Applying the **sh-incidence matrix.** Start with (4.32b): Are the **DI** components of $\mathcal{H}_{\mathbf{HM}-\mathbf{DI}}$ of lift invariant 0 in Ex. 4.27 homeomorphism-separated from the **HM** components.

With $\mathbf{v} = -(m_2, n_2)$, here is an example **DI** element:

$$\mathbf{g}_{\mathbf{DI}} = (\alpha_0^{-1}, \mathbf{v}\alpha_0, \mathbf{v}\alpha_0, \mathbf{w}\alpha_0^{-1}) \text{ (with } \mathbf{sh}(\mathbf{g}_{\mathbf{DI}}) = (\alpha_0, \alpha_0, (m_2, n_2)\alpha_0^{-1}, (m_3, n_3)\alpha_0^{-1})).$$

Its cusp has just one element since its middle product commutes with its 2nd and 3rd terms. Determine \mathbf{w} from the product-one condition, $2\mathbf{w} + \mathbf{w}^\alpha = 2\mathbf{v}^\alpha + \mathbf{v}$.

We want to see if $\mathbf{sh}(\mathbf{g}_{\mathbf{DI}})$ is in the braid orbit of an **HM** rep.

Lemma 4.28. *Start with, when does the cusp of $\mathbf{g} = (\mathbf{v}_1\alpha_0, \mathbf{v}_2\alpha_0^{-1}, \mathbf{v}_3\alpha_0, \mathbf{v}_4\alpha_0^{-1})$ contain an **HM**? Conjugate \mathbf{g} by $\begin{pmatrix} 1 & 0 \\ -\mathbf{v}_1 & 1 \end{pmatrix}$ to assume $\mathbf{v}_1 = \mathbf{0}$. Denote $\mathbf{v}_2 - \mathbf{v}_2^{\alpha^{-1}} + \mathbf{v}_3^{\alpha^{-1}} - \mathbf{v}_3$ by $\mathbf{w}_{2,3}$. Multiply $\begin{pmatrix} \alpha & 0 \\ \mathbf{v}_2^\alpha - \mathbf{v}_2 & 1 \end{pmatrix}$ and $\begin{pmatrix} \alpha^{-1} & 0 \\ \mathbf{v}_2^{\alpha^{-1}} & 1 \end{pmatrix}$ to see the middle product of \mathbf{g} is $\begin{pmatrix} 1 & 0 \\ \mathbf{w}_{2,3} & 1 \end{pmatrix}$. Then, the cusp contains a **HM** if either there is $k_2 \in \mathbb{Z}/\ell$ for which, $\mathbf{v}_2 + k_2\mathbf{w}_{2,3} = \mathbf{0}$ or \mathbf{v}_3 , or there is a $k_3 \in \mathbb{Z}/\ell$ for which $\mathbf{v}_3 + k_3\mathbf{w}_{2,3} = \mathbf{v}_2$ or \mathbf{v}_4 .*

The related question for the cusp containing a **DI**: if there is a $k'_2 \in \mathbb{Z}/\ell$ (resp. k'_3) for which $\mathbf{v}_2 + k'_2 \mathbf{w}_{2,3} = \mathbf{v}_4$ (resp. $\mathbf{v}_3 + k'_3 \mathbf{w}_{2,3} = \mathbf{0}$).

Proof. From Prop. 2.12, for this Nielsen class, the full cusp containing \mathbf{g} consists of the conjugations of \mathbf{g} by powers of $\begin{pmatrix} 1 & 0 \\ \mathbf{w}_{2,3} & 1 \end{pmatrix}$. The listings in the statement of the Lem. are just the conditions that we get **HM** or **DI** elements in this one q_2 (cusp) orbit. \square

To include all levels of **MTs**, where lift invariants of braid orbits fall in \mathbb{Z}/ℓ^{k+1} , requires considering the jumps of lift invariant values in going from $(\mathbb{Z}/\ell^{k+1})^*$ to lift invariants in \mathbb{Z}/ℓ^k . We expect **sh**-incidence matrices used in [FrBG] to simplify this, but Ex. 4.29 gives a major issue.

Example 4.29 (Cusps containing **HM** and **DI** reps). We know that there are several **HM** orbits in these Nielsen classes, but do the **DI** orbits with lift invariant 0 belong in braid orbits separate from **HM** orbits? The simplest possibility they are not, would be if $\mathbf{sh}(\mathbf{g}_{\mathbf{DI}})$ (notation of Lem. 4.28) is in the cusp of an **HM** rep. There are several cases. For example, the condition, there exists $k_2 \in \mathbb{Z}\ell$ for which $\mathbf{v}_2 + k_2 \mathbf{w}_{2,3} = \mathbf{0}$ is for the cusp of \mathbf{g} to contain an **HM** rep. Similarly, $\mathbf{v}_3 + k'_3 \mathbf{w}_{2,3} = \mathbf{0}$ for some k_3 is that it contains a **DI** rep. That is, is $|\mathbf{sh}(\mathbf{g}_{\mathbf{DI}}) \cap {}_c\mathcal{O}_{\mathbf{g}}| = 1$?

The generation condition for Nielsen classes demands that \mathbf{v}_2 α -generates (Def. 4.20). Since $\mathbf{w}_{2,3} = (\mathbf{v}_2 - \mathbf{v}_3)^{(1-\alpha^{-1})}$, by subtracting the equations see that $\mathbf{v}_2 - \mathbf{v}_3$ is an α eigenvector. By adding them, also $\langle \mathbf{v}_2, \mathbf{v}_3 \rangle = \langle \mathbf{v}_2 - \mathbf{v}_3 \rangle$. That is, \mathbf{v}_2 does not α -generate, so, this is impossible. \triangle

Remark 4.30. The group theory differs between §4.1 and this section because $(\mathbb{Z}/\ell)^2$ is not ℓ -perfect. That allows it to have two non-isomorphic representation covers, one given by the small Heisenberg group, the other not, partly explaining why these examples are so very different.

Once we get the $\mathbb{Z}/3$ action involved, then $G_{\ell,0,3}$ is ℓ -perfect ($\ell \neq 3$), and it has a unique representation cover. The same for adding the $\mathbb{Z}/2$ action to get $G_{\ell,0,2}$ ($\ell \neq 2$). The lift invariant computations of Lem. 4.3.3 and Lem. 4.26 allow graphically presenting the components of all the **MTs** coming from this section from knowing all components with lift invariants from the values of the 2-form $m_2^2 - n_2^2 - m_2 n_2$, with one complication, those **DI** components with lift invariant 0.

4.4.2. Compatible ℓ -adic representations of G_K^{ab} . Serre starts with the short (adele/ idele) exact sequence from *Class field Theory: CFT*: K a number field, $\mathbf{m} = (m_{\nu_1}, \dots, m_{\nu_s})$, an s -tuple of integers attached to finite valuations of K indicating multiplicities.

Start with G_K^\dagger a quotient of the absolute Galois group of K . Serre's interest is in the maximal abelian quotient, G_K^{ab} . Then a system of representations referencing ℓ , at the minimum, means $\rho_\ell : G_K^\dagger \rightarrow \text{Aut}(V_\ell)$, running over almost all ℓ , with V given by a $\mathbb{Z}[G_K^\dagger]$ module tensored with

\mathbb{Q}_ℓ . *Compatibility* means the characteristic polynomials $\det(1 - \text{Fr}_{\rho_\ell, \mathbf{p}} T)$ associated with Frobenius elements (conjugacy classes attached to a prime \mathbf{p} of K) attached to different (almost all) ℓ are the same (and defined over \mathbb{Q}). Spanning from Weil (with abelian varieties) and Grothendieck with étale cohomology of non-singular projective varieties, Serre goes outside of these algebraic-geometric places affording *all* ℓ -adic representations, but only for the abelian case, $G_K^\dagger = G_K^{\text{ab}}$.⁵⁸

Applied to \mathbb{Q} algebras A , here are the steps starting with the class field theory (CFT) sequence for computing the profinite group of abelian extensions, G_K^{ab} , of K : $C_{\mathbf{m}}$ is the group generated by ideals modulo principle ideals (u) , u in the ring of integers for which $u - 1$ in the m_ν power of the ideal for ν , for all indexes ν .

$$(4.38) \quad \text{II-7 : } 1 \rightarrow K^*/E_{\mathbf{m}} \rightarrow I_{\mathbf{m}} \rightarrow C_{\mathbf{m}} \rightarrow 1, \text{ with } I \text{ the ideles,} \\ E_{\mathbf{m}} \text{ given by (4.39c) and } G_K^{\text{ab}} \text{ the projective limit of } C_{\mathbf{m}} \text{ over } \mathbf{m}.$$

He forms a K torser (multiplicative, algebraic group), $S_{\mathbf{m}}$, over K whose \mathbb{Q}_ℓ values produce compatible ℓ -adic representations of G_K^{ab} . Here's the sequence, with $d = [K : \mathbb{Q}]$:

$$(4.39a) \quad \mathcal{G}_{\text{mult}}(A) \stackrel{\text{def}}{=} \{(x \in A \mid \exists y \in A, \text{ with } xy = 1\} \text{ assigns invertible elements } A^*.$$

$$(4.39b) \quad \text{Apply Weil's restriction of scalars } T = R_{K/\mathbb{Q}}(\mathcal{G}_{\text{mult}}/K), \text{ a dimension } d \text{ torus over } \mathbb{Q}; \text{ its} \\ A \text{ points are } (K \otimes_{\mathbb{Q}} A)^*, \text{ so } T(\mathbb{Q}) = K^*.$$

$$(4.39c) \quad \text{For subgroup } E_{\mathbf{m}} \leq K^*, \text{ indexed as above by } \mathbf{m}, \text{ take } \bar{E}_{\mathbf{m}} \text{ its Zariski closure in } T, \text{ and} \\ T_{E_{\mathbf{m}}} = T/\bar{E}_{\mathbf{m}} \text{ gives } K^*/E_{\mathbf{m}} = A. \text{ This gives } K^*/E_{\mathbf{m}} \rightarrow T_{\mathbf{m}} = T/\bar{E}_{\mathbf{m}} \text{ (also a torus), a} \\ \text{pushout, } I_{\mathbf{m}} \rightarrow S_{\mathbf{m}} \text{ given by the 2-cocycle of the sequence (4.38).}$$

This produces a diagram, [Se68, p. II-9], with the upper line from (4.38) and the lower line $1 \rightarrow T_{\mathbf{m}}(\mathbb{Q}) \rightarrow S_{\mathbf{m}}(\mathbb{Q}) \rightarrow C_{\mathbf{m}} \rightarrow 1$. Applying the class field theory identification with of G_K^{ab} , [Se68, §II.3] then uses the homomorphism $\pi_\ell : T(\mathbb{Q}_\ell) \rightarrow S_{\mathbf{m}}(\mathbb{Q}_\ell)$ to define $\epsilon : G_K^{\text{ab}} \rightarrow S_{\mathbf{m}}(\mathbb{Q}_\ell)$, a system of compatible ℓ -adic representations with values in $S_{\mathbf{m}}$. Using that $S_{\mathbf{m}}$ is a torus, [Se68, pgs. II-10–II-23] shows this gives $\varphi_\ell : G_K^{\text{ab}} \rightarrow \text{Aut}(V_\ell)$, an abelian ℓ -adic, semi-simple (completely reducible), representation of G_K^{ab} on V_ℓ fulfilling the title of the book.

Those don't, however, give all such representations. By limiting to *abelian* ℓ -adic representations and this characterizing rubric – using the definition of *locally algebraic* – these tori $S_{\mathbf{m}}$ go beyond the paradigm that started with abelian varieties, and étale cohomology of nonsingular projective varieties. There was the surprise of [De72a]: K3 surfaces have étale cohomology in the

⁵⁸One reason for that, is that is the only case where we know how to get a handle on G_K^\dagger . But, the point of the **OIT** theorem, and the conjectures like Coleman-Oort, is this case stands out even when considering what is the image of G_K in acting on a Tate module of an abelian variety.

category of abelian varieties. Then the obvious question answered by [De72b] shows that even complete intersections could have étale cohomology outside that generated by abelian varieties.

Despite the properties shown in [De74], how difficult is it to divine structure on the ℓ -adic representations of the absolute Galois group of \mathbb{Q} . §4.4.3 questions what we know of separating even Serre's case from abelian varieties. For example, [Se68, p. III-11] notes that if you don't consider abelian representations, it isn't true that any compatible set of ℓ -adic representations of K is unramified (trivial on the ramification subgroups of \mathfrak{p}) outside a finite set of places.

4.4.3. Locating the HIT and ST fibers on a MT. [Se68, II-§2.8] repeats the Shimura-Taniyama (ST) definition of a **CM** abelian variety A of dimension d defined over K with its "CM field" K_A of degree $2d$ embedded $i : K_A \rightarrow \text{End}_K(A) \otimes \mathbb{Q} = \text{End}_K(A)_0$. The difference, as seen from §3.2.3: **ST** gives an actual abelian variety; but Serre shows the action on an **ST** abelian variety, giving, for K a totally complex extension of \mathbb{Q} an image of (not necessarily the whole) G_K^{ab} from its action on the corresponding \mathbb{Q}_ℓ Tate module of the **ST** abelian variety. Therefore, this is an example abelian ℓ -adic representation coming from his $S_{\mathbf{m}}$ construction.

This gives V_ℓ the Tate module $\otimes \mathbb{Q}_\ell$, a free $K_{A,\ell}$ rank 1 module, giving $\rho_\ell : G(\bar{K}/K) \rightarrow \text{Aut}(V_\ell)$ commuting with $K_{A,\ell}$, identifying ρ_ℓ with a homomorphism $G(\bar{K}/K) \rightarrow K_{A,\ell}^* = T_{K_A}(\mathbb{Q}_\ell)$. Then, [Se68, p. II-27 to II-29] has Theorems 1 and 2 giving the ℓ -adic properties of G_K^{ab} with values in T_{K_A} corresponding to a modulus \mathbf{m} and a morphism $\varphi : S_{\mathbf{m}} \rightarrow T_{K_A}$ including that the restriction of $\varphi \rightarrow T_{\mathbf{m}}$ can be read off from a homomorphism $\mu : K \rightarrow \text{End}_{K_A}(\mathbb{T})$ with \mathbb{T} the tangent space of A at the origin.

The Coleman-Oort Conjecture is about when a Jacobian of a **g** curve is **ST**, and says that we expect on compact sets in the moduli of genus g curves, assuming the genus is large, that there are only finitely many **ST** fibers. In our situation, we have a **MT** over an absolute component \mathcal{H}' with moduli definition field K based on a lattice \mathcal{L} appearing in each fiber. The lattice is a *quotient* of the Tate module of the Jacobian of the curve attached to $\mathfrak{p} \in \mathcal{H}'$. We are asking when the G_K action gives the decomposition group either **HIT** or abelian. The moduli definition field of a **MT** is in the decomposition field of every fiber of a **MT**. Therefore, say from Serre's characterization of an **ST** fiber, so long as the geometric monodromy of a **MT** is not abelian and is eventually Frattini, if there are analogs of **ST** fibers, then their arithmetic monodromy is distinctly different from that of an **HIT** fiber.

(4.40a) Is the decomposition group abelian only for some kind of analog of **ST** points.

(4.40b) Excluding (4.40a), are the fibers **HIT** off of the fibers described in (4.40a).

(4.40c) What other, than **HIT** and the fibers of (4.40a) could there be?

If it is strictly analogous to Serre’s **OIT**, then the response to (4.40c) would be the occurrence of other fiber types would be rare, only finitely many times on compact subsets or none at all. The most astonishing aspect of Serre’s **OIT** is that, in his case, there were only these two fiber types, but he wrote several papers trying to find out just how often one could expect the GL_2 in most fibers.

Example 4.31. In Thm. 3.31 we have two components of $\mathcal{H}(A_4, \mathbf{C}_{\pm 3^2})^{\mathrm{in}, \mathrm{rd}}$. Only \mathcal{H}_+ supports a **MT** (the other is obstructed by the lift invariant). In the examples that continue in [FrBG] and [Fr25], we continue toward a similar goal using the precise tools of the braid action on Nielsen classes and the **sh**-incidence matrix.

The Main Problem exposed here is that we don’t know of any paradigm between **HIT** and abelian, but the **MT** constructions provide explicit examples of towers of moduli spaces for which we can ask if such exist. \triangle

Appendices

§A gives us the classical topological generators from which the “dragging a cover” process (§1.3.2) works. §2.3.3 gives the Galois closure process that is at the heart of relating the Hurwitz space pairs $\mathcal{H}(G, \mathbf{C})^{\mathrm{abs}}$ and $\mathcal{H}(G, \mathbf{C})^{\mathrm{in}}$ on which we base Thm. 1.21.

APPENDIX A. CLASSICAL GENERATORS OF $\pi(U_{\mathbf{z}}, z_0)$

Let z_0 be a point on $U_{\mathbf{z}}$. Let D_i be a disc with center z_i , $i = 1, \dots, r$. Assume these discs are disjoint and each excludes z_0 . Let b_i be a point on the boundary of D_i . Regard this boundary, oriented clockwise, as a path $\bar{\gamma}_i$ with initial and end point b_i . Finally, let δ_i be a simple *simplicial* path with initial point z_0 and end point b_i . Assume, also, that δ_i meets none of $\bar{\gamma}_1, \dots, \bar{\gamma}_{i-1}, \bar{\gamma}_{i+1}, \dots, \bar{\gamma}_r$, and it meets $\bar{\gamma}_i$ only at its endpoint.

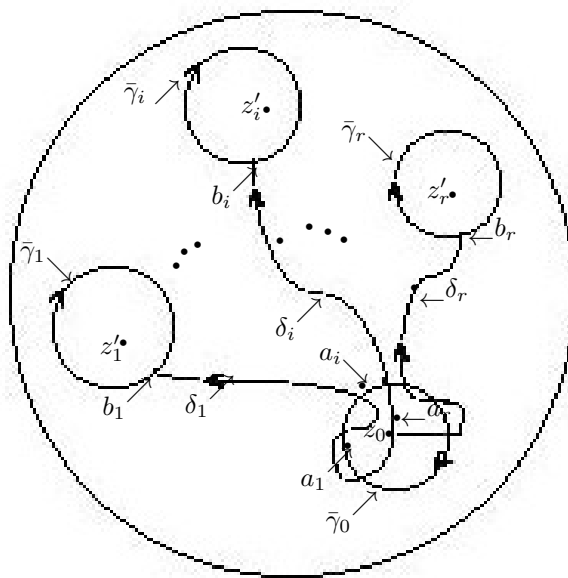
With D_0 a disc with center z_0 and disjoint from each of the discs D_1, \dots, D_r , consider the first point of intersection of δ_i and the boundary $\bar{\gamma}_0$ of D_0 . Call this point a_i . Suppose $\delta_1, \dots, \delta_r$ satisfy two further conditions:

(A.1a) they are pairwise nonintersecting, excluding their initial point z_0 ; and

(A.1b) a_1, \dots, a_r appear in order clockwise around $\bar{\gamma}_0$.

Since the paths are simplicial this last condition is independent of the choice of D_0 , at least for D_0 sufficiently small.

With these conditions, the ordered collection of closed paths $\delta_i \bar{\gamma}_i \delta_i^{-1} = \gamma_i$, $i = 1, \dots, r$, in Fig. 1 are *classical generators* (for \mathbf{z}) based at z_0 . We say γ_i is a *classical loop around z_i* . In our case this has a precise meaning.

FIGURE 1. Example classical generators based at z_0 

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