

SET-THEORETIC METHODS IN MODULE THEORY

Paul C. Eklof
University of California, Irvine

Second Czech-Catalan Conference in Mathematics
Barcelona, September 2006
(corrected version)

R is an associative ring with identity.
“module” means left R -module.

Filtrations

Definitions.

1. A **filtration** of a module A is a continuous chain of submodules $\{A_\alpha : \alpha < \sigma\}$ of A whose union is A such that $A_0 = 0$ and $A_\beta = \bigcup_{\alpha < \beta} A_\alpha$ for all limit ordinals $\beta < \sigma$.
2. Let $\mathcal{C}$ be a class of modules.
A module A is said to be **$\mathcal{C}$ -filtered** if it has a filtration as above s.t. ,
 $A_{\alpha+1}/A_\alpha \in \mathcal{C}$ for all $\alpha + 1 < \sigma$.

Examples.

1. Every module A has a filtration $\{A_\alpha : \alpha < \sigma\}$ where $\sigma =$ the size of a minimal generating set and each A_α is $< \sigma$ -generated.
2. Every free module is $\{R\}$ -filtered. (And conversely.)

Projective modules

Theorem. (Kaplansky)

A module is projective if and only if it is $\mathcal{C}$ -filtered, where $\mathcal{C}$ is the set of countably-generated projective modules.

Recall: P is projective iff it is a direct summand of a free module iff for every epimorphism $f : M \rightarrow N$ and every homomorphism $g : P \rightarrow N$, there is $h : P \rightarrow M$ such that $g = f \circ h$.

Definitions

A class $\mathcal{A}$ of modules is κ -deconstructible if every module in $\mathcal{A}$ is $\mathcal{C}$ -filtered, where $\mathcal{C}$ is the set of $\leq \kappa$ -generated elements of $\mathcal{A}$.

$\mathcal{A}$ is deconstructible (or bounded) if it is κ -deconstructible for some κ .

Singular Compactness

Shelah's Singular Compactness Theorem (v.1)

Let λ be a singular cardinal and M an R -module which is $\leq \lambda$ -generated.

Let R be a **p.i.d.**

Assume that for every regular cardinal $\kappa < \lambda$, every $< \kappa$ -generated submodule of M is free.

Then M is free.

(λ is *singular* if the cofinality of λ is $< \lambda$ iff there is a strictly increasing sequence $\{\mu_\nu : \nu < \tau\}$ of cardinals $< \lambda$ and length $\tau < \lambda$ whose supremum is λ .)

Singular Compactness, version 2

Shelah's Singular Compactness Theorem (v.2)

Let λ be a singular cardinal and M an R -module which is $\leq \lambda$ -generated.

Let R be an **hereditary** ring

Assume that for every regular cardinal $\kappa < \lambda$, every $< \kappa$ -generated submodule of M is **projective**.

Then M is **projective**.

(λ is *singular* if the cofinality of λ is $< \lambda$ iff there is a strictly increasing sequence $\{\mu_\nu : \nu < \tau\}$ of cardinals $< \lambda$ and length $\tau < \lambda$ whose supremum is λ .)

(R is *hereditary* if every submodule of a projective module is projective iff every left ideal is projective.)

Singular Compactness, version 3

Shelah's Singular Compactness Theorem (v.3)

Let λ be a singular cardinal and M an R -module which is $\leq \lambda$ -generated.

Let R be **any** ring

Assume that for every regular cardinal $\kappa < \lambda$, “**enough**” $< \kappa$ -generated submodules of M are projective.

Then M is projective.

“**enough**”: There is a set S_κ of $< \kappa$ -generated projective submodules of M such that every subset of M of cardinality $< \kappa$ is contained in a member of S_κ ; and S_κ is closed under unions of well-ordered chains of length $< \kappa$.

Singular Compactness, version 4

Let $\mathcal{C}$ be a set of countably-presented modules.

Shelah's Singular Compactness Theorem (v.4)

Let λ be a singular cardinal and M an R -module which is $\leq \lambda$ -generated.

Let R be any ring

Assume that for every regular cardinal $\kappa < \lambda$, “enough” $< \kappa$ -generated submodules of M are $\mathcal{C}$ -filtered.

Then M is $\mathcal{C}$ -filtered.

“**enough**”: There is a set S_κ of $< \kappa$ -generated $\mathcal{C}$ -filtered submodules of M such that every subset of M of cardinality $< \kappa$ is contained in a member of S_κ ; and S_κ is closed under unions of well-ordered chains of length $< \kappa$.

(Recall: A is $\mathcal{C}$ -filtered if it has a filtration s.t. , $A_{\alpha+1}/A_\alpha \in \mathcal{C}$ for all α .)

Singular Compactness, version 5

Let $\mathcal{C}$ be a set of $\leq \mu$ -presented modules.

Shelah's Singular Compactness Theorem (v.5)

Let λ be a singular cardinal $> \mu$ and M an R -module which is $\leq \lambda$ -generated.

Let R be any ring

Assume that for every regular cardinal $\kappa < \lambda$ and $> \mu$, “enough” $< \kappa$ -generated submodules of M are $\mathcal{C}$ -filtered.

Then M is $\mathcal{C}$ -filtered.

“enough”: There is a set S_κ of $< \kappa$ -generated $\mathcal{C}$ -filtered submodules of M such that every subset of M of cardinality $< \kappa$ is contained in a member of S_κ ; and S_κ is closed under unions of well-ordered chains of length $< \kappa$.
(Recall: A is $\mathcal{C}$ -filtered if it has a filtration s.t. , $A_{\alpha+1}/A_\alpha \in \mathcal{C}$ for all α .)

Classes defined by Ext

$\mathcal{S}$ a class of modules

Definitions

$${}^{\perp}\mathcal{S} = \{N \mid \text{Ext}^1(N, M) = 0 \text{ for all } M \in \mathcal{S}\}$$

$$\mathcal{S}^{\perp} = \{N \mid \text{Ext}^1(M, N) = 0 \text{ for all } M \in \mathcal{S}\}$$

Recall:

$\text{Ext}^1(A, B) = 0$ iff every short exact sequence

$$0 \rightarrow B \rightarrow M \rightarrow A \rightarrow 0$$

splits,

i.e., up to isomorphism the only one is

$$0 \rightarrow B \rightarrow B \oplus A \rightarrow A \rightarrow 0$$

Examples/Definitions.

- $\{\text{Projective modules}\} = {}^\perp\{\text{all modules}\}$
- $\{\text{Injective modules}\} = \{\text{all modules}\}^\perp$
- ${}^\perp\{R\} =$ the class of **Whitehead modules**
- $(R \text{ an ID})$
 ${}^\perp\{\text{torsion modules}\} =$ the class of **Baer modules**

Deconstructibility

Let $\mathcal{A} = {}^\perp\mathcal{B}$.

Fact: If A is the union of a filtration $\{A_\alpha : \alpha < \sigma\}$ such that $A_{\alpha+1}/A_\alpha \in \mathcal{A}$ for all $\alpha < \sigma$, then $A \in \mathcal{A}$.

The key question

Can we reduce knowledge of members of $\mathcal{A}$ to knowledge of its “small” members?

i.e., is $\mathcal{A}$ bounded?

i.e., is there κ such that every $A \in \mathcal{A}$ is κ -deconstructible?

i.e., is every every $A \in \mathcal{A}$ the union of a continuous chain of submodules $\{A_\alpha : \alpha < \sigma\}$ such that each $A_{\alpha+1}/A_\alpha$ is $\leq \kappa$ -generated and belongs to $\mathcal{A}$?

The regular case

Let κ be a regular cardinal.

The *regular* theorem. (v.1 Shelah)

Assume $V = L$. Let R be a hereditary ring and let $\mathcal{A} = {}^\perp\{N\}$ and $\kappa > |R| + |N| + \aleph_0$.

If A is $\leq \kappa$ -generated and has a filtration $\{A_\alpha : \alpha < \kappa\}$ of $< \kappa$ -generated submodules belonging to $\mathcal{A}$, then

there is a subfiltration $\{A_{f(\alpha)} : \sigma < \kappa\}$ such that $A_{f(\alpha+1)}/A_{f(\alpha)} \in \mathcal{A}$ for all $\alpha < \kappa$. (Here $f : \kappa \rightarrow \kappa$ is a continuous increasing function.)

Application: Whitehead groups

Theorem. (Shelah, et. al.)

Assume $V = L$. If R is a hereditary ring, then for any R -module N , ${}^{\perp}\{N\}$ is $|R| + |N| + \aleph_0$ -deconstructible.

Consequences

- (i) (1973) Assuming $V = L$, every Whitehead group ($\mathbb{Z}$ -module) is free.
- (ii) Assuming $V = L$, if R is a p.i.d. of cardinality $\leq \aleph_1$ which is not a complete discrete valuation ring, then every Whitehead R -module is free.

Remarks.

(i) and (ii) are not provable in ZFC.

For some p.i.d's of cardinality $\geq \aleph_2$, the conclusion of (ii) is provably false (in ZFC).

(iii) Saroch - Trlifaj: can replace " R is hereditary" by " $\mathcal{A}$ is closed under pure submodules."

Regular case, version 2 (in ZFC)

$\mathcal{A} = {}^\perp \mathcal{B}$ where $\mathcal{B}$ is closed under direct sums.

The *regular* theorem. (v.2 E-Fuchs-Shelah)

Assume $\mathcal{B}$ is closed under arbitrary direct sums. If A is $\leq \kappa$ -generated and has a filtration $\{A_\alpha : \alpha < \kappa\}$ of $< \kappa$ -generated submodules belonging to $\mathcal{A}$ and s.t. $\text{proj. dim.}(A + A_\alpha/A_\alpha) \leq 1$, then there is a subfiltration $\{A_{f(\alpha)} : \alpha < \kappa\}$ such that $A_{f(\alpha+1)}/A_{f(\alpha)} \in \mathcal{A}$ for all $\alpha < \kappa$.

A word about the proof

A subset S of κ is called **stationary** if it has non-empty intersection with the range of every continuous increasing $f : \kappa \rightarrow \kappa$.

It suffices to prove that

$$S \stackrel{\text{def}}{=} \{\alpha < \kappa : \exists \mu_\alpha > \alpha \text{ s.t. } A_{\mu_\alpha}/A_\alpha \notin \mathcal{A}\}$$

is *not* stationary, for then any f missing S will do.

Aiming for a contradiction, we assume S is stationary and show $\text{Ext}^1(A, B) \neq 0$ for some $B \in \mathcal{B}$ ($B = N$ in v. 1), i.e. $A \notin {}^\perp \mathcal{B}$.

Version 2: W.l.o.g. if $\alpha \in S$, $\mu_\alpha = \alpha + 1$, i.e. there is $B_\alpha \in \mathcal{B}$ such that $\text{Ext}^1(A_{\alpha+1}/A_\alpha, B_\alpha) \neq 0$.

We construct a non-splitting short exact sequence

$$0 \rightarrow \bigoplus_{\beta \in S} B_\beta \rightarrow M \rightarrow A \rightarrow 0$$

as the union of a chain

$$0 \rightarrow \bigoplus_{\beta < \nu} B_\beta \rightarrow M_\nu \rightarrow A_\nu \rightarrow 0.$$

If there were a splitting $g : A \rightarrow M$ of

$$0 \rightarrow \bigoplus_{\beta \in S} B_\beta \rightarrow M \rightarrow A \rightarrow 0$$

there would be $\alpha \in S$ such that $g \upharpoonright A_\alpha : A_\alpha \rightarrow M_\alpha$.

But we choose

$$0 \rightarrow \bigoplus_{\beta < \alpha+1} B_\beta \rightarrow M_{\alpha+1} \rightarrow A_{\alpha+1} \rightarrow 0$$

to prevent any such from extending.

In Version 1, we must construct a chain

$$0 \rightarrow N \rightarrow M_\nu \rightarrow A_\nu \rightarrow 0$$

and we must use the $\diamond$ prediction principles to predict and kill a particular splitting : $A_\alpha \rightarrow M_\alpha$ for each $\alpha \in S$.

Application: Baer modules

Baer (1936): countable Baer $\mathbb{Z}$ -modules are free.

Griffith (1969): all Baer $\mathbb{Z}$ -modules are free.

Kaplansky (1962): Baer modules over arbitrary IDs are flat and of proj. dim ≤ 1 . Are they all projective?

Consequence (1990)

(R an ID) The class of Baer modules is $\aleph_0$ -deconstructible. Hence, if every countably generated Baer R -module is projective, then every Baer R -module is projective.

(Recall: A is Baer if $A \in {}^\perp\{\text{torsion modules}\}$.)

Theorem. (Angeleri Hugel-Bazzoni-Herbera 2005)

Every countably generated Baer module over an arbitrary ID is projective. Hence, every Baer module is projective.

Regular case, version 3

$\mathcal{A} = {}^\perp \mathcal{B}$ where $\mathcal{B}$ is closed under direct sums.

The *regular* theorem. (v.3 Šťovíček-Trlifaj)

Assume $\mathcal{B}$ is closed under arbitrary direct sums. If A is $\leq \kappa$ -generated and has a filtration $\{A_\alpha : \alpha < \kappa\}$ of $< \kappa$ -generated submodules belonging to $\mathcal{A}$, then

there is a subfiltration $\{A_{f(\alpha)} : \sigma < \kappa\}$ such that $A_{f(\alpha+1)}/A_{f(\alpha)} \in \mathcal{A}$ for all $\alpha < \kappa$.

Cotorsion pairs

Definition. (Salce 1979)

A **cotorsion pair** is a pair of classes of modules $(\mathcal{A}, \mathcal{B})$ such that $\mathcal{A} = {}^{\perp}\mathcal{B}$ and $\mathcal{B} = \mathcal{A}^{\perp}$

Examples

- (Projectives, R -modules)
- (R -modules, Injectives)
- $({}^{\perp}(\mathcal{S}^{\perp}), \mathcal{S}^{\perp})$
 $({}^{\perp}\mathcal{S}, ({}^{\perp}\mathcal{S})^{\perp})$
- Case of $\mathcal{S} = \{\text{Pure-injective modules}\}$:
 $\{\text{Flat modules}\} = {}^{\perp}\mathcal{S}$
 $\{\text{Flat modules}\}^{\perp} = \{(\text{Enoch}) \text{ Cotorsion modules}\}$

(Flat, Cotorsion) is a cotorsion pair.

Tilting cotorsion pairs

Definition/Theorem

A cotorsion pair $(\mathcal{A}, \mathcal{B})$ is **n -tilting** if

- Every element of $\mathcal{A}$ is of proj. dim. $\leq n$;
- $\text{Ext}^i(A, B) = 0$ for all $i \geq 2$ and all $A \in \mathcal{A}, B \in \mathcal{B}$; and
- $\mathcal{B}$ is closed under direct sums.

Example/Theorem

Let $\mathcal{S}$ be a set of modules (of proj. dim $\leq n$) such that each member M of $\mathcal{S}$ has a projective resolution

$$0 \rightarrow P_n \rightarrow P_{n-1} \rightarrow \dots \rightarrow P_0 \rightarrow M \rightarrow 0$$

where each P_ℓ is **finitely-generated**.

Let $\mathcal{B} = \mathcal{S}^{\perp_\infty} \stackrel{\text{def}}{=} \{N \mid \text{Ext}^i(M, N) = 0 \text{ for all } M \in \mathcal{S} \text{ and all } i \geq 1\}$.

Then $({}^\perp \mathcal{B}, \mathcal{B})$ is an n -tilting cotorsion pair **of finite type**.

There is an analogous definition for **countable type**.

Key question

Is every tilting cotorsion pair of finite type?

Theorems

1. (Bazzoni-E-Trlifaj 2003) All 1-tilting pairs are of countable type.
2. (Bazzoni-Herbera 2005) All 1-tilting pairs are of finite type.
3. (Šťovíček-Trlifaj 2005) All n -tilting cotorsion pairs are of countable type.
4. (Bazzoni-Šťovíček 2005) All n -tilting cotorsion pairs are of finite type.

Complete cotorsion pairs

Definition. A cotorsion pair $(\mathcal{A}, \mathcal{B})$ is **complete** if it has enough projectives and injectives, i.e., for every module M , there is a short exact sequence

$$0 \rightarrow B \rightarrow A \rightarrow M \rightarrow 0$$

such that $A \in \mathcal{A}$ and $B \in \mathcal{B}$; or, equivalently (Salce), for every module M , there is a short exact sequence

$$0 \rightarrow M \rightarrow B \rightarrow A \rightarrow 0$$

such that $A \in \mathcal{A}$ and $B \in \mathcal{B}$.

Theorem. (E-Trlifaj)

The cotorsion pair $(\mathcal{A}, \mathcal{B})$ is complete if it is *generated by a set*, i.e., $\mathcal{B} = \{M\}^\perp$ for some module M .

Application: Flat covers

$(\mathcal{A}, \mathcal{B})$ is generated by a set iff $\mathcal{A}$ is deconstructible.
(Enochs for *if*; Šťovíček-Trlifaj for *only if*)

Theorem (Enochs 2000)

The (Flat, Cotorsion) pair is deconstructible,
hence complete, so flat covers exist for modules over any ring.

$$R = \mathbb{Z}$$

Theorems.

1. (E-Trlifaj) $(\mathcal{A}, \mathcal{B})$ is deconstructible, and hence complete, if every member of $\mathcal{B}$ is cotorsion.
2. (E-Shelah-Trlifaj) It is consistent with $\text{ZFC} + \text{GCH}$ that for every N which is not cotorsion, the cotorsion pair $({}^\perp\{N\}, ({}^\perp\{N\})^\perp)$ is not generated by a set, hence not deconstructible.
3. (E-Shelah) It is consistent with $\text{ZFC} + \text{GCH}$ that $({}^\perp\{\mathbb{Z}\}, ({}^\perp\{\mathbb{Z}\})^\perp)$ is not complete.