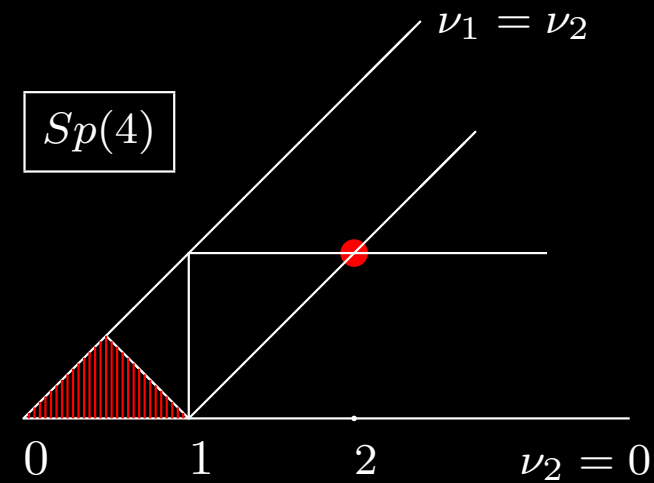
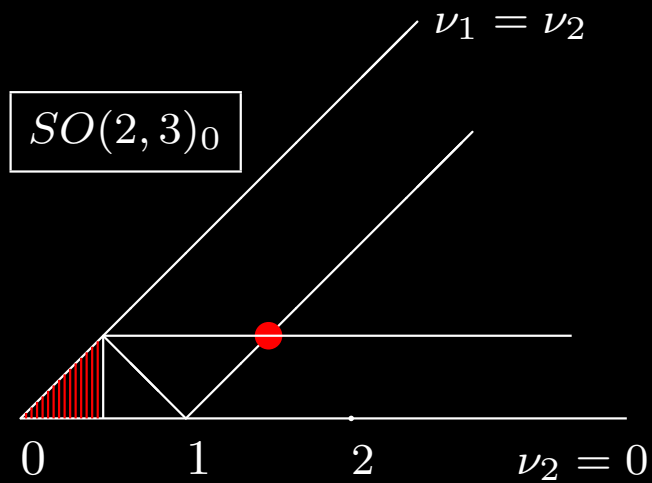


Unitary representations of real split groups



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Unitary Representations

G : a Lie group

- $\mathcal{S}_n = \{\text{bijections on } \{1, 2, \dots, n\}\} \leftarrow \text{finite Lie group}$
- $\mathcal{S}^1 = \{z \in \mathbb{C} : \|z\| = 1\} \leftarrow \text{compact Lie group}$
- $SL(2, \mathbb{R}) = \{A \in M(2, \mathbb{R}) : \det A = 1\} \leftarrow \text{noncompact Lie group}$

A **unitary representation** of G on a Hilbert space \mathcal{H} is a w. continuous action of G on \mathcal{H} by means of unitary operators

- $\mathcal{H} = \mathbb{C}, \pi(g)v = v \leftarrow \text{trivial representation}$
- $\mathcal{H} = L^2(G, \mu), \pi(g)f = f(\cdot g) \leftarrow \text{right regular representation}$

Unitary Dual

$\widehat{G}_u = \{\text{equiv. classes of unitary irreducible repr.s of } G\}$



PART 1

Motivation for the study the unitary dual

... from Fourier analysis to abstract harmonic analysis...

Classical Fourier Analysis

decompose a periodic function on \mathbb{R}
in terms of trigonometric functions

Abstract Harmonic Analysis

decompose $L^2(G)$ in terms of
unitary irreducible repr.s of G

$$\begin{array}{ccccccc} & & \text{continuous} & & \text{trigonometric} & & \\ & & \text{function on } \mathbb{R} & & \text{functions} & & \\ & & \text{with period } 2\pi & & & & \\ & & \uparrow & & \uparrow & & \\ L^2(G) \text{ for } & \leftarrow & \boxed{f(\theta)} & = \sum_{n \in \mathbb{Z}} S_f(n) & \boxed{e^{in\theta}} & \rightarrow & \text{unitary} \\ G = \mathbb{R}/2\pi\mathbb{Z} \simeq S^1 & & & & & & \text{irreducible} \\ & & & & & & \text{repr.s of } G \end{array}$$

classical Fourier analysis \Rightarrow abstract harmonic analysis
trigonometric functions \Rightarrow unitary representations

Harmonic analysis on locally compact groups

G : abelian, compact, nilpotent, connected semisimple...

$$L^2(G) = \int_{\pi \in \widehat{G}_u}^{\oplus} \pi \, d\mu(\pi)$$

$d\mu$ is the Plancharel measure on \widehat{G}_u .

G is compact

\widehat{G}_u is a lattice

$$d\mu(\pi) = \dim(\pi)$$

$$L^2(G) = \bigoplus_{\pi \in \widehat{G}_u} \dim(\pi) \pi$$

Fourier Inversion Formula ... $G = S^1$, $f(\theta) = \sum_{n \in \mathbb{Z}} S_f(n) e^{in\theta}$

PART 2

Examples of unitary duals

- Finite groups
- Compact groups
- $SL(2, \mathbb{R})$

Unitary dual of FINITE groups

G : a finite group

- Every irreducible repr. of G is finite-dim.l and unitary

$$\widehat{G}_u = \{\text{finite-dim.l irreducible repr.s}\} / \text{equiv}$$

- G has finitely many irreducible inequivalent repr.s
- The number of inequivalent irreducible repr.s of G equals the number of conjugacy classes of G

Example: Let G be “the monster”, a finite simple group containing almost 10^{54} elements. G has 194 equivalence classes, so there are exactly 194 inequivalent irreducible unitary representations.

Unitary dual of the symmetric group \mathcal{S}_3

$$(- - -) = \begin{array}{|c|c|c|} \hline & & \\ \hline \end{array}$$

\leftrightarrow

trivial representation:

$$\mathcal{H} = \mathbb{C}, \rho(\sigma)v = v$$

$$(- -)(-) = \begin{array}{|c|c|} \hline & \\ \hline & \\ \hline \end{array}$$

\leftrightarrow

permutation representation:

$$\mathcal{H} = \{ \underline{v} \in \mathbb{C}^3 : \sum_{i=1}^3 v_i = 0 \}$$

$$\rho(\sigma)(\underline{v}) = (v_{\sigma(1)}, v_{\sigma(2)}, v_{\sigma(3)})$$

$$(-)(-)(-) = \begin{array}{|c|} \hline \\ \hline \\ \hline \\ \hline \end{array}$$

\leftrightarrow

sign representation:

$$\mathcal{H} = \mathbb{C}, \rho(\sigma)v = \text{sgn}(\sigma)v$$

Unitary dual of COMPACT groups

G : a (non-finite) compact group, with maximal torus T

- Every irreducible repr. of G is finite-dim. and unitary

$$\widehat{G}_u = \{\text{finite-dim. irreducible repr.s}\} / \text{equiv}$$

- G has infinitely many irreducible inequivalent repr.s

e.g. $G = \mathcal{S}^1 \Rightarrow \forall n \in \mathbb{Z}, \pi_n: \mathcal{S}^1 \rightarrow \mathbb{C}^*, e^{i\theta} \mapsto e^{in\theta}$

- \widehat{G}_u is parameterized by the lattice of dominant weights:

$$\mathcal{C} = \{\lambda \in \mathfrak{t}^* : \lambda = \text{differential of a character of } T, \text{ and } \lambda \text{ is dominant}\}$$

There is a bijection $\widehat{G}_u \rightarrow \mathcal{C}, \pi \mapsto \lambda_\pi = \text{highest weight of } \pi$.

What about the non-compact group $SL(2, \mathbb{R})$?

$G = SL(2, \mathbb{R}) = \{2 \times 2 \text{ real matrices with determinant } 1\}$

$SL(2, \mathbb{R})$ has only one finite-dimensional unitary irreducible representation: the **trivial** representation!

non-spherical
principal series

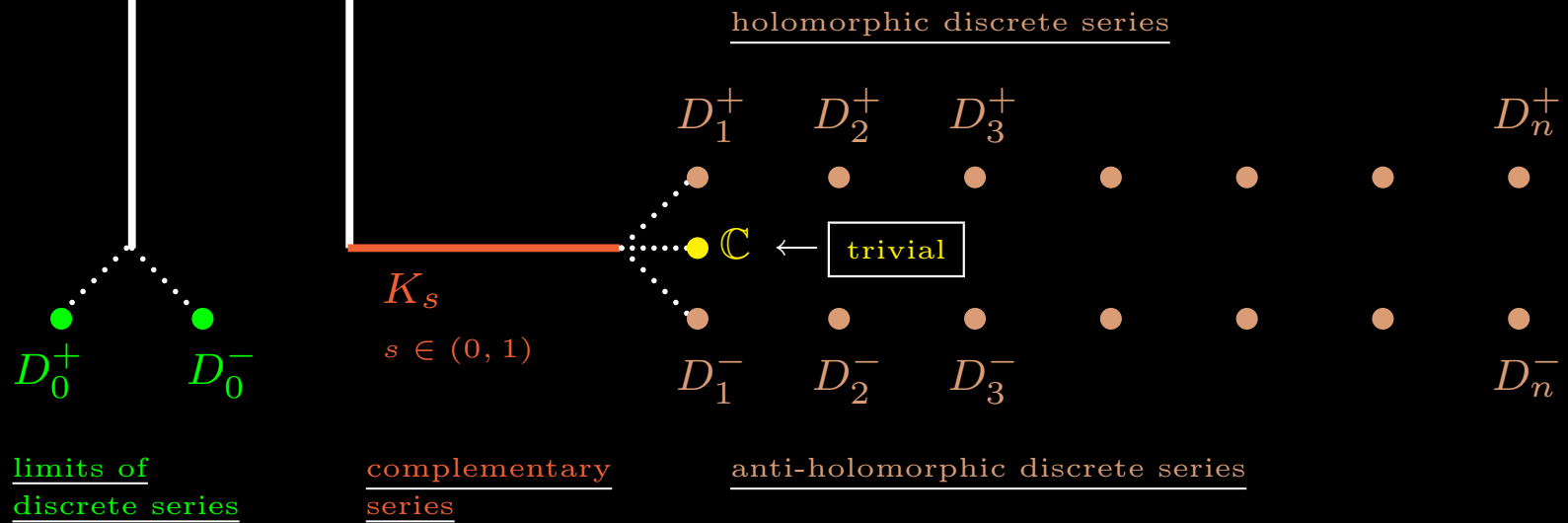
$$P_{i\nu}^-$$
$$\nu > 0$$

spherical
principal series

$$P_{i\nu}^+$$
$$\nu \geq 0$$

Unitary dual of $SL(2, \mathbb{R})$

Bargmann, 1947



Only the trivial representation is finite-dimensional!

Compact/Non-compact groups

COMPACT (or FINITE) groups: Every irreducible unitary representation is finite-dimensional.

Moreover, every finite-dimensional representation is unitarizable.

- Start from any inner product (\cdot, \cdot) on \mathcal{H}
- Construct an invariant inner product $\langle \cdot, \cdot \rangle$ by averaging:

$$\langle v, w \rangle \equiv \frac{1}{\#G} \sum_{x \in G} (\pi(x)v, \pi(x)w) \quad \forall v, w \in \mathcal{H}.$$

For compact groups, replace $\sum_{x \in G}$ by $\int_G \cdot d\mu$.

NON-COMPACT linear semisimple groups: Every nontrivial irreducible unitary repr. is infinite-dim.l.

Moreover, not every infinite-dimensional representation is unitary.

\Rightarrow finding the unitary dual of non-compact groups is much harder

Unitary dual of real reductive groups

G : real reductive group, e.g. $SL(n, \mathbb{R})$, $SO(n, \mathbb{R})$, $Sp(n)$
or any closed subgroup of $GL(n, \mathbb{C})$ stable under $A \mapsto \overline{(A^t)^{-1}}$

$$\hat{G}_u = ?$$

A complete answer is only known for

- $SL(2, \mathbb{R}) \leftarrow$ Bargmann, 1947
- $GL(n, \mathbb{R}) \leftarrow$ Vogan, 1986
- complex classical groups \leftarrow Barbasch, 1989
- $G_2 \leftarrow$ Vogan, 1994

PART 3

Progress in the classification of the unitary dual

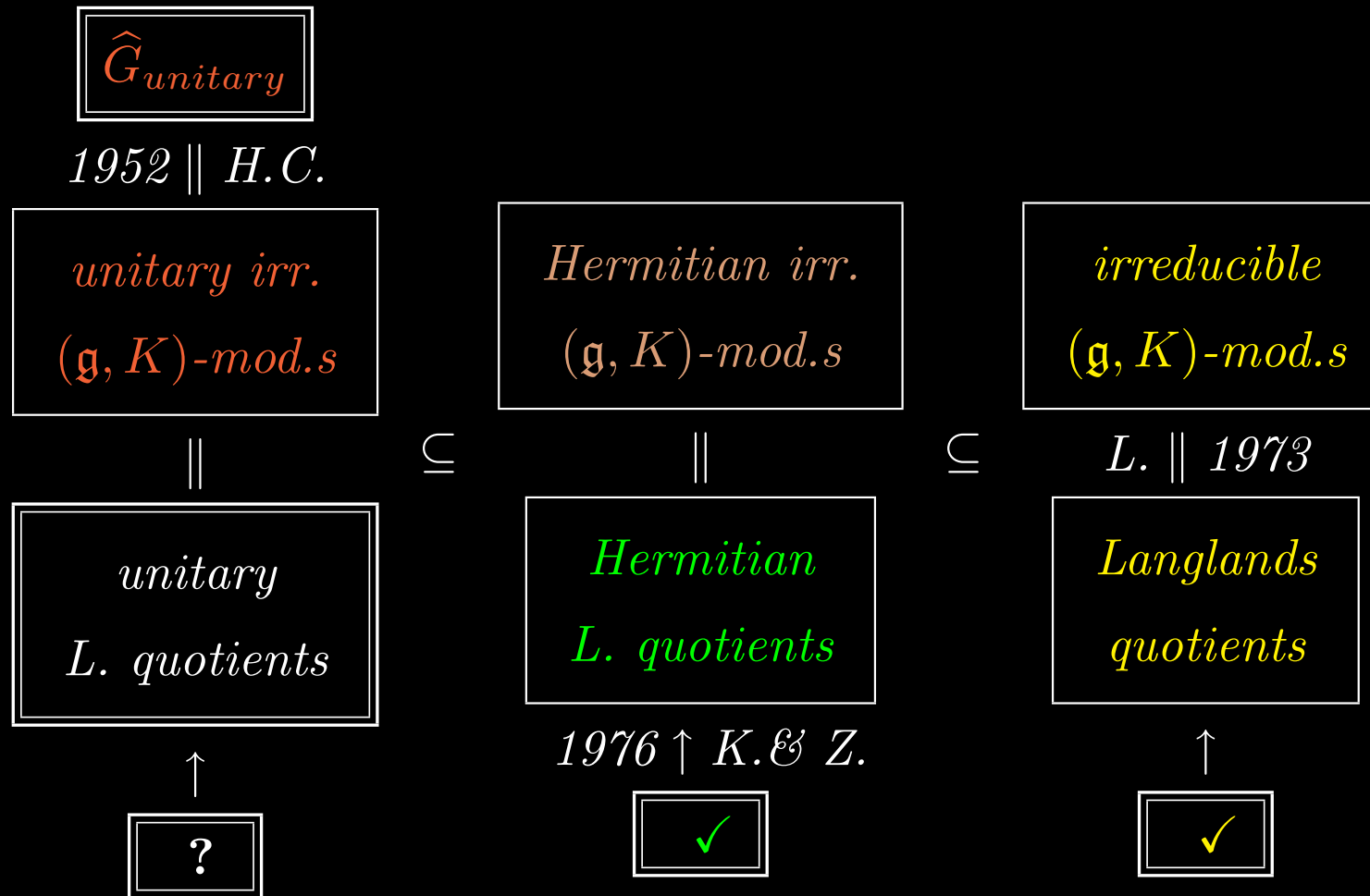
The greatest heros

- Harish-Chandra [1952]: Algebraic reformulation of the problem of finding the unitary dual

$$\widehat{G}_u = \left\{ \begin{array}{l} \text{unitary irred.} \\ \text{repr.s of } G \end{array} \right\}_{\text{unit. equiv}} = \left\{ \begin{array}{l} \text{unitary irred.} \\ (\mathfrak{g}, K)\text{-modules} \end{array} \right\}_{\text{equiv}}$$

- Langlands [1973]: Classification of irreducible (\mathfrak{g}, K) -modules
- Knapp and Zuckerman [1976]: Classification of Hermitian irreducible (\mathfrak{g}, K) -modules

Sketch of the history



To get \widehat{G}_u , we need to find which Langlands quotients are unitary.

(minimal) Langlands Quotients with real inf. character

- **parameters** $\begin{cases} P = MAN & \text{minimal parabolic subgroup of } G \\ (\delta, V^\delta) & \text{irreducible representation of } M \\ \nu: \mathfrak{a} \rightarrow \mathbb{R} & \text{strictly dominant linear functional} \end{cases}$

- **principal series** $I_P(\delta, \nu) = \text{Ind}_{P=MAN}^G(\delta \otimes \nu \otimes \text{triv})$

G acts by left translation on:

$$\{F: G \rightarrow V^\delta : F|_K \in L^2, F(xman) = e^{-(\nu+\rho)\log(a)} \delta(m)^{-1} F(x), \forall man \in P\}$$

- **Langlands quotient** $J(\delta, \nu)$: 1! irred. quotient of $I_P(\delta, \nu)$

intertwining operator $A(\delta, \nu): I_P(\delta, \nu) \rightarrow I_{\bar{P}}(\delta, \nu), F \mapsto \int_{\bar{N}} F(x\bar{n}) d\bar{n}$

$$J(\delta, \nu) \equiv \frac{I_P(\delta, \nu)}{\text{Ker } A(\delta, \nu)}$$

The Hermitian form on $J(\delta, \nu)$ is induced by the operator $A(\delta, \nu)$

A more informal definition (for real split groups)

Langlands Quotients $J(\delta, \nu)$ = Candidates for Unitarity

↑
irreducible repr.s of G parameterized by:

- $P = MAN$: a (fixed) minimal parabolic subgroup of G
- δ : an irreducible representation of the finite group M
- ν : an element of a cone, in a vector space of $\dim. = \text{rank}(G)$

If $J(\delta, \nu)$ is Hermitian, the form is induced by an operator $A(\delta, \nu)$.

Unitary dual Problem: finding all δ and ν s.t.

$J(\delta, \nu)$ is unitary $\Leftrightarrow A(\delta, \nu)$ is pos. semidefinite

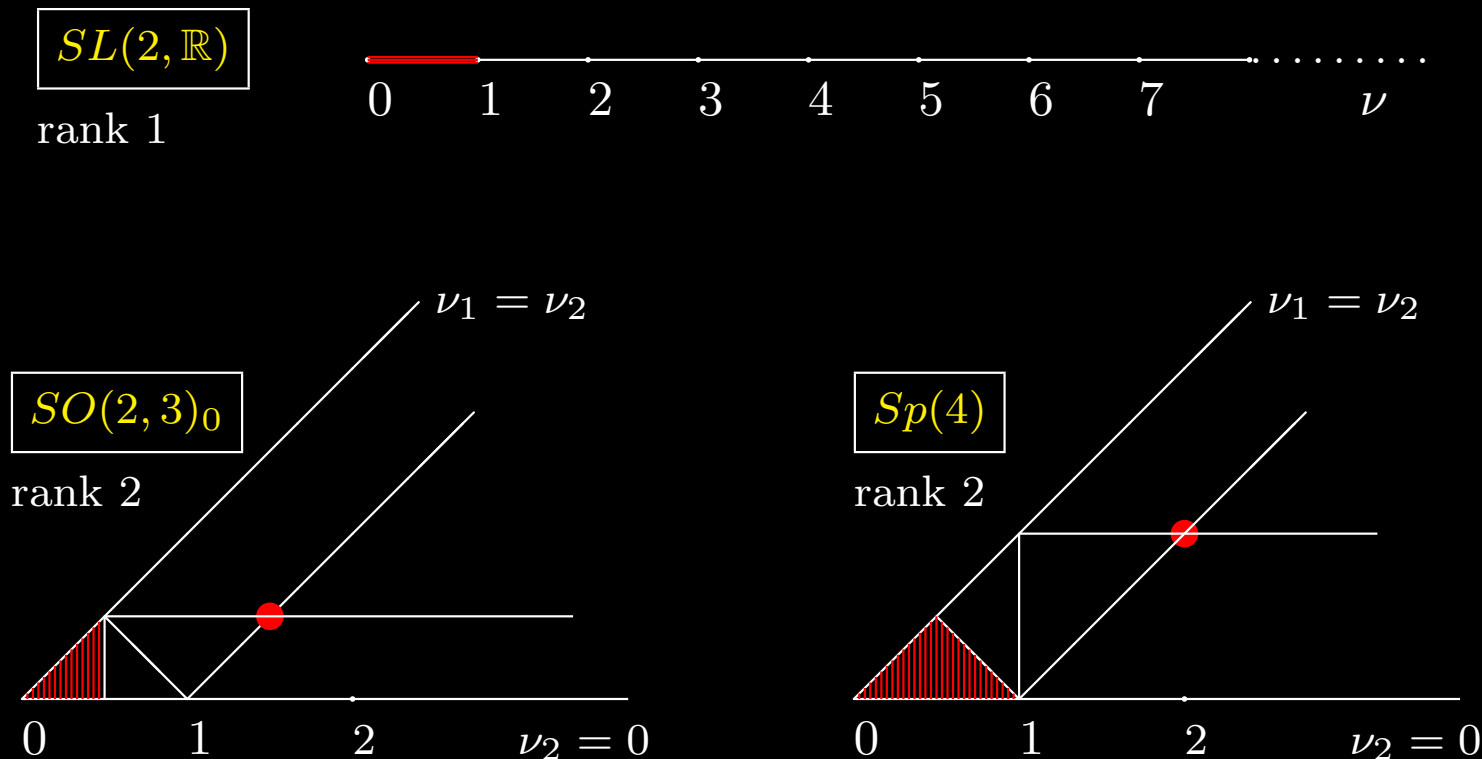
Hard Problem: *the set of unitary parameters is very small.*

Spherical unitary duals of $SL(2, \mathbb{R})$, $SO(2, 3)_0$ and $Sp(4)$

Fix $P=MAN$: minimal parabolic subgroup, δ : trivial repr. of M .

The only parameter is ν ; ν varies in a cone inside a vector space of $\dim.=\text{rank}(G)$. Consider the Langlands quotient $J(\nu) \equiv J(\text{triv}, \nu)$.

The values of ν s.t. $J(\nu)$ is unitary are painted in red:



PART 4

Find the Spherical Unitary Dual

i.e. discuss the unitarity of a spherical Langlands quotient $J(\nu)$

Spherical Unitary Dual of split groups

- **The setting:**

G : a split connected real reductive group

K : maximal compact subgroup ($G = SL(n, \mathbb{R})$, $K = SO(n)$)

- **The problem:**

Find the spherical unitary dual of G . *A representation of G is called spherical if it contains the trivial representation of K .*

- **Candidates:** spherical Langlands quotients $J(\nu) \equiv J(\text{triv}, \nu)$

\Rightarrow Equivalent problem: Find all ν such that $J(\nu)$ is unitary

- **Status quo:**

By work of Knapp and Zuckermann, we know which $J(\nu)$'s are Hermitian, i.e have an invariant Hermitian form.

If $J(\nu)$ is Hermitian, the form is induced by the operator $A(\nu)$.

So $J(\nu)$ is unitary iff $A(\nu)$ is positive semidefinite.

Studying the signature of the operator $A(\nu)$

- The operator $A(\nu)$ acts on the spherical principal series, which is an infinite-dimensional vector space.
- $A(\nu)$ preserves the isotypic component of the various K -types $\mu \in \widehat{K}$ that appear in the spherical principal series (“spherical K -types”).
- There are infinitely many spherical K -types, but each appears with finite multiplicity.
- Restricting the operator $A(\nu)$ to the isotypic component of μ , we get an operator $A_\mu(\nu)$ for each spherical K -type μ .

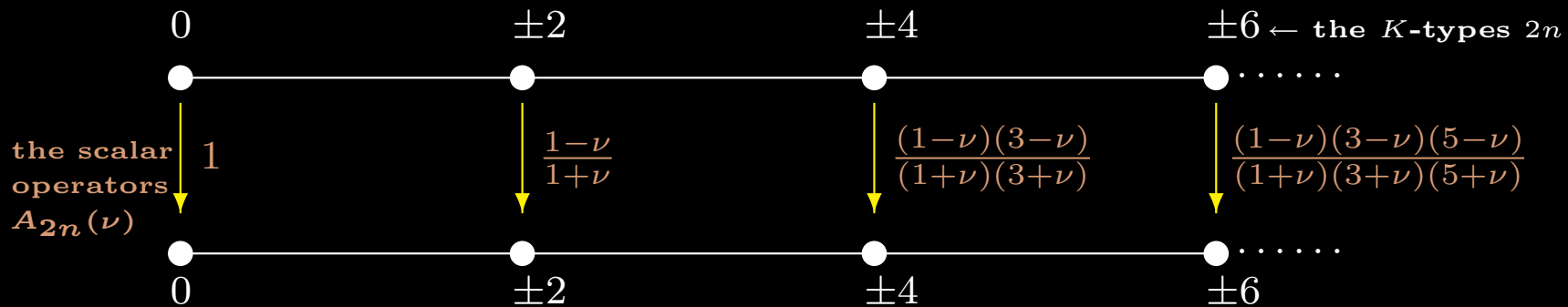
$J(\nu)$ is unitary iff $A_\mu(\nu)$ is positive semidefinite for all μ

The example of $SL(2, \mathbb{R})$

$$G = SL(2, \mathbb{R}), K = SO(2, \mathbb{R}), \hat{K} = \mathbb{Z}, \hat{K}_{spherical} = 2\mathbb{Z}$$

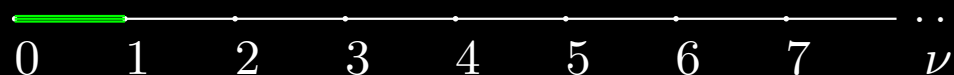
There is one operator $A_{2n}(\nu)$ for every even integer

Each operator $A_{2n}(\nu)$ acts by a scalar:



The representation $J(\nu)$ is unitary iff every $A_{2n}(\nu)$ is ≥ 0

$$0 \leq \nu \leq 1$$



Other real split reductive groups

- There are infinitely-many spherical K -types μ
- For each μ , there is an operator $A_\mu(\nu)$
- The formula for $A_\mu(\nu)$ becomes very complicated if μ is “big”
- To obtain necessary and sufficient conditions for unitarity, one needs to study the signature of the operator $A_\mu(\nu)$ for all μ

Vogan, Barbasch: Only look for necessary conditions for unitarity



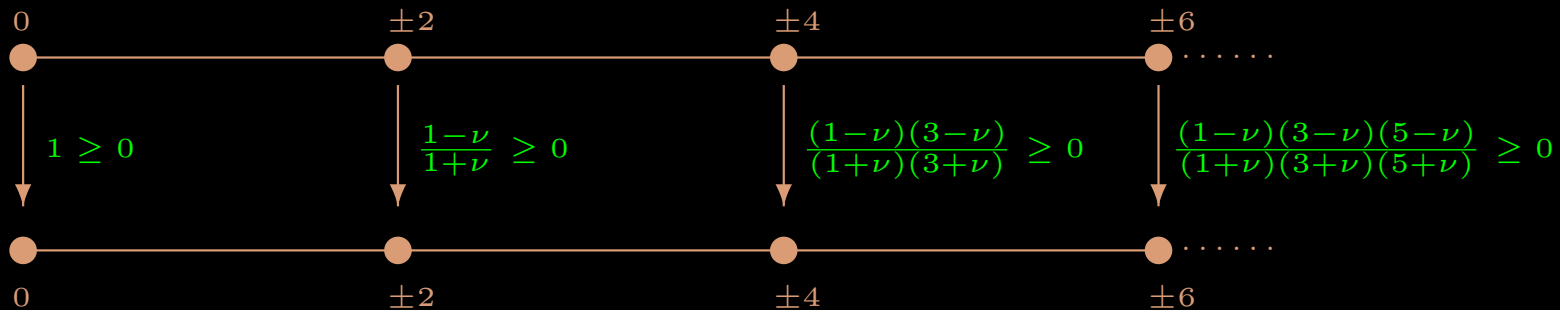
Isolate finitely many K -types μ (called “petite”) s.t. the operator $A_\mu(\nu)$ is easy. Only compute the signature of $A_\mu(\nu)$ for μ petite.

Spherical Petite K -types for $SL(2, \mathbb{R})$

$G = SL(2, \mathbb{R})$, $\widehat{K}_{spherical} = 2\mathbb{Z}$. Spherical petite K -types: $n = 0, \pm 2$

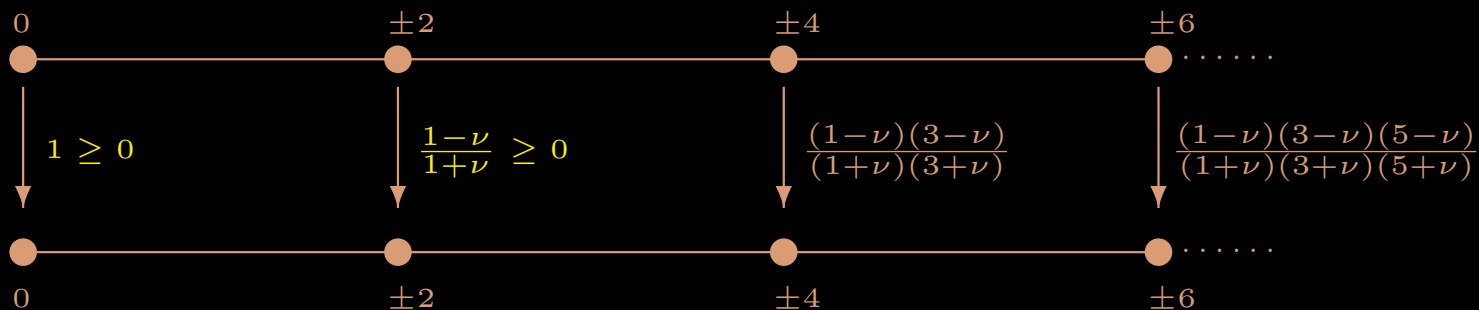
- Necessary and Sufficient conditions for unitarity:**

$A_{2n}(\nu)$ is pos. semidefinite for every K -type $2n$



- Necessary conditions for unitarity:**

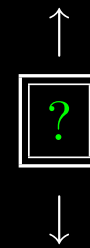
$A_{2n}(\nu)$ is pos. semidefinite for the petite K -type $2n = 0, \pm 2$



Spherical Petite K -types for other split real groups

Definition [Barbasch, Vogan] For every root α , there is a subgroup $K_\alpha \simeq SO(2)$. A spherical K -type μ is called **petite** if the restriction of μ to K_α only contains the $SO(2)$ -types 0 and ± 2 .

If μ is petite, the intertwining operator $A_\mu(\nu)$ is “easy” to compute.



Easy: $A_\mu(\nu)$ behaves exactly like an operator for a p-adic group.

The operator $A_\mu(\nu)$ on a petite K -type μ

- $A_\mu(\nu)$ acts on the space $\text{Hom}_M(\mu, \mathbb{C}) = (V_\mu^*)^M$.
- This space carries a representation $\boxed{\psi_\mu}$ of the Weyl group W .
- $A_\mu(\nu)$ only depends on the W -representation ψ_μ .

Indeed, we can compute $A_\mu(\nu)$ by means of Weyl group calculations:

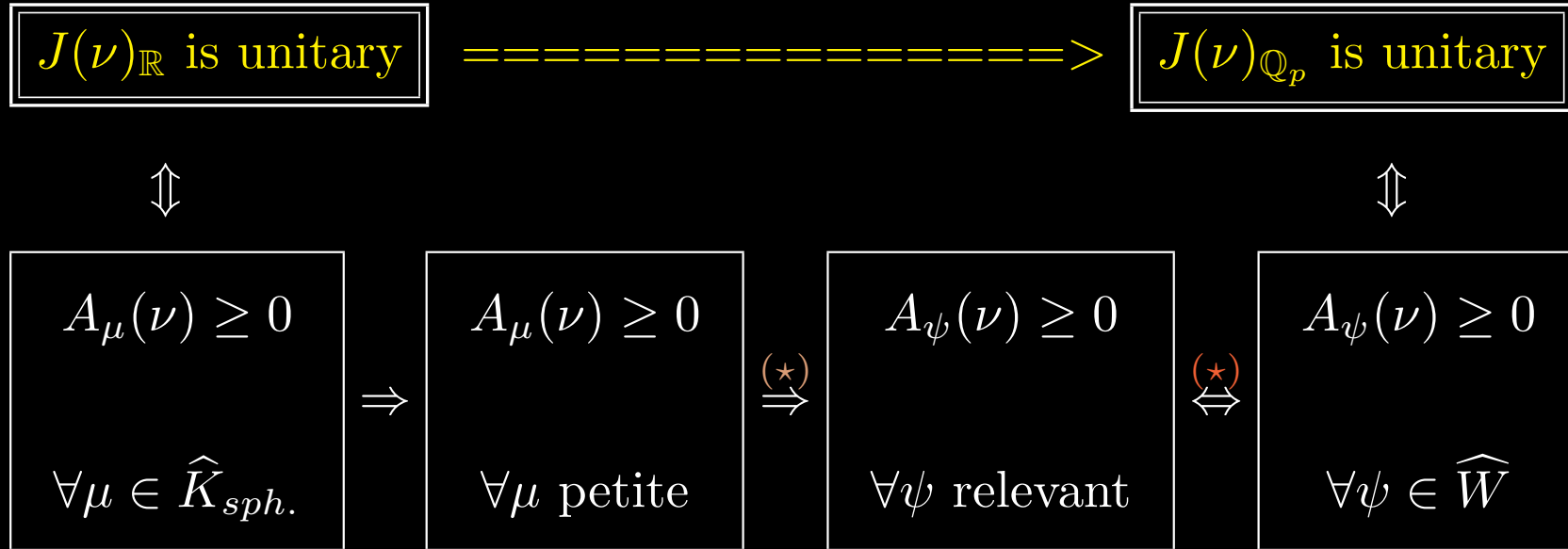
$$\begin{array}{ccc}
 & (+1)\text{-eigensp. of } \psi_\mu(s_\alpha) & (-1)\text{-eigensp. of } \psi_\mu(s_\alpha) \\
 A_\mu(\nu) = \prod_{\alpha \text{ simple}} A_\mu(s_\alpha, \gamma) & \begin{array}{c} \bullet \text{-----} \bullet \\ \downarrow \boxed{1} \quad \downarrow \boxed{\frac{1 - \langle \gamma, \check{\alpha} \rangle}{1 + \langle \gamma, \check{\alpha} \rangle}} \\ \bullet \text{-----} \bullet \end{array} & \\
 A_\mu(s_\alpha, \gamma) \text{ acts by } \rightsquigarrow & & \\
 & (+1)\text{-eigensp. of } \psi_\mu(s_\alpha) & (-1)\text{-eigensp. of } \psi_\mu(s_\alpha)
 \end{array}$$

For p -adic groups, there is an operator $A_\psi(\nu)$ for each W -type ψ .

μ petite \Rightarrow the real operator $A_\mu(\nu) =$ the p -adic operator $A_{\psi_\mu}(\nu)$

Comparing spherical unitary duals (real \leftrightarrow p -adic)

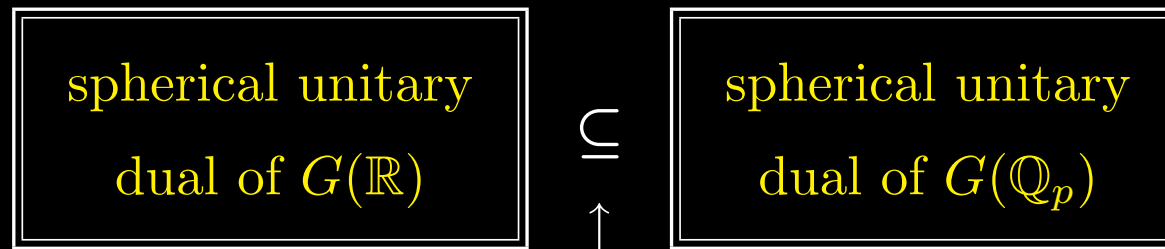
- The unitarity of a Langlands quotient $J(\nu)$ for a split group G depends on the signature of some intertwining operators.
- For real groups there is an operator $A_\mu(\nu)$ for every irreducible representation μ of the maximal compact subgroup K .
- For p -adic groups there is an operator $A_\psi(\nu)$ for every irreducible representation ψ of the Weyl group W .
It is enough to consider “relevant” W -types, because relevant W -types detect unitarity.
- [*Barbasch*] **Every relevant W -type is matched with a petite K -type s.t. the corresponding intertwining operators coincide.**
- **This matching implies an inclusion of spherical unitary duals.**



(\star) For each relevant W -type ψ , there is a petite K -type μ s.t. the p -adic operator on $\psi =$ the real operator on μ .

(\star) Relevant W -types detect unitarity.

An embedding of spherical unitary duals for split groups



[Barbasch]: this inclusion is an equality for classical groups

The spherical unitary dual of a split p -adic group is known. Then

- **for classical real split groups, one obtains the full spherical unitary dual**
- **for non-classical real split groups, one obtains strong necessary conditions for the unitarity of a spherical Langlands quotient.**

PART 5

Find the Non-Spherical Unitary Dual

i.e. discuss the unitarity of a Langlands quotient $J(\delta, \nu)$, $\delta \neq \text{triv}$

Non-Spherical Unitary Dual

The non-spherical unitary dual of a real split group is mysterious

- Like in the spherical case, we need to understand which Hermitian Langlands quotients $J(\delta, \nu)$ are unitary
- To find necessary and sufficient conditions for unitarity, one needs to compute the signature of infinitely many operators.

There is an operator $A_\mu(\delta, \nu)$ for every K -type μ containing δ .

If μ is “big”, computing $A_\mu(\delta, \nu)$ is extremely hard.

- Instead, we (only) look for necessary conditions...

Necessary conditions for unitarity

Spherical case - *Vogan, Barbasch*:

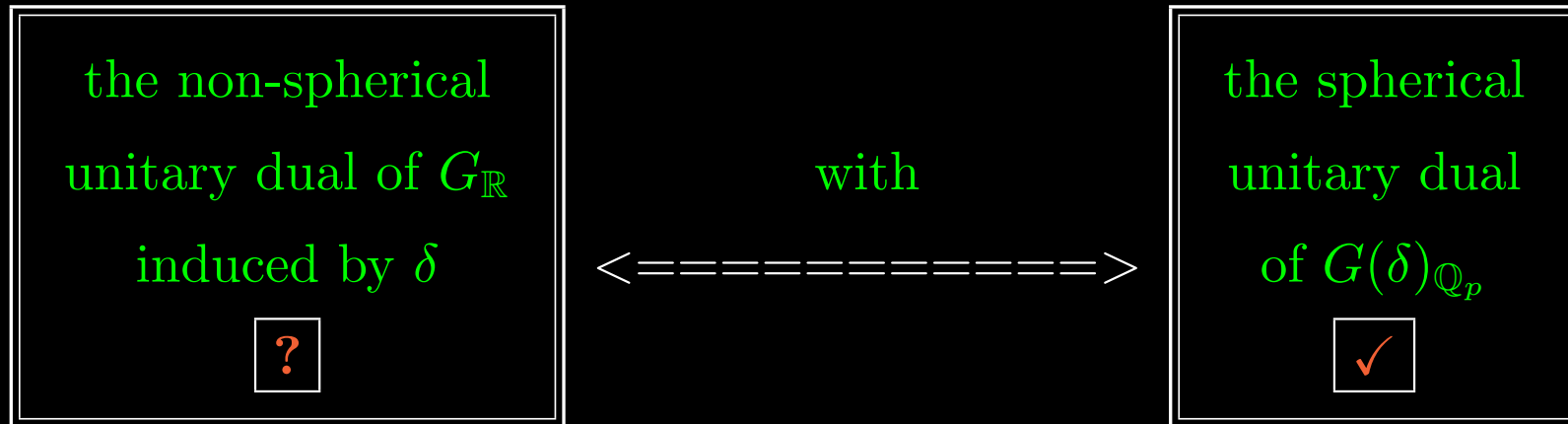
Define “spherical petite K -types”, and use them to compare the spherical unitary dual of a real split group G with the spherical unitary dual of the corresponding p-adic group

Non-spherical case - *P., Barbasch*:

Define “non-spherical petite K -types”, and use them to compare the non-spherical unitary dual of a real split group G with the spherical unitary dual of a (different) p-adic group

Non-spherical unitary dual

For each δ , we construct a p-adic group $G(\delta)_{\mathbb{Q}_p}$. Then we define non-spherical petite K-types (for δ) and we use them to compare



↑

candidates : $J(\delta, \nu)_{G_{\mathbb{R}}}$

↑

candidates : $J(\nu_0)_{G(\delta)_{\mathbb{Q}_p}}$

$J(\delta, \nu)_{G_{\mathbb{R}}}$ unitary \Leftrightarrow
 $A_{\mu}(\delta, \nu)$ $\geq 0, \forall \mu \in \widehat{K}$

$J(\nu_0)_{G(\delta)_{\mathbb{Q}_p}}$ unitary \Leftrightarrow
 $A_{\psi}(\nu)$ $\geq 0, \forall \psi \in \widehat{(W_0)_{rel}}$

One doesn't always get an embedding of unitary duals

$J(\delta, \nu)$ is unit. for $G_{\mathbb{R}}$

====>^{???}

$J(triv, \nu_0)$ is unit. for $G(\delta)_{\mathbb{Q}_p}$

↕

$$A_{\mu}(\delta, \nu) \geq 0$$

$$\forall \mu \in \widehat{K}$$

↕

$$A_{\psi}(\nu_0) \geq 0$$

$$\forall \psi \in \widehat{W}_0$$

↕

$$A_{\mu}(\delta, \nu) \geq 0$$

$$\forall \mu \text{ petite}$$

====>^{???}

====>

if you have enough petite K -types

to match all the relevant W_0 -types

↕

$$A_{\psi}(\nu_0) \geq 0$$

$$\forall \psi \text{ relev}$$

The linear split group F_4

- $G = F_4$
- $K = [Sp(1) \times Sp(3)] / \{\pm I\}$

K -types = irreducible repr.s of K , classified by highest weight:
 $\mu = (a_1 | a_2, a_3, a_4)$, with $a_1 \geq 0, a_2 \geq a_3 \geq a_4 \geq 0, \sum a_i \equiv 0 \pmod{2}$

Minimal Principal Series : $I(\delta, \nu)$

- $P = MAN$ = a minimal parabolic subgroup
- M : a finite abelian group of order 16
- A : vector group ($\dim Lie(A) = 4$)
- δ : irreducible representation of M
- ν : dominant linear functional on $Lie(A)$

Problem: discuss the unitarity of the Langlands quotients $J(\delta, \nu)$

The Weyl group W acts on \widehat{M} . Let $W(\delta)$ be the stabilizer of δ .

- $W(\delta)$ only depends on the W -orbit of δ
- $W(\delta)$ is the Weyl group of a root system $\Delta_0(\delta)$.

Let $G(\delta)$ be the corresponding split group.

| representative for the W -orbit of δ | root system $\Delta_0(\delta)$ | corresponding split group $G(\delta)$ |
|--|-----------------------------------|--|
| δ_1 | F_4 | F_4 |
| δ_3 | C_4 | $Sp(4)$ |
| δ_{12} | B_3A_1 | $SO(4, 3)_o \times SL(2)$ |

Using petite K -types, we relate the unitarity of a (possibly non-spherical) Langlands quotient of G induced from δ to the unitarity of a spherical Langlands quotient of $G(\delta)$

Examples for the linear split group F_4

- $\delta = \delta_{12}$; $G(\delta) = SO(4, 3)_0 \times SL(2)$
- Every relevant W -type for $G(\delta)$ can be matched with a petite K -type for F_4 . Hence there is an inclusion of unitary duals:

$$\boxed{\begin{array}{c} \text{unitary parameters} \\ \text{for } (\delta_{12}, F_4) \end{array}} \subset \boxed{\begin{array}{c} \text{unitary parameters} \\ \text{for } (\text{triv}, SO(4, 3)_0 \times SL(2)) \end{array}}$$

- $\delta = \delta_3$; $G(\delta) = Sp(4)$
- We can match every relevant W -type for $G(\delta)$ *except* 1×3 . Hence we obtain a weaker inclusion:

$$\boxed{\begin{array}{c} \text{unitary} \\ \text{parameters} \\ \text{for } (\delta_3, F_4) \end{array}} \subseteq \boxed{\begin{array}{c} \text{unitary} \\ \text{parameters} \\ \text{for } (\text{triv}, Sp(4)) \end{array}} \cup \boxed{\begin{array}{c} \text{non-unitarity region} \\ \text{for } (\text{triv}, Sp(4)) \\ \text{ruled out by } 1 \times 3 \end{array}}$$

Conclusions

A Hermitian representation is unitary if and only if the invariant Hermitian form is positive definite.

Using petite K -types, we compare invariant forms on Hermitian representations for real and p -adic groups.

This comparison leads to interesting relations between the unitary duals of the two groups.

For example, it implies that the spherical unitary dual of a real split group is always contained in the spherical unitary dual of the corresponding p -adic group.

In the non-spherical case, you still get very interesting inclusions.