

# Fastest algorithm for the Shortest Vector Problem

Oded Regev

Courant Institute, NYU

(joint with Aggarwal, Dadush, and  
Stephens-Davidowitz)

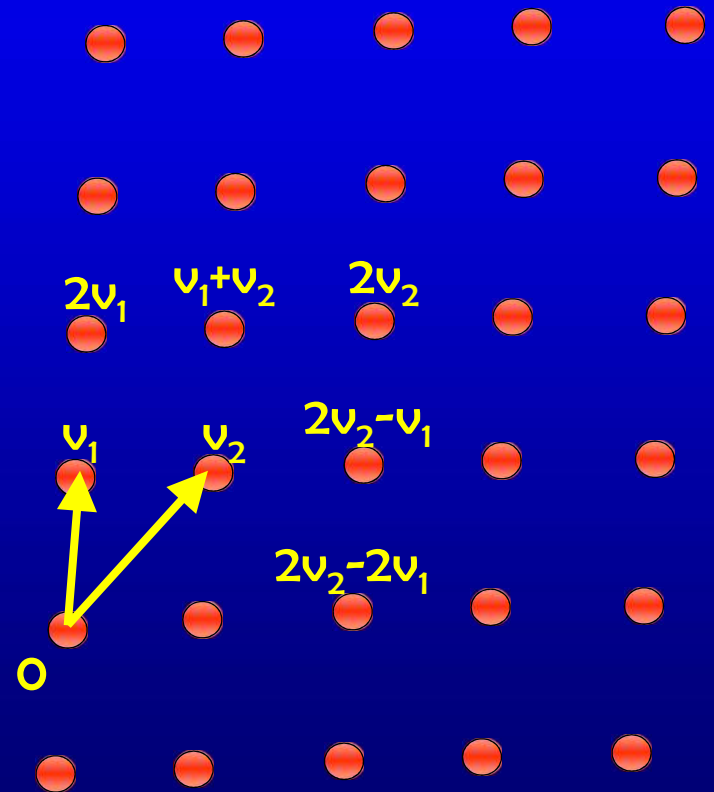
# Lattices

- A lattice is a set of points

$$L = \{a_1 v_1 + \dots + a_n v_n \mid a_i \text{ integers}\}$$

for some linearly independent vectors  $v_1, \dots, v_n$  in  $\mathbb{R}^n$

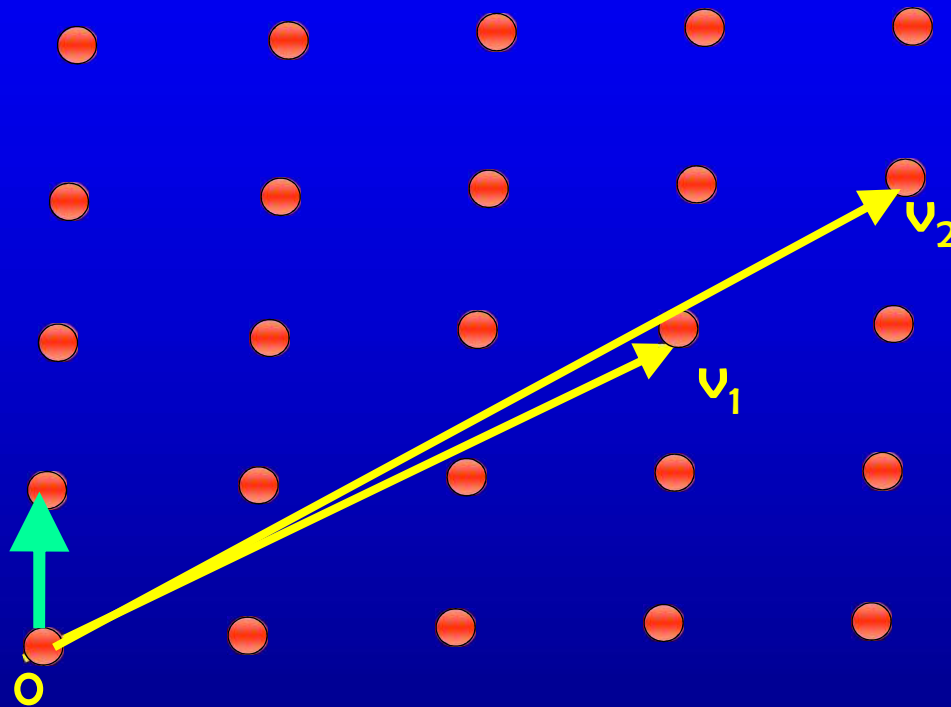
- We call  $v_1, \dots, v_n$  a basis of  $L$



# History

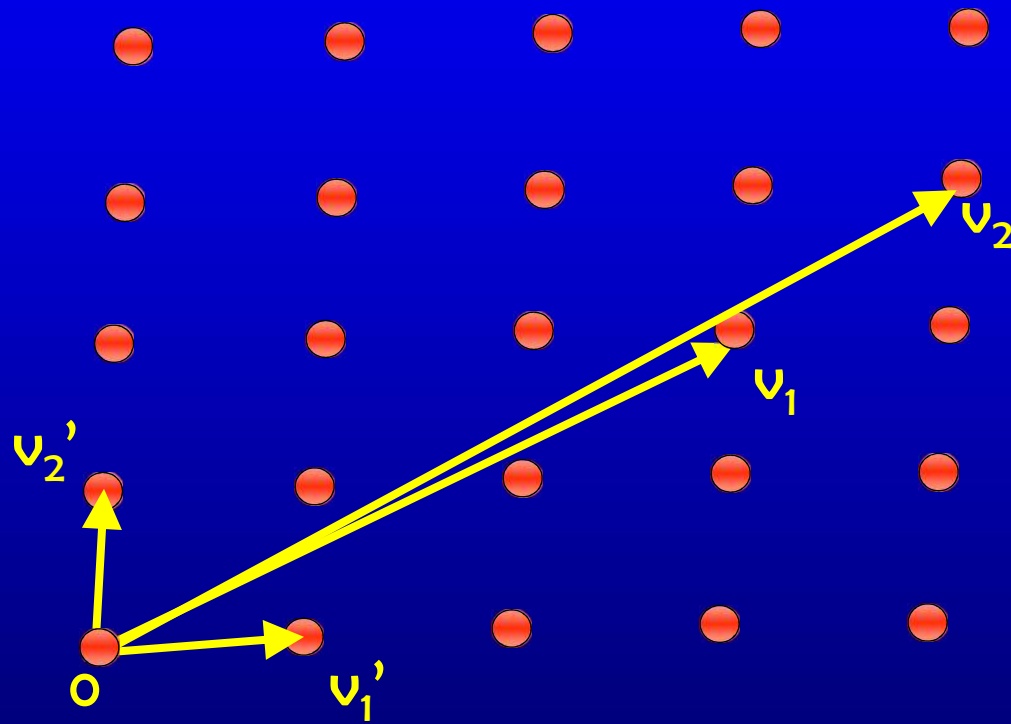
- Geometric objects with rich mathematical structure
- Considerable mathematical interest, starting from early work by Lagrange 1770, Gauss 1801, Hermite 1850, and Minkowski 1896.

# Shortest Vector Problem (SVP)

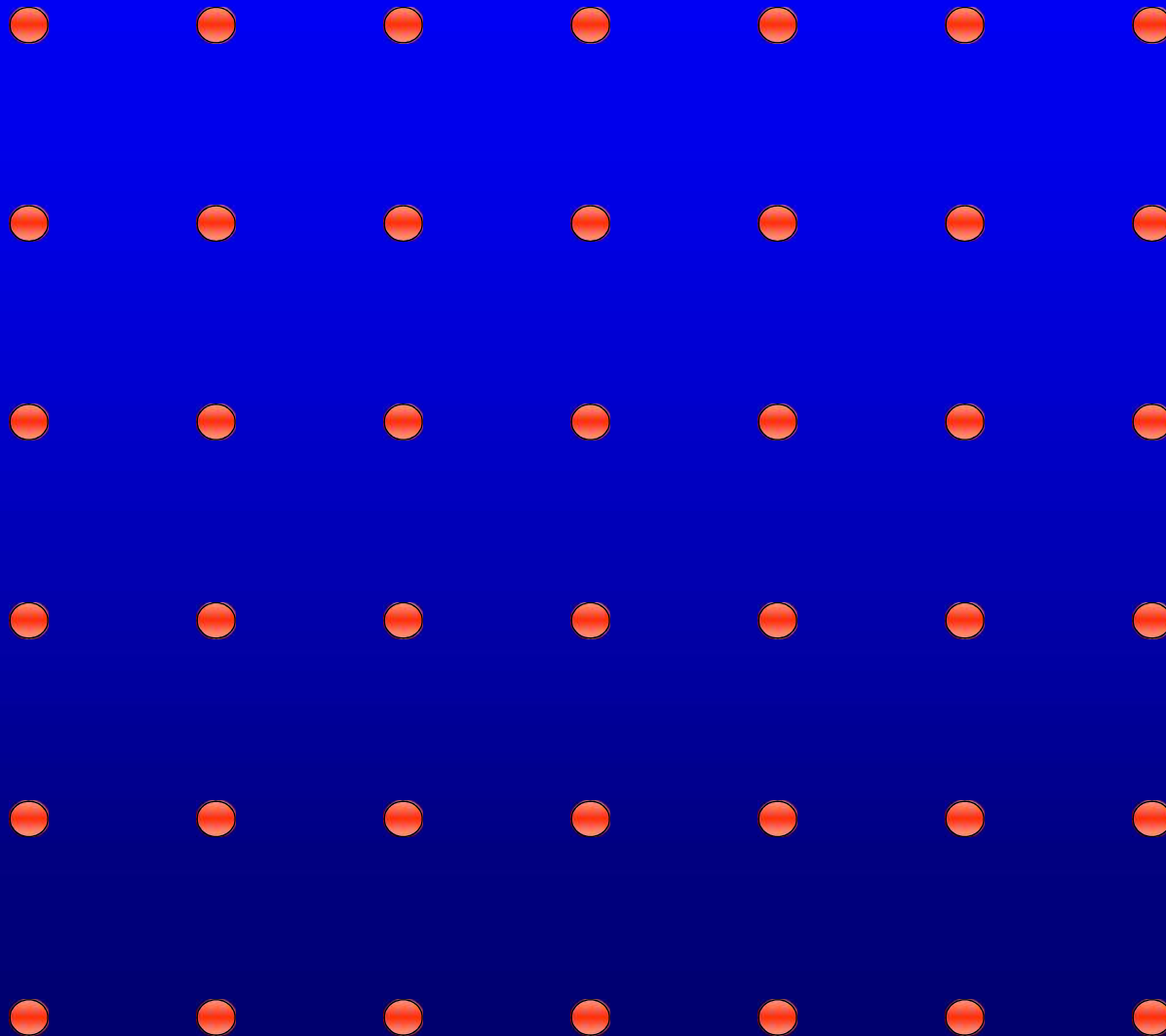


- SVP: Given a lattice, find the shortest vector
- Best known algorithm runs in time  $2^{O(n)}$   
[AjtaiKumarSivakumar01,...]
  - No better quantum algorithm known

# Basis is not Unique



# Even Rotations of $Z^n$ seem hard!



# The LLL Algorithm

[LenstraLenstraLovász82]

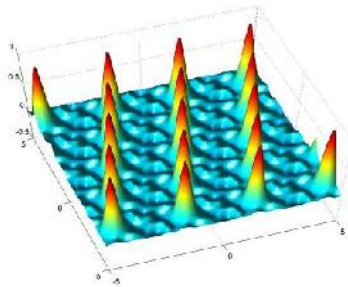
- An efficient algorithm that outputs a “somewhat short” vector in a lattice
- Applications include:
  - Solving integer programs in a fixed dimension,
  - Factoring polynomials over rationals,
  - Finding integer relations:
$$5.709975946676696\dots = 4 + 3\sqrt{5}$$
  - Attacking knapsack-based cryptosystems [LagariasOdlyzko'85] and variants of RSA [Håstad'85, Coppersmith'01]





# Lattices and Cryptography

- Lattices can also be used to create cryptography
- This started with a breakthrough of Ajtai in 1996
- Cryptography based on lattices has many advantages compared with 'traditional' cryptography like RSA:
  - It has strong, mathematically proven, security
  - It is resistant to quantum computers
  - In some cases, it is much faster
  - It can do more: fully homomorphic encryption!

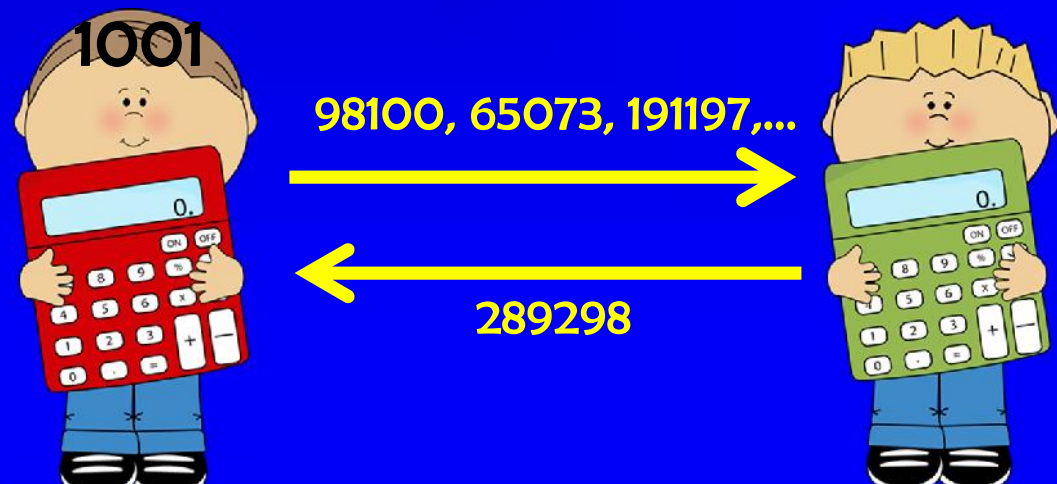




# A Really Simple Public Key Cryptosystem

[Ajtai-Dwork 1997, Cohen 2000, R03, Levieil-Naccache 2008]

- Private key: a random odd integer  $s$
- Public key: a list of random multiples of  $s$  plus a small positive even random number
- Encrypt 0: add random set of half of them
- Encrypt 1: same, but add 1
- Decrypt: compute remainder under division by  $s$  and check if it's odd



# Progress on provable SVP algs

Time

[Kan86]

$$n^{O(n)}$$

[AKS01]

$$2^{O(n)}$$

[NV08, PS09,  
MV10a]...

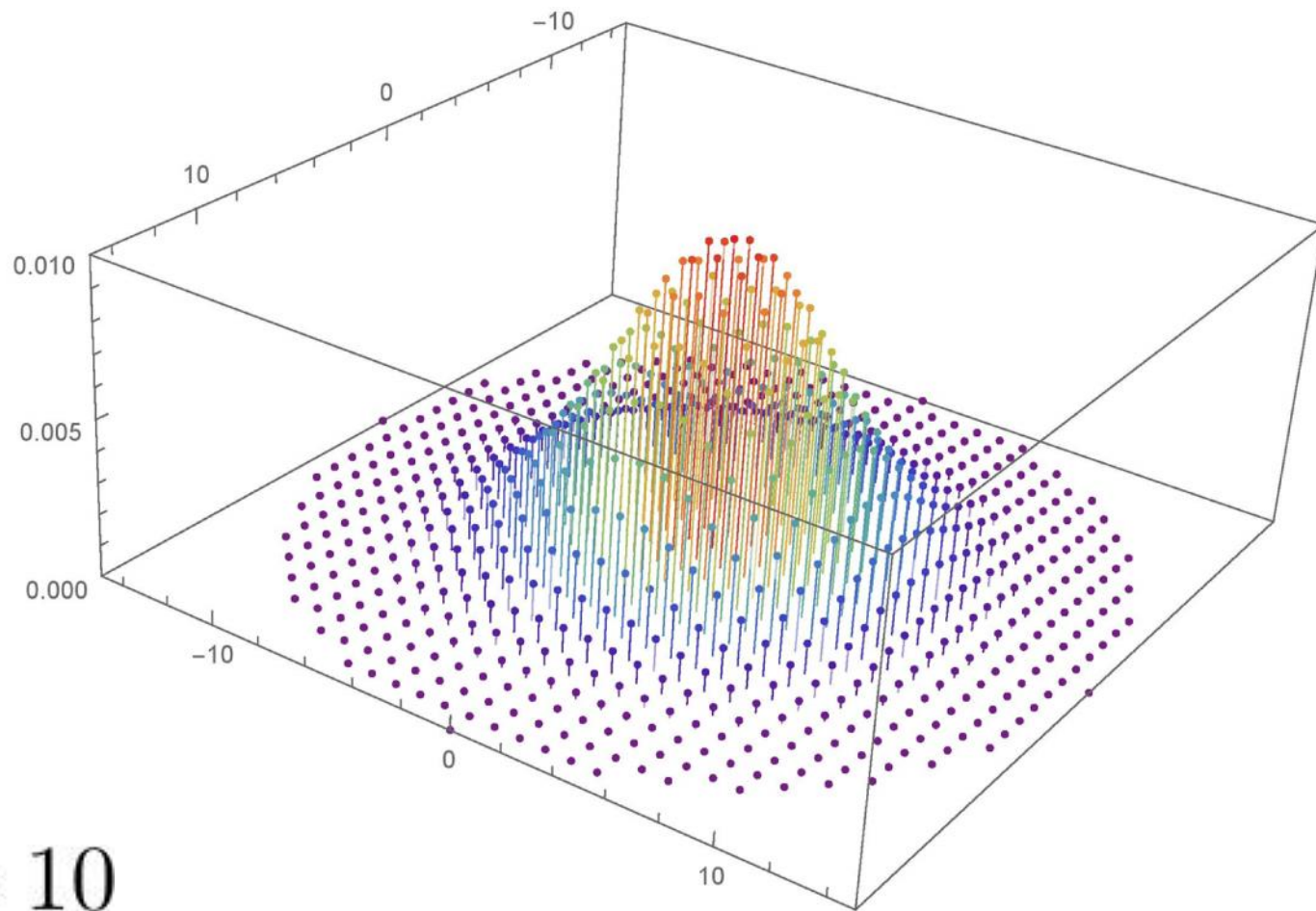
$$2^{2.465n+o(n)}$$

[MV10b] Det

$$2^{2n+o(n)}$$

# Discrete Gaussian Distribution

$$D_{\mathcal{L},s} := \Pr[\mathbf{y}] \propto e^{-\|\mathbf{y}\|^2/s^2}$$



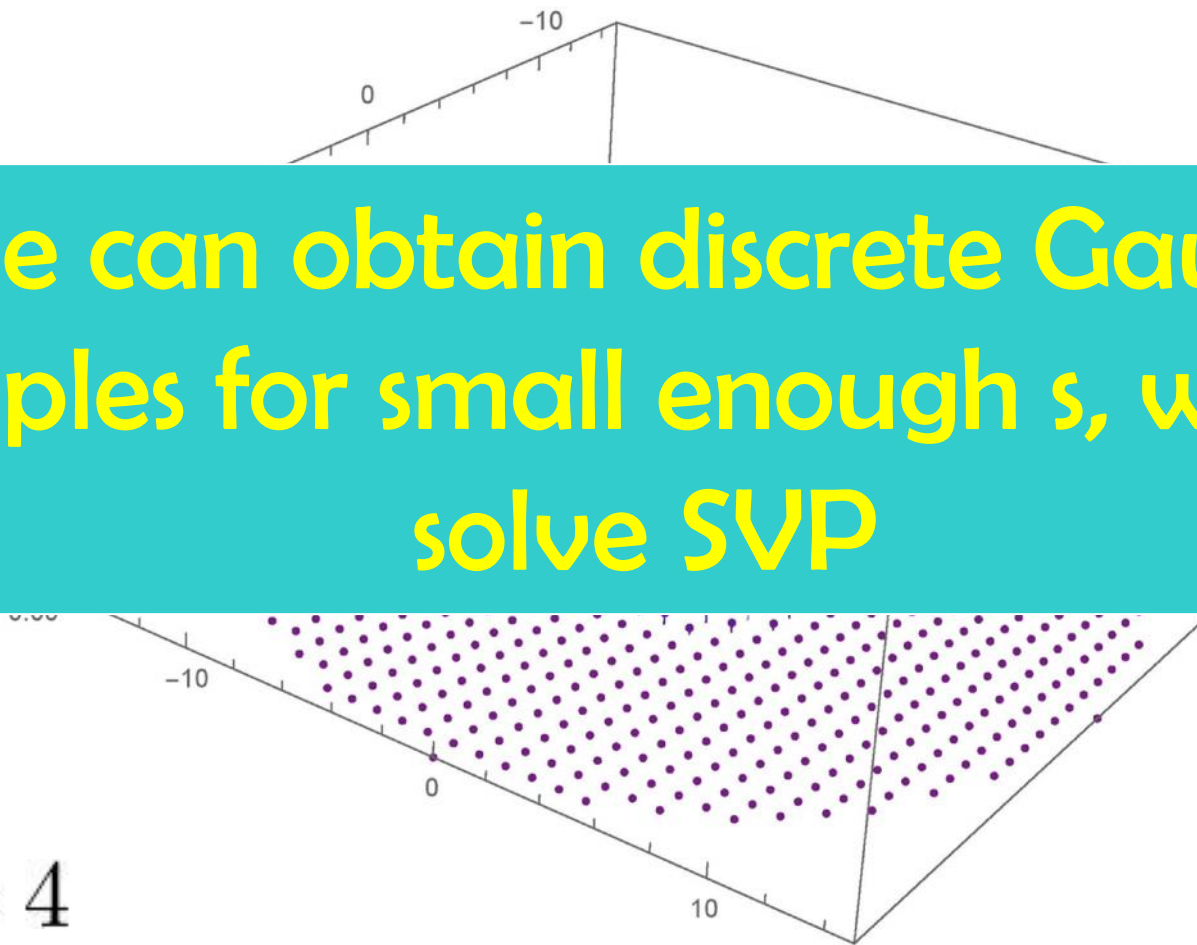
$s = 10$

# Discrete Gaussian Distribution

$$D_{\mathcal{L},s} := \Pr[\mathbf{y}] \propto e^{-\|\mathbf{y}\|^2/s^2}$$

If we can obtain discrete Gaussian samples for small enough  $s$ , we can solve SVP

$$s = 4$$



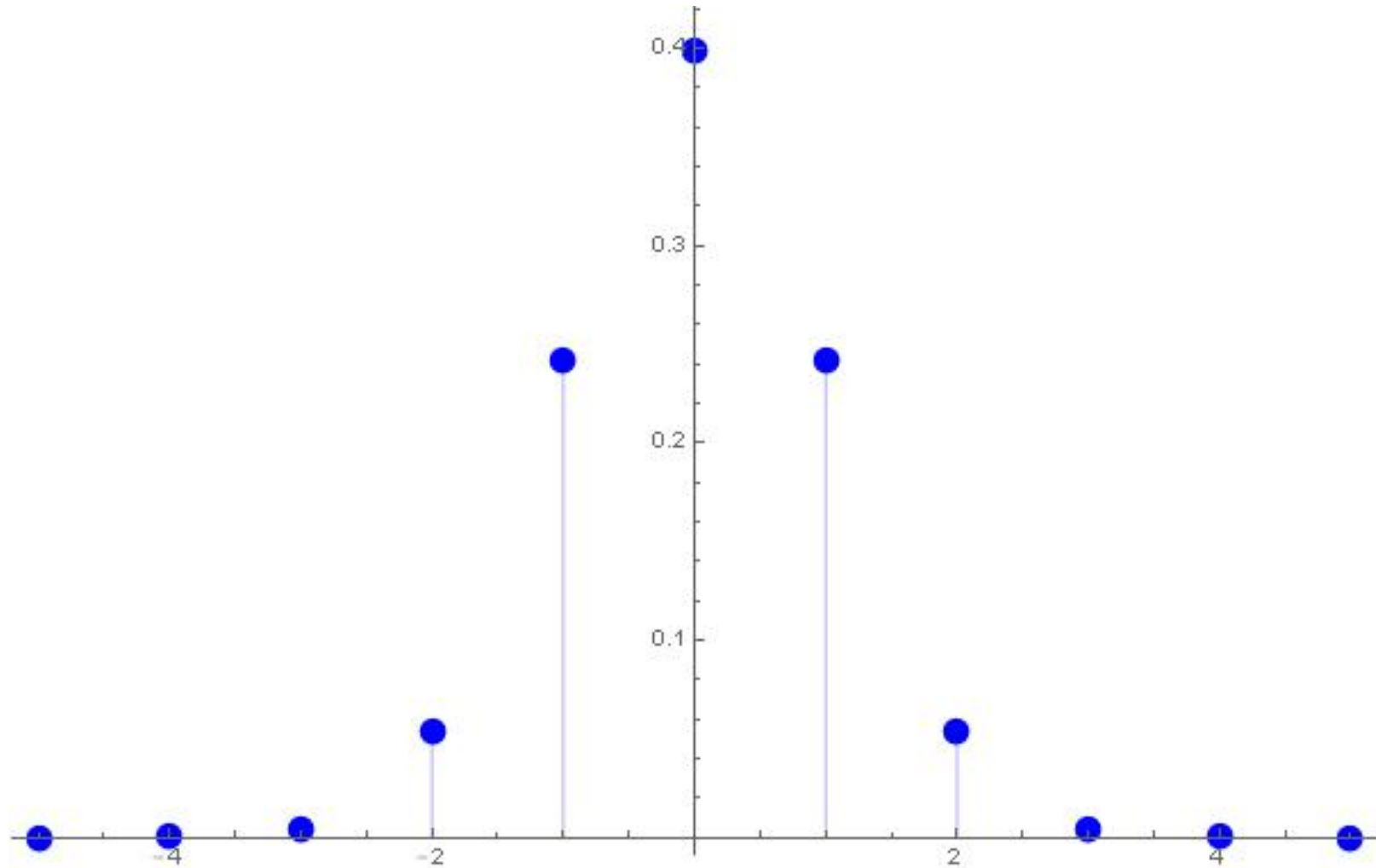
# Obtaining discrete Gaussian samples

- It is easy to obtain samples for large  $s$  [GPV08]
- Our goal: take samples of width  $s$  and output samples with smaller width, say,  $s/\sqrt{2}$ 
  - Then we can simply repeat
- Naïve attempt: given  $x$  output  $x/2$ 
  - Problem:  $x/2$  is not in the lattice!
- Second naïve attempt: only take  $x$  in  $2L$ , and then output  $x/2$ 
  - Correct output distribution, but we keep only  $2^{-n}$  of the samples

# Obtaining discrete Gaussian samples

- A better attempt: partition the samples according to their coset of  $2L$
- Then take two samples from a coset and output their average
  - Notice that if  $x, y$  are in the same coset of  $2L$ , then  $x+y$  is in  $2L$ , and so  $(x+y)/2$  is in  $L$
- Intuitively, since  $x$  and  $y$  are Gaussian with  $s$ , then  $x+y$  is Gaussian with  $\sqrt{2} \cdot s$ , and  $(x+y)/2$  is Gaussian with  $s/\sqrt{2}$
- But is it distributed correctly?

# Input Distribution



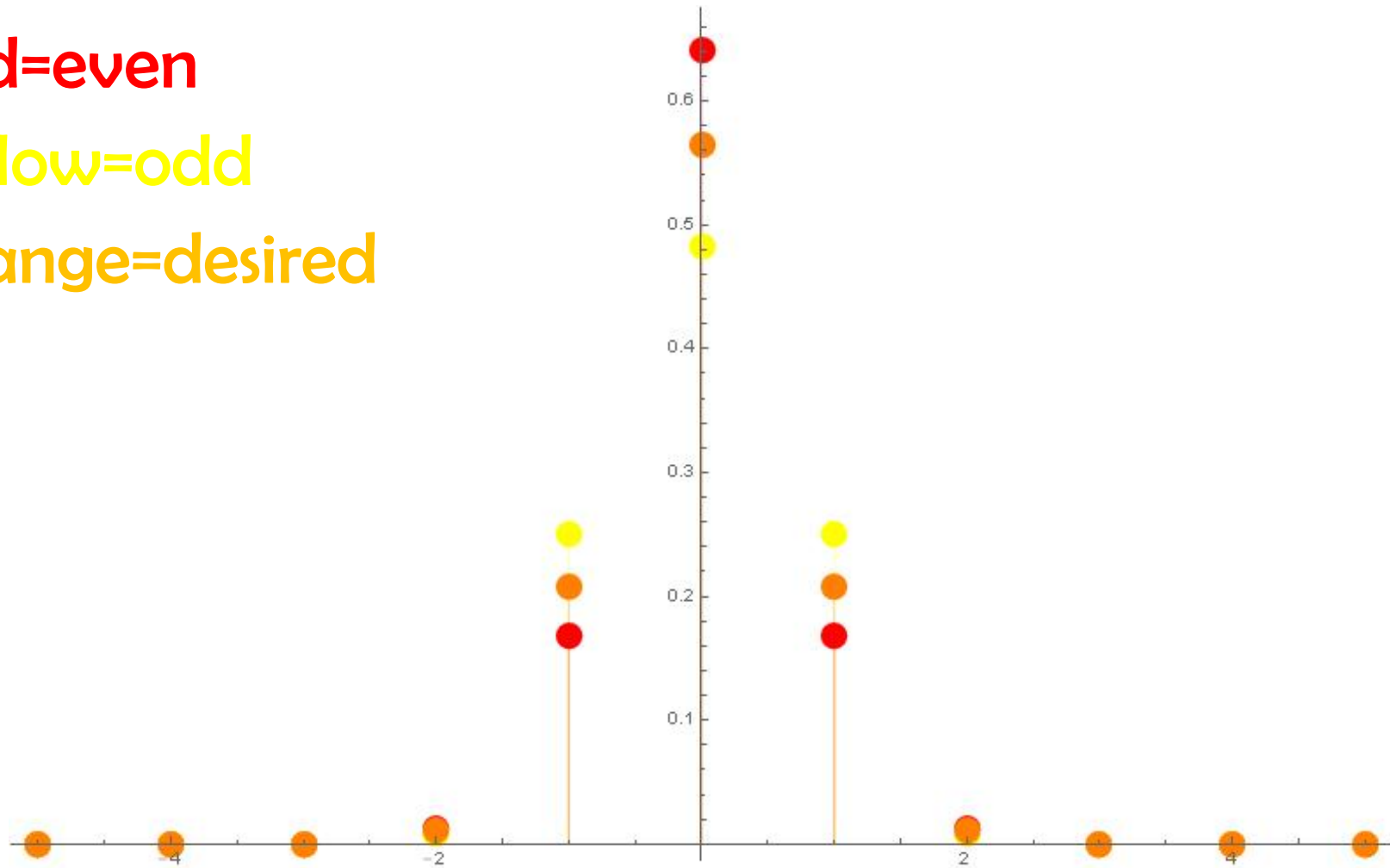


# Output Distribution

Red=even

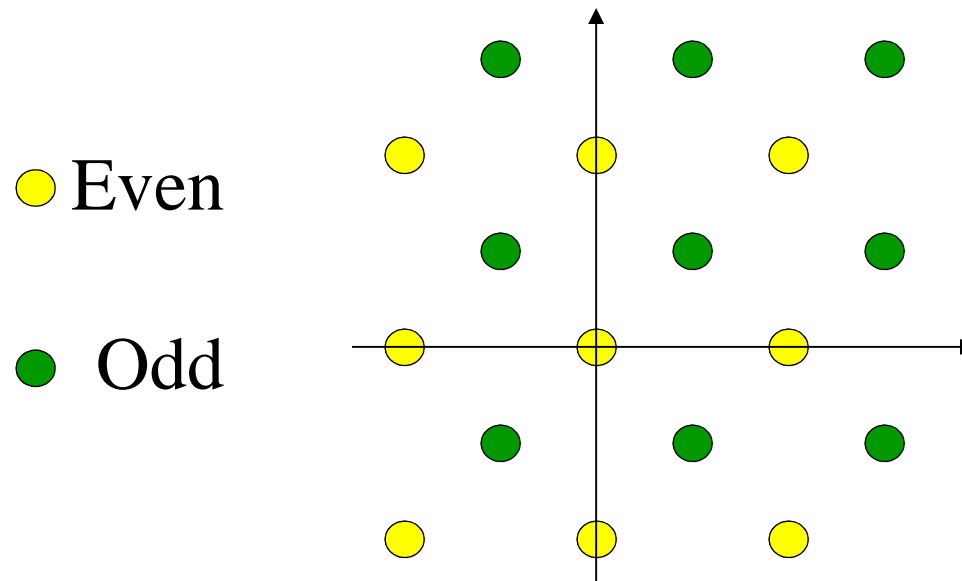
Yellow=odd

Orange=desired



# Obtaining discrete Gaussian samples

- It turns out that by taking “even” with probability  $p^2_{\text{even}}$  and “odd” with probability  $p^2_{\text{odd}}$ , we get *exactly* the discrete Gaussian distribution
- Proof by picture in the one-dimensional case:



# Square Sampling

- Summary so far:
  - Bucket the samples into  $2^n$  buckets, based on their coset of  $2L$
  - Then pick a bucket with probability proportional to *square* of its probability, and output  $(x+y)/2$  for two vectors in the bucket
- For this we use a “square sampling” procedure:  
given samples from a distribution  $(p_1, \dots, p_N)$ , output samples from the distribution  $(p_1^2, \dots, p_N^2) / \sum p_i^2$ 
  - We do this using rejection sampling
  - The loss rate is  $\sum p_i^2 / p_{\max}$
  - Total loss is  $2^{n/2}$  due to magic!



# Summary

- In time  $2^n$  we are able to sample from the discrete Gaussian distribution (of any radius)
  - This implies a  $2^n$  time algorithm for SVP
  - Recent work by my coauthors: also CVP in  $2^n$ !
- A close inspection of our algorithm shows that  $2^{n/2}$  should be the right answer
  - So far we are only able to achieve that above smoothing
  - This implies  $2^{n/2}$  algorithm for  $O(1)$ -GapSVP
  - Puzzle: given coin with unknown heads probability  $p$ ; output a coin with probability  $\tilde{O}p$