

# Torus-Based Cryptography

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**Abstract.** We introduce the concept of torus-based cryptography, give a new public key system called CEILIDH, and compare it to other discrete log based systems including Lucas-based systems and XTR. Like those systems, we obtain small key sizes. While Lucas-based systems and XTR are essentially restricted to exponentiation, we are able to perform multiplication as well. We also disprove the open conjectures from [2], and give a new algebro-geometric interpretation of the approach in that paper and of LUC and XTR.

## 1 Introduction

This paper accomplishes several goals. We introduce a new concept, namely torus-based cryptography, and give a new torus-based public key cryptosystem that we call CEILIDH. We compare CEILIDH with other discrete log based systems, and show that it improves on Diffie-Hellman and Lucas-based systems and has some advantages over XTR. Moreover, we show how to use the mathematics underlying XTR and Lucas-based systems to interpret them in terms of algebraic tori. We also show that a certain conjecture about algebraic tori has as a consequence new torus-based cryptosystems that would generalize and improve on CEILIDH and XTR. Further, we disprove the open conjectures from [2], and thereby show that the approach to generalizing XTR that was suggested in [2] cannot succeed.

The Lucas-based systems, the cubic field system in [5], and XTR have the discrete log security of the field  $\mathbb{F}_{p^n}$ , for  $n = 2, 3$ , and  $6$ , resp., while the data required to be transmitted consists of  $\varphi(n)$  elements of  $\mathbb{F}_p$ . Since these systems have  $n \log p$  bits of security when exchanging  $\varphi(n) \log p$  bits of information, they are more efficient than Diffie-Hellman by a factor of  $n/\varphi(n) = 2, 3/2$ , and  $3$ , respectively. See [10, 15, 16, 20, 21, 1] for Lucas-based systems and LUC, and [3, 7, 8] for XTR and related work.

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What makes discrete log based cryptosystems work is that they are based on the mathematics of algebraic groups. An algebraic group is both a group and an algebraic variety. The group structure allows you to multiply and exponentiate. The variety structure allows you to express all elements and operations in terms of polynomials, and therefore in a form that can be efficiently handled by a computer.

In classical Diffie-Hellman, the underlying algebraic group is  $\mathbb{G}_m$ , the multiplicative group. Algebraic tori (not to be confused with complex tori of elliptic curve fame) are generalizations of the multiplicative group. By definition, an algebraic torus is an algebraic variety that over some extension field is isomorphic to  $(\mathbb{G}_m)^d$ , namely,  $d$  copies of the multiplicative group. For the tori we consider, the group operation is just the usual multiplication in a (larger) finite field.

The cryptosystems based on algebraic tori introduced in this paper accomplish the same goal as Lucas-based systems, XTR, and [5] of attaining the full security of the field  $\mathbb{F}_{p^n}$  while requiring the transmission of only  $\varphi(n)$  elements of  $\mathbb{F}_p$ . However, they additionally take advantage of the fact that an algebraic torus is a multiplicative group. For every  $n$  one can define an algebraic torus  $T_n$  with the property that  $T_n(\mathbb{F}_p)$  consists of the elements in  $\mathbb{F}_{p^n}^\times$  whose norms are 1 down to every intermediate subfield. This torus  $T_n$  has dimension  $\varphi(n)$ . When the torus is “rational”, then its elements can be compactly represented by  $\varphi(n)$  elements of  $\mathbb{F}_p$ . Doing cryptography inside this subgroup of  $\mathbb{F}_{p^n}^\times$  has the security of the Diffie-Hellman problem in  $\mathbb{F}_{p^n}^\times$  (see Lemma 7 below), but only  $\varphi(n)$  elements of  $\mathbb{F}_p$  need to be transmitted.

The CEILIDH<sup>1</sup> public key system is Compact, Efficient, Improves on LUC, and Improves on Diffie-Hellman. It also has some advantages over XTR. The system is based on the 2-dimensional algebraic torus  $T_6$ . The CEILIDH system does discrete log cryptography in a subgroup of  $\mathbb{F}_{p^6}^\times$  while representing the elements in  $\mathbb{F}_p^2$ , giving a savings comparable to that of XTR, and having exactly the same security proof. While XTR and the Lucas-based cryptosystems are essentially restricted to exponentiation, CEILIDH allows full use of multiplication, thereby enabling a wider range of applications. In particular, where XTR uses a hybrid ElGamal encryption scheme that exchanges a key and then does symmetric encryption with that shared key, CEILIDH can do an exact analogue of (non-hybrid) ElGamal, since it has group multiplication at its disposal. Because of this multiplication, any cryptographic application that can be done in an arbitrary group can be done in a torus-based cryptosystem such as CEILIDH.

We also show that XTR, rather than being based on the torus  $T_6$ , is based on a quotient of this torus by the symmetric group  $S_3$ . The reason that XTR does not have a straightforward multiplication is that this quotient variety is not a group. (We note, however, that XTR has additional features that permit efficient computations.)

We exhibit a similar, but easier, construction based on the 1-dimensional torus  $T_2$ , obtaining a system similar to LUC but with the advantage of being

<sup>1</sup> The Scots Gaelic word *ceilidh*, pronounced “kayley”, means a traditional Scottish gathering. This paper is dedicated to the memory of a cat named Ceilidh.

able to efficiently perform the group operation (in fact, directly in  $\mathbb{F}_p$ ). This system has the security of  $\mathbb{F}_{p^2}$  while transmitting elements of the field  $\mathbb{F}_p$  itself.

The next case where  $n/\varphi(n)$  is “large” is when  $n = 30$  (and  $\varphi(n) = 8$ ). Here, the 8-dimensional torus  $T_{30}$  is not known to be rational, though this is believed to be the case. An explicit rational parametrization of  $T_{30}$  would give a compact representation of this group by 8 elements of  $\mathbb{F}_p$ , with the security of the field  $\mathbb{F}_{p^{30}}$ . It would also refute the statement made in the abstract to [2] that “it is unlikely that such a compact representation of elements can be achieved in extension fields of degree thirty.”

Conjectures were made in [2] suggesting a way to generalize LUC and XTR to obtain the security of the field  $\mathbb{F}_{p^{30}}$  while transmitting only 8 elements of  $\mathbb{F}_p$ . In addition to showing that a rational parametrization of the torus  $T_{30}$  would accomplish this, we also show that the method suggested in [2] for doing this cannot. The reason is that, reinterpreting the conjectures in [2] in the language of algebraic tori, they say that the coordinate ring of the quotient of  $T_{30}$  by a certain product of symmetric groups is generated by the first 8 of the symmetric functions on 30 elements. (This would generalize the fact that the coordinate ring of  $T_6/S_3$  is generated by the trace, which is what enables the success of XTR.) In §2 we disprove the open conjectures from [2]. This confirms the idea in [2] that the approach in [2] is unlikely to work.

Section 2 gives counterexamples to the open questions in [2]. Section 3 gives background on algebraic tori, defines the tori  $T_n$ , shows that  $T_n(\mathbb{F}_q)$  is the subgroup of  $\mathbb{F}_{q^n}^\times$  of order  $\Phi_n(q)$ , and shows that the security of cryptosystems based on this group is the discrete log security of  $\mathbb{F}_{q^n}^\times$ . Section 4 discusses rational parametrizations and compact representations, while §5 gives explicit rational parametrizations of  $T_6$  and  $T_2$ . In §6 we introduce torus-based cryptography, and give the CEILIDH system (based on the torus  $T_6$ ), a system based on  $T_2$ , and conjectured systems based on  $T_n$  for all  $n$  (most interesting for  $n = 30$  or 210). In §7 we reinterpret the Lucas-based cryptosystems, XTR, and the point of view in [2] in terms of algebraic tori, and compare these systems to our torus-based systems.

Note that [12] gives another example, this time in the context of elliptic curves rather than multiplicative groups of fields, where the Weil restriction of scalars is used to obtain  $n \log(q)$  bits of security from  $\varphi(n) \log(q)$  bit transmissions.

## 1.1 Notation

Let  $\mathbb{F}_q$  denote the finite field with  $q$  elements, where  $q$  is a prime power. Write  $\varphi$  for the Euler  $\varphi$ -function. Write  $\Phi_n$  for the  $n$ -th cyclotomic polynomial, and let  $G_{q,n}$  be the subgroup of  $\mathbb{F}_{q^n}^\times$  of order  $\Phi_n(q)$ . Let  $\mathbb{A}^n$  denote  $n$ -dimensional affine space, i.e., the variety whose  $\mathbb{F}_q$ -points are  $\mathbb{F}_q^n$  for every  $q$ .

## 2 Counterexamples to the Open Questions in [2]

Four conjectures are stated in [2]. The two “strong” conjectures are disproved there. Here we disprove the two remaining conjectures (Conjectures 1 and 3 of

[2], which are also called  $(d, e)$ -**BPV** and  $n$ -**BPV**). In fact, we do better. We give examples that show not only that the conjectures are false, but also that weaker forms of the conjectures (i.e., with less stringent conclusions) are also false.

Fix an integer  $n > 1$ , a prime power  $q$ , and a factorization  $n = de$  with  $e > 1$ . Recall that  $G_{q,n}$  is the subgroup of  $\mathbb{F}_{q^n}^\times$  of order  $\Phi_n(q)$ , where  $\Phi_n$  is the  $n$ -th cyclotomic polynomial. Let  $S_{q,n}$  be the set of elements of  $G_{q,n}$  not contained in any proper subfield of  $\mathbb{F}_{q^n}$  containing  $\mathbb{F}_q$ . For  $h \in G_{q,n}$ , let  $P_h^{(d)}$  be the characteristic polynomial of  $h$  over  $\mathbb{F}_{q^d}$ , and define functions  $a_j : G_{q,n} \rightarrow \mathbb{F}_{q^d}$  by

$$P_h^{(d)}(X) = X^e + a_{e-1}(h)X^{e-1} + \cdots + a_1(h)X + a_0(h).$$

Then  $a_0(h) = (-1)^e$ , and if also  $n$  is even then

$$a_j(h) = (-1)^e (a_{e-j}(h))^{q^{n/2}} \quad (1)$$

for all  $j \in \{1, \dots, e-1\}$  (see for example Theorem 1 of [2]).

The following conjecture is a *consequence* of Conjecture  $(d, e)$ -**BPV** of [2].

**Conjecture  $(p, d, e)$ -**BPV'** ([2])** *Let  $u = \lceil \varphi(n)/d \rceil$ . There are polynomials  $Q_1, \dots, Q_{e-u-1} \in \mathbb{Z}[x_1, \dots, x_u]$  such that for all  $h \in S_{p,n}$  and  $j \in \{1, \dots, e-u-1\}$ ,*

$$a_j(h) = Q_j(a_{e-u}(h), \dots, a_{e-1}(h)).$$

We will prove below the following result.

**Theorem 1** *Conjecture  $(p, d, e)$ -**BPV'** is false when  $(p, d, e)$  is any one of the triples  $(7, 1, 30)$ ,  $(7, 2, 15)$ ,  $(11, 1, 30)$ ,  $(11, 2, 15)$ .*

If  $n > 1$  is fixed, then Conjecture  $n$ -**BPV** of [2] says that there exists a divisor  $d$  of both  $n$  and  $\varphi(n)$  such that  $(d, n/d)$ -**BPV** holds. Since  $\gcd(30, \varphi(30)) = 2$ , when  $n = 30$  we need only consider  $d = 1$  and  $2$ . Since  $(d, n/d)$ -**BPV** implies  $(p, d, n/d)$ -**BPV'** for every  $p$ , the following is an immediate consequence of Theorem 1.

**Corollary 2** *Conjectures  $(1, 30)$ -**BPV**,  $(2, 15)$ -**BPV**, and  $30$ -**BPV** of [2] are false. Thus, Conjectures 1 and 3 of [2] are both false.*

**Remark 3** The case  $n = 30$  is particularly relevant for cryptographic applications, because this is the smallest value of  $n$  for which  $n/\varphi(n) > 3$ . If Conjecture  $30$ -**BPV** of [2] were true it would have had cryptographic applications.

*Proof of Theorem 1.* If Conjecture  $(p, d, e)$ -**BPV'** were true, then for every  $h \in S_{p,n}$  the values  $a_{e-u}(h), \dots, a_{e-1}(h)$  would determine  $a_j(h)$  for every  $j$ . We will disprove Conjecture  $(p, d, e)$ -**BPV'** by exhibiting two elements  $h, h' \in S_{p,n}$  such that  $a_j(h) = a_j(h')$  whenever  $e-u \leq j \leq e-1$  but  $a_j(h) \neq a_j(h')$  for at least one  $j < e-u$ .

Let  $n = 30$ , and  $p = 7$  or  $11$ . Note that  $\Phi_{30}(7) = 6568801$  (a prime) and  $\Phi_{30}(11) = 31 \times 7537711$ . Since  $\Phi_{30}(p)$  is relatively prime to  $30$ , by Lemma 1 of [2] we have  $S_{p,30} = G_{p,30} - \{1\}$ . We view the field  $\mathbb{F}_{p^{30}}$  as  $\mathbb{F}_p[x]/f(x)$  with an irreducible polynomial  $f(x) \in \mathbb{F}_p[x]$ , and we fix a generator  $g$  of  $G_{p,n}$ . Specifically, let  $r = (p^{30} - 1)/\Phi_{30}(p)$  and let

$$\begin{aligned} f(x) &= x^{30} + x^2 + x + 5, & g &= x^r, & \text{if } p &= 7, \\ f(x) &= x^{30} + 2x^2 + 1, & g &= (x + 1)^r, & \text{if } p &= 11. \end{aligned}$$

Case 1:  $n = 30$ ,  $e = 30$ ,  $d = 1$ . Then  $u = \lceil \varphi(n)/d \rceil = 8$ . For  $h \in S_{p,30} = G_{p,30} - \{1\}$  and  $1 \leq j \leq 29$  we have  $a_j(h) = a_{30-j}(h)$  by (1), so we need only consider  $a_j(h)$  for  $15 \leq j \leq 29$ .

By constructing a table of  $g^i$  and their characteristic polynomials  $P_{g^i}^{(d)}$  for  $i = 1, 2, \dots$ , and checking for matching coefficients, we found the examples in Tables 1 and 2. The examples in Table 1 disprove Conjecture (7, 1, 30)-BPV' and the examples in Table 2 disprove Conjecture (11, 1, 30)-BPV'.

$h \setminus j$	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29
$g^{2754}$	3	2	0	6	4	4	2	5	4	0	2	2	1	4	4
$g^{6182}$	5	4	4	5	5	3	1	5	4	0	2	2	1	4	4
$g^{5374}$	2	0	5	2	1	6	4	6	1	1	5	6	4	2	6
$g^{23251}$	4	2	0	2	3	6	4	6	1	1	5	6	4	2	6

Table 1. Values of  $a_j(h) \in \mathbb{F}_7$  for several  $h \in G_{7,30}$

$h \setminus j$	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29
$g^{7525}$	10	2	9	7	7	5	6	9	2	1	8	10	4	1	10
$g^{31624}$	10	2	2	4	2	3	10	9	2	1	8	10	4	1	10
$g^{46208}$	9	9	6	10	6	10	10	8	1	3	2	7	4	6	5
$g^{46907}$	7	8	0	0	1	7	10	8	1	3	2	7	4	6	5

Table 2. Values of  $a_j(h) \in \mathbb{F}_{11}$  for several  $h \in G_{11,30}$

Case 2:  $n = 30$ ,  $e = 15$ ,  $d = 2$ . Then  $u = \lceil \varphi(n)/d \rceil = 4$ . For  $h \in S_{p,30} = G_{p,30} - \{1\}$  and  $1 \leq j \leq 14$  we have  $a_j(h) = \bar{a}_{15-j}(h)$  by (1), where  $\bar{a}$  denotes conjugation in  $\mathbb{F}_{p^2}$ . Thus we need only consider  $a_j(h)$  for  $8 \leq j \leq 14$ . View  $\mathbb{F}_{p^2}$  as  $\mathbb{F}_p(i)$  where  $i^2 = -1$ . A computer search as above leads to the examples in Tables 3 and 4. The examples in Table 3 disprove Conjecture (7, 2, 15)-BPV' and the examples in Table 4 disprove Conjecture (11, 2, 15)-BPV'.

This concludes the proof of Theorem 1.

$h \setminus j$	8	9	10	11	12	13	14
$g^{173}$	$4 + 4i$	$5 + i$	$1 + 6i$	$4i$	$2 + 3i$	$6 + 3i$	$3 + i$
$g^{2669}$	6	$6 + 3i$	$5 + i$	$4i$	$2 + 3i$	$6 + 3i$	$3 + i$
$g^{764}$	$6 + 6i$	<b>5</b>	<b>5</b>	<b>0</b>	<b>0</b>	<b>6</b>	<b>2</b>
$g^{5348}$	$6 + i$	<b>5</b>	<b>5</b>	<b>0</b>	<b>0</b>	<b>6</b>	<b>2</b>

**Table 3.** Values of  $a_j(h) \in \mathbb{F}_{49}$  for certain  $h \in G_{7,30}$ 

$h \setminus j$	8	9	10	11	12	13	14
$g^{9034}$	$10 + i$	$10i$	$3 + 3i$	$1 + 4i$	$8 + 9i$	$5 + 4i$	<b>9</b>
$g^{18196}$	$6 + 8i$	$9 + 10i$	$8 + i$	$1 + 4i$	$8 + 9i$	$5 + 4i$	<b>9</b>

**Table 4.** Values of  $a_j(h) \in \mathbb{F}_{121}$  for certain  $h \in G_{11,30}$ 

**Remark 4** Using these examples and some algebraic geometry, we prove in Theorem 5.3 of [13] that Conjectures  $(p, 1, 30)$ -BPV' and  $(p, 2, 15)$ -BPV' are each false for almost every prime  $p$ .

**Remark 5** For  $d = 1$  and  $e = 30$ , the last two lines of Table 1 (resp., Table 2) show that even the larger collection of values  $a_{18}(h), a_{20}(h), \dots, a_{29}(h)$  (resp.,  $a_{21}(h), \dots, a_{29}(h)$ ) does not determine any of the other values when  $p = 7$  (resp.,  $p = 11$ ). We also found that no 8 coefficients determine all the rest; we found 64 pairs of elements so that given any set of 8 coefficients, one of these 64 pairs match up on these coefficients but not everywhere. In fact, we computed additional examples that show that when  $p = 7$ , no ten coefficients determine all the rest. We also show that when  $p = 7$  no set of eight coefficients determines even one additional coefficient.

Suppose now  $d = 2$ ,  $e = 15$ , and  $p = 7$ . Then the last two lines of Table 3 show that even the larger collection of values  $a_9(h), \dots, a_{14}(h)$  does not determine the remaining value  $a_8(h) \in \mathbb{F}_{49}$ . We have computed additional examples that show that *no* choice of four of the values  $a_8(h), \dots, a_{14}(h)$  determines the other three.

### 3 Algebraic Tori

Good references for algebraic tori are [11, 17].

**Definition 6** An *algebraic torus*  $T$  over  $\mathbb{F}_q$  is an algebraic group defined over  $\mathbb{F}_q$  that over some finite extension field is isomorphic to  $(\mathbb{G}_m)^d$ , where  $\mathbb{G}_m$  is the multiplicative group and  $d$  is necessarily the dimension of  $T$ . If  $T$  is isomorphic to  $(\mathbb{G}_m)^d$  over  $\mathbb{F}_{q^n}$ , then one says that  $\mathbb{F}_{q^n}$  *splits*  $T$ .

Let  $k = \mathbb{F}_q$  and  $L = \mathbb{F}_{q^n}$ . Writing  $\text{Res}_{L/k}$  for the Weil restriction of scalars from  $L$  to  $k$  (see §3.12 of [17] or §1.3 of [19] for the definition and properties), then

$\text{Res}_{L/k}\mathbb{G}_m$  is a torus. The universal property of the Weil restriction of scalars gives an isomorphism:

$$(\text{Res}_{L/k}\mathbb{G}_m)(k) \cong \mathbb{G}_m(L) = L^\times. \quad (2)$$

If  $k \subset F \subset L$  then the universal property also gives a norm map:

$$\text{Res}_{L/k}\mathbb{G}_m \xrightarrow{N_{L/F}} \text{Res}_{F/k}\mathbb{G}_m$$

which makes the following diagram commute:

$$\begin{array}{ccc} (\text{Res}_{L/k}\mathbb{G}_m)(k) & \xrightarrow{N_{L/F}} & (\text{Res}_{F/k}\mathbb{G}_m)(k) \\ \cong \downarrow & & \cong \downarrow \\ L^\times & \xrightarrow{N_{L/F}} & F^\times \end{array} \quad (3)$$

(recall that the norm of an element is the product of its conjugates).

Define the torus  $T_n$  to be the intersection of the kernels of the norm maps  $N_{L/F}$ , for all subfields  $k \subset F \subsetneq L$ .

$$T_n := \ker \left[ \text{Res}_{L/k}\mathbb{G}_m \xrightarrow{\oplus N_{L/F}} \bigoplus_{k \subsetneq F \subsetneq L} \text{Res}_{F/k}\mathbb{G}_m \right].$$

By (3), for  $k$ -points we have:

$$T_n(k) \cong \{\alpha \in L^\times : N_{L/F}(\alpha) = 1 \text{ whenever } k \subset F \subsetneq L\}. \quad (4)$$

The dimension of  $T_n$  is  $\varphi(n)$  (see [17]).

The group  $T_n(\mathbb{F}_q)$  is a subgroup of the multiplicative group  $\mathbb{F}_{q^n}^\times$ . Lemma 7 below identifies  $T_n(\mathbb{F}_q)$  with the cyclic subgroup  $G_{q,n} \subset \mathbb{F}_{q^n}^\times$  of order  $\Phi_n(q)$ , and shows that the security of discrete log-based cryptosystems on the group  $T_n$  is really that of the multiplicative group of  $\mathbb{F}_{q^n}$  and not any smaller field.

**Lemma 7** (i)  $T_n(\mathbb{F}_q) \cong G_{q,n}$ .

(ii)  $\#T_n(\mathbb{F}_q) = \Phi_n(q)$ .

(iii) If  $h \in T_n(\mathbb{F}_q)$  is an element of prime order not dividing  $n$ , then  $h$  does not lie in a proper subfield of  $\mathbb{F}_{q^n}/\mathbb{F}_q$ .

*Proof.* The group  $\mathbb{F}_{q^n}^\times$  is cyclic of order  $q^n - 1$ , and  $\text{Gal}(\mathbb{F}_{q^n}/\mathbb{F}_q)$  is generated by the Frobenius automorphism which sends  $x \in \mathbb{F}_{q^n}^\times$  to  $x^q$ . Hence if  $t$  divides  $n$ , then  $N_{\mathbb{F}_{q^n}/\mathbb{F}_{q^t}}(x) = x^{(q^n-1)/(q^t-1)}$ . Thus by (4),

$$T_n(\mathbb{F}_q) \cong \{x \in \mathbb{F}_{q^n}^\times : x^c = 1\} \quad (5)$$

where  $c = \gcd\{(q^n - 1)/(q^t - 1) : t \mid n \text{ and } t \neq n\}$ . Since  $q^t - 1 = \prod_{j \mid t} \Phi_j(q)$ , we have that  $\Phi_n(q)$  divides  $c$ . There are polynomials  $a_t(u) \in \mathbb{Z}[u]$  such that

$$\sum_{t \mid n, t \neq n} a_t(u) \frac{u^n - 1}{u^t - 1} = \Phi_n(u)$$

(see for example Theorem 1 of [4] or Theorem 2 of [14]<sup>2</sup>), and so  $c$  divides  $\Phi_n(q)$  as well. Thus  $c = \Phi_n(q)$ , so  $T_n(\mathbb{F}_q) \cong G_{q,n}$  by (5) and the definition of  $G_{q,n}$ . Part (ii) follows from (i). Part (iii) now follows from Lemma 1 of [2].

## 4 Rationality of Tori and Compact Representations

**Definition 8** Suppose  $T$  is an algebraic torus over  $\mathbb{F}_q$  of dimension  $d$ . Then  $T$  is *rational* if and only if there is a birational map  $\rho : T \rightarrow \mathbb{A}^d$  defined over  $\mathbb{F}_q$ . In other words,  $T$  is rational if and only if, after embedding  $T$  in an affine space  $\mathbb{A}^t$ , there are Zariski open subsets  $W \subset T$  and  $U \subset \mathbb{A}^d$ , and (rational) functions  $\rho_1, \dots, \rho_d \in \mathbb{F}_q(x_1, \dots, x_t)$  and  $\psi_1, \dots, \psi_t \in \mathbb{F}_q(y_1, \dots, y_d)$  such that  $\rho = (\rho_1, \dots, \rho_d) : W \rightarrow U$  and  $\psi = (\psi_1, \dots, \psi_t) : U \rightarrow W$  are inverse isomorphisms. Call such a map  $\rho$  a *rational parametrization* of  $T$ .

A rational parametrization of a torus  $T$  gives a *compact representation* of the group  $T(\mathbb{F}_q)$ , i.e., a way to represent every element of the subset  $W(\mathbb{F}_q) \subset T(\mathbb{F}_q)$  by  $d$  coordinates in  $\mathbb{F}_q$ . In general this is “best possible” (in terms of the number of coordinates), since a rational variety of dimension  $d$  has approximately  $q^d$  points over  $\mathbb{F}_q$ , and thus cannot be represented by fewer than  $d$  elements of  $\mathbb{F}_q$ .

Letting  $X = T - W$ , then  $\dim(X) \leq d - 1$ , so  $|X(\mathbb{F}_q)| = O(q^{d-1})$ . Thus the fraction of elements in  $T(\mathbb{F}_q)$  that are “missed” by a compact representation is  $|X(\mathbb{F}_q)|/|T(\mathbb{F}_q)| = O(1/q)$ . For cryptographically interesting values of  $q$  this will be very small, and in special cases (by describing  $X$  explicitly as in the examples below) we obtain an even better bound.

**Conjecture 9 (Voskresenskii [17])** *The torus  $T_n$  is rational.*

The conjecture is true for  $n$  if  $n$  is a prime power (see Chapter 2 of [17]) or a product of two prime powers ([6]; see also §6.3 of [17]). In the next section we will exhibit explicit rational parametrizations when  $n = 6$  and 2.

When  $n$  is divisible by more than two distinct primes the conjecture is still open. Note that [18] claims a proof of a result that would imply that for every  $n$ ,  $T_n$  is rational over  $\mathbb{F}_q$  for almost all  $q$ . However, there is a serious flaw in the proof. Even the case  $n = 30$ , which would have interesting cryptographic applications, is not settled.

## 5 Explicit Rational Parametrizations

### 5.1 Rational Parametrization of $T_6$

Next we obtain an explicit rational parametrization of the torus  $T_6$ , thereby giving a compact representation of  $T_6(\mathbb{F}_q)$ . More precisely, we will show that  $T_6$  is birationally isomorphic to  $\mathbb{A}^2$ , and therefore every element of  $T_6(\mathbb{F}_q)$  can be represented by two elements of  $\mathbb{F}_q$ .

<sup>2</sup> The authors thank D. Bernstein and H. Lenstra for pointing out references [4, 14].



Fix  $x \in \mathbb{F}_{q^2} - \mathbb{F}_q$ , so  $\mathbb{F}_{q^2} = \mathbb{F}_q(x)$ , and choose an  $\mathbb{F}_q$ -basis  $\{\alpha_1, \alpha_2, \alpha_3\}$  of  $\mathbb{F}_{q^3}$ . Then  $\{\alpha_1, \alpha_2, \alpha_3, x\alpha_1, x\alpha_2, x\alpha_3\}$  is an  $\mathbb{F}_q$ -basis of  $\mathbb{F}_{q^6}$ . Let  $\sigma \in \text{Gal}(\mathbb{F}_{q^6}/\mathbb{F}_q)$  be the element of order 2. Define a (one-to-one) map  $\psi_0 : \mathbb{A}^3(\mathbb{F}_q) \hookrightarrow \mathbb{F}_{q^6}^\times$  by

$$\psi_0(u_1, u_2, u_3) = \frac{\gamma + x}{\gamma + \sigma(x)}$$

where  $\gamma = u_1\alpha_1 + u_2\alpha_2 + u_3\alpha_3$ . Then  $N_{\mathbb{F}_{q^6}/\mathbb{F}_{q^3}}(\psi_0(\mathbf{u})) = 1$  for every  $\mathbf{u} = (u_1, u_2, u_3)$ . Let  $U = \{\mathbf{u} \in \mathbb{A}^3 : N_{\mathbb{F}_{q^6}/\mathbb{F}_{q^3}}(\psi_0(\mathbf{u})) = 1\}$ . By (4),  $\psi_0(\mathbf{u}) \in T_6(\mathbb{F}_q)$  if and only if  $u \in U$ , so restricting  $\psi_0$  to  $U$  gives a morphism  $\psi_0 : U \rightarrow T_6$ . It follows from Hilbert's Theorem 90 that every element of  $T_6(\mathbb{F}_q) - \{1\}$  is in the image of  $\psi_0$ , so  $\psi_0$  defines an isomorphism

$$\psi_0 : U \xrightarrow{\sim} T_6 - \{1\}.$$

We will next define a birational map from  $\mathbb{A}^2$  to  $U$ . A calculation in Mathematica shows that  $U$  is a hypersurface in  $\mathbb{A}^3$  defined by a quadratic equation in  $u_1, u_2, u_3$ . Fix a point  $\mathbf{a} = (a_1, a_2, a_3) \in U(\mathbb{F}_q)$ . By adjusting the basis  $\{\alpha_1, \alpha_2, \alpha_3\}$  of  $\mathbb{F}_{q^6}$  if necessary, we can assume without loss of generality that the tangent plane at  $\mathbf{a}$  to the surface  $U$  is the plane  $u_1 = a_1$ . If  $(v_1, v_2) \in \mathbb{F}_q \times \mathbb{F}_q$ , then the intersection of  $U$  with the line  $\mathbf{a} + t(1, v_1, v_2)$  consists of two points, namely  $\mathbf{a}$  and a point of the form  $\mathbf{a} + \frac{1}{f(v_1, v_2)}(1, v_1, v_2)$  where  $f(v_1, v_2) \in \mathbb{F}_q[v_1, v_2]$  is an explicit polynomial that we computed in Mathematica. The map that takes  $(v_1, v_2)$  to this latter point is an isomorphism

$$g : \mathbb{A}^2 - V(f) \xrightarrow{\sim} U - \{\mathbf{a}\},$$

where  $V(f)$  denotes the subvariety of  $\mathbb{A}^2$  defined by  $f(v_1, v_2) = 0$ . Thus  $\psi_0 \circ g$  defines an isomorphism

$$\psi : \mathbb{A}^2 - V(f) \xrightarrow{\sim} T_6 - \{1, \psi_0(\mathbf{a})\}.$$

For the inverse isomorphism, suppose that  $\beta = \beta_1 + \beta_2 x \in T_6(\mathbb{F}_q) - \{1, \psi_0(\mathbf{a})\}$  with  $\beta_1, \beta_2 \in \mathbb{F}_{q^3}$ . One checks easily that  $\beta_2 \neq 0$ , and if  $\gamma = (1 + \beta_1)/\beta_2$  then  $\gamma/\sigma(\gamma) = \beta$ . Write  $(1 + \beta_1)/\beta_2 = u_1\alpha_1 + u_2\alpha_2 + u_3\alpha_3$  with  $u_i \in \mathbb{F}_q$ , and define

$$\rho(\beta) = \left( \frac{u_2 - a_2}{u_1 - a_1}, \frac{u_3 - a_3}{u_1 - a_1} \right).$$

It follows from the discussion above that  $\rho : T_6(\mathbb{F}_q) - \{1, \psi_0(\mathbf{a})\} \xrightarrow{\sim} \mathbb{A}^2 - V(f)$  is the inverse of the isomorphism  $\psi$ . We obtain the following.

**Theorem 10** *The above maps  $\rho$  and  $\psi$  induce inverse birational maps between  $T_6$  and  $\mathbb{A}^2$ .*

To implement the CEILIDH system, one must choose a finite field  $\mathbb{F}_q$  and compute the rational maps  $\rho$  and  $\psi$  explicitly. We do this in two families of examples. Note that in each family the coefficients of the rational maps  $\rho$  and  $\psi$  are independent of  $q$ . When  $(n, q) = 1$ , write  $\zeta_n$  for a primitive  $n$ -th root of unity.

**Example 11** Fix  $q \equiv 2$  or  $5 \pmod{9}$ . Let  $x = \zeta_3$  and  $y = \zeta_9 + \zeta_9^{-1}$ . Then  $\mathbb{F}_{q^6} = \mathbb{F}_q(\zeta_9)$ ,  $\mathbb{F}_{q^2} = \mathbb{F}_q(x)$ , and  $\mathbb{F}_{q^3} = \mathbb{F}_q(y)$ . The basis we take for  $\mathbb{F}_{q^3}$  is  $\{1, y, y^2 - 2\}$ , and we take  $\mathbf{a} = (0, 0, 0)$ . Then  $\psi_0(\mathbf{a}) = \zeta_3^2$ , and a calculation gives  $f(v_1, v_2) = 1 - v_1^2 - v_2^2 + v_1v_2$ . Thus

$$\psi(v_1, v_2) = \frac{1 + v_1y + v_2(y^2 - 2) + f(v_1, v_2)x}{1 + v_1y + v_2(y^2 - 2) + f(v_1, v_2)x^2}.$$

For  $\beta = \beta_1 + \beta_2x \in T_6(\mathbb{F}_q) - \{1, \zeta_3^2\}$ , we have

$$\rho(\beta) = (u_2/u_1, u_3/u_1) \quad \text{where } (1 + \beta_1)/\beta_2 = u_1 + u_2y + u_3(y^2 - 2).$$

**Example 12** Fix  $q \equiv 3$  or  $5 \pmod{7}$ . Let  $x = \sqrt{-7}$  and  $y = \zeta_7 + \zeta_7^{-1}$ . Then  $\mathbb{F}_{q^6} = \mathbb{F}_q(\zeta_7)$ ,  $\mathbb{F}_{q^2} = \mathbb{F}_q(x)$ , and  $\mathbb{F}_{q^3} = \mathbb{F}_q(y)$ . The basis we take for  $\mathbb{F}_{q^3}$  is  $\{1, y, y^2 - 1\}$ , and we take  $\mathbf{a} = (1, 0, 2)$ . A calculation gives  $f(v_1, v_2) = (2v_1^2 + v_2^2 - v_1v_2 + 2v_1 - 4v_2 - 3)/14$ . Thus

$$\psi(v_1, v_2) = \frac{\gamma + f(v_1, v_2)x}{\gamma - f(v_1, v_2)x}$$

where  $\gamma = f(v_1, v_2) + 1 + v_1y + (2f(v_1, v_2) + v_2)(y^2 - 1)$ . If  $\beta = \beta_1 + \beta_2x \in T_6(\mathbb{F}_q) - \{1, \psi_0(\mathbf{a})\}$ , then

$$\rho(\beta) = \left( \frac{u_2}{u_1 - 1}, \frac{u_3 - 2}{u_1 - 1} \right) \quad \text{where } (1 + \beta_1)/\beta_2 = u_1 + u_2y + u_3(y^2 - 1).$$

## 5.2 Rational Parametrization of $T_2$

We give an explicit birational isomorphism between  $T_2$  and  $\mathbb{P}^1$ . For simplicity we assume that  $q$  is not a power of 2, and we write  $\mathbb{F}_{q^2} = \mathbb{F}_q(\sqrt{d})$  for some non-square  $d \in \mathbb{F}_q^\times$ . Let  $\sigma$  be the non-trivial automorphism of  $\mathbb{F}_{q^2}/\mathbb{F}_q$ , so  $\sigma(\sqrt{d}) = -\sqrt{d}$ .

Define a map  $\psi : \mathbb{A}^1(\mathbb{F}_q) \rightarrow T_2(\mathbb{F}_q)$  by

$$\psi(a) = \frac{a + \sqrt{d}}{a - \sqrt{d}} = \frac{a^2 + d}{a^2 - d} + \frac{2a}{a^2 - d}\sqrt{d}.$$

Conversely, suppose  $\beta = \beta_1 + \beta_2\sqrt{d} \in T_2(\mathbb{F}_q)$ , with  $\beta \neq \pm 1$  (so  $\beta_2 \neq 0$ ). Then

$$\beta = \frac{1 + \beta}{1 + \sigma(\beta)} = \psi\left(\frac{1 + \beta_1}{\beta_2}\right).$$

Thus if we let  $\rho(\beta) = (1 + \beta_1)/\beta_2$ , then  $\rho$  and  $\psi$  define inverse isomorphisms

$$T_2 - \{\pm 1\} \begin{array}{c} \xrightarrow{\rho} \\ \xleftarrow{\psi} \end{array} \mathbb{A}^1 - \{0\}.$$

In fact, these maps extend naturally to give an isomorphism  $T_2(\mathbb{F}_q) \xrightarrow{\sim} \mathbb{F}_q \cup \{\infty\}$  by sending 1 to  $\infty$  and  $-1$  to 0. A simple calculation shows that if  $a, b \in \mathbb{F}_q$  and  $a \neq -b$ , then

$$\psi(a)\psi(b) = \psi\left(\frac{ab+d}{a+b}\right). \tag{6}$$

Therefore instead of doing cryptography in the subgroup  $T_2$  of  $\mathbb{F}_{q^2}$ , we can do all operations (i.e., multiplications and exponentiations in  $T_2$ ) directly in  $\mathbb{F}_q$  itself, where now multiplication in  $T_2$  has been translated into the map  $(a, b) \mapsto \frac{ab+d}{a+b}$  from  $\mathbb{F}_q \times \mathbb{F}_q$  to  $\mathbb{F}_q$ .

## 6 Torus-Based Cryptosystems

Next we introduce public key cryptosystems based on a torus  $T_n$  with a rational parametrization. The case  $n = 6$  is the CEILIDH system. By Lemma 7(iii),  $T_n(\mathbb{F}_q)$  has the same cryptographic security as  $\mathbb{F}_{q^n}^\times$ . However, thanks to the compact representation that allows us to represent an element of  $T_n(\mathbb{F}_q)$  by  $\varphi(n)$  elements of  $\mathbb{F}_q$ , the size of any data represented by a group element is decreased by a factor of  $\varphi(n)/n$  compared to classical cryptosystems using  $\mathbb{F}_{q^n}^\times$ . This gives an improvement of a factor of 3 (resp., 2) using CEILIDH (resp.,  $T_2$ ).

Any discrete log based cryptosystem for a general group can be done using a torus  $T_n$  with a rational parametrization. Below we describe torus-based versions of Diffie-Hellman key exchange, ElGamal encryption, and ElGamal signatures. Other examples where this can be done in a straightforward way include DSA and Nyberg-Rueppel signatures (see also §5 of [8]).

Note that it is easy to turn any torus-based cryptosystem into an RSA-like system whose security is based on the difficulty of factoring, analogous to the LUC system of [15]. Here, one views the torus  $T_n$  over a ring  $\mathbb{Z}/N\mathbb{Z}$ . However, as shown in [1], such RSA-based systems do not seem to have significant advantages over RSA.

**Parameter selection:** Choose a prime power  $q$  and an integer  $n$  such that the torus  $T_n$  over  $\mathbb{F}_q$  has an explicit rational parametrization,  $n \log(q) \approx 1024$  (to obtain 1024 bit security), and  $\Phi_n(q)$  is divisible by a prime  $\ell$  that has at least 160 bits. Let  $m = \varphi(n)$ , and fix a birational map  $\rho : T_n(\mathbb{F}_q) \rightarrow \mathbb{F}_q^m$  and its inverse  $\psi$ . Choose  $\alpha \in T_n$  of order  $\ell$  (taking an arbitrary element of  $\mathbb{F}_{q^n}^\times$  and raising it to the power  $(q^n - 1)/\ell$  will usually work), and let  $g = \rho(\alpha) \in \mathbb{F}_q^m$ . Note that  $n$  is a small number (2, 6, ...). For the protocols below, the public data is  $n, q, \rho, \psi, \ell$ , and either  $g$  or  $\alpha = \psi(g)$ .

**Key agreement scheme** (torus-based Diffie-Hellman):

1. Alice chooses a random integer  $a$  in the range  $1 \leq a \leq \ell - 1$ . She computes  $P_A := \rho(\alpha^a) \in \mathbb{F}_q^m$  and sends it to Bob.
2. Bob chooses a random integer  $b$  in the range  $1 \leq b \leq \ell - 1$ . He computes  $P_B := \rho(\alpha^b) \in \mathbb{F}_q^m$  and sends it to Alice.
3. Alice computes  $\rho(\psi(P_B)^a) \in \mathbb{F}_q^m$ .
4. Bob computes  $\rho(\psi(P_A)^b) \in \mathbb{F}_q^m$ .

Since  $\psi \circ \rho$  is the identity, we have  $\rho(\psi(P_B)^a) = \rho(\alpha^{ab}) = \rho(\psi(P_A)^b)$ , and this is Alice's and Bob's shared secret.

**Encryption scheme** (torus-based ElGamal encryption):

1. **Key Generation:** Alice chooses a random integer  $a$  in the range  $1 \leq a \leq \ell - 1$  as her private key. Her public key is  $P_A := \rho(\alpha^a) \in \mathbb{F}_q^m$ .
2. **Encryption:** Bob represents the message  $M$  as an element of the group generated by  $\alpha$ , selects a random integer  $k$  in the range  $1 \leq k \leq \ell - 1$ , computes  $\gamma = \rho(\alpha^k) \in \mathbb{F}_q^m$  and  $\delta = \rho(M\psi(P_A)^k) \in \mathbb{F}_q^m$ , and sends the ciphertext  $(\gamma, \delta)$  to Alice.
3. **Decryption:** Alice computes  $M = \psi(\delta)\psi(\gamma)^{-a}$ .

**Signature scheme** (torus-based ElGamal signatures):

1. **Key Generation:** Alice chooses a random integer  $a$  in the range  $1 \leq a \leq \ell - 1$  as her private key. Her public key is  $P_A := \rho(\alpha^a) \in \mathbb{F}_q^m$ . The system requires a public cryptographic hash function  $H : \{0, 1\}^* \rightarrow \mathbb{Z}/\ell\mathbb{Z}$ .
2. **Signature Generation:** Alice selects a random integer  $k$  in the range  $1 \leq k \leq \ell - 1$ , computes  $\gamma = \rho(\alpha^k) \in \mathbb{F}_q^m$  and  $\delta = k^{-1}(H(M) - aH(\gamma)) \pmod{\ell}$ . Alice's signature on the message  $M$  is the pair  $(\gamma, \delta)$ .
3. **Verification:** Bob accepts Alice's signature  $(\gamma, \delta)$  on  $M$  if and only if

$$\psi(P_A)^{H(\gamma)}\psi(\gamma)^\delta = \alpha^{H(M)}$$

in  $T_n$ .

The torus-based encryption scheme is the generalized ElGamal protocol (see p. 297 of [9]) applied to  $T_n$ , and the torus-based signature scheme is the generalized ElGamal signature scheme (see p. 458 of [9]) for the group  $T_n$ , where the maps  $\rho$  and  $\psi$  are used to go back and forth between the group law on  $T_n$  and the compact representation in  $\mathbb{F}_q^m$ .

Diffie-Hellman and ElGamal fail when any of the computed quantities is 1 or a small power of the generator, and RSA fails when one obtains something that is not relatively prime to the modulus. Similarly, a torus-based cryptosystem fails when one tries to apply  $\rho$  or  $\psi$  to a point where the map is not defined. Since there are very few such points (none for  $T_2$  and only two for  $T_6$  in the examples in §5), the probability of this occurring is negligible, and can be ignored (or such points can be checked for and discarded). Lemma 7 shows that torus-based cryptosystems have exactly the same security as that of a multiplicative group  $\mathbb{F}_{q^n}^\times$ , and an attack on a  $T_n$ -cryptosystem gives an attack on an  $\mathbb{F}_{q^n}^\times$ .

Note that the shared key sizes for key agreement, the public key and ciphertext sizes for encryption, and the public key sizes for the signature schemes are all  $\varphi(n)/n$  as long as those for the corresponding classical schemes, for the same security. Further, torus-based signatures have  $\varphi(n) \log(q) + \log(\ell)$  bits, while the corresponding classical ElGamal signature scheme with the same security using a subgroup of order  $\ell$  has  $n \log(q) + \log(\ell)$  bit signatures.

The CEILIDH key exchange, encryption, and signature schemes are the above protocols with  $n = 6$  and with  $\rho$  and  $\psi$  as in §5.1. Note that  $\Phi_6(q) = q^2 - q + 1$  and  $m = 2$ , and  $q$  and  $\ell$  can be chosen as in XTR.

The  $T_2$  key exchange, encryption, and signature schemes are the above protocols with  $n = 2$  and with  $\rho$  and  $\psi$  as in §5.2. However, we obtain an extra savings in the  $T_2$  case, since there is no need to go back and forth between  $T_2$  and  $\mathbb{F}_q$  using the functions  $\rho$  and  $\psi$ . Using (6), all the group computations can be done directly and simply in  $\mathbb{F}_q$ , rather than in the group  $T_2(\mathbb{F}_q)$ .

The  $T_n$  cryptosystem uses the above protocols, whenever we have an  $n$  for which the torus  $T_n$  has an explicit and efficiently computable rational parametrization  $\rho$  and inverse map  $\psi$ . Conjecture 9 states that for every  $n$ , the torus  $T_n$  is rational. This is most interesting in the case  $n = 30 = 2 \cdot 3 \cdot 5$ , where  $n/\varphi(n) = 3\frac{3}{4}$ , but might also be of interest when  $n = 210 = 2 \cdot 3 \cdot 5 \cdot 7$ , where  $n/\varphi(n) = 4\frac{3}{8}$ . An explicit rational parametrization of the 8-dimensional torus  $T_{30}$  (analogous to the maps  $\rho$  and  $\psi$  of the CEILIDH and  $T_2$  systems) would allow us to represent elements of  $T_{30}(\mathbb{F}_q)$  by 8 elements of  $\mathbb{F}_q$ .

## 7 Understanding LUC, XTR, and “Beyond” in Terms of Tori

The Lucas-based systems, the cubic field system in [5], and XTR have the security of  $\mathbb{F}_{p^2}$ ,  $\mathbb{F}_{p^3}$ , and  $\mathbb{F}_{p^6}$ , respectively, while representing elements in  $\mathbb{F}_p$ ,  $\mathbb{F}_p^2$ , and  $\mathbb{F}_{p^2}$ , respectively. However, unlike the above torus-based systems, they do not make full use of the field multiplication. Here, we give a conceptual framework that explains why. We interpret these schemes in terms of varieties that are quotients of tori, and compare these schemes to the torus-based schemes of §3.

Consider two cases:  $n = 2$  (the LUC case) and  $n = 6$  (the XTR case). (It is straightforward to do the cubic case of [5] similarly.) Let  $F$  be  $\mathbb{F}_q$  in the LUC case and  $\mathbb{F}_{q^2}$  in the XTR case. Let  $t = [\mathbb{F}_{q^n} : F]$ , so  $t = 2$  for LUC and  $t = 3$  for XTR. In LUC and XTR, instead of  $g \in G_{q,n}$  one considers the trace

$$Tr(g) := Tr_{\mathbb{F}_{q^n}/F}(g) \in F,$$

where the trace is the sum of the conjugates. One can show that for  $g \in G_{q,n}$ , the trace  $Tr(g)$  determines the entire characteristic polynomial of  $g$  over  $F$ . In other words, knowing the trace of  $g$  is equivalent to knowing its unordered set of conjugates (but not the conjugates themselves). Let

$$C_g = \{g^\tau : \tau \in \text{Gal}(\mathbb{F}_{q^n}/F)\},$$

the set of Galois conjugates of  $g$ .

Given a set  $C = \{c_1, \dots, c_t\} \subset \mathbb{F}_{q^n}$ , let  $C^{(j)} = \{c_1^j, \dots, c_t^j\}$ . If  $C = C_g$ , then  $C^{(j)} = C_{g^j}$ . In place of exponentiation ( $g \mapsto g^j$ ), the XTR and LUC systems compute  $Tr(g^j)$  from  $Tr(g)$ . In the above interpretation, they compute  $C_{g^j}$  from  $C_g$ , without needing to distinguish between the elements of  $C_g$ .

On the other hand, given sets of conjugates  $\{g_1, \dots, g_t\}$  and  $\{h_1, \dots, h_t\}$ , it is not possible (without additional information) to multiply them to produce a new set of conjugates, because we do not know if we are looking for  $C_{g_1 h_1}$ , or

$C_{g_1 h_2}$ , for example, which will be different. Therefore, XTR and LUC do not have straightforward multiplication algorithms.

However, XTR includes a partial multiplication algorithm (see Algorithm 2.4.8 of [7]). Given  $Tr(g)$ ,  $Tr(g^{j-1})$ ,  $Tr(g^j)$ ,  $Tr(g^{j+1})$ , and  $a$  and  $b$ , the algorithm outputs  $Tr(g^{a+bj})$ . Thus for an XTR-based system, any transmission of data that needs to be multiplied requires sending three times as much data, effectively negating the improvement of  $3 = 6/\varphi(6)$  that comes from XTR's compact representation. An analogous situation holds true for the signature scheme LUCCELG DS in [16].

The CEILIDH system, since its operations take place in the group  $G_{q,6}$ , can do both multiplication and exponentiation, while taking full advantage of the compact representation for transmitting data. In particular, XTR-ElGamal encryption is key exchange followed by symmetric encryption with the shared key, while CEILIDH has full-fledged ElGamal encryption and signature schemes.

In the torus-based systems above, the information being exchanged is (a compact representation of) an element of a torus  $T_n$ . Further, the computations that are performed are multiplications in this group. We will see below that for XTR, the information being exchanged corresponds to an element of the quotient of  $T_6$  by a certain action of the symmetric group on three letters,  $S_3$ . Similarly for LUC, the elements being exchanged correspond to elements of  $T_2/S_2$ . The set of equivalence classes  $T_6/S_3$  is not a group, because multiplication in  $T_6$  does not preserve  $S_3$ -orbits. This explains why XTR does not have a straightforward way to multiply. However, exponentiation in  $T_6$  *does* preserve  $S_3$ -orbits, and it induces a well-defined exponentiation in  $T_6/S_3$ , and therefore in the set of XTR traces (the set  $XTR(q)$  defined below).

What XTR takes advantage of is the fact that the quotient variety  $T_6/S_3$  is rational, and the trace map to the quadratic subfield gives an explicit rational parametrization. This rational parametrization embeds  $T_6/S_3$  in  $\mathbb{A}^2$ , as shown in Theorem 13 below, and therefore gives a compact representation of  $T_6/S_3$ .

Let  $k = \mathbb{F}_q$ ,  $L = \mathbb{F}_{q^6}$ , and  $F = \mathbb{F}_{q^2}$ . If  $G$  is a group and  $V$  is a variety, then  $G$  acts on  $\bigoplus_{\gamma \in G} V$  by permuting the factors. We have

$$\text{Res}_{L/k} \mathbb{G}_m \xrightarrow{\sim} \bigoplus_{\gamma \in \text{Gal}(L/k)} \mathbb{G}_m \xrightarrow{\sim} \left( \bigoplus_{\gamma \in \text{Gal}(F/k)} \mathbb{G}_m \right)^3 \quad (7)$$

where the first isomorphism is defined over  $L$  and preserves the action of the Galois group  $\text{Gal}(L/k)$  on both sides. The symmetric group  $S_3$  acts naturally on  $(\bigoplus_{\gamma \in \text{Gal}(F/k)} \mathbb{G}_m)^3$ . Pulling back this action via the above composition defines an action of  $S_3$  on  $\text{Res}_{L/k} \mathbb{G}_m$  that preserves the torus  $T_6 \subset \text{Res}_{L/k} \mathbb{G}_m$ . The quotient map  $T_6 \rightarrow T_6/S_3$  induces a (non-surjective) map on  $k$ -points  $T_6(k) \rightarrow (T_6/S_3)(k)$ . Let

$$XTR(q) = \{Tr_{L/F}(\alpha) : \alpha \in T_6(k)\} \subset F,$$

the set of traces used in XTR.

**Theorem 13** *The set  $XTR(q)$  can be naturally identified with the image of  $T_6(k)$  in  $(T_6/S_3)(k)$ . More precisely, there is a birational embedding*

$$T_6/S_3 \hookrightarrow \text{Res}_{F/k}\mathbb{A}^1 \cong \mathbb{A}^2$$

such that  $XTR(q)$  is the image of the composition

$$T_6(k) \longrightarrow (T_6/S_3)(k) \hookrightarrow (\text{Res}_{F/k}\mathbb{A}^1)(k) \cong F.$$

*Proof.* Let  $k = \mathbb{F}_q$ ,  $L = \mathbb{F}_{q^6}$ , and  $F = \mathbb{F}_{q^2}$ . We have a commutative diagram (see (7))

$$\begin{array}{ccccc} T_6 \hookrightarrow & \text{Res}_{L/k}\mathbb{G}_m \hookrightarrow & \text{Res}_{L/k}\mathbb{A}^1 & \xrightarrow{\sim} & \left( \bigoplus_{\gamma \in \text{Gal}(F/k)} \mathbb{A}^1 \right)^3 \\ & & \downarrow \text{Tr}_{L/F} & & \downarrow \\ & & \text{Res}_{F/k}\mathbb{A}^1 & \xrightarrow{\sim} & \bigoplus_{\gamma \in \text{Gal}(F/k)} \mathbb{A}^1 \end{array} \quad (8)$$

where the top and bottom isomorphisms are defined over  $L$  and  $F$ , respectively, and the right vertical map is the ‘‘trace’’ map  $(\alpha_1, \alpha_2, \alpha_3) \mapsto \alpha_1 + \alpha_2 + \alpha_3$ .

The morphism  $\text{Tr}_{L/F} : \text{Res}_{L/k}\mathbb{A}^1 \rightarrow \text{Res}_{F/k}\mathbb{A}^1$  of (8) factors through the quotient  $(\text{Res}_{L/k}\mathbb{A}^1)/S_3$ , so by restriction it induces a morphism  $\text{Tr} : T_6/S_3 \rightarrow \text{Res}_{F/k}\mathbb{A}^1$ . By definition  $XTR(q)$  is the image of the composition  $T_6(k) \rightarrow (T_6/S_3)(k) \rightarrow (\text{Res}_{F/k}\mathbb{A}^1)(k) \cong F$ , and  $T_6$  and  $\text{Res}_{F/k}\mathbb{A}^1$  are both 2-dimensional varieties, so to prove the theorem we need only show that  $\text{Tr} : T_6/S_3 \rightarrow \text{Res}_{F/k}\mathbb{A}^1$  is injective. Suppose  $g \in T_6(\bar{k})$ . Using (7) we can view  $g = (g_1, g_2, g_3) \in (\bigoplus_{\gamma \in \text{Gal}(F/k)} \bar{k}^\times)^3$ . Let  $\sigma$  be the non-trivial element of  $\text{Gal}(F/k)$ . Since  $g \in T_6(\bar{k})$ , we have  $g_1 g_2 g_3 = N_{L/F}(g) = 1$  and  $g_i g_i^\sigma = 1$  for  $i = 1, 2, 3$  by the definition of  $T_6$ . Hence we also have

$$g_1 g_2 + g_1 g_3 + g_2 g_3 = 1/g_3 + 1/g_2 + 1/g_1 = g_3^\sigma + g_2^\sigma + g_1^\sigma = \text{Tr}(g)^\sigma.$$

Thus the trace of  $g$  determines all the symmetric functions of  $\{g_1, g_2, g_3\}$ . Hence if  $h = (h_1, h_2, h_3) \in T_6(\bar{k})$  and  $\text{Tr}(h) = \text{Tr}(g)$ , then  $\{h_1, h_2, h_3\} = \{g_1, g_2, g_3\}$ , i.e.,  $h$  and  $g$  are in the same orbit under the action of  $S_3$ . Thus  $\text{Tr}$  is injective.

Similarly for LUC, the trace map induces a birational embedding  $T_2/S_2 \hookrightarrow \mathbb{A}^1$ , the variety  $T_2/S_2$  is not a group, and

$$\text{LUC}(q) = \{\text{Tr}_{\mathbb{F}_{q^2}/\mathbb{F}_q}(\alpha) : \alpha \in T_2(k)\} \subset \mathbb{F}_q$$

is the image of  $T_2(\mathbb{F}_q)$  under the trace map  $T_2 \rightarrow T_2/S_2 \hookrightarrow \mathbb{A}^1$ .

### 7.1 Beyond XTR

As in [2] and §2 above, let  $n = de$ . Assume that  $n$  is square-free, and let  $k = \mathbb{F}_q$ ,  $L = \mathbb{F}_{q^n}$ , and  $F = \mathbb{F}_{q^d}$ . We have

$$T_n \subset \text{Res}_{L/k}\mathbb{G}_m \xrightarrow{\sim} \bigoplus_{\gamma \in \text{Gal}(L/k)} \mathbb{G}_m \xrightarrow{\sim} \left( \bigoplus_{\gamma \in \text{Gal}(F_\ell/k)} \mathbb{G}_m \right)^\ell \xrightarrow{\sim} \left( \bigoplus_{\gamma \in \text{Gal}(F/k)} \mathbb{G}_m \right)^e$$

where the first isomorphism is defined over  $L$  and preserves the action of the Galois group  $\text{Gal}(L/k)$  on both sides,  $\ell$  is any prime divisor of  $n$ , and  $F_\ell = \mathbb{F}_{q^{n/\ell}}$ . The symmetric group  $S_e$  acts naturally on  $(\bigoplus_{\gamma \in \text{Gal}(F/k)} \mathbb{G}_m)^e$ . Pulling back this action via the above composition defines an action of  $S_e$  on  $\text{Res}_{L/k} \mathbb{G}_m$ . Note that this action does not necessarily preserve the torus  $T_n$ . Similarly,  $S_\ell$  acts naturally on  $(\bigoplus_{\gamma \in \text{Gal}(F_\ell/k)} \mathbb{G}_m)^\ell$ . Since  $N_{L/F_\ell}(g) = 1$  for every  $g \in T_n$ , it follows that  $T_n$  is in fact fixed under the induced action of  $S_\ell$ .

**Definition 14** Let  $B_{(d,e)}$  denote the image of  $T_n$  in  $(\text{Res}_{L/k} \mathbb{G}_m)/S_e$ .

If the variety  $B_{(d,e)}$  is rational, then one can do cryptography. For example, this was done for the cases  $(d,e) = (6,1)$  and  $(2,1)$  in this paper (CEILIDH and  $T_2$ , respectively), for  $(1,2)$  in the LUC papers, and for  $(2,3)$  in XTR. Note that  $(1,1)$  gives the usual Diffie-Hellman. Our (conjectural when  $n$  is a product of more than two primes)  $T_n$  cryptosystems are the cases  $(n,1)$ , and [2] discusses the cases  $(d,e) = (1,30)$  and  $(2,15)$ . The variety  $B_{(d,e)}$  is not generally a group. However, when  $e = 1$ , then  $B_{(d,e)} = T_n$  which is a group.

Theorem 3.7 of [13] shows that the variety  $B_{(d,e)}$  is birationally isomorphic to the quotient of  $T_n$  by the action of  $\prod_{\text{primes } \ell \mid e} S_\ell$ . Thus, the conjectures in [2] can be interpreted in this language as asking about the rationality of the varieties  $T_{30}/(S_3 \times S_5)$  and  $T_{30}/(S_2 \times S_3 \times S_5)$ , and asking in particular if the morphisms from  $B_{(1,30)}$  (resp.,  $B_{(2,15)}$ ) to  $\mathbb{A}^8$  induced by the first  $8/d$  (for  $d = 1$  or  $2$ , respectively) symmetric functions for the field extension  $L/F$  define rational parametrizations. We saw in §2 that these symmetric functions do not generate the coordinate ring of  $B_{(1,30)}$  (resp.,  $B_{(2,15)}$ ).

The definitions in §3 can be easily extended to apply to an arbitrary cyclic extension  $L/k$ , not necessarily of finite fields. In particular, for  $k = \mathbb{Q}$  and  $L$  a cyclic degree 30 extension of  $\mathbb{Q}$ , consider the above morphisms from characteristic zero versions of  $B_{(1,30)}$  and  $B_{(2,15)}$  to  $\mathbb{A}^8$ . We show in [13] that these maps are not birational, and (by reducing mod  $p$ ) that for all but finitely many primes  $p$ , Conjecture  $(p,1,30)$ -BPV' (resp., Conjecture  $(p,2,15)$ -BPV') is false (see Remark 4 above).

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