

### 2.5.3 Lie Group Homomorphisms

Let  $G$  and  $H$  be Lie groups and  $\mathfrak{g}$  and  $\mathfrak{h}$  be Lie algebras. A **Lie group homomorphism** from  $G$  to  $H$  is a smooth map  $\rho : G \rightarrow H$  that is a group homomorphism. A **Lie group isomorphism** is a bijective Lie group homomorphism whose inverse is also a Lie group homomorphism. A **Lie algebra homomorphism** from  $\mathfrak{g}$  to  $\mathfrak{h}$  is a linear map that preserves the Lie bracket.

**Lemma 2.5.14.** *Let  $G$  and  $H$  be Lie groups and denote their Lie algebras by  $\mathfrak{g} := \text{Lie}(G)$  and  $\mathfrak{h} := \text{Lie}(H)$ . Let  $\rho : G \rightarrow H$  be a Lie group homomorphism and denote its derivative at  $\mathbb{1} \in G$  by*

$$\dot{\rho} := d\rho(\mathbb{1}) : \mathfrak{g} \rightarrow \mathfrak{h}.$$

*Then  $\dot{\rho}$  is a Lie algebra homomorphism.*

*Proof.* The proof has three steps.

**Step 1.** *For all  $\xi \in \mathfrak{g}$  and  $t \in \mathbb{R}$  we have  $\rho(\exp(t\xi)) = \exp(t\dot{\rho}(\xi))$ .*

Fix an element  $\xi \in \mathfrak{g}$ . Then, by Lemma 2.5.9, we have  $\exp(t\xi) \in G$  for every  $t \in \mathbb{R}$ . Thus we can define a map  $\gamma : \mathbb{R} \rightarrow H$  by  $\gamma(t) := \rho(\exp(t\xi))$ . Since  $\rho$  is smooth, this is a smooth curve in  $H$  and, since  $\rho$  is a group homomorphism and the exponential map satisfies (2.5.6), our curve  $\gamma$  satisfies the conditions

$$\gamma(s+t) = \gamma(s)\gamma(t), \quad \gamma(0) = \mathbb{1}, \quad \dot{\gamma}(0) = d\rho(\mathbb{1})\xi = \dot{\rho}(\xi).$$

Hence it follows from Lemma 2.5.10 that  $\gamma(t) = \exp(t\dot{\rho}(\xi))$ . This proves Step 1.

**Step 2.** *For all  $g \in G$  and  $\eta \in \mathfrak{g}$  we have  $\dot{\rho}(g\eta g^{-1}) = \rho(g)\dot{\rho}(\eta)\rho(g^{-1})$ .*

Define the smooth curve  $\gamma : \mathbb{R} \rightarrow G$  by  $\gamma(t) := g\exp(t\eta)g^{-1}$ . This curve takes values in  $G$  by Lemma 2.5.9. By Step 1 we have

$$\rho(\gamma(t)) = \rho(g)\rho(\exp(t\eta))\rho(g)^{-1} = \rho(g)\exp(t\dot{\rho}(\eta))\rho(g)^{-1}$$

for every  $t$ . Since  $\gamma(0) = \mathbb{1}$  and  $\dot{\gamma}(0) = g\eta g^{-1}$  we obtain

$$\begin{aligned} \dot{\rho}(g\eta g^{-1}) &= d\rho(\gamma(0))\dot{\gamma}(0) \\ &= \frac{d}{dt} \bigg|_{t=0} \rho(\gamma(t)) \\ &= \frac{d}{dt} \bigg|_{t=0} \rho(g)\exp(t\dot{\rho}(\eta))\rho(g)^{-1} \\ &= \rho(g)\dot{\rho}(\eta)\rho(g)^{-1}. \end{aligned}$$

This proves Step 2.

**Step 3.** For all  $\xi, \eta \in \mathfrak{g}$  we have

$$\dot{\rho}([\xi, \eta]) = [\dot{\rho}(\xi), \dot{\rho}(\eta)].$$

Define the curve  $\eta : \mathbb{R} \rightarrow \mathfrak{g}$  by

$$\eta(t) := \exp(t\xi)\eta \exp(-t\xi)$$

for  $t \in \mathbb{R}$ . By Lemma 2.5.9 this curve takes values in the Lie algebra of  $G$  and

$$\dot{\eta}(0) = [\xi, \eta].$$

Hence

$$\begin{aligned} \dot{\rho}([\xi, \eta]) &= \left. \frac{d}{dt} \right|_{t=0} \dot{\rho}(\exp(t\xi)\eta \exp(-t\xi)) \\ &= \left. \frac{d}{dt} \right|_{t=0} \rho(\exp(t\xi)) \dot{\rho}(\eta) \rho(\exp(-t\xi)) \\ &= \left. \frac{d}{dt} \right|_{t=0} \exp(t\dot{\rho}(\xi)) \dot{\rho}(\eta) \exp(-t\dot{\rho}(\xi)) \\ &= [\dot{\rho}(\xi), \dot{\rho}(\eta)]. \end{aligned}$$

Here the first equation follows from the fact that  $\dot{\rho}$  is linear, the second equation follows from Step 2 with  $g = \exp(t\xi)$ , and the third equation follows from Step 1. This proves Step 3 and Lemma 2.5.14.  $\square$

**Example 2.5.15.** The complex determinant defines a Lie group homomorphism  $\det : \mathrm{U}(n) \rightarrow S^1$ . The associated Lie algebra homomorphism is

$$\mathrm{trace} = \det : \mathfrak{u}(n) \rightarrow \mathbf{i}\mathbb{R} = \mathrm{Lie}(S^1).$$

**Example 2.5.16 (Unit Quaternions and  $\mathrm{SU}(2)$ ).** The Lie group  $\mathrm{SU}(2)$  is diffeomorphic to the 3-sphere. Every matrix in  $\mathrm{SU}(2)$  can be written as

$$g = \begin{pmatrix} x_0 + \mathbf{i}x_1 & x_2 + \mathbf{i}x_3 \\ -x_2 + \mathbf{i}x_3 & x_0 - \mathbf{i}x_1 \end{pmatrix}, \quad x_0^2 + x_1^2 + x_2^2 + x_3^2 = 1. \quad (2.5.10)$$

Here the  $x_i$  are real numbers. They can be interpreted as the coordinates of a unit quaternion  $x = x_0 + \mathbf{i}x_1 + \mathbf{j}x_2 + \mathbf{k}x_3 \in \mathrm{Sp}(1)$  (see Example 2.5.6). The reader may verify that the map  $\mathrm{Sp}(1) \rightarrow \mathrm{SU}(2) : x \mapsto g$  in (2.5.10) is a Lie group isomorphism.

**Exercise 2.5.17** (The double cover of  $\mathrm{SO}(3)$ ). Identify the imaginary part of  $\mathbb{H}$  with  $\mathbb{R}^3$  and write a vector  $\xi \in \mathbb{R}^3 = \mathrm{Im}(\mathbb{H})$  as a purely imaginary quaternion  $\xi = \mathbf{i}\xi_1 + \mathbf{j}\xi_2 + \mathbf{k}\xi_3$ . Prove that if  $\xi \in \mathrm{Im}(\mathbb{H})$  and  $x \in \mathrm{Sp}(1)$  then  $x\xi\bar{x} \in \mathrm{Im}(\mathbb{H})$ . Define the map  $\rho : \mathrm{Sp}(1) \rightarrow \mathrm{SO}(3)$  by

$$\rho(x)\xi := x\xi\bar{x}$$

for  $x \in \mathrm{Sp}(1)$  and  $\xi \in \mathrm{Im}(\mathbb{H})$ . Prove that the linear map  $\rho(x) : \mathbb{R}^3 \rightarrow \mathbb{R}^3$  is represented by the  $3 \times 3$ -matrix

$$\rho(x) = \begin{pmatrix} x_0^2 + x_1^2 - x_2^2 - x_3^2 & 2(x_1x_2 - x_0x_3) & 2(x_1x_3 + x_0x_2) \\ 2(x_1x_2 + x_0x_3) & x_0^2 + x_2^2 - x_3^2 - x_1^2 & 2(x_2x_3 - x_0x_1) \\ 2(x_1x_3 - x_0x_2) & 2(x_2x_3 + x_0x_1) & x_0^2 + x_3^2 - x_1^2 - x_2^2 \end{pmatrix}.$$

Show that  $\rho$  is a Lie group homomorphism. Find a formula for the map

$$\dot{\rho} := d\rho(\mathbb{1}) : \mathfrak{sp}(1) \rightarrow \mathfrak{so}(3)$$

and show that it is a Lie algebra isomorphism. For  $x, y \in \mathrm{Sp}(1)$  prove that  $\rho(x) = \rho(y)$  if and only if  $y = \pm x$ .

**Example 2.5.18.** Consider the map

$$\mathrm{GL}(n, \mathbb{R}) \rightarrow \mathrm{Diff}(\mathbb{R}^n) : g \mapsto \phi_g$$

which assigns to every nonsingular matrix  $g \in \mathrm{GL}(n, \mathbb{R})$  the linear diffeomorphism  $\phi_g : \mathbb{R}^n \rightarrow \mathbb{R}^n$  given by  $\phi_g(x) := gx$  for  $x \in \mathbb{R}^n$ . This map  $g \mapsto \phi_g$  is a group homomorphism. The group  $\mathrm{Diff}(\mathbb{R}^n)$  is infinite dimensional and thus cannot be a Lie group. However, it has many properties in common with Lie groups. For example one can define what is meant by a smooth path in  $\mathrm{Diff}(\mathbb{R}^n)$  and extend formally the notion of a tangent vector (as the derivative of a path through a given element of  $\mathrm{Diff}(\mathbb{R}^n)$ ) to this setting. In particular, the tangent space of  $\mathrm{Diff}(\mathbb{R}^n)$  at the identity can then be identified with the space of vector fields

$$T_{\mathrm{id}}\mathrm{Diff}(\mathbb{R}^n) = \mathrm{Vect}(\mathbb{R}^n).$$

Differentiating the map  $g \mapsto \phi_g$ , one then obtains a linear map

$$\mathfrak{gl}(n, \mathbb{R}) \rightarrow \mathrm{Vect}(\mathbb{R}^n) : \xi \mapsto X_\xi$$

which assigns to every matrix  $\xi \in \mathfrak{gl}(n, \mathbb{R})$  the vector field  $X_\xi : \mathbb{R}^n \rightarrow \mathbb{R}^n$  given by  $X_\xi(x) := \xi x$  for  $x \in \mathbb{R}^n$ . We have already seen in Remark 2.4.23 that this map is a Lie algebra homomorphism.

**Example 2.5.19.** Let  $\mathfrak{g}$  be a finite dimensional Lie algebra. Then the set

$$\text{Aut}(\mathfrak{g}) := \left\{ \Phi : \mathfrak{g} \rightarrow \mathfrak{g} \mid \begin{array}{l} \Phi \text{ is a bijective linear map,} \\ \Phi[\xi, \eta] = [\Phi\xi, \Phi\eta] \forall \xi, \eta \in \mathfrak{g} \end{array} \right\}$$

of **Lie algebra automorphisms** of  $\mathfrak{g}$  is a Lie group. Its Lie algebra is the space of **derivations** on  $\mathfrak{g}$  denoted by

$$\text{Der}(\mathfrak{g}) := \left\{ A : \mathfrak{g} \rightarrow \mathfrak{g} \mid \begin{array}{l} A \text{ is a linear map,} \\ A[\xi, \eta] = [A\xi, \eta] + [\xi, A\eta] \forall \xi, \eta \in \mathfrak{g} \end{array} \right\}.$$

Now suppose that  $\mathfrak{g} = \text{Lie}(G)$  is the Lie algebra of a Lie group  $G$ . Then there is a map

$$\text{ad} : G \rightarrow \text{Aut}(\mathfrak{g}), \quad \text{ad}(g)\eta := g\eta g^{-1}, \quad (2.5.11)$$

for  $g \in G$  and  $\eta \in \mathfrak{g}$ . Lemma 2.5.9 (ii) asserts that  $\text{ad}(g)$  is indeed a linear map from  $\mathfrak{g}$  to itself for every  $g \in G$ . The reader may verify that the map

$$\text{ad}(g) : \mathfrak{g} \rightarrow \mathfrak{g}$$

is a Lie algebra automorphism for every  $g \in G$  and that the map  $\text{ad} : G \rightarrow \text{Aut}(\mathfrak{g})$  is a Lie group homomorphism. The associated Lie algebra homomorphism is the map

$$\text{Ad} : \mathfrak{g} \rightarrow \text{Der}(\mathfrak{g}), \quad \text{Ad}(\xi)\eta := [\xi, \eta], \quad (2.5.12)$$

for  $\xi, \eta \in \mathfrak{g}$ . To verify the claim  $\text{Ad} = \text{ad}$  we compute

$$\text{ad}(\xi)\eta = \frac{d}{dt} \Big|_{t=0} \text{ad}(\exp(t\xi))\eta = \frac{d}{dt} \Big|_{t=0} \exp(t\xi)\eta \exp(-t\xi) = [\xi, \eta].$$

**Exercise 2.5.20.** Let  $\mathfrak{g}$  be any Lie algebra and define the map

$$\text{Ad} : \mathfrak{g} \rightarrow \text{End}(\mathfrak{g})$$

by (2.5.12). Prove that the endomorphism

$$\text{Ad}(\xi) : \mathfrak{g} \rightarrow \mathfrak{g}$$

is a derivation for every  $\xi \in \mathfrak{g}$  and that  $\text{Ad} : \mathfrak{g} \rightarrow \text{Der}(\mathfrak{g})$  is a Lie algebra homomorphism. If  $\mathfrak{g}$  is finite dimensional, prove that  $\text{Aut}(\mathfrak{g})$  is a Lie group with Lie algebra  $\text{Der}(\mathfrak{g})$ .