

unitaries are extreme points & conversely extreme pts. p.d.f

Need $\|a^*\| = \|a\|$
 $\|a^*a\| = \|a\|^2$

part 4

11-16-17 II

(1)

$$u = \frac{a+b}{2}$$

$$uu^* = \frac{a+b}{2} \cdot \frac{a+b^*}{2} = \frac{a^2+b^2}{2}$$

$$1 = \frac{aa^* + ba^* + ab^* + bb^*}{2} = \frac{a^2a + b^2a + a^*b + b^2b}{2}$$

$$1 = aa^* = bb^* = ab^* = ba^* = a^2a = b^2a = a^*b = b^2b$$

$$b^* = aab^* = a^*ba^* = a^*$$

$$b = a$$

need $1 = \frac{a+b}{2}$

$\|a\| = \|b\| = 1 \Rightarrow 1 = a = b$

let $c = \frac{a+a^*}{2}$ $d = \frac{b+b^*}{2}$

$\|c\| \leq 1$

$\|d\| \leq 1$

$c^* = c$ $d^* = d$

$1 = \frac{c+d}{2}$

$d = 2 - c$

so $dc = cd$

simultaneously diagonal

$\lambda_1 \quad 0$
 $0 \quad \lambda_n$

$\mu_1 \quad 0$
 $0 \quad \mu_n$

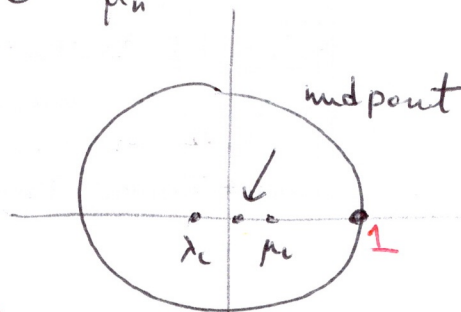
$|\lambda_i| \leq 1$

$|\mu_i| \leq 1$

$\frac{\lambda_i + \mu_i}{2} = 1$

$\lambda_i = \mu_i$

$1 = w1w^{-1} = \frac{wcw^{-1} + wdw^{-1}}{2}$
 $a + a^* = 2$ a is normal ($b + b^* = 2$)



(2)

$$1 = \frac{a + a^*}{2}$$

$$a = \begin{pmatrix} \lambda_1 & & 0 \\ & \ddots & \\ 0 & & \lambda_n \end{pmatrix}$$

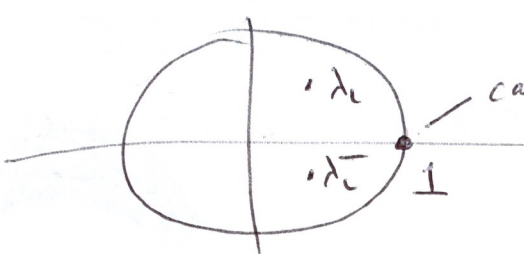
λ_i complex

$$1 = \frac{\lambda_1 + \bar{\lambda}_1}{2}$$

$$\frac{\lambda_n + \bar{\lambda}_n}{2}$$

$$|\lambda_i| \leq 1$$

$$\lambda_i + \bar{\lambda}_i = 2$$



// unitaries are extreme points

converse let x be extreme point

$$x = u|x| \quad \text{polar decomp}$$

mult. by u^{-1} is an isometry

$$|x| = (x^* x)^{1/2}$$

so $|x|$ is an extreme point

$$0 \leq \lambda_1 \leq \lambda_2 \leq \dots \leq \lambda_n = 1$$

$$|x| = \begin{pmatrix} \lambda_1 & & 0 \\ & \ddots & \\ 0 & & \lambda_n \end{pmatrix}$$

$$\lambda_i \geq 0 \quad \max \lambda_i = 1$$

$$\text{then } \lambda_i = 1 \quad \forall i$$

say $0 < \lambda_1 < 1$

$$|x| = \lambda_1 \begin{pmatrix} 1 & & 0 \\ & \lambda_2 & \\ & & \ddots \\ 0 & & & \lambda_n \end{pmatrix} + (1 - \lambda_1) \begin{pmatrix} 0 & & \\ & \ddots & \\ & & 0 \end{pmatrix}$$

Now to prove extreme pts

(3)

Sakai $X \in \text{ext}$ X^*X

claim: X^*X is a projection

$$X^*X = \begin{pmatrix} \lambda_1 & & 0 \\ & \ddots & \\ 0 & & \lambda_n \end{pmatrix} \quad \text{if } \lambda_i > 0$$

say $\lambda_1 < 1$

$$X^*X(1+c)^2$$

$$= \begin{pmatrix} \lambda_1 & & 0 \\ & \ddots & \\ 0 & & \lambda_n \end{pmatrix} \begin{pmatrix} (1+c_1)^2 & & 0 \\ & \ddots & \\ 0 & & (1+c_n)^2 \end{pmatrix}$$

$$c = \begin{pmatrix} c_1 & & 0 \\ & \ddots & \\ 0 & & c_n \end{pmatrix} > 0$$

$$1+c \quad 1-c$$

$$\|X^*X(1+c)^2\| \leq 1$$

$$\|X^*X(1-c)^2\| \leq 1$$

$\begin{pmatrix} 0 \\ \vdots \\ 0 \end{pmatrix} X(1+c)$ have norm ≤ 1
 $X(1-c)$

$$X = \frac{X+XC + X-XC}{2}$$

~~4x~~

$$X = X+XC = X-XC$$

$$XC = 0, \quad 0 = \|CX^*XC\| = \|X^*XC^2\| = 0$$

contradiction since $X^*XC^2 \neq 0$

$$\lambda_1(1+c_1)^2 \leq 1$$

$$\lambda_1 \leq \frac{1}{(1+c_1)^2}$$

$$1+c_1 \leq \frac{1}{\sqrt{\lambda_1}}$$

$$c_1 \leq \frac{1}{\sqrt{\lambda_1}} - 1$$

can do
since

$$\lambda_1 < 1$$

$$\sqrt{\lambda_1} < 1$$

$$X^*X(1+c)^2 = \begin{pmatrix} \lambda_1 & & 0 \\ & \ddots & \\ 0 & & \lambda_n \end{pmatrix} \begin{pmatrix} (1+c_1)^2 & & 0 \\ & \ddots & \\ 0 & & (1+c_n)^2 \end{pmatrix}$$

$$= \lambda_1(1+c_1)^2$$

$$\text{need } \lambda_1(1+c_1)^2 \leq 1$$

$$(1+c_1)^2 \leq \frac{1}{\lambda_1}$$

$$\lambda_n(1+c_n)^2$$

$$pAg = 0 \Rightarrow P = g = 0$$

$$Pg = 0 \quad P = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \quad g = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$$

$$\begin{pmatrix} \lambda_1 & 0 & 0 \\ 0 & \lambda_2 & 0 \\ \vdots & \vdots & \vdots \\ 0 & 0 & \lambda_n \end{pmatrix} \begin{pmatrix} a_{11} & \dots & a_{1n} \\ a_{21} & \dots & a_{2n} \\ \vdots & \vdots & \vdots \\ a_{n1} & \dots & a_{nn} \end{pmatrix} = \begin{pmatrix} \lambda_1 a_{11} & \lambda_1 a_{12} & \dots & \lambda_1 a_{1n} \\ \lambda_2 a_{21} & \lambda_2 a_{22} & \dots & \lambda_2 a_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ \lambda_n a_{n1} & \dots & \dots & \lambda_n a_{nn} \end{pmatrix}$$

$$0 = pag = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

false if $a_{ij} \neq 0$

$$A = pAg + (1-p)A$$

$$A = pAp + pA(1-g) + (1-p)A$$

$$Ag = \begin{pmatrix} a_{11} & a_{12} & \dots & a_{1n} & \lambda_1 & 0 \\ a_{21} & a_{22} & \dots & a_{2n} & 0 & \lambda_2 \\ \vdots & \vdots & \ddots & \vdots & \vdots & \vdots \\ a_{n1} & \dots & \dots & a_{nn} & 0 & 0 & \lambda_n \end{pmatrix}$$

contradiction
 $2 > 2$
 $3 > 3$

$$A = pAp + (1-p)A$$

$$1 = x + 1 - x^* = 1$$

$$x + x^* = 1$$

$$x^* = 1 - x$$

$$x^* A (1 - x^*)$$