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Derivations of W^* -algebras

By Shôichirô Sakai*

1. Introduction

Let \mathfrak{A} be a C^* -algebra, and let D be a derivation of \mathfrak{A} , i.e., a linear mapping on \mathfrak{A} to \mathfrak{A} satisfying $D(xy) = D(x)y + xD(y)$. D is said to be an inner derivation if there is an element a in \mathfrak{A} such that $D(x) = [a, x] = ax - xa$ for $x \in \mathfrak{A}$. Otherwise, it is said to be an outer derivation.

The question of whether or not a W^* -algebra can have an outer derivation has been open for some time (cf. [10, ch. 1, p. 60]). Kaplansky [6] proved that every derivation of a type I W^* -algebra is inner. Establishing a conjecture of Kaplansky, the author [9] proved that every derivation of a C^* -algebra is bounded. Miles [7] noted that every derivation of a C^* -algebra is induced by an operator in the weak closure of some faithful representation of the algebra (a direct sum of irreducible representations). Recently, Kadison [4] showed that every derivation of a C^* -algebra on a Hilbert space \mathfrak{H} is spatial (i.e., it has the form $x \rightarrow bx - xb$ for some bounded operator b on \mathfrak{H}), and every derivation of a hyper-finite factor is inner. Moreover, Kadison and Ringrose [5] show that every derivation of the W^* -algebra generated by the regular representation of a discrete group is inner.

In this paper, we shall show the following: every derivation of a W^* -algebra is inner. This is the affirmative solution to Kadison's conjecture.

2. Theorems

In this section, we shall show the following theorems.

THEOREM 1. *Every derivation of a W^* -algebra is inner.*

As a corollary of Theorem 1, we have:

THEOREM 2. *Let \mathfrak{A} be a C^* -algebra on a Hilbert space \mathfrak{H} , D a derivation on \mathfrak{A} , $\overline{\mathfrak{A}}$ the weak closure of \mathfrak{A} on \mathfrak{H} , then there is a bounded operator b belonging to $\overline{\mathfrak{A}}$ such that $D(x) = [b, x]$ for all $x \in \mathfrak{A}$, where $[b, x] = bx - xb$.*

To prove Theorem 1, we shall proceed as follows. Let M be a W^* -algebra on Hilbert space \mathfrak{H} , D a derivation on M , M' the commutant of M in \mathfrak{H} , and A a maximal abelian $*$ -subalgebra of M' .

LEMMA 1 (Kadison [4]). *There is a bounded operator b belonging to a*

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W^* -algebra (M, A) generated by M and A such that $D(x) = [b, x] = bx - xb$ for all $x \in M$.

For the proof of Lemma 1, we refer to Kadison's paper.

Put $D^*(x) = [b^*, x]$, then $D^*(x) = -[b, x^*]^*$; hence D^* is also a derivation on M and $D = (D + D^*)/2 + i(D - D^*)/2i$. Therefore it is enough to assume that b is self-adjoint. Moreover, we can assume that $\|b\| = 1$. Let $B(\mathfrak{S})$ be the W^* -algebra of all bounded operators on \mathfrak{S} , S the unit sphere of $B(\mathfrak{S})$, M'_s the self-adjoint portion of M' , then $b + M'_s$ is weakly closed in $B(\mathfrak{S})$, and so a set $K = (b + M'_s) \cap S$ is weakly compact. It is easily seen that a self-adjoint element h with $\|h\| \leq 1$ in $B(\mathfrak{S})$ belongs to K , if and only if $[h, x] = [b, x]$ for all $x \in M$.

Let M_s be the self-adjoint portion of M and define

$$r = \inf \{ \|x - a\| \mid x \in M_s, a \in K \}.$$

LEMMA 2. *There are two elements x_0 and a_0 in $B(\mathfrak{S})$ such that $x_0 \in M_s$, $a_0 \in K$ and $r = \|x_0 - a_0\|$.*

PROOF. Let (x_n) (resp. (a_n)) be a sequence of M_s (resp. K) such that $\lim_n \|x_n - a_n\| = r$, then they are bounded; hence by the weak compactness of bounded spheres of $B(\mathfrak{S})$, there is an accumulate point x_0 (resp. a_0) of (x_n) (resp. (a_n)) such that $x_0 \in M_s$ and $a_0 \in K$; moreover

$$\begin{aligned} r &\leq \|x_0 - a_0\| = \sup_{\xi \in \mathfrak{S}, \|\xi\|=1} | \langle (x_0 - a_0)\xi, \xi \rangle | \\ &\leq \sup \overline{\lim}_{\xi \in \mathfrak{S}, \|\xi\|=1, n} | \langle (x_n - a_n)\xi, \xi \rangle | \\ &\leq \lim_n \|x_n - a_n\| = r. \end{aligned}$$

This completes the proof.

Now let \mathfrak{F} be a family of all elements $(c_\alpha \mid \alpha \in I)$ in $B(\mathfrak{S})$ such that $c_\alpha = x_\alpha - a_\alpha$, $x_\alpha \in M_s$, $a_\alpha \in K$, and $\|c_\alpha\| = r$. For $c_\alpha, c_\beta \in \mathfrak{F}$, we shall define a partial ordering as follows: $c_\alpha < c_\beta$ if $\|c_\alpha z\| \geq \|c_\beta z\|$ for all $z \in Z_p$ (we do not require that the order be proper), where Z_p is the set of all central projections in M . Let $\mathfrak{F}_1 = (c_\gamma \mid \gamma \in I_1)$ be a linearly ordered subset of \mathfrak{F} , and put $F_\gamma = \{c_\delta \mid c_\delta > c_\gamma, \delta \in I_1\}$, then $\bigcap_{\gamma \in I_1} \overline{F}_\gamma \neq (\emptyset)$, where \overline{F}_γ is the weak closure of F_γ , because \overline{F}_γ is weakly compact. Take $c_1 \in \bigcap_{\gamma \in I_1} \overline{F}_\gamma$, then

$$\|c_1\| = \sup_{\|\xi\|=1} | \langle c_1 \xi, \xi \rangle | \leq \sup_{c_\delta > c_\gamma, \|\xi\|=1} | \langle c_\delta \xi, \xi \rangle | \leq \sup_{c_\delta > c_\gamma} \|c_\delta\| = r.$$

Analogously, we have

$$\|c_1 z\| \leq \sup_{c_\delta > c_\gamma} \|c_\delta z\| = \|c_\gamma z\|$$

for all $\gamma \in I_1$ and $z \in Z_p$.

On the other hand, $\|x_\gamma\| \leq r + \|a_\gamma\| \leq r + 1$; hence c_γ belongs to $(r + 1)S \cap M_s - K$; c_1 belongs also to the set $(r + 1)S \cap M_s - K$, because it is

compact; hence c_1 belongs to \mathfrak{F} and $c_\gamma < c_1$ for all $\gamma \in I_1$. Therefore \mathfrak{F}_1 has an upper bound, and so by Zorn's lemma there is a maximal element $c_{\alpha_0} = x_{\alpha_0} - a_{\alpha_0}$ ($x_{\alpha_0} \in M_s, a_{\alpha_0} \in K$) in \mathfrak{F} .

LEMMA 3. $c_{\alpha_0} = 0$, if M' is a countably decomposable type III algebra.

PROOF. Suppose that $c_{\alpha_0} \neq 0$. Then, first of all, we shall show that there is a projection e' in M' such that $e' \sim 1 - e'$ in M' and $e'c_{\alpha_0}(1 - e') \neq 0$. Suppose that $e'c_{\alpha_0}(1 - e') = 0$ for all e' as above, then $e'c_{\alpha_0} = c_{\alpha_0}e'$; hence $ze'c_{\alpha_0} = c_{\alpha_0}ze'$ for all $z \in Z_p$, because c_{α_0} belongs to $(M, A) \subseteq (M, M')$, and so $p'e_{\alpha_0} = c_{\alpha_0}p'$ for all projection $p' \in M'$, because p' is equivalent to its central support $z(p')$ in M' and so p' can be written as a sum of two mutually orthogonal, equivalent projections $e'z(p'), f'z(p')$ such that $e' \sim 1 - e'$ and $f' \sim 1 - f'$; therefore $c_{\alpha_0} \in M'' = M$, and so $c_{\alpha_0} = 0$, a contradiction.

Take a projection $e' \in M'$ such that $e' \sim (1 - e')$ in M' , and $e'c_{\alpha_0}(1 - e') \neq 0$. Let v' be a partial isometry of M' such that $v'^*v' = e'$ and $v'v'^* = 1 - e'$, then $B(\mathfrak{F})$ can be considered the matrix algebra of all 2×2 matrices over a W^* -algebra $\mathfrak{A} = \{e'xe' + v'e'xe'v'^* \mid x \in B(\mathfrak{F})\}$ and M' is considered a $*$ -subalgebra of $B(\mathfrak{F})$ consisting of all 2×2 matrices over a W^* -algebra

$$\mathfrak{B} = \{e'xe' + v'e'xe'v'^* \mid x \in M'\}$$

(cf. [1]). Then,

$$e' = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \quad \text{and} \quad 1 - e' = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}.$$

Let

$$c_{\alpha_0} = \begin{pmatrix} c_{11} & c_{12} \\ c_{12}^* & c_{22} \end{pmatrix},$$

then

$$e'c_{\alpha_0}(1 - e') = \begin{pmatrix} 0 & c_{12} \\ 0 & 0 \end{pmatrix} \neq 0.$$

For $h \in K$ and $x, y \in M_s$, we have

$$\begin{aligned} [e'(x - h)(1 - e'), y] &= - [e'h(1 - e'), y] \\ &= - e'h(1 - e')y + ye'h(1 - e') \\ &= - e'[h, y] + e'[h, y]e' \\ &= - e'[b, y] + e'[b, y]e' \\ &= 0; \end{aligned}$$

therefore, $e'(x - h)(1 - e') \in M'$. Let

$$x - h = \begin{pmatrix} d_{11} & d_{12} \\ d_{12}^* & d_{22} \end{pmatrix},$$

then by the above considerations, $d_{12} \in \mathfrak{B}$.

Now let K_1 be a weakly closed convex subset of K generated by

$$\{u'^* a_{\alpha_0} u' \mid u' \in M'_u\},$$

where M'_u is the set of all unitary elements of M' , then $x_{\alpha_0} - K_1$ is a weakly compact convex set and clearly $x_{\alpha_0} - K_1 \subset \mathfrak{F}$. Therefore, a subset

$$H = \left\{ d_{12} \mid x_{\alpha_0} - k = \begin{pmatrix} d_{11} & d_{12} \\ d_{12}^* & d_{22} \end{pmatrix}, \quad k \in K_1 \right\}$$

of \mathfrak{B} is also weakly compact in the W^* -algebra \mathfrak{B} .

Now let u, v be two unitary elements of \mathfrak{B} , then $\begin{pmatrix} u & 0 \\ 0 & v \end{pmatrix}$ is a unitary element w' of M' and

$$\begin{pmatrix} u^* & 0 \\ 0 & v^* \end{pmatrix} \begin{pmatrix} c_{11} & c_{12} \\ c_{12}^* & c_{22} \end{pmatrix} \begin{pmatrix} u & 0 \\ 0 & v \end{pmatrix} = \begin{pmatrix} u^* c_{11} u & u^* c_{12} v \\ v^* c_{12}^* u & v^* c_{22} v \end{pmatrix};$$

therefore H contains all elements $u^* c_{12} v$ for $u, v \in \mathfrak{B}_u$, where \mathfrak{B}_u is the set of all unitary elements of \mathfrak{B} . Hence H contains $Tc_{12}T$, where T is the unit sphere of \mathfrak{B} , because \mathfrak{B} is $*$ -isomorphic to the W^* -algebra $e'M'e'$, and so \mathfrak{B}_u is weakly dense in $T(\mathfrak{B})$. Therefore $c_{12}c_{12}^* \in H$, because $\|c_{12}\| \leq \|c_{\alpha_0}\| \leq 1$. Take a non-zero projection p in \mathfrak{B} such that $c_{12}c_{12}^* \geq \lambda p$ for some positive number λ , and let $z(p)$ be the central support of p in \mathfrak{B} , then $p \sim z(p)$ in \mathfrak{B} . Let v be a partial isometry of \mathfrak{B} such that $v^*v = z(p)$ and $vv^* = p$, then $v^*c_{12}c_{12}^*v \geq \lambda v^*pv = \lambda z(p)$; clearly $v^*c_{12}c_{12}^*v \in H$. Take $c_{\alpha_1} \in x_{\alpha_0} - K_1$ such that

$$c_{\alpha_1} = \begin{pmatrix} d_{11} & v^*c_{12}c_{12}^*v \\ v^*c_{12}c_{12}^*v & d_{22} \end{pmatrix}.$$

Since $x_{\alpha_0} - u'^* a_{\alpha_0} u' = u'^*(x_{\alpha_0} - a_{\alpha_0})u' = u'^* c_{\alpha_0} u'$ for all $u' \in M'_u$,

$$\|(x_{\alpha_0} - u'^* a_{\alpha_0} u')z\| = \|c_{\alpha_0} z\|$$

for all $z \in Z_p$, and so for $k \in K_1$,

$$\|(x_{\alpha_0} - k)z\| \leq \|c_{\alpha_0} z\|$$

for all $z \in Z_p$, this implies $c_{\alpha_0} < x_{\alpha_0} - k$ for all $k \in K_1$.

On the other hand, let z_1 be a central projection of M' such that

$$z_1 = \begin{pmatrix} z(p) & 0 \\ 0 & z(p) \end{pmatrix},$$

then

$$\begin{aligned}
 \|c_{\alpha_1}z_1\| &= \left\| \begin{pmatrix} z(p) & 0 \\ 0 & z(p) \end{pmatrix} \begin{pmatrix} d_{11} & v^*c_{12}c_{12}^*v \\ v^*c_{12}c_{12}^*v & d_{22} \end{pmatrix} \right\| \\
 &\cong \left\| \begin{pmatrix} z(p) & 0 \\ 0 & z(p) \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} d_{11} & v^*c_{12}c_{12}^*v \\ v^*c_{12}c_{12}^*v & d_{22} \end{pmatrix} \right\| \\
 &= \left\| \begin{pmatrix} z(p)d_{11} & z(p)v^*c_{12}c_{12}^*v \\ 0 & 0 \end{pmatrix} \right\| \\
 &= \left\| \begin{pmatrix} z(p)d_{11} & z(p)v^*c_{12}c_{12}^*v \\ 0 & 0 \end{pmatrix} \begin{pmatrix} d_{11}z(p) & 0 \\ z(p)v^*c_{12}c_{12}^*v & 0 \end{pmatrix} \right\|^{1/2} \\
 &= \|z(p)d_{11}^2z(p) + z(p)(v^*c_{12}c_{12}^*v)^2\|^{1/2} \\
 &\cong (\|z(p)d_{11}\|^2 + \lambda^2)^{1/2} > \|z(p)d_{11}\|.
 \end{aligned}$$

Analogously,

$$\begin{aligned}
 \|c_{\alpha_1}z_1\| &\cong \left\| \begin{pmatrix} z(p) & 0 \\ 0 & z(p) \end{pmatrix} \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} d_{11} & v^*c_{12}c_{12}^*v \\ v^*c_{12}c_{12}^*v & d_{22} \end{pmatrix} \right\| \\
 &= \left\| \begin{pmatrix} 0 & 0 \\ z(p)v^*c_{12}c_{12}^*v & z(p)d_{22} \end{pmatrix} \right\| \\
 &= \left\| \begin{pmatrix} 0 & 0 \\ z(p)v^*c_{12}c_{12}^*v & z(p)d_{22} \end{pmatrix} \begin{pmatrix} 0 & z(p)v^*c_{12}c_{12}^*v \\ 0 & d_{22}z(p) \end{pmatrix} \right\|^{1/2} \\
 &= \|z(p)d_{22}^2z(p) + z(p)(v^*c_{12}c_{12}^*v)^2\|^{1/2} \\
 &> \|z(p)d_{22}\|.
 \end{aligned}$$

Moreover,

$$\begin{pmatrix} d_{11} & 0 \\ 0 & d_{22} \end{pmatrix} = \frac{1}{2} \left\{ \begin{pmatrix} d_{11} & v^*c_{12}c_{12}^*v \\ v^*c_{12}c_{12}^*v & d_{22} \end{pmatrix} + \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} d_{11} & v^*c_{12}c_{12}^*v \\ v^*c_{12}c_{12}^*v & d_{22} \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \right\}.$$

Since $\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ is a unitary element u'_0 of M' ,

$$c_{\alpha_2} = \begin{pmatrix} d_{11} & 0 \\ 0 & d_{22} \end{pmatrix} \in x_{\alpha_0} - K_1.$$

The maximality of c_{α_0} and $c_{\alpha_1} \succ c_{\alpha_0}$, $c_{\alpha_2} \succ c_{\alpha_0}$ imply that c_{α_1} and c_{α_2} are also maximal; hence $\|c_{\alpha_1}z_1\| = \|c_{\alpha_2}z_1\|$.

On the other hand,

$$\begin{aligned} \|c_{\alpha_j} z_1\| &= \left\| \begin{pmatrix} d_{11}z(p) & 0 \\ 0 & d_{22}z(p) \end{pmatrix} \right\| \\ &= \max \{ \|z(p)d_{11}\|, \|z(p)d_{22}\| \} \\ &< \|d_{\alpha_j} z_1\|, \end{aligned}$$

a contradiction. Hence $c_{\alpha_0} = 0$, and this completes the proof.

Now we shall prove Theorem 1.

PROOF OF THEOREM 1. First of all, we shall suppose that M' is a countably decomposable type III algebra, then by Lemma 3, $c_{\alpha_0} = x_{\alpha_0} - a_{\alpha_0} = 0$; hence $[x_{\alpha_0}, x] = [a_{\alpha_0}, x] = D(x)$ for $x \in M$; therefore D is inner.

Next suppose that M is arbitrary type III algebra. Take a countably decomposable projection p' in M' and put $\bar{D}(x p') = D(x)p'$ for $x \in M$, then \bar{D} is a derivation on a W^* -algebra Mp' on a Hilbert space $p'\mathfrak{H}$ and $(Mp')' = p'M'p'$; hence there is an element $y \in M$ such that $[yp', xp'] = \bar{D}(x p')$ for $x \in M$; hence $[y, xp'] = [b, x]p'$ for $x \in M$ and so $[y, x]z = [b, x]z$ for $x \in M$, where z is the central support of p' . This implies $bz = yz + m'z$ ($m' \in M'$); hence by the well known theorem of W^* -algebras, a uniformly closed convex subset C generated by $\{u^*m'zu' \mid u' \in M'_u\}$ has a non-void intersection with the center Z of M' . Take $az \in C \cap Z$ ($a \in M$), then $yz + az \in M$ and $\|yz + az\| \leq \|bz\| \leq 1$ and $[yz + az, x] = [yz, x]$ for all $x \in M$. Therefore we can choose a family of orthogonal central projections $(z_\alpha \mid \alpha \in J)$ in M such that for each $\alpha \in J$, there is an element y_α in Mz_α such that $\|y_\alpha\| \leq 1$, $[y_\alpha, x] = D(x)z_\alpha$ for all $x \in M$ and $\sum_{\alpha \in J} z_\alpha = 1$. Take $y_0 = \sum_{\alpha \in J} y_\alpha$, then $y_0 \in M$, and

$$[y_0, x] = \sum_{\alpha \in J} [y_\alpha, x] = \sum_{\alpha \in J} D(x)z_\alpha = D(x)$$

for $x \in M$; hence D is inner.

Finally suppose that M is arbitrary W^* -algebra. Take a type III factor N on a Hilbert space \mathfrak{H}_1 , and consider the tensor product $M \otimes N$, then $M \otimes N$ is of type III (8).

Then $b \otimes 1_{\mathfrak{H}_1}$ is a bounded operator on $\mathfrak{H} \otimes \mathfrak{H}_1$, where $1_{\mathfrak{H}_1}$ is the identity operator on \mathfrak{H}_1 . Consider $[b \otimes 1_{\mathfrak{H}_1}, x]$ for $x \in M \otimes N$, then it is a derivation on $M \otimes N$, because $[b \otimes 1_{\mathfrak{H}_1}, c \otimes d] \in M \otimes N$ for $c \in M$ and $d \in N$, so that there is an element h in $M \otimes N$ such that $[b \otimes 1_{\mathfrak{H}_1}, x] = [h, x]$ for all $x \in M \otimes N$. Hence $[h, 1_{\mathfrak{H}} \otimes N] = 0$, where $1_{\mathfrak{H}}$ is the identity operator on \mathfrak{H} ; therefore $h \in (1_{\mathfrak{H}} \otimes N)' \cap (M \otimes N)$.

$$\begin{aligned} \{(1_{\mathfrak{H}} \otimes N)' \cap (M \otimes N)\}' &\supset (1_{\mathfrak{H}} \otimes N, M' \otimes N') \\ &= M' \otimes (N', N) = M' \otimes B(\mathfrak{H}_1); \end{aligned}$$

hence $(1_{\mathfrak{H}} \otimes N)' \cap (M \otimes N) \subset (M' \otimes B(\mathfrak{H}_1))' = M \otimes 1_{\mathfrak{H}_1}$, and so $h \in M \otimes 1_{\mathfrak{H}_1}$,

this implies that D is inner.

This completes the proof.

PROOF OF THEOREM 2. Let \mathfrak{A} be a C^* -algebra on a Hilbert space \mathfrak{H} , D a derivation on \mathfrak{A} , then by the result of Kadison (4), D can be extended to a derivation D on the weak closure $\overline{\mathfrak{A}}$ of \mathfrak{A} , then there is an element $x_0 \in \overline{\mathfrak{A}}$ such that $[x_0, x] = D(x)$ for $x \in \mathfrak{A}$.

This completes the proof.

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