

1 **ON THE COMPLEXITY OF THE THEORY OF A COMPUTABLY**  
2 **PRESENTED METRIC STRUCTURE**

3 CALEB CAMRUD, ISAAC GOLDBRING, AND TIMOTHY H. MCNICHOLL

ABSTRACT. We consider the complexity (in terms of the arithmetical hierarchy) of the various quantifier levels of the diagram of a computably presented metric structure. As the truth value of a sentence of continuous logic may be any real in  $[0, 1]$ , we introduce two kinds of diagrams at each level: the *closed* diagram, which encapsulates weak inequalities of the form  $\phi^{\mathcal{M}} \leq r$ , and the *open* diagram, which encapsulates strict inequalities of the form  $\phi^{\mathcal{M}} < r$ . We show that the closed and open  $\Sigma_N$  diagrams are  $\Pi_{N+1}^0$  and  $\Sigma_N$  respectively, and that the closed and open  $\Pi_N$  diagrams are  $\Pi_N^0$  and  $\Sigma_{N+1}^0$  respectively. We then introduce effective infinitary formulas of continuous logic and extend our results to the hyperarithmetical hierarchy. Finally, we demonstrate that our results are optimal.

4 1. INTRODUCTION

5 Suppose  $\mathcal{A}$  is a *computably presented* countable structure, that is, we have num-  
6 bered the elements of its domain so that the resulting operations and relations on  
7 the natural numbers are computable. A longstanding and ongoing line of inquiry  
8 in computable model theory is to study the complexity of the elementary (i.e. com-  
9 plete) diagram of such models at the various quantifier levels. In particular, such  
10 a model is said to be *N-decidable* if the set of the  $\Sigma_N$ -sentences of its elementary  
11 diagram is computable. A seminal result in this direction is the theorem of Moses  
12 and Chisholm that there is a computable linear order that is *n*-decidable for all *n*  
13 yet not decidable [4]. More recently, Fokina et. al. have investigated index sets of  
14 *n*-decidable models; i.e. the complexity of classifying such models [7]. More results  
15 along these lines can be found in the survey by Fokina, Harizanov, and Melnikov  
16 [8].

17 Here, we wish to initiate a similar program for metric structures in the context  
18 of continuous logic as expounded in [2]. We use the framework for studying the  
19 computability of metric structures that has evolved over approximately the past  
20 decade (see e.g. [10], [9]). There are two difficulties that must be confronted at the  
21 outset. One difficulty is that for a sentence  $\phi$  of continuous logic, the truth value  
22 of  $\phi$  can be any real in  $[0, 1]$ , with 0 representing truth and 1 representing falsity.  
23 Another difficulty is that the domain of a typical metric structure is uncountable,  
24 whence the inclusion of parameters in our sentences would immediately pose com-  
25 plications for a computability-theoretic analysis. Our solution to the first difficulty  
26 is to study two kinds of diagrams: *closed* diagrams, corresponding to inequalities  
27 of the form  $\phi^{\mathcal{M}} \leq r$ , and *open* diagrams, corresponding to inequalities of the form  
28  $\phi^{\mathcal{M}} < r$ . (Here  $\phi^{\mathcal{M}}$  is the truth-value of  $\phi$  in the model  $\mathcal{M}$ .) We leave consideration

---

Goldbring was partially supported by NSF grant DMS-2054477.

1 of possible solutions of the second obstacle for future work. Consequently, we only  
2 consider parameter-free sentences.

3 In the classical case, the complexity of the levels of a diagram of a computably  
4 presented model is very straightforward: the collection of true  $\Sigma_N$  sentences is  
5  $\Sigma_N^0$  and the collection of true  $\Pi_N$  sentences is  $\Pi_N^0$ . True arithmetic demonstrates  
6 that these bound are optimal. We find, however, that in the context of continuous  
7 logic, the relation is not so straightforward. For example, in our first main result  
8 (Theorem 4.2), we show that the closed  $\Sigma_N$  diagram is  $\Pi_{N+1}^0$ , so that we obtain  
9 neither the expected quantifier nor the expected level of complexity. This result  
10 may seem surprising at first due to its dissonance with the classical case. However,  
11 some reflection on the nature of computation with real numbers will likely reveal  
12 it is the only answer possible. Nevertheless, in our second main result (Theorem  
13 5.1), we show that our upper bounds in the finite case are indeed optimal.

14 We then extend our results to infinitary continuous logic. In this context, we  
15 use the hyperarithmetical hierarchy to gauge complexity. The theory of infinitary  
16 continuous logic has been previously studied in [3] and [6]. To the best of our  
17 knowledge, this is the first paper to consider effective infinitary logic for metric  
18 structures. As might be expected, our results for infinitary logic (Theorems 6.1  
19 and 6.2), parallel our findings for finitary logic. However, the availability of infinite  
20 disjunctions yields simpler demonstrations of the lower bounds.

21 The paper is organized as follows. Section 2 covers relevant background from  
22 computability theory, computable analysis, and continuous logic. Section 3 lays out  
23 the framework for effective infinitary continuous logic as well as some combinatorial  
24 results which support our work on finitary logic. Upper and lower bounds for the  
25 finitary case as presented in Sections 4 and 5 respectively. The upper and lower  
26 bounds for the infinitary case are demonstrated in Section 6. Finally, Section 7  
27 summarizes our findings and presents some avenues for further investigation.

28

## 2. BACKGROUND

29 **2.1. Background from continuous Logic.** We generally follow the framework of  
30 [2]. However, we limit our connectives to  $\neg$ ,  $\frac{1}{2}$ , and  $\dot{\div}$ . The universal and existential  
31 quantifiers are replaced by ‘sup’ and ‘inf’ respectively. In the following, by *language*,  
32 we mean a signature for a metric structure. A language in this sense includes a  
33 modulus of uniform continuity for each predicate symbol and each function symbol.  
34 When  $\mathcal{M}$  is an  $L$ -structure, we denote the domain of  $\mathcal{M}$  as  $|\mathcal{M}|$ .

35 The  $\Sigma_N$  and  $\Pi_N$  wff’s of a language  $L$  are defined as in the classical case. For  
36 example, if  $\phi$  is a quantifier-free wff of  $L$ , then  $\inf_{x_1} \sup_{x_2} \phi$  is a  $\Sigma_2$  wff of  $L$ .

37 The language  $L_{\omega_1\omega}$  is considered in the sense of Eagle in [6] as opposed to  
38 the language given by Ben-Yaacov and Iovino in [3]. The key distinction is that  
39  $L_{\omega_1\omega}$  in [6] does not require every infinitary formula to have a modulus of uniform  
40 convergence, while the language of [3] does. Adding this extra condition complicates  
41 the effective encoding of the computable infinitary formulas. However, as we shall  
42 see later, our results will hold in any reasonable effectivization of the framework of  
43 Ben-Yaacov and Iovino.

44 A key terminological difference with classical infinitary logic is that  $\bigvee$  is used  
45 for infinite conjunction and  $\bigwedge$  for infinite disjunction. That is,  $\bigvee_n$  is interpreted as  
46  $\sup_n$  and  $\bigwedge_n$  is interpreted as  $\inf_n$ . The reasons for this are clear when considering  
47 the ordered set of real numbers as a lattice.

1 **2.2. Background from computability theory.** Familiarity with standard computability-  
 2 theoretic concepts like computable enumerability, oracle computability, the arith-  
 3 metical hierarchy, and the relationship between each of these is assumed. A thor-  
 4 ough treatment of these subjects can be found in [12], [5]. For background on the  
 5 hyperarithmetical hierarchy, see [1] and [11].

6 Let  $\mathcal{O}$  denote Kleene's system of notations for the computable ordinals. If  $\alpha <$   
 7  $\omega_1^{\text{CK}}$ , then  $\langle \alpha \rangle$  denotes the set of all notations for  $\alpha$ .

8 A real number  $r$  is *computable* if there is an effective procedure which, given  
 9  $k \in \mathbb{N}$ , produces a rational number  $q$  such that  $|r - q| < 2^{-k}$ . A sequence  $(r_n)_{n \in \mathbb{N}}$   
 10 of reals is computable if it is computable uniformly in  $n$ . By an *index* of such a  
 11 sequence we mean an index of a Turing machine that computes it.

12 Suppose  $(M, d)$  and  $(M', d')$  are metric spaces, and let  $\Gamma : M \rightarrow M'$ . A map  
 13  $\Delta : \mathbb{N} \rightarrow \mathbb{N}$  is called a *modulus of continuity* for  $\Gamma$  if  $d(a, b) \leq 2^{-\Delta(k)}$  whenever  
 14  $d'(\Gamma(a), \Gamma(b)) \leq 2^{-k}$ . A map  $\Gamma : M \rightarrow M'$  is called *effectively uniformly continuous*  
 15 if it has a computable modulus of uniform continuity.

16 In the following,  $L$  denotes an effectively numbered language with uniformly  
 17 computable moduli of uniform continuity. That is, there is an algorithm that given a  
 18 number assigned to a predicate or function symbol  $\phi$  computes the modulus function  
 19 of  $\phi$ . Moreover, unless otherwise mentioned, every structure will be assumed to be  
 20 an  $L$ -structure.

21 Our framework for the computability of metric structures is essentially that in [9].  
 22 Given a structure  $\mathcal{M}$  and  $A \subseteq |\mathcal{M}|$ , we define the *algebra generated by  $A$*  to be the  
 23 smallest subset of  $|\mathcal{M}|$  containing  $A$  that is closed under every function of  $\mathcal{M}$ . A pair  
 24  $(\mathcal{M}, g)$  is called a *presentation* of  $\mathcal{M}$  if  $g : \mathbb{N} \rightarrow |\mathcal{M}|$  is a map such that the algebra  
 25 generated by  $\text{ran}(g)$  is dense. We use  $\mathcal{M}^\sharp$  to denote presentations of a structure  
 26  $\mathcal{M}$ . Given a presentation  $\mathcal{M}^\sharp = (\mathcal{M}, g)$ , every  $a \in \text{ran}(g)$  is called a *distinguished*  
 27 *point* of  $\mathcal{M}^\sharp$ , and each point in the algebra generated by the distinguished points  
 28 is called a *rational point* of  $\mathcal{M}^\sharp$ . The set of all rational points of  $\mathcal{M}^\sharp$  is denoted  
 29  $\mathbb{Q}(\mathcal{M}^\sharp)$ . By an *open rational ball* of  $\mathcal{M}^\sharp$  we mean an open ball of  $\mathcal{M}$  whose radius  
 30 is rational and whose center is a rational point of  $\mathcal{M}^\sharp$ . By a *rational cover* of  $\mathcal{M}^\sharp$   
 31 we mean a finite set of rational balls of  $\mathcal{M}^\sharp$  that covers  $|\mathcal{M}|$ .

32 A presentation  $\mathcal{M}^\sharp$  is *computable* if the predicates of  $\mathcal{M}$  are uniformly com-  
 33 putable on the rational points of  $\mathcal{M}^\sharp$ . Since the metric is a binary predicate on  
 34  $\mathcal{M}$ , this entails that the distance between any two rational points is uniformly  
 35 computable. We say that a metric structure is *computably presentable* if it has a  
 36 computable presentation. We say that a presentation  $\mathcal{M}^\sharp$  is *computably compact* if  
 37 the set of its rational covers is computably enumerable. Lastly, we define an *index*  
 38 of a computable presentation  $\mathcal{M}^\sharp$  to be a code of a Turing machine that computes  
 39 the predicates of  $\mathcal{M}$  on the rational points of  $\mathcal{M}^\sharp$ .

40

### 3. PRELIMINARIES

41 **3.1. Preliminaries from classical logic and computability.** We begin with  
 42 some relational notation which will facilitate the statements of many of our results  
 43 and their proofs.

44 **Definition 3.1.** Let  $N \in \mathbb{N}$ , and suppose  $R \subseteq \mathbb{N}^{N+1}$ .

- 45 (1)  $\neg R = \mathbb{N}^{N+1} - R$ .  
 46 (2)  $\exists R = \{n \in \mathbb{N} : \exists x_1 \forall x_2 \dots \forall x_N R(n, x_1, \dots, x_N)\}$ .

$$(3) \vec{\forall}R = \{n \in \mathbb{N} : \forall x_1 \exists x_2 \dots Q x_N R(n, x_1, \dots, x_N)\}.$$

In Definition 3.1.2,  $Q$  denotes the quantifier  $\forall$  if  $N$  is even and  $\exists$  if  $N$  is odd. Similarly, in Definition 3.1.3,  $Q$  denotes the quantifier  $\forall$  if  $N$  is odd and  $\exists$  if  $N$  is even. We will follow these conventions in the sequel.

Given  $R \subseteq \mathbb{N}^{N+1}$ , we also set

$$R^* = \{(n, x_1, \dots, x_N) \in \mathbb{N}^{N+1} : \forall x'_1 \leq x_1 \exists x'_2 \leq x_2 \dots Q x'_N \leq x_N R(n, x'_1, \dots, x'_N)\}.$$

Note that  $R \equiv_{\text{T}} R^*$ . Finally, let  $\chi_R$  denote the characteristic (indicator) function of  $R$ .

We fix a uniformly computable family  $(R_N)_{N \in \mathbb{N}}$  of relations so that for each  $N \in \mathbb{N}$ ,  $R_{2N} \cup R_{2N+1} \subseteq \mathbb{N}^{N+2}$ ,  $\vec{\forall}R_{2N}$  is  $\Pi_{N+1}^0$ -complete, and  $\vec{\exists}R_{2N+1}$  is  $\Sigma_{N+1}^0$ -complete.

**3.2. Preliminaries from continuous logic.** We begin by formally defining the open and closed diagrams of a metric structure.

**Definition 3.2.** Let  $\mathcal{M}$  be an  $L$ -structure. In the following,  $\phi$  ranges over sentences of  $L$  and  $q$  ranges over  $[0, 1] \cap \mathbb{Q}$ .

- (1) The *closed (resp. open) quantifier-free diagram* of  $\mathcal{M}$  is the set of all pairs  $(\phi, q)$  so that  $\phi$  is quantifier-free and  $\phi^{\mathcal{M}} \leq q$  (resp.  $\phi^{\mathcal{M}} < q$ ).
- (2) For every positive integer  $N$ , the *closed (resp. open)  $\Pi_N$  diagram* of  $\mathcal{M}$  is the set of all pairs  $(\phi, q)$  so that  $\phi$  is  $\Pi_N$  and  $\phi^{\mathcal{M}} \leq q$  (resp.  $\phi^{\mathcal{M}} < q$ ). The closed and open  $\Sigma_N$  diagrams are defined similarly.

We now define the computable wff's of  $L_{\omega_1\omega}$  and their codes by effective transfinite induction. We follow the development of the classical case in [1]. We presume an effective enumeration of the quantifier-free wff's of  $L$ . We also presume effective codings of the following.

- (1) All pairs of the form  $(j, \bar{z})$ , where  $j \in \mathbb{N}$  and  $\bar{z}$  is a tuple of variables.
- (2) All quadruples of the form  $(X, a, \bar{x}, e)$ , where  $X \in \{\Sigma, \Pi\}$ ,  $a, e \in \mathbb{N}$ , and  $\bar{x}$  is a tuple of variables.

When  $\xi$  is a tuple of either of the above types, we let  $\bar{\xi}$  denote the code of  $\xi$ .

For every  $X \in \{\Sigma, \Pi\}$  and  $a \in \mathcal{O}$ , we first define the index set  $S_a^X$  in such a way that if  $a \in \langle \alpha \rangle$ , then every formula with indices in  $S_a^X$  will be  $X_\alpha$ .

We begin by setting  $S_1^\Sigma$  and  $S_1^\Pi$  to be the set of codes of all quantifier-free, finitary formulas of  $\mathcal{L}$ . (Recall that 1 denotes 0 in Kleene's  $\mathcal{O}$ .) For every  $a \in \mathcal{O} - \{1\}$  and  $X \in \{\Sigma, \Pi\}$ , let  $S_a^X$  be the set of codes of all quadruples of the form  $(X, a, \bar{x}, e)$ , where  $\bar{x}$  is a finite tuple of variable symbols, and  $e \in \mathbb{N}$ .

Now for every  $a \in \mathcal{O}$ ,  $X \in \{\Sigma, \Pi\}$ , and tuple of variable symbols  $\bar{x}$ , we define  $P(X, a, \bar{x})$  to be the set of all codes of pairs  $(j, \bar{z})$ , where  $j$  codes a quadruple  $(X, b, \bar{y}, e')$  with  $b <_{\mathcal{O}} a$  and  $\bar{z}$  is a finite sequence of variable symbols of  $\bar{y}$  not contained in  $\bar{x}$ .

For each  $i \in S_a^\Sigma \cup S_a^\Pi$ , we define an infinitary wff  $\phi_i$  as follows:

- (1) If  $a = 1$ , then  $\phi_i$  is the quantifier-free finitary wff indexed by  $i$ .
- (2) Suppose  $a > 1$  and  $i = \overline{(X, a, \bar{x}, e)}$ .
  - (a) If  $X = \Sigma$ , then

$$\phi_i = \bigwedge_{(j, \bar{z}) \in W_e \cap P(\Pi, a, \bar{x})} \inf_{\bar{z}} \phi_j.$$

1 (b) If  $X = \Pi$ , then

$$\phi_i = \bigvee_{\overline{(j, \bar{z})} \in W_e \cap P(\Sigma, a, \bar{x})} \sup_{\bar{z}} \phi_j.$$

2 For every computable ordinal  $\alpha$ , we let  $\Sigma_\alpha^c$  denote the set of all formulas  $\phi_i$  where  
 3  $i \in \bigcup_{a \in \langle \alpha \rangle} S_a^\Sigma$ . Similarly,  $\Pi_\alpha^c$  denotes the set of all formulas  $\phi_i$  where  $i \in \bigcup_{a \in \langle \alpha \rangle} S_a^\Pi$ .  
 4 If  $\psi = \phi_i$ , then we say that  $i$  is a *code* of  $\psi$ . By a *computable infinitary formula*,  
 5 we mean an element of  $\Sigma_\alpha^c \cup \Pi_\alpha^c$  for some computable ordinal  $\alpha$ .

6 It is fairly routine to verify that all logical operations can be performed effectively  
 7 via this coding system. For example, from an  $i$  that codes an infinitary wff  $\phi$ , it is  
 8 possible to compute a code of  $\sup_x \phi$ .

9 **3.3. Combinatorial preliminaries.** We introduce here some results that will sup-  
 10 port our demonstration of lower bounds. Among these, our main result (Theorem  
 11 3.5) is a principle for representing  $\Sigma_N^0$  and  $\Pi_N^0$  sets as solutions of inequalities  
 12 involving infinite series. We believe this connection is sufficiently novel to merit  
 13 consideration on its own.

14 We begin with the following lemma which is easily verified by simultaneous  
 15 induction on  $N$ . Note that the suprema and infima range over  $\mathbb{N}$ .

16 **Lemma 3.3.** *For  $R \subseteq \mathbb{N}^{N+1}$  and  $n \in \mathbb{N}$ , we have:*

- 17 (1)  $n \in \check{\forall}R$  if and only if  $\inf_{x_1} \sup_{x_2} \dots Q_{x_N} \chi_R(n, x_1, \dots, x_N) = 1$ .  
 18 (2)  $n \in \check{\exists}R$  if and only if  $\sup_{x_1} \inf_{x_2} \dots Q_{x_N} \chi_R(n, x_1, \dots, x_N) = 1$ .

19 To state our main theorem of this section, we need the following.

20 **Definition 3.4.** For  $K, N \in \mathbb{N}$  and  $f : \mathbb{N}^{N+1} \rightarrow \mathbb{R}$  a bounded function, set:

$$\begin{aligned} \Gamma_K(f; x_1, \dots, x_N) &= \sum_{x_0=0}^K 2^{-(x_0+1)} f(x_0, \dots, x_N) \\ \Gamma(f; x_1, \dots, x_N) &= \sum_{x_0=0}^{\infty} 2^{-(x_0+1)} f(x_0, \dots, x_N). \end{aligned}$$

21 We define  $\Gamma(f) : \mathbb{N}^N \rightarrow \mathbb{R}$  by setting  $\Gamma(f)(x_1, \dots, x_N) = \Gamma(f; x_1, \dots, x_N)$ . We  
 22 note that  $\Gamma(f)$  is computable if  $f$  is computable and, in this case, an index of  $\Gamma(f)$   
 23 can be computed from an index of  $f$  and a bound on  $f$ .

24 We are now ready to state and prove the key result of this section. In what  
 25 follows, we view elements of  $\mathbb{N}^{N+2}$  as being of the form  $(x_0, x_1, \dots, x_N, n)$ .

26 **Theorem 3.5.** *Let  $R \subseteq \mathbb{N}^{N+2}$ , and let  $n \in \mathbb{N}$ .*

- 27 (1)  $n \in \check{\forall}R$  if and only if

$$\inf_{x_1} \sup_{x_2} \dots Q_{x_N} \Gamma(1 - \frac{1}{2} \chi_{R^*}; x_1, \dots, x_N, n) \leq \frac{1}{2}.$$

- 28 (2)  $n \in \check{\exists}R$  if and only if

$$\sup_{x_1} \inf_{x_2} \dots Q_{x_N} \Gamma(\frac{1}{2} \chi_{(-R)^*}; x_1, \dots, x_N, n) < \frac{1}{2}.$$

1 The proof of the previous theorem requires a few preparatory lemmas. For the  
 2 first lemma, note that if  $f : \mathbb{N} \rightarrow \mathbb{R}$  is a bounded function, then  $\Gamma_K(f)$  is simply a  
 3 real number (i.e. a constant).

4 **Lemma 3.6.** *If  $f : \mathbb{N} \rightarrow \{\frac{1}{2}, 1\}$ , then for every  $K \in \mathbb{N}$ ,  $\Gamma_K(f) \leq \frac{1}{2}$  if and only if*  
 5  *$f(m) = \frac{1}{2}$  for all  $m < K$ .*

6 *Proof sketch.* Fix  $K \in \mathbb{N}$ . Consider the given sum in base 2. Any  $m < K$  for which  
 7  $f(m) = 1$  leads to a ‘carry’ operation so that the  $\frac{1}{2}$ -position becomes 1. Adding  
 8  $f(K)$  would then force the value to be greater than  $\frac{1}{2}$ .  $\square$

9 **Lemma 3.7.** *Suppose  $R \subseteq \mathbb{N}^{N+1}$ . Then  $\vec{\vee}(R^*) = \vec{\vee}R$ .*

10 *Proof sketch.* The proof that  $\vec{\vee}(R^*) \subseteq \vec{\vee}R$  is straightforward. The other inclusion  
 11 is demonstrated via Skolemization.  $\square$

12 **Lemma 3.8.** *Fix  $R \subseteq \mathbb{N}^{N+2}$  and  $1 \leq J \leq N$ . Then for every  $x_1, \dots, x_{J-1}, n \in \mathbb{N}$*   
 13 *and every  $K \in \mathbb{N}$ , we have:*

- 14 (1)  $\sup_{x_J} \inf_{x_{J+1}} \dots Q_{x_N} \Gamma_K(1 - \frac{1}{2}\chi_{R^*}; x_1, \dots, x_N, n) \leq \frac{1}{2}$  if and only if  
 15  $\Gamma_K(\sup_{x_J} \inf_{x_{J+1}} \dots Q_{x_N} (1 - \frac{1}{2}\chi_{R^*}); x_1, \dots, x_{J-1}, n) \leq \frac{1}{2}$ .  
 16 (2)  $\inf_{x_J} \sup_{x_{J+1}} \dots Q_{x_N} \Gamma_K(1 - \frac{1}{2}\chi_{R^*}; x_1, \dots, x_N, n) \leq \frac{1}{2}$  if and only if  
 17  $\Gamma_K(\inf_{x_J} \sup_{x_{J+1}} \dots Q_{x_N} (1 - \frac{1}{2}\chi_{R^*}); x_1, \dots, x_{J-1}, n) \leq \frac{1}{2}$ .

18 *Proof.* Set  $G = 1 - \frac{1}{2}\chi_{R^*}$  and note that  $\text{ran}(G) \subseteq \{\frac{1}{2}, 1\}$ . Thus, in what follows, all  
 19 suprema are maxima and all infima are minima. Also, we may assume  $K > 0$ .

20 We proceed by induction on  $N - J$ . We begin with the base case for (1),  
 21 that is,  $J = N - 1$ . Without loss of generality, we may assume that one of the  
 22 two quantities in (1) is no larger than  $\frac{1}{2}$ . Since  $\Gamma_K(\sup_{x_N} G; x_1, \dots, x_{N-1}, n) \geq$   
 23  $\sup_{x_N} \Gamma_K(G; x_1, \dots, x_N, n)$ , we may assume  $\sup_{x_N} \Gamma_K(G; x_1, \dots, x_N, n) \leq \frac{1}{2}$ . By  
 24 Lemma 3.6, we have that  $G(x_0, x_1, \dots, x_N, n) = \frac{1}{2}$  for all  $x_N \in N$  and all  $x_0 < K$ .  
 25 By Lemma 3.6 again,  $\Gamma_K(\sup_{x_N} G; x_1, \dots, x_{N-1}, n) \leq \frac{1}{2}$ .

26 We now consider the base case for (2). Again, we may assume one of the  
 27 two quantities in (2) is no larger than  $\frac{1}{2}$ . Since  $\Gamma_K(\inf_{x_N} G; x_1, \dots, x_{N-1}, n) \leq$   
 28  $\inf_{x_N} \Gamma_K(G; x_1, \dots, x_N, n)$ , we assume  $\Gamma_K(\inf_{x_N} G; x_1, \dots, x_{N-1}, n) \leq \frac{1}{2}$ . By Lemma  
 29 3.6,  $\inf_{x_N} G(x_0, \dots, x_N, n) = \frac{1}{2}$  for all  $x_0 < K$ . Consequently, for each  $x_0 < K$ ,  
 30 there exists  $\xi_{x_0} \in \mathbb{N}$  so that  $G(x_0, \dots, x_{N-1}, \xi_{x_0}, n) = \frac{1}{2}$ . Let

$$\xi = \begin{cases} \max_{x_0 < K} \xi_{x_0} & \text{if } N \text{ odd} \\ 0 & \text{otherwise.} \end{cases}$$

31 By the definition of  $R^*$ , it follows that  $G(x_0, \dots, x_{N-1}, \xi, n) = \frac{1}{2}$  for all  $x_0 < K$ .  
 32 By Lemma 3.6 again,  $\inf_{x_N} \Gamma_K(G; x_1, \dots, x_N, n) \leq \frac{1}{2}$ .

33 We now perform the inductive step for (1). Suppose that  $N - J > 1$  and  
 34 set  $H = \inf_{x_{J+1}} \dots Q_{x_N} G$ . By the inductive hypothesis, it suffices to show that  
 35  $\sup_{x_J} \Gamma_K(H; x_1, \dots, x_J, n) \leq \frac{1}{2}$  if and only if  $\Gamma_K(\sup_{x_J} H; x_1, \dots, x_{J-1}, n) \leq \frac{1}{2}$ .  
 36 Without loss of generality, we assume  $\sup_{x_J} \Gamma_K(H; x_1, \dots, x_J, n) \leq \frac{1}{2}$ . By Lemma  
 37 3.6, for all  $x_J \in N$  and all  $x_0 < K$ ,  $H(x_0, x_1, \dots, x_J, n) = \frac{1}{2}$ . By Lemma 3.6 again,  
 38  $\Gamma_K(\sup_{x_J} H; x_1, \dots, x_{J-1}, n) \leq \frac{1}{2}$ .

39 We now carry out the inductive step for (2). In this case, we consider the function  
 40  $H = \sup_{x_{J+1}} \dots Q_{x_N} G(x_0, \dots, x_N, n)$ . It suffices to show that  $\inf_{x_J} \Gamma_K(H; x_1, \dots, x_J, n) \leq$   
 41  $\frac{1}{2}$  if and only if  $\Gamma_K(\inf_{x_J} H; x_1, \dots, x_{J-1}, n) \leq \frac{1}{2}$ . Without loss of generality, we

1 assume  $\Gamma_K(\inf_{x_J} H; x_1, \dots, x_{J-1}, n) \leq \frac{1}{2}$ . By Lemma 3.6, for every  $x_0 < K$ ,  
 2  $\inf_{x_J} H(x_0, \dots, x_J, n) = \frac{1}{2}$ , whence, for every  $x_0 < K$ , there exists  $\xi_{x_0} \in \mathbb{N}$  so  
 3 that  $H(x_0, \dots, x_{J-1}, \xi_{x_0}, n) = \frac{1}{2}$ . Let

$$\xi = \begin{cases} \max_{x_0 < K} \xi_{x_0} & J \text{ odd} \\ 0 & \text{otherwise.} \end{cases}$$

4 By the definition of  $R^*$ ,  $H(x_0, x_1, \dots, x_{J-1}, \xi, n) = \frac{1}{2}$  for all  $x_0 < K$ . By Lemma  
 5 3.6,  $\Gamma_K(\inf_{x_J} H; x_0, \dots, x_{J-1}, n) = \frac{1}{2}$ .  $\square$

6 We note that while Lemma 3.8 is hardly the key result of this section, it is  
 7 nevertheless somewhat surprising. In general, one does not expect to be able to  
 8 interchange summation with sup or inf. It is here that the use of  $R^*$  comes in to  
 9 consideration and provides a path to a weaker conclusion but one that is just strong  
 10 enough to effect the rest of the proof.

11 *Proof of Theorem 3.5.* It suffices to prove (1); part (2) follows by considering com-  
 12 plements. Once again, set  $G = 1 - \frac{1}{2}\chi_{R^*}$ .

Suppose  $n \in \vec{\forall}R$ . It follows from Lemmas 3.3 and 3.7 that

$$\sup_{x_0} \inf_{x_1} \dots Q_{x_N} G(x_0, \dots, x_N, n) = \frac{1}{2}.$$

Thus, by Lemma 3.6,  $\Gamma_K(\inf_{x_1} \dots Q_{x_N} G; n) \leq \frac{1}{2}$ . By Lemma 3.8, we have that

$$\inf_{x_1} \dots Q_{x_N} \Gamma_K(G; x_1, \dots, x_N, n) \leq \frac{1}{2}.$$

13 Since  $G \leq 1$ , it follows that

$$\inf_{x_1} \dots Q_{x_N} \Gamma(G; x_1, \dots, x_N, n) \leq \frac{1}{2} + 2^{-(K+1)}$$

14 for all  $K \in \mathbb{N}$ . Hence,  $\sup_{x_0} \inf_{x_1} \dots Q_{x_N} \Gamma(G; x_1, \dots, x_N, n) \leq \frac{1}{2}$ .

15 Conversely, suppose  $\inf_{x_1} \sup_{x_2} \dots Q_{x_N} \Gamma(G; x_1, \dots, x_N, n) \leq \frac{1}{2}$ . Since  $G >$   
 16  $0$ , for every  $K \in \mathbb{N}$ ,  $\inf_{x_1} \sup_{x_2} \dots Q_{x_N} \Gamma_K(G; x_1, \dots, x_N, n) \leq \frac{1}{2}$ . By Lemmas  
 17 3.6 and 3.8, for every  $x_0 < K$ ,  $\inf_{x_1} \sup_{x_2} \dots Q_{x_N} G(x_0, \dots, x_N, n) = \frac{1}{2}$ . Thus,  
 18  $\sup_{x_0} \inf_{x_1} \sup_{x_2} \dots Q_{x_N} G(x_0, \dots, x_N, n) = \frac{1}{2}$ . It follows from Lemma 3.3 that  
 19  $n \in \vec{\forall}R^*$ . Thus, by Lemma 3.7,  $n \in \vec{\forall}R$ .  $\square$

#### 20 4. FINITARY DIAGRAM RESULTS- UPPER BOUNDS

21 We begin by considering the quantifier-free diagrams.

22 **Proposition 4.1.** *If  $\mathcal{M}$  is a computably presentable  $L$ -structure, then the closed*  
 23 *quantifier-free diagram of  $\mathcal{M}$  is  $\Pi_1^0$  and the open quantifier-free diagram of  $\mathcal{M}$  is*  
 24  *$\Sigma_1^0$ .*

25 *Proof.* The proposition follows from the observation that if  $\mathcal{M}$  is computably pre-  
 26 sentable, then the map  $\phi \mapsto \phi^{\mathcal{M}}$  is computable on the set of quantifier-free sentences  
 27 of  $L$ .  $\square$

28 We note that the proof of Proposition 4.1 is uniform; that is, from an index of a  
 29 presentation of  $\mathcal{M}$ , it is possible to compute a  $\Pi_1^0$  index of the closed quantifier-free  
 30 diagram of  $\mathcal{M}$  and a  $\Sigma_1^0$  index of the open quantifier-free diagram of  $\mathcal{M}$ .

31 We now consider the higher-level diagrams.

1 **Theorem 4.2.** *Let  $\mathcal{M}$  be a computably presentable  $L$ -structure, and let  $N$  be a*  
 2 *positive integer.*

- 3 (1) *The closed  $\Pi_N$  diagram of  $\mathcal{M}$  is  $\Pi_N^0$ , and the open  $\Pi_N$  diagram of  $\mathcal{M}$  is*  
 4  *$\Sigma_{N+1}^0$ .*  
 5 (2) *The closed  $\Sigma_N$  diagram of  $\mathcal{M}$  is  $\Pi_{N+1}^0$ , and the open  $\Sigma_N$  diagram of  $\mathcal{M}$  is*  
 6  *$\Sigma_N^0$ .*

7 *Moreover, the results of (1) and (2) hold uniformly in the sense that from  $N$  and*  
 8 *an index for a computable presentation for  $\mathcal{M}$ , one can compute an index for any*  
 9 *of the above diagrams.*

10 *Proof.* Throughout this proof, we fix a computable presentation  $\mathcal{M}^\sharp$  of  $\mathcal{M}$ . We  
 11 proceed by induction on  $N$ , the base case being true by Proposition 4.1. We now  
 12 fix a positive integer  $N$  and assume that (1) and (2) hold uniformly for every  
 13  $M < N$ .

14 Fix a  $\Pi_N$  sentence  $\phi$  and a rational number  $q$ . Note that  $\phi$  has the form  $\sup_{\bar{x}} \psi$ ,  
 15 where  $\psi$  is a  $\Sigma_{N-1}$  wff of  $L$  and  $\bar{x}$  is a tuple of variables. Since the rational points  
 16 of  $\mathcal{M}^\sharp$  are dense,  $\sup_{\bar{a} \in \mathbb{Q}(\mathcal{M}^\sharp)} \psi^{\mathcal{M}}(\bar{a}) = \sup_{\bar{a} \in |\mathcal{M}|} \psi^{\mathcal{M}}(\bar{a})$ . Thus,

$$\phi^{\mathcal{M}} \leq q \iff (\forall k \in \mathbb{N}) (\forall \bar{a} \in \mathbb{Q}(\mathcal{M}^\sharp)) \psi^{\mathcal{M}}(\bar{a}) \leq q + 2^{-k}.$$

17 If  $N = 1$ , then by the uniformity of Proposition 4.1, the statement  $\psi^{\mathcal{M}}(\bar{a}) \leq$   
 18  $q + 2^{-k}$  is a  $\Pi_1^0$  condition on  $\phi, \bar{a}, k$ . If  $N > 1$ , then this statement is a  $\Pi_N^0$  condition  
 19 since (2) is assumed to hold uniformly for  $M < N$ . In either case it then follows  
 20 that  $\phi^{\mathcal{M}} \leq q$  is a  $\Pi_N^0$  condition on  $\phi, q$ .

21 Furthermore,

$$\phi^{\mathcal{M}} < q \iff (\exists k \in \mathbb{N}) (\forall \bar{a} \in \mathbb{Q}(\mathcal{M}^\sharp)) \psi^{\mathcal{M}}(\bar{a}) \leq q - 2^{-k}.$$

22 As before, if  $N = 1$ , then the statement  $\psi^{\mathcal{M}}(\bar{a}) \leq q - 2^{-k}$  is a  $\Pi_1^0$  condition on  
 23  $\phi, \bar{a}, k$ . If  $N > 1$ , then this statement is a  $\Pi_N^0$  condition since (2) is assumed to hold  
 24 uniformly for  $M < N$ . In either case, it follows that  $\phi^{\mathcal{M}} < q$  is a  $\Sigma_{N+1}^0$  condition  
 25 on  $\phi, q$ .

26 Now fix a  $\Sigma_N$  sentence  $\phi$  and a rational number  $q$ . Then  $\phi$  has the form  $\inf_{\bar{x}} \psi$ ,  
 27 where  $\psi$  is a  $\Pi_{N-1}$  wff of  $L$  and  $\bar{x}$  is a tuple of variables. Again, since the rational  
 28 points of  $\mathcal{M}^\sharp$  are dense,  $\inf_{\bar{a} \in \mathbb{Q}(\mathcal{M}^\sharp)} \psi^{\mathcal{M}}(\bar{a}) = \inf_{\bar{a} \in |\mathcal{M}|} \psi^{\mathcal{M}}(\bar{a})$ . Thus,

$$\phi^{\mathcal{M}} \leq q \iff (\forall k \in \mathbb{N}) (\exists \bar{a} \in \mathbb{Q}(\mathcal{M}^\sharp)) \psi^{\mathcal{M}}(\bar{a}) < q + 2^{-k}.$$

29 If  $N = 1$ , then the statement  $\psi^{\mathcal{M}}(\bar{a}) < q + 2^{-k}$  is a  $\Sigma_1^0$  condition on  $\phi, \bar{a}, k$ . If  $N > 1$ ,  
 30 then this statement is a  $\Sigma_N^0$  condition since (1) is assumed to hold uniformly for  
 31  $M < N$ . In either case, it then follows that  $\phi^{\mathcal{M}} \leq q$  is a  $\Pi_{N+1}^0$  condition on  $\phi, q$ .

32 Finally,

$$\phi^{\mathcal{M}} < q \iff (\exists k \in \mathbb{N}) (\exists \bar{a} \in \mathbb{Q}(\mathcal{M}^\sharp)) \psi^{\mathcal{M}}(\bar{a}) < q - 2^{-k}.$$

33 If  $N = 1$ , then the statement  $\psi^{\mathcal{M}}(\bar{a}) < q - 2^{-k}$  is a  $\Sigma_1^0$  condition on  $\phi, \bar{a}, k$ . If  $N > 1$ ,  
 34 then this statement is a  $\Sigma_N^0$  condition since (1) is assumed to hold uniformly for  
 35  $M < N$ . In either case, it then follows that  $\phi^{\mathcal{M}} < q$  is a  $\Sigma_N^0$  condition on  $\phi, q$ .

36 Finally, we note that these arguments are uniform in the sense described above.

37  $\square$

## 5. FINITARY DIAGRAM RESULTS- LOWER BOUNDS

1

2 We demonstrate that the results in Section 4 are the best possible by means of  
3 the following.

4 **Theorem 5.1.** *There is a language  $L'$  and a computably presentable  $L'$ -structure*  
5  *$\mathcal{M}$  with the following properties:*

- 6 (1) *The closed quantifier-free diagram of  $\mathcal{M}$  is  $\Pi_1^0$ -complete, and the open*  
7 *quantifier-free diagram of  $\mathcal{M}$  is  $\Sigma_1^0$ -complete.*  
8 (2) *For every positive integer  $N$ , the closed  $\Pi_N$  diagram of  $\mathcal{M}$  is  $\Pi_N^0$ -complete,*  
9 *and the open  $\Pi_N$  diagram of  $\mathcal{M}$  is  $\Sigma_{N+1}^0$ -complete.*  
10 (3) *For every positive integer  $N$ , the closed  $\Sigma_N$  diagram of  $\mathcal{M}$  is  $\Pi_{N+1}^0$ -complete,*  
11 *and the open  $\Sigma_N^0$  diagram of  $\mathcal{M}$  is  $\Sigma_N^0$ -complete.*

12 *Proof.* Let  $L'$  be the metric language that consists of the following.

- 13 (1) A constant symbol  $\underline{0}$ .  
14 (2) A family of constant symbols  $(c_n)_{n \in \mathbb{N}}$ .  
15 (3) A family of predicate symbols  $(P_{N,n})_{N,n \in \mathbb{N}}$ , where  $P_{2N,n}$  and  $P_{2N+1,n}$  are  
16  $(N+1)$ -ary.

17 Here, each predicate symbol is assumed to have modulus of continuity equal to the  
18 constant function 1.

19 We now define our  $L'$ -structure  $\mathcal{M}$ . The underlying metric space of  $\mathcal{M}$  is the  
20 set  $\mathbb{N}$  of natural numbers equipped with its discrete metric. We also set  $\underline{0}^{\mathcal{M}} = 0$ .

21 In order to define the interpretations of the other symbols, we first set

$$f_N = \begin{cases} \Gamma(1 - \frac{1}{2}\chi_{R_N^*}) & N \text{ even} \\ \Gamma(\frac{1}{2}\chi_{(-R_N)^*}) & \text{otherwise.} \end{cases}$$

22 We can now set

$$c_n^{\mathcal{M}} = \begin{cases} f_0(n/2) & n \text{ even} \\ f_1((n-1)/2) & \text{otherwise.} \end{cases}$$

23 Finally, set  $P_{2N,n}^{\mathcal{M}}(a_0, \dots, a_N) = f_{2N+2}(a_0, \dots, a_N, n)$ , and let  $P_{2N+1,n}^{\mathcal{M}}(a_0, \dots, a_N) =$   
24  $f_{2N+3}(a_0, \dots, a_N, n)$ .

25 It is clear that  $\mathcal{M}$  has a computable presentation. In fact, one may simply take  
26 the  $n$ -th distinguished point to be  $n$ .

27 We first note that the closed atomic diagram of  $\mathcal{M}$  is  $\Pi_1^0$ -complete. To see this,  
28 let  $\phi_n$  be the sentence  $d(c_{2n}, 0)$ . Then, by Theorem 3.5,  $\phi_n^{\mathcal{M}} \leq \frac{1}{2}$  if and only if  
29  $n \in \vec{\nabla}R_0$ .

30 Similarly, the open atomic diagram of  $\mathcal{M}$  is  $\Sigma_1^0$ -complete. This time, let  $\phi_n$  be  
31 the sentence  $d(c_{2n+1}, 0)$ . Then, by Theorem 3.5,  $\phi_n^{\mathcal{M}} < \frac{1}{2}$  if and only if  $n \in \vec{\exists}R_0$ .

Next fix a positive integer  $N$ . For each  $n \in \mathbb{N}$ , let  $\phi_n$  be the sentence

$$\inf_{x_1} \dots Q_{x_N} P_{2N,n}(x_1, \dots, x_N),$$

and let  $\psi_n$  be the sentence

$$\sup_{x_1} \dots Q_{x_N} P_{2N+1,n}(x_1, \dots, x_N).$$

32 By Theorem 3.5,  $\phi_n^{\mathcal{M}} \leq \frac{1}{2}$  if and only if  $n \in \vec{\nabla}R_{2N}$ . Thus, the closed  $\Sigma_N$  diagram  
33 of  $\mathcal{M}$  is  $\Pi_{N+1}^0$ -complete. Also by Theorem 3.5,  $\psi_n^{\mathcal{M}} < \frac{1}{2}$  if and only if  $n \in \vec{\exists}R_{2N+1}$ .  
34 Thus, the open  $\Pi_N$  diagram of  $\mathcal{M}$  is  $\Sigma_{N+1}^0$ -complete.

1 Since the open  $\Pi_{N-1}$  diagram of  $\mathcal{M}$  is  $\Sigma_N^0$ -complete, it follows that the open  
 2  $\Sigma_N$  diagram of  $\mathcal{M}$  is  $\Sigma_N^0$ -complete. It similarly follows that the closed  $\Pi_N$  diagram  
 3 of  $\mathcal{M}$  is  $\Pi_N^0$ -complete.  $\square$

4 We conclude this section with some remarks on the choice of structure in the  
 5 above proof. Since structures in continuous logic must be bounded, it might seem  
 6 that the unit interval is a natural setting in which to construct these lower bounds.  
 7 However, it is well-known that the evaluation of maxima of computable functions  
 8 on a computably compact space is a computable operation (see, e.g. Chapter 6 of  
 9 [13]). Thus, the closed and open diagrams for a metric structure with a computably  
 10 compact presentation are  $\Pi_1^0$  and  $\Sigma_1^0$  respectively. It is fairly easy to see that the  
 11 standard presentation of  $[0, 1]$  (i.e. the presentation in which the distinguished  
 12 points are precisely the rational numbers in  $[0, 1]$ ) is computably compact. On the  
 13 other hand, the natural numbers under the discrete metric provides the simplest  
 14 non-trivial setting that is bounded and not compact.

15

## 6. INFINITARY RESULTS

16 When formulating our diagram complexity results for infinitary logic, we actually  
 17 must eschew the terminology of diagrams. The reason for this is that, because of  
 18 the coding of the computable infinitary formulae, these diagrams are capable of  
 19 computing  $\mathcal{O}$ , which itself is  $\Pi_1^1$ -complete. In order to avoid this pitfall, we focus  
 20 on the complexity of the right Dedekind cuts of reals of the form  $\phi^{\mathcal{M}}$  where  $\phi$  is  
 21 infinitary. To this end, for  $x \in \mathbb{R}$ , we let  $D^>(x)$  denote the right Dedekind cut of  
 22  $x$ , that is,

$$D^>(x) = \{q \in \mathbb{Q} : q > x\}.$$

23 We also set

$$D^{\geq}(x) = \{q \in \mathbb{Q} : q \geq x\}.$$

24 Of course, if  $x$  is irrational, then  $D^>(x) = D^{\geq}(x)$ . In terms of evaluating complex-  
 25 ity, differences only arise when considering uniformity.

26 We first prove our infinitary upper bound result which generalizes our bounds  
 27 in the finitary case.

28 **Theorem 6.1.** *Let  $\mathcal{M}$  be a computably presentable  $L$ -structure and let  $\phi$  be a*  
 29 *computable infinitary sentence of  $L$ .*

- 30 (1) *If  $\phi$  is  $\Pi_\alpha^c$ , then  $D^>(\phi^{\mathcal{M}})$  is  $\Sigma_{\alpha+1}^0$  uniformly in a code of  $\phi$ , and  $D^{\geq}(\phi^{\mathcal{M}})$*   
 31 *is  $\Pi_\alpha^0$  uniformly in a code of  $\phi$ .*  
 32 (2) *If  $\phi$  is  $\Sigma_\alpha^c$ , then  $D^>(\phi^{\mathcal{M}})$  is  $\Sigma_\alpha^0$  uniformly in a code of  $\phi$ , and  $D^{\geq}(\phi^{\mathcal{M}})$  is*  
 33  *$\Pi_{\alpha+1}^0$  uniformly in a code of  $\phi$ .*

34 *Proof.* Fix a computable presentation  $\mathcal{M}^\#$  of  $\mathcal{M}$ . Let  $\phi$  be a computable infinitary  
 35 sentence of  $L$ .

36 Suppose  $\phi \in \Sigma_\alpha^c \cup \Pi_\alpha^c$ . A code for  $\phi$  yields a notation  $a$  for  $\alpha$ . In the following,  
 37 all other ordinals considered are less than  $\alpha$ . For ease of exposition, we identify  
 38 each  $\beta \leq \alpha$  with its unique notation in  $\{b : b \leq_{\mathcal{O}} a\}$ .

39 We proceed by effective transfinite recursion. Thus, we assume the following  
 40 hold uniformly in an index of  $\mathcal{M}^\#$ .

- 41 (1) From a  $\beta < \alpha$  and a code of a  $\Pi_\beta^c$  sentence  $\psi$ , it is possible to compute a  
 42  $\Pi_\beta^0$  index of  $D^{\geq}(\psi^{\mathcal{M}})$  and a  $\Sigma_{\beta+1}^0$ -index of  $D^>(\psi^{\mathcal{M}})$ .

1 (2) From a  $\beta < \alpha$  and a code of a  $\Sigma_\beta^c$  sentence  $\psi$ , it is possible to compute a  
 2  $\Pi_{\beta+1}^0$ -index of  $D^\geq(\psi)$  and a  $\Sigma_\beta^0$ -index of  $D^>(\psi_i)$ .

3 First suppose that  $\phi$  is a  $\Pi_\alpha^c$  sentence. Thus,  $\phi$  has the form  $\bigvee_{i \in I} \sup_{\bar{x}_i} \phi_i$  where  
 4  $I$  is c.e. and  $\phi_i$  is  $\Sigma_{\beta_i}^c$  for some  $\beta_i < \alpha$ . Furthermore, we may assume  $(\beta_i)_{i \in I}$  is  
 5 computable. For  $q \in \mathbb{Q}$ , we have

$$q \in D^\geq(\phi^{\mathcal{M}}) \Leftrightarrow (\forall k \in \mathbb{N})(\forall i \in I)(\forall \bar{r} \in \mathbb{Q}(\mathcal{M}^\#)) q + 2^{-k} \in D^>(\phi_i^{\mathcal{M}}(\bar{r})).$$

6 As  $\emptyset^{(\alpha)}$  computes  $D^>(\Phi_i^{\mathcal{M}}(\bar{r}))$  uniformly in  $i$ ,  $D^\geq(\phi^{\mathcal{M}})$  is co-c.e. in  $\emptyset^{(\alpha)}$ , that is,  
 7  $D^\geq(\phi^{\mathcal{M}})$  is  $\Pi_\alpha^0$ . At the same time,

$$q \in D^>(\phi^{\mathcal{M}}) \iff (\exists k \in \mathbb{N})(\forall i \in I)(\forall \bar{r} \in \mathbb{Q}(\mathcal{M}^\#)) q - 2^{-k} \notin D^>(\phi_i^{\mathcal{M}}(\bar{r})).$$

8 Thus,  $D^>(\phi^{\mathcal{M}})$  is  $\Sigma_2^0(\emptyset^{(\alpha)}) = \Sigma_{\alpha+1}^0$ .

9 Now suppose  $\phi$  is a  $\Sigma_\alpha^c$  sentence. Thus,  $\phi$  has the form  $\bigwedge_{i \in I} \inf_{\bar{x}_i} \phi_i$  where  $I$  is  
 10 c.e. and  $\phi_i$  is  $\Pi_{\beta_i}^c$  for some  $\beta_i < \alpha$  uniformly in  $i$ . Let  $q \in \mathbb{Q}$ . Then,

$$q \in D^\geq(\phi^{\mathcal{M}}) \iff (\forall k \in \mathbb{N})(\exists i \in I)(\exists \bar{r} \in \mathbb{Q}(\mathcal{M}^\#)) q + 2^{-k} \in D^>(\phi_i^{\mathcal{M}}(\bar{r})).$$

11 Thus,  $D^\geq(\phi^{\mathcal{M}})$  is  $\Sigma_2^0(\emptyset^{(\alpha)}) = \Sigma_{\alpha+1}^0$ . In addition,

$$q \in D^>(\phi_i^{\mathcal{M}}) \iff (\exists k \in \mathbb{N})(\exists i \in I)(\exists \bar{r} \in \mathbb{Q}(\mathcal{M}^\#)) q - 2^{-k} \notin D^>(\phi_i^{\mathcal{M}}(\bar{r})).$$

12 Thus,  $D^>(\phi^{\mathcal{M}})$  is  $\Sigma_1^0(\emptyset^{(\alpha)}) = \Sigma_\alpha^0$ .

13 As these arguments are all uniform in an index of  $\mathcal{M}^\#$  and a code for  $\phi$ , the  
 14 theorem is proven.  $\square$

15 We now demonstrate the optimality of Theorem 6.1 by means of the following.

16 **Theorem 6.2.** *There is a language  $L''$  and an  $L''$ -structure  $\mathcal{M}$  so that the following*  
 17 *hold for every computable ordinal  $\alpha$ .*

- 18 (1) *There is a computable sequence  $(\psi_i)_{i \in \mathbb{N}}$  of  $\Pi_\alpha^c$  sentences of  $L''$  so that  $\{i : \frac{1}{2} \in D^\geq(\psi_\alpha^{\mathcal{M}})\}$  is  $\Pi_\alpha^0$ -complete.*
- 19 (2) *There is a computable sequence  $(\psi_i)_{i \in \mathbb{N}}$  of  $\Sigma_\alpha^c$  sentences of  $L''$  so that  $\{i : \frac{1}{2} \in D^>(\psi_\alpha^{\mathcal{M}})\}$  is  $\Sigma_\alpha^0$ -complete.*
- 20 (3) *There is a computable sequence  $(\psi_i)_{i \in \mathbb{N}}$  of  $\Pi_\alpha^c$  sentences of  $L''$  so that  $\{i : \frac{1}{2} \in D^>(\psi_\alpha^{\mathcal{M}})\}$  is  $\Sigma_{\alpha+1}^0$ -complete.*
- 21 (4) *There is a computable sequence  $(\psi_i)_{i \in \mathbb{N}}$  of  $\Sigma_\alpha^c$  sentences of  $L''$  so that  $\{i : \frac{1}{2} \in D^\geq(\psi_\alpha^{\mathcal{M}})\}$  is  $\Pi_{\alpha+1}^0$ -complete.*
- 22
- 23
- 24
- 25

26 The remainder of this section is dedicated to the proof of Theorem 6.2. We begin  
 27 with the construction of  $L''$  and  $\mathcal{M}''$ .

28 Let  $L_0$  be a language consisting of one constant symbol  $\underline{q}$  for every  $q \in \mathbb{Q} \cap [0, 1]$   
 29 and let  $\mathcal{M}_0$  be the  $L_0$ -structure whose underlying metric space is  $[0, 1]$  with its usual  
 30 metric and which interprets each  $\underline{q}$  as  $q$ . Let  $L''$  be the expansion of  $L_0$  obtained  
 31 by adding a family  $(c_{N,n,x_1,\dots,x_{N+1}})_{N,n,x_1,\dots,x_{N+1} \in \mathbb{N}}$  of constant symbols.

32 Let  $\mathcal{M}$  be the expansion of  $\mathcal{M}_0$  obtained by setting  $c_{N,n,x_1,\dots,x_{N+1}}^{\mathcal{M}} = \frac{1}{2}(1 - \chi_{R_{2N+1}}(n, x_1, \dots, x_{N+1}))$ . Since  $(R_N)_{N \in \mathbb{N}}$  is computable, it follows that  $\mathcal{M}$  is  
 33 computably presentable.  
 34

35 We now verify that  $L''$  and  $\mathcal{M}$  satisfy the conclusions of Theorem 6.2. We will  
 36 need a little additional terminology and two lemmas.

37 Suppose  $(\psi_i)_{i \in \mathbb{N}}$  is a sequence of  $\Pi_\alpha^c$  sentences of  $L''$ . We say that a set  $S$  is  
 38 encoded by  $(\psi_i)_{i \in \mathbb{N}}$  if  $\psi_i^{\mathcal{M}} = 1 - \frac{1}{2}\chi_S(i)$  for all  $i$ .

1 Similarly, if  $(\psi_i)_{i \in \mathbb{N}}$  is a sequence of  $\Sigma_\alpha^c$  sentences of  $L''$ , we say that a set  $S$  is  
 2 encoded by  $(\psi_i)_{i \in \mathbb{N}}$  if  $\psi_i^{\mathcal{M}} = \frac{1}{2}(1 - \chi_S(i))$  for all  $i$ .

3 **Lemma 6.3.** *Let  $\alpha$  be a computable ordinal.*

- 4 (1) *Every  $\Sigma_\alpha^0$  set is encoded by a computable sequence of  $\Sigma_\alpha^c$  sentences.*  
 5 (2) *Every  $\Pi_\alpha^0$  set is encoded by a computable sequence of  $\Pi_\alpha^c$  sentences.*

6 *Proof.* We prove (1). Part (2) then follows by considering complements. Suppose  
 7  $S$  is  $\Sigma_\alpha^0$ .

8 If  $\alpha = 0$ , then we let

$$\psi_i = \begin{cases} d(\underline{0}, \underline{0}) & i \in S \\ d(\underline{0}, \underline{\frac{1}{2}}) & \text{otherwise.} \end{cases}$$

9 Next suppose  $\alpha = N + 1$  where  $N \in \mathbb{N}$ . Let

$$\psi_n = \bigwedge_{x_1} \bigvee_{x_2} \dots \mathcal{C}_{x_{N+1}} d(c_{N,n,x_1,\dots,x_{N+1}}, \underline{0}).$$

10 Here,  $\mathcal{C}$  is  $\bigwedge$  if  $N$  is even and  $\bigvee$  if  $N$  is odd.

11 It follows from Lemma 3.3 that  $(\psi_n)_{n \in \mathbb{N}}$  encodes  $\exists R_{2N+1}$ . Since  $\exists R_{2N+1}$  is  
 12  $\Sigma_{N+1}^0$ -complete, it follows that every  $\Sigma_{N+1}^0$  set is encoded by a sequence of com-  
 13 putable  $\Sigma_{N+1}^c$  sentences. Furthermore, the construction of such a sequence from a  
 14  $\Sigma_{N+1}^0$  index is uniform.

15 Suppose  $\alpha \geq \omega$ . Similar to the proof of Theorem 7.9 of [1], we construct a  
 16 sequence  $(\phi_n)_{n \in \mathbb{N}}$  of  $\Sigma_\alpha^0$  sentences so that  $\phi_n^{\mathcal{M}} = 1 - \chi_S(n)$ . In particular, we  
 17 replace  $\top$  and  $\perp$  with  $d(\underline{0}, \underline{0})$  and  $d(\underline{0}, \underline{\frac{1}{2}})$  respectively. Setting  $\psi_n = \frac{1}{2}\phi_n$  yields the  
 18 desired formulae.  $\square$

19 **Lemma 6.4.** *If  $(\psi_n)_{n \in \mathbb{N}}$  is a computable sequence of  $\Pi_\alpha^c$  sentences of  $L''$ , then  
 20 there is a computable  $\Pi_\alpha^c$  sentence  $\phi$  of  $L''$  so that*

$$\phi^{\mathcal{M}} = \sum_{n=0}^{\infty} 2^{-(n+1)} \psi_n^{\mathcal{M}}.$$

21 *Furthermore, a code of  $\phi$  can be computed from an index of  $(\psi_n)_{n \in \mathbb{N}}$ .*

22 *Proof.* For  $a, b \in [0, 1]$ , let  $\text{avg}(a, b) = \frac{1}{2}(a + b)$ . By inspection,  
 23

$$\text{avg}(a, b) = \max\{a \dot{-} \frac{1}{2}(a \dot{-} b), b \dot{-} \frac{1}{2}(b \dot{-} a)\}.$$

24 Thus, we may regard  $\text{avg}$  as a connective. If  $\phi, \psi$  are quantifier-free, then so is  
 25  $\text{avg}(\phi, \psi)$ .

26 Since  $\text{avg}$  is increasing in each variable and continuous, it follows that  $\text{avg}(\sup_j a_j, \sup_k b_k) =$   
 27  $\sup_{j,k} \text{avg}(a_j, b_k)$  and  $\text{avg}(\inf_j a_j, \inf_k b_k) = \inf_{j,k} \text{avg}(a_j, b_k)$ . From this it follows  
 28 that  $\text{avg}(\phi, \psi)$  is equivalent to a  $\Pi_\alpha^c$  (resp.  $\Sigma_\alpha^c$ ) sentence if  $\phi$  and  $\psi$  are  $\Pi_\alpha^c$  (resp.  
 29  $\Sigma_\alpha^c$ ) sentences.

30 When  $a_0, \dots, a_{K+1} \in [0, 1]$ , note that

$$\sum_{n=0}^{K+1} 2^{-(n+1)} a_n = \text{avg}(\phi_0, \sum_{n=0}^K 2^{-(n+1)} \phi_{n+1}).$$

31 Thus, we may regard inner product with  $(2^{-(n+1)})_{n=0}^K$  as a connective. Further-  
 32 more, a code of  $\sum_{n=0}^K 2^{-(n+1)} \phi_n$  can be computed from codes of  $\phi_0, \dots, \phi_K$ .

1 Finally, when  $a_n \in [0, 1]$ , we have

$$\sum_{n=0}^{\infty} a_n = \sup_K \sum_{n=0}^K a_n.$$

2 The conclusion of the lemma follows.  $\square$

3 *Proof of Theorem 6.2.* Parts (1) and (2) follow directly from Lemma 6.3.

4 Now suppose  $S$  is  $\Sigma_{\alpha+1}^0$  complete. Take a  $\Pi_{\alpha}^0$  binary relation  $R$  so that  $S = \exists \bar{x} R$ .  
 5 By Lemma 6.3, there is a computable family  $(\psi_{n,x_1})_{n,x_1 \in \mathbb{N}}$  of  $\Pi_{\alpha}^c$  sentences so that  
 6 for all  $n, x_1 \in \mathbb{N}$ ,  $\psi_{n,x_1}^{\mathcal{M}} = 1 - \frac{1}{2} \chi_R(n, x_1)$ . By Lemma 6.4, there is a computable  
 7 sequence  $(\phi_n)_{n \in \mathbb{N}}$  of  $\Pi_{\alpha}^c$  sentences so that

$$\phi_n^{\mathcal{M}} = \sum_{x_1=0}^{\infty} 2^{-(x_1+2)} \psi_{n,x_1}^{\mathcal{M}}.$$

8 It then follows that  $n \in S$  if and only if  $\frac{1}{2} \in D^{>}(\phi_n^{\mathcal{M}})$ , establishing (3). Part (4)  
 9 follows by considering complements.  $\square$

10 Returning to an earlier point, we note that the closed and open quantifier-free  
 11 diagrams of  $\mathcal{M}$  are  $\Pi_1^0$ -complete and  $\Sigma_1^0$ -complete respectively. To see this, fix a  
 12  $\Sigma_1^0$  complete set  $C$ , and let  $(c_s)_{s=0}^{\infty}$  be an effective enumeration of  $C$ . Since  $C$  is  
 13 infinite, we may assume this enumeration is one-to-one. Let

$$p_n = \begin{cases} \frac{1}{2} - 2^{-s} & \text{if } n = c_s \\ \frac{1}{2} & \text{otherwise.} \end{cases}$$

14 It is fairly straightforward to show that  $(p_n)_{n \in \mathbb{N}}$  is computable as a sequence of  
 15 reals. Furthermore,  $p_n < \frac{1}{2}$  if and only if  $n \in C$ . Since  $L''$  contains a constant  
 16 symbol for each rational number, it follows that the open quantifier-free diagram  
 17 of  $\mathcal{M}$  is  $\Sigma_1^0$ -complete. The  $\Pi_1^0$ -completeness of the closed quantifier-free diagram  
 18 follows by considering complements.

19 We also note that while computably compact domains are insufficient for demon-  
 20 strating lower bounds in the finitary case,  $[0, 1]$  works swimmingly in the infinitary  
 21 case.

22 Finally, we note that the infinitary sentences in the above proof are built up from  
 23 quantifier-free sentences. Thus, they do not require moduli of continuity. Therefore,  
 24 although we have framed our work in an effectivization of the infinitary continuous  
 25 logic of Eagle, our results will hold in any reasonable effectivization of the infinitary  
 26 continuous logic of Ben-Yaacov and Iovino.

27

## 7. CONCLUSION

28 We have introduced a framework for examining the complexity of the quanti-  
 29 fier levels of the finitary and infinitary theory of a computably presented metric  
 30 space and we have pinned down the complexity at each level in terms of the hy-  
 31 perarithmetical hierarchy. Our demonstration of the lower bounds in the finitary  
 32 case introduces a novel method for encoding  $\Sigma_N$  and  $\Pi_N$  conditions into series in-  
 33 equalities. Our demonstration of the lower bounds in the infinitary case is mostly  
 34 straightforward. However, our supporting result that computable infinitary logic  
 35 can represent the inner product with  $(2^{-(n+1)})_{n=0}^{\infty}$  from the connectives  $\neg, \div, \frac{1}{2}$  ap-  
 36 pears to be new. Our examples in these demonstrations are somewhat artificial. We

1 leave open directions such as the analysis of the theories of specific structures such  
 2 as Lebesgue spaces or the construction of examples at different levels of complexity  
 3 within natural classes such as Banach spaces or  $C^*$ -algebras.

#### 4 REFERENCES

- 5 1. C. J. Ash and J. Knight, *Computable structures and the hyperarithmetical hierarchy*, Stud-
- 6 ies in Logic and the Foundations of Mathematics, vol. 144, North-Holland Publishing Co.,
- 7 Amsterdam, 2000.
- 8 2. Itai Ben Yaacov, Alexander Berenstein, C. Ward Henson, and Alexander Usvyatsov, *Model*
- 9 *theory for metric structures*, Model theory with applications to algebra and analysis. Vol. 2,
- 10 London Math. Soc. Lecture Note Ser., vol. 350, Cambridge Univ. Press, Cambridge, 2008,
- 11 pp. 315–427.
- 12 3. Itai Ben Yaacov and José Iovino, *Model theoretic forcing in analysis*, Ann. Pure Appl. Logic
- 13 **158** (2009), no. 3, 163–174.
- 14 4. John Chisholm and Michael Moses, *An undecidable linear order that is  $n$ -decidable for all  $n$* ,
- 15 Notre Dame J. Formal Logic **39** (1998), no. 4, 519–526.
- 16 5. S. Barry Cooper, *Computability theory*, Chapman & Hall/CRC, Boca Raton, FL, 2004.
- 17 6. Christopher J. Eagle, *Expressive power of infinitary  $[0, 1]$ -logics*, Beyond first order model
- 18 theory, CRC Press, Boca Raton, FL, 2017, pp. 3–22.
- 19 7. E. B. Fokina, S. S. Goncharov, V. Kharizanova, O. V. Kudinov, and D. Turetski, *Index*
- 20 *sets of  $n$ -decidable structures that are categorical with respect to  $m$ -decidable representations*,
- 21 Algebra Logika **54** (2015), no. 4, 520–528, 544–545, 547–548.
- 22 8. Ekaterina B. Fokina, Valentina Harizanov, and Alexander G. Melnikov, *Computable model*
- 23 *theory*, Turing’s Legacy: Developments from Turing’s Ideas in Logic (Rod Downey, ed.),
- 24 Cambridge University Press, Cambridge, 2014.
- 25 9. Johanna N. Y. Franklin and Timothy H. McNicholl, *Degrees of and lowness for isometric*
- 26 *isomorphism*, J. Log. Anal. **12** (2020), Paper No. 6, 23.
- 27 10. Alexander G. Melnikov, *Computably isometric spaces*, J. Symbolic Logic **78** (2013), no. 4,
- 28 1055–1085.
- 29 11. Gerald E. Sacks, *Higher recursion theory*, Perspectives in Mathematical Logic, Springer-
- 30 Verlag, Berlin, 1990. MR 1080970 (92a:03062)
- 31 12. R.I. Soare, *Recursively enumerable sets and degrees*, Springer-Verlag, Berlin, Heidelberg,
- 32 1987.
- 33 13. Klaus Weihrauch, *Computable analysis*, Texts in Theoretical Computer Science. An EATCS
- 34 Series, Springer-Verlag, Berlin, 2000.

35 DEPARTMENT OF MATHEMATICS, IOWA STATE UNIVERSITY, AMES, IOWA 50011  
 36 *Email address:* ccamrud@iastate.edu

37 DEPARTMENT OF MATHEMATICS, UNIVERSITY OF CALIFORNIA, IRVINE, 340 ROWLAND HALL  
 38 (BLDG.# 400), IRVINE, CA 92697-3875  
 39 *Email address:* isaac@math.uci.edu

40 DEPARTMENT OF MATHEMATICS, IOWA STATE UNIVERSITY, AMES, IOWA 50011  
 41 *Email address:* mcnichol@iastate.edu