

# Tutorial on **von Neumann algebras**

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September 20-22, 2017

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**Terminology.** A homomorphism  $\pi : A \rightarrow B$  between two \*-algebras is called a **\*-homomorphism** if  $\pi(T^*) = \pi(T)^*$ , for all  $T \in A$ .

A bijective \*-homomorphism  $\pi : A \rightarrow B$  is called a **\*-isomorphism**.

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- 3)  $T$  is a norm limit of linear combinations of projections in  $M$ .
- 4) If  $T$  is positive, then there exists  $S \in M$  such that  $T = S^*S$ .  
(since  $\sigma(T) \subset [0, \infty)$ , we can take  $S = T^{1/2}$ ).

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## Theorem (von Neumann)

If  $M \subset \mathbb{B}(H)$  is a unital ( $1 \in M$ )  $*$ -algebra, then **TFAE**:

- 1)  $M$  is WOT-closed.
- 2)  $M$  is SOT-closed.
- 3)  $M = M'' := (M')'$ .

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**Exercise 3.** Let  $S \subset \mathbb{B}(H)$  be a set such that  $x^* \in S$ , for all  $x \in S$ . Show that  $S' = \{y \in \mathbb{B}(H) \mid xy = yx, \forall x \in S\}$  (the **commutant** of  $S$ ) is a von Neumann algebra.

**Notation.** If  $M$  is a von Neumann algebra, its **center** is  $\mathcal{Z}(M) = M \cap M'$ .

## Theorem (von Neumann)

If  $M \subset \mathbb{B}(H)$  is a unital ( $1 \in M$ )  $*$ -algebra, then **TFAE**:

- ①  $M$  is WOT-closed.
- ②  $M$  is SOT-closed.
- ③  $M = M'' := (M')'$ .

(3)  $\Rightarrow$  (1)  $\Rightarrow$  (2), so it remains to prove that (2)  $\Rightarrow$  (3).

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**(a)** and **(b)**  $\Rightarrow pz - zp = pz(1 - p) - (1 - p)zp = 0$ , proving the claim.

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This implies that  $\|x\xi_1 - y\xi_1\| < \varepsilon$ , for some  $y \in M$ , and finishes the proof in the case  $n = 1$ .

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If  $\xi = \xi_1 \oplus \dots \oplus \xi_n \in H^n$ , the case  $n = 1 \Rightarrow \exists y \in M$  s.t.

$\|\pi(x)\xi - \pi(y)\xi\| < \varepsilon$ , or equivalently  $(\sum_{i=1}^n \|x\xi_i - y\xi_i\|^2)^{1/2} < \varepsilon$ .

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## Proposition

Let  $(X, \mu)$  be a **standard** probability space ( $X$  is a Polish space,  $\mu$  is a Borel measure on  $X$  with  $\mu(X) = 1$ ).

Define a  $*$ -homomorphism  $\pi : L^\infty(X, \mu) \rightarrow \mathbb{B}(L^2(X, \mu))$  by  $\pi(f)(\xi) = f\xi$ , for all  $f \in L^\infty(X)$  and  $\xi \in L^2(X)$ .

Then  $\pi(L^\infty(X))' = \pi(L^\infty(X))$ . Therefore,  $\pi(L^\infty(X)) \subset \mathbb{B}(L^2(X))$  is a maximal abelian von Neumann subalgebra.

**Proof.** Let  $T \in \pi(L^\infty(X))'$  and put  $g = T(\mathbf{1}_X) \in L^2(X)$ .

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Thus,  $\mu(\{x \in X \mid |g(x)| \geq \|T\| + 1/n\}) = 0$  for all  $n \geq 1 \Rightarrow \|g\|_\infty \leq \|T\|$ .

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**Examples.** 1)  $L^\infty(X, \mu)$  with  $\varphi(f) = \int_X f \, d\mu$ .

2)  $\mathbb{M}_n(\mathbb{C})$  with the usual normalized trace  $\varphi([a_{ij}]) = (a_{1,1} + \dots + a_{n,n})/n$ .

3)  $\mathbb{B}(H)$  is not tracial if  $\dim H = \infty$ .

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A factor  $M \subset \mathbb{B}(H)$  is called of

- 1 **type I** if it has a non-zero minimal projection.
- 2 **type II** if it has a non-zero finite projection, but not minimal proj.  
If  $1 \in M$  is finite,  $M$  is of **type II**<sub>1</sub>; otherwise,  $M$  is of **type II**<sub>∞</sub>.
- 3 **type III** if it contains no non-zero finite projection.

## Examples of factors

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We have an injective SOT-cont.  $*$ -homomorphism  $\pi : M \rightarrow \mathbb{B}(L^2(M))$  given by  $\pi(x)(y) = xy$ , for  $x, y \in M$ . (**the standard representation**)

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## Definition

$L(\Gamma) := \overline{\mathcal{A}}^{WOT} \subset \mathbb{B}(\ell^2\Gamma)$  is called the **group von Neumann algebra of  $\Gamma$** .

## Proposition 1

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Since  $\tau$  is **normal**, we are done.

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**Exercise 8.** Show that the following groups are icc:

- $S_\infty = \{\pi \text{ permutation of } \mathbb{N} \mid \pi(n) \neq n, \text{ for finitely many } n\}$ .

**Proof of  $\Leftarrow$ .** Assume  $\Gamma$  is icc and let  $x \in \mathcal{Z}(L(\Gamma))$ .

Let  $x = \sum_{g \in \Gamma} x_g \lambda(g)$  be the Fourier expansion of  $x$ .

If  $h \in \Gamma$ , then  $x = \lambda(h)x\lambda(h^{-1}) = \sum_{g \in \Gamma} x_{h^{-1}gh} \lambda(g)$

Uniqueness of the Fourier expansion  $\Rightarrow x_{h^{-1}gh} = x_g$ , for all  $g, h \in \Gamma$ .

Since  $\sum_{g \in \Gamma} |x_g|^2 = \|\sum_{g \in \Gamma} x_g \delta_g\|^2 = \|x \delta_e\|^2 < \infty$  and  $\Gamma$  is icc we get that  $x_g = 0$ , for all  $g \in \Gamma \setminus \{e\}$ . Hence  $x = x_e 1 \in \mathbb{C}1$ .

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- $SL_3(\mathbb{Z})$ ; more generally,  $PSL_n(\mathbb{Z})$ , for  $n \geq 2$ .

## Group measure space von Neumann algebras, I

Let  $\Gamma \curvearrowright (X, \mu)$  be a measure preserving action of a countable group  $\Gamma$  on a probability space  $(X, \mu)$ . For  $f \in L^2(X)$ ,  $g \in \Gamma$ , let  $\sigma_g(f)(x) = f(g^{-1}x)$ .

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## Definition

$L^\infty(X) \rtimes \Gamma := \overline{\mathcal{A}}^{WOT} \subset \mathbb{B}(H)$  is called the **group measure space von Neumann algebra** (or **crossed product vNa**) of  $\Gamma \curvearrowright (X, \mu)$ .

## Proposition 4

$\tau : L^\infty(X) \rtimes \Gamma \rightarrow \mathbb{C}$  given by  $\tau(x) = \langle x(1 \otimes \delta_e), 1 \otimes \delta_e \rangle$  is a trace.

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## Definition

A **p.m.p.** (probability measure preserving) action  $\Gamma \curvearrowright (X, \mu)$  is

- **free** if  $\{x \in X \mid gx = x\}$  is a  $\mu$ -null set, for all  $g \in \Gamma \setminus \{e\}$ .
- **ergodic** if any  $\Gamma$ -invariant mess. set  $A \subset X$  has  $\mu(A) \in \{0, 1\}$ .

## Proposition 5

Let  $\Gamma \curvearrowright (X, \mu)$  be a free ergodic p.m.p. action.  
Then  $L^\infty(X) \rtimes \Gamma$  is a  $\text{II}_1$  factor.

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Since  $X_1 \cap X_2 = \emptyset$ , this contradicts that the action is ergodic.

# Examples of free ergodic p.m.p. actions

The following actions are free ergodic p.m.p.

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The **Bernoulli action**  $\Gamma \curvearrowright (X, \mu)$  is given by:

$$g \cdot x = (x_{g^{-1}h})_{h \in \Gamma} \text{ for all } g \in \Gamma \text{ and } x = (x_g)_{g \in \Gamma} \in X.$$

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- Let  $\Gamma$  be a countable  $\infty$  group and put  $(X, \mu) = ([0, 1], \mathbf{Leb})^\Gamma$ .

The **Bernoulli action**  $\Gamma \curvearrowright (X, \mu)$  is given by:

$$g \cdot x = (x_{g^{-1}h})_{h \in \Gamma} \quad \text{for all } g \in \Gamma \text{ and } x = (x_g)_{g \in \Gamma} \in X.$$

- Let  $\alpha \in \mathbb{R} \setminus \mathbb{Q}$  and  $\mathbb{T} = \{z \in \mathbb{C} \mid |z| = 1\}$ .

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The **irrational rotation action**  $\mathbb{Z} \curvearrowright (\mathbb{T}, \mathbf{Leb})$  is given by:

$$n \cdot z = \exp(2\pi i n \alpha) z, \text{ for all } n \in \mathbb{Z} \text{ and } z \in \mathbb{T}.$$

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- The usual **matrix multiplication** action

$$\mathrm{SL}_n(\mathbb{Z}) \curvearrowright (\mathbb{T}^n \equiv \mathbb{R}^n / \mathbb{Z}^n, \mathbf{Leb}), \text{ for } n \geq 2.$$