

COMPLEX GEOMETRY NOTES

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1. CHARACTERISTIC NUMBERS OF HYPERSURFACES

Let $V \subset \mathbb{P}^n$ be a smooth complex hypersurface. We know that the line bundle $[V] = \mathcal{O}(d)$ for some $d \geq 1$. We have the exact sequence

$$(1.1) \quad 0 \rightarrow T^{(1,0)}(V) \rightarrow T^{(1,0)}\mathbb{P}^n|_V \rightarrow N_V \rightarrow 0.$$

The adjunction formula says that

$$(1.2) \quad N_V = \mathcal{O}(d)|_V.$$

We have the smooth splitting of (1.1),

$$(1.3) \quad T^{(1,0)}\mathbb{P}^n|_V = T^{(1,0)}(V) \oplus \mathcal{O}(d)|_V.$$

Taking Chern classes,

$$(1.4) \quad c(T^{(1,0)}\mathbb{P}^n|_V) = c(T^{(1,0)}(V)) \cdot c(\mathcal{O}(d)|_V).$$

From the Euler sequence [GH78, page 409],

$$(1.5) \quad 0 \rightarrow \mathbb{C} \rightarrow \mathcal{O}(1)^{\oplus(n+1)} \rightarrow T^{(1,0)}\mathbb{P}^n \rightarrow 0,$$

it follows that

$$(1.6) \quad c(T^{(1,0)}\mathbb{P}^n) = (1 + c_1(\mathcal{O}(1)))^{n+1}.$$

Note that for any divisor D ,

$$(1.7) \quad c_1([D]) = \eta_D,$$

where η_D is the Poincaré dual to D . That is

$$(1.8) \quad \int_D \xi = \int_{\mathbb{P}^n} \xi \wedge \eta_D,$$

for all $\xi \in H^{2n-2}(\mathbb{P})$, see [GH78, page 141]. So in particular $c_1(\mathcal{O}(1)) = \omega$, where ω is the Poincaré dual of a hyperplane in \mathbb{P}^n (note that ω is integral, and is some multiple of the Fubini-Study metric). Therefore

$$(1.9) \quad c(T^{(1,0)}\mathbb{P}^n) = (1 + \omega)^{n+1}.$$

Also $c_1(\mathcal{O}(d)) = d \cdot \omega$, since $\mathcal{O}(d) = \mathcal{O}(1)^{\otimes d}$. The formula (1.4) is then

$$(1.10) \quad (1 + \omega)^{n+1}|_V = (1 + c_1 + c_2 + \dots)(1 + d \cdot \omega|_V).$$

2. DIMENSION $n = 2$

Consider a curve in \mathbb{P}^2 . The formula (1.10) is

$$(2.1) \quad (1 + 3\omega)|_V = (1 + c_1)(1 + d \cdot \omega|_V),$$

which yields

$$(2.2) \quad c_1 = (3 - d)\omega|_V.$$

The top Chern class is the Euler class, so we have

$$(2.3) \quad \chi(V) = \int_V (3 - d)\omega$$

$$(2.4) \quad = (3 - d) \int_{\mathbb{P}^2} \omega \wedge (d \cdot \omega)$$

$$(2.5) \quad = d(3 - d) \int_{\mathbb{P}^2} \omega^2 = d(3 - d).$$

Here we used the fact that $d \cdot \omega$ is Poincaré dual to V , and ω^2 is a positive generator of $H^4(\mathbb{P}^2, \mathbb{Z})$. Equivalently, we can write

$$(2.6) \quad \int_V \omega = \int_{\mathbb{P}^2} \omega \wedge \eta_V = \int_{\mathbb{P}^2} \eta_H \wedge \eta_V.$$

Since cup product is dual to intersection under Poincaré duality, the integral simply counts the number of intersection points of V with a generic hyperplane.

In term of the genus g ,

$$(2.7) \quad g = \frac{(d-1)(d-2)}{2}.$$

3. DIMENSION $n = 3$

We consider a hypersurface in \mathbb{P}^3 , which is topologically a 4-manifold. The formula (1.10) is

$$(3.1) \quad (1 + 4\omega + 6\omega^2)|_V = (1 + c_1 + c_2) \cdot (1 + d \cdot \omega|_V),$$

so that

$$(3.2) \quad c_1 = (4 - d)\omega|_V,$$

and then

$$(3.3) \quad c_2 = (6 - d(4 - d))\omega^2|_V.$$

Since the top Chern class is the Euler class,

$$(3.4) \quad \chi(V) = \int_V (6 - d(4 - d))\omega^2$$

$$(3.5) \quad = (6 - d(4 - d)) \int_{\mathbb{P}^3} \omega^2 \wedge (d \cdot \omega)$$

$$(3.6) \quad = d(6 - d(4 - d)),$$

again using the fact that $d \cdot \omega$ is Poincaré dual to V , and that ω^3 is a positive generator of $H^6(\mathbb{P}^3, \mathbb{Z})$.

It follows from the Lefschetz Hyperplane Theorem [GH78, page 156], that M has $b_1 = 0$, therefore $b^{1,0} = b^{0,1} = 0$.

3.1. Hirzebruch Signature Theorem. We think of V as a real 4-manifold, with complex structure given by J . Then the k th Pontrjagin Class is defined to be

$$(3.7) \quad p_k(V) = (-1)^k c_{2k}(TV \otimes \mathbb{C})$$

Since (V, J) is complex, we have that

$$(3.8) \quad TV \otimes \mathbb{C} = TV \oplus \overline{TV},$$

so

$$(3.9) \quad c(TV \otimes \mathbb{C}) = c(TV) \cdot c(\overline{TV})$$

$$(3.10) \quad = (1 + c_1 + c_2) \cdot (1 - c_1 + c_2)$$

$$(3.11) \quad = 1 + 2c_2 - c_1^2,$$

which yields

$$(3.12) \quad p_1(V) = c_1^2 - 2c_2.$$

Consider next the intersection pairing $H^2(V) \times H^2(V) \rightarrow \mathbb{R}$, given by

$$(3.13) \quad (\alpha, \beta) \rightarrow \int \alpha \wedge \beta \in \mathbb{R}.$$

Let b_2^+ denote the number of positive eigenvalues, and b_2^- denote the number of negative eigenvalues. By Poincaré duality the intersection pairing is non-degenerate, so

$$(3.14) \quad b_2 = b_2^+ + b_2^-.$$

The *signature* of V is defined to be

$$(3.15) \quad \tau = b_2^+ - b_2^-.$$

The Hirzebruch Signature Theorem [MS74, page 224] states that

$$(3.16) \quad \tau = \frac{1}{3} \int_V p_1(V)$$

$$(3.17) \quad = \frac{1}{3} \int_V (c_1^2 - 2c_2).$$

Rewriting this,

$$(3.18) \quad 2\chi + 3\tau = \int_V c_1^2.$$

Remark. This implies that S^4 does not admit any almost complex structure, since the left hand side is 4, but the right hand side trivially vanishes.

Pointwise, let

$$(3.19) \quad \Lambda_2^+ = \{\alpha \in \Lambda^2(M, \mathbb{R}) : *\alpha = \alpha\}$$

$$(3.20) \quad \Lambda_2^- = \{\alpha \in \Lambda^2(M, \mathbb{R}) : *\alpha = -\alpha\}.$$

On any Kähler manifold,

$$(3.21) \quad \Lambda_2^+ \otimes \mathbb{C} = (\mathbb{C} \cdot \omega) \oplus \Lambda^{(2,0)} \oplus \Lambda^{(0,2)},$$

$$(3.22) \quad \Lambda_2^- \otimes \mathbb{C} = \Lambda_o^{(1,1)} = (\mathbb{C} \cdot \omega)^\perp \cap \Lambda^{(1,1)}.$$

(Recall that $\Lambda_o^{(1,1)}$ is by definition the primitive $(1,1)$ -forms.) Observe that this is a real decomposition, that is

$$(3.23) \quad \Lambda_2^+ = (\mathbb{R} \cdot \omega) \oplus \{\Lambda^{(2,0)} \oplus \overline{\Lambda^{(2,0)}}\},$$

$$(3.24) \quad \Lambda_2^- = \Lambda_{o,\mathbb{R}}^{(1,1)} = (\mathbb{R} \cdot \omega)^\perp \cap \Lambda^{1,1}.$$

This decomposition follows from the proof of the Hodge-Riemann bilinear relations [GH78, page 123]. Applying this to the intersection form, we have the identities

$$(3.25) \quad b_2^+ = 1 + 2b^{2,0}$$

$$(3.26) \quad b_2^- = b^{1,1} - 1.$$

So we have that

$$(3.27) \quad \chi = 2 + b_2 = 2 + b^{1,1} + 2b^{2,0}$$

$$(3.28) \quad \tau = 2 + 2b^{2,0} - b^{1,1}.$$

Remark. Notice that

$$(3.29) \quad \chi + \tau = 4(1 + b^{2,0}),$$

so in particular, the integer $\chi + \tau$ is divisible by 4 on a Kähler manifold with $b_1 = 0$. This is in fact true for *any* almost complex manifold of real dimension 4, this follows from a version of Riemann-Roch Theorem which holds for almost complex manifolds, see [Gil95, Lemma 3.5.3].

Applying these formulas to our example, we find that

$$(3.30) \quad 2\chi + 3\tau = (4 - d)^2 \int_V \omega^2 = d(4 - d)^2.$$

Using the formula for the Euler characteristic form above,

$$(3.31) \quad \chi = d(6 - d(4 - d)),$$

we find that

$$(3.32) \quad \tau = -\frac{1}{3}d(d+2)(d-2).$$

Some arithmetic shows that

$$(3.33) \quad b_2 = d^3 - 4d^2 + 6d - 2$$

$$(3.34) \quad b_2^+ = \frac{1}{3}(d^3 - 6d^2 + 11d - 3)$$

$$(3.35) \quad b_2^- = \frac{1}{3}(d-1)(2d^2 - 4d + 3)$$

$$(3.36) \quad b^{2,0} = b^{0,2} = \frac{1}{6}(d-3)(d-2)(d-1)$$

$$(3.37) \quad b^{1,1} = \frac{1}{3}d(2d^2 - 6d + 7)$$

$$(3.38) \quad b^{1,0} = b^{0,1} = 0.$$

For $d = 2$, we find that $b_2^+ = 1$, $b_2^- = 1$. This is not surprising, as any non-degenerate quadric is biholomorphic to $\mathbb{P}^1 \times \mathbb{P}^1$ [GH78, page 478]. The Hodge numbers are $b^{1,1} = 2$, $b^{2,0} = b^{0,2} = 0$.

For $d = 3$, we find $b_2^+ = 1$, $b_2^- = 6$. This is expected, since any non-degenerate cubic is biholomorphic to \mathbb{P}^2 blown up at 6 points, and is therefore diffeomorphic to $\mathbb{P}^2 \# 6\overline{\mathbb{P}^2}$ [GH78, page 489]. The Hodge numbers in this case are $b^{1,1} = 7$, $b^{2,0} = b^{0,2} = 0$.

For $d = 4$, this is a $K3$ surface [GH78, page 590]. We find $b_2^+ = 3$, $b_2^- = 19$, so $\chi = 24$, and $\tau = -16$. The intersection form is given by

$$(3.39) \quad 2E_8 \oplus 3 \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}.$$

Since $c_1 = 0$, the canonical bundle is trivial. The Hodge numbers in this case are $b^{1,1} = 20$, $b^{2,0} = b^{0,2} = 1$.

For $d = 5$, we find $b_2^+ = 9$, $b_2^- = 44$, so $\chi = 55$, and $\tau = -35$. From Freedman's topological classification of simply-connected 4-manifolds, V must be homeomorphic to $9\mathbb{P}^2 \# 44\overline{\mathbb{P}^2}$, see [FQ90]. By the work of Gromov-Lawson [GL80], this latter smooth manifold admits a metric of positive scalar curvature, and therefore all of its Seiberg-Witten invariants vanish [Wit94]. But V is Kähler, so it has some non-zero Seiberg-Witten invariant [Mor96, Theorem 7.4.4]. We conclude that V is homeomorphic to $9\mathbb{P}^2 \# 44\overline{\mathbb{P}^2}$, but not diffeomorphic.

4. RIEMANN-ROCH THEOREM

Instead of using the Hirzebruch signature Theorem to compute these characteristic numbers, we can use the Riemann-Roch formula for complex manifolds.

Let \mathcal{E} be a complex vector bundle over V of rank k . Assume that \mathcal{E} splits into a sum of line bundles

$$(4.1) \quad \mathcal{E} = L_1 \oplus \cdots \oplus L_k.$$

Let $a_i = c_1(L_i)$. Then

$$(4.2) \quad c(\mathcal{E}) = (1 + a_1) \cdots (1 + a_k),$$

which shows that $c_j(\mathcal{E})$ is given by the elementary symmetric functions of the a_i , that is

$$(4.3) \quad c_j(\mathcal{E}) = \sum_{i_1 < \dots < i_k} a_{i_1} \cdots a_{i_k}.$$

Any other symmetric polynomial can always be expressed as a polynomial in the elementary symmetric functions. We define the *Chern character* as

$$(4.4) \quad ch(\mathcal{E}) = e^{a_1} + \dots + e^{a_k}.$$

Re-expressing in terms of the Chern classes, we have the first few terms of the Chern character:

$$(4.5) \quad ch(\mathcal{E}) = rank(E) + c_1(\mathcal{E}) + \frac{1}{2} (c_1(\mathcal{E})^2 - 2c_2(\mathcal{E})) + \dots$$

The Todd Class is associated to

$$(4.6) \quad Td(\mathcal{E}) = \frac{a_1}{1 - e^{-a_1}} \cdots \frac{a_k}{1 - e^{-a_k}}$$

Re-expressing in terms of the Chern classes, we have the first few terms of the Todd class:

$$(4.7) \quad Td(\mathcal{E}) = 1 + \frac{1}{2}c_1(\mathcal{E}) + \frac{1}{12} (c_1(\mathcal{E})^2 + c_2(\mathcal{E})) + \frac{1}{24}c_1(\mathcal{E})c_2(\mathcal{E}) + \dots$$

For an almost complex manifold V , let $Td(V) = Td(T^{(1,0)}V)$.

Note the following fact: except for ch_0 , all of the Chern character and Todd polynomials are independent of the rank of the bundle.

Recall the Dolbeault complex with coefficients in a holomorphic vector bundle,

$$(4.8) \quad \Omega^p(\mathcal{E}) \xrightarrow{\bar{\partial}} \Omega^{p+1}(\mathcal{E}).$$

Let $H^p(V, \mathcal{E})$ denote the p th cohomology group of this complex, and define the *holomorphic Euler characteristic* as

$$(4.9) \quad \chi(V, \mathcal{E}) = \sum_{p=0}^k (-1)^p \dim_{\mathbb{C}}(H^p(V, \mathcal{E})).$$

Theorem 4.1. (*Riemann-Roch*) *Let \mathcal{E} be a holomorphic vector bundle over a complex manifold V . Then*

$$(4.10) \quad \chi(V, \mathcal{E}) = \int_V ch(\mathcal{E}) \wedge Td(V).$$

We look at a few special cases. Let V be a curve, and let \mathcal{E} be a line bundle over V , then we have

$$(4.11) \quad \dim H^0(V, \mathcal{E}) - \dim H^1(V, \mathcal{E}) = \int_V c_1(\mathcal{E}) + \frac{1}{2}c_1(V).$$

Recall that $c_1(V)$ is the Euler class, and $\int_V c_1(\mathcal{E})$ is the degree d of the line bundle. Using Serre duality, this is equivalent to

$$(4.12) \quad \dim H^0(V, \mathcal{E}) - \dim H^0(V, K \otimes \mathcal{E}^*) = d + 1 - g,$$

which is the classical Riemann-Roch Theorem for curves (g is the genus of V).

Next, let V be of dimension 2, and \mathcal{E} be a line bundle, then

$$(4.13) \quad \begin{aligned} & \dim H^0(V, \mathcal{E}) - \dim H^1(V, \mathcal{E}) + \dim H^2(V, \mathcal{E}) \\ &= \int_V \frac{1}{2} c_1(\mathcal{E})^2 + \frac{1}{2} c_1(\mathcal{E}) c_1(V) + \frac{1}{12} (c_1(V)^2 + c_2(V)). \end{aligned}$$

If $\mathcal{E} = [D]$ is the line bundle associated to a divisor D , then in terms of intersection numbers, (4.13) becomes

$$(4.14) \quad \chi(V, \mathcal{E}) = \chi(V, \mathcal{O}) + \frac{1}{2}(D \cdot D - D \cdot K).$$

If \mathcal{E} is the trivial line bundle, then this is

$$(4.15) \quad \chi(V, \mathcal{O}) = 1 - b^{0,1} + b^{0,2} = \frac{1}{12} \int_V (c_1(V)^2 + c_2(V))$$

If V is a hypersurface of degree d in \mathbb{P}^3 , then this gives

$$(4.16) \quad b^{0,2} = \frac{1}{6}(d-3)(d-2)(d-1),$$

which is of course in agreement with (3.36) above. All of the other characteristic numbers follow from this.

If \mathcal{E} is a rank 2 bundle, then

$$(4.17) \quad \begin{aligned} & \dim H^0(V, \mathcal{E}) - \dim H^1(V, \mathcal{E}) + \dim H^2(V, \mathcal{E}) \\ &= \int_V \frac{1}{2} c_1(\mathcal{E}) c_1(V) + \frac{1}{6} (c_1(V)^2 + c_2(V)) + \frac{1}{2} (c_1(\mathcal{E})^2 - 2c_2(\mathcal{E})). \end{aligned}$$

For fun, again we let V be a complex hypersurface in \mathbb{P}^3 , and let \mathcal{E} be $\Omega^1 = \Lambda^{(1,0)} = (T^{(1,0)})^*$, so $c_1(\Omega^1) = -c_1(V)$, and $c_2(\Omega^1) = c_2(V)$. We have $b^{0,1} = b^{1,0} = 0$, and by Serre duality $b^{1,2} = b^{1,0} = 0$. So Riemann-Roch gives

$$\begin{aligned} -b^{1,1} &= \int_V \frac{1}{2} c_1(\Omega^1) c_1(V) + \frac{1}{6} (c_1(V)^2 + c_2(V)) + \frac{1}{2} (c_1(\Omega^1)^2 - 2c_2(\Omega^1)) \\ &= \int_V \frac{-1}{2} c_1(V)^2 + \frac{1}{6} (c_1(V)^2 + c_2(V)) + \frac{1}{2} (c_1(V)^2 - 2c_2(V)) \\ &= \int_V \left(\frac{1}{6} c_1(V)^2 - \frac{5}{6} c_2(V) \right) \\ &= -\frac{1}{3} d(2d^2 - 6d + 7), \end{aligned}$$

which is of course in agreement with (3.37) from above.

5. COMPLETE INTERSECTIONS

Let $V^k \subset \mathbb{P}^n$ be a smooth complete intersection of $n-k$ homogeneous polynomials of degree d_1, \dots, d_{n-k} . We have the exact sequence

$$(5.1) \quad 0 \rightarrow T^{(1,0)}(V) \rightarrow T^{(1,0)}\mathbb{P}^2|_V \rightarrow N_V \rightarrow 0.$$

Where N_V is now a bundle of rank $n-k$ bundle. The adjunction formula says that

$$(5.2) \quad N_V = \mathcal{O}(d_1)|_V \oplus \dots \oplus \mathcal{O}(d_{n-k})|_V.$$

We have the smooth splitting of (1.1),

$$(5.3) \quad T^{(1,0)}\mathbb{P}^n|_V = T^{(1,0)}(V) \oplus \mathcal{O}(d)|_V.$$

Taking Chern classes,

$$(5.4) \quad c(T^{(1,0)}\mathbb{P}^n|_V) = c(T^{(1,0)}(V)) \cdot c(\mathcal{O}(d_1)|_V \oplus \cdots \oplus \mathcal{O}(d_{n-k})|_V),$$

which is

$$(5.5) \quad (1 + \omega)^{n+1}|_V = (1 + c_1 + \cdots + c_k)(1 + d_1 \cdot \omega|_V) \cdots (1 + d_{n-k} \cdot \omega|_V).$$

Let us now just consider the simple case of a complete intersection of $n - 1$ hyper-surfaces in \mathbb{P}^n , of degrees d_1, \dots, d_{n-1} . We have

$$(5.6) \quad 1 + (n + 1)\omega|_V = 1 + c_1 + (d_1 + \cdots + d_{n-1})\omega|_V,$$

which yields

$$(5.7) \quad c_1 = (n + 1 - d_1 - \cdots - d_{n-1})\omega|_V.$$

The Euler characteristic is

$$(5.8) \quad \chi(V) = (n + 1 - d_1 - \cdots - d_{n-1}) \int_V \omega.$$

By definition of the Poincaré dual,

$$(5.9) \quad \int_V \omega = \int_{\mathbb{P}^n} \omega \wedge \eta_V = \int_{\mathbb{P}^n} \eta_H \wedge \eta_V.$$

We use some intersection theory to understand the integral. Intersecting cycles is Poincaré dual to the cup product, thus the integral counts the number of intersection points of V with a generic hyperplane. Consequently,

$$(5.10) \quad \chi(V) = (n + 1 - d_1 - \cdots - d_{n-1})d_1d_2 \cdots d_{n-1}.$$

The genus g is given by

$$(5.11) \quad g = 1 - \frac{1}{2}(n + 1 - d_1 - \cdots - d_{n-1})d_1d_2 \cdots d_{n-1}.$$

Corollary 5.1. *A curve of genus 2 does not arise as a complete intersection.*

Proof. Assume by contradiction that it does. If any of the $d_i = 1$, then it is a complete intersection in a lower dimensional projective space. So without loss of generality, assume that $d_i \geq 2$. We would then have

$$(5.12) \quad 2 = -(n + 1 - d_1 - \cdots - d_{n-1})d_1d_2 \cdots d_{n-1}.$$

The right hand side is a product of integers. Since 2 is prime, the only possibility is that $n = 2$, and $d_1 = 2$, in which case the above equation reads

$$(5.13) \quad 2 = -2,$$

which is a contradiction. □

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