

# 2018 PURSUING FINANCE CONFERENCE

OCTOBER 22 & 23  
1:00 PM - 7:00 PM  
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In a time of tremendous undergraduate growth and interest, the department is also educating over **1,200 undergraduate math majors** and mentoring over **1,200 graduate students** in addition to its essential role in teaching mathematics, a core component of STEM education, for the entire UCI campus. Our curricula provide six different tracks, ranging from pure mathematics to the newly established concentration in data science, to provide both broad and focused education and training for mathematics majors with different career goals.

The track: Concentration in Mathematical Finance is designed for students with a strong interest in mathematics who would like to use their mathematical background to obtain a solid foundation in the fundamentals of modern financial mathematics. This program prepares students for a variety of options after graduation, including direct employment in the business world, entry into MBA programs, or advanced graduate education in either economics or in mathematics.

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# WELCOME TO 2018'S PURSUING FINANCE CONFERENCE

We hope this conference will peak your interest and desire to work in this fast-paced, highly relevant career field known as Finance. We have brought together a string of working professionals, many of whom have graduated from UC Irvine, to educate and encourage you into the right career choice.



The Pursuing Finance Conference is headed by Hyung J. Kim, CFP, CEO & Portfolio Manager at an Irvine-based investment firm, KLK Capital Management. Prior to establishing his own hedge fund, Hyung served as the Vice President of Wealth Management at Merrill Lynch, with more than 15 years of experience in the finance industry. At Merrill, Hyung started fostering both undergraduate and graduate student interns' interests and career aspirations in finance, security investment, and asset management. Many of Hyung's interns were UC Irvine students with a major concentration in accounting, economics, finance mathematics, or even Ph.D. candidates. Under his mentorship, Hyung's interns have gone to pursue a professional career in investment banking, consulting, quantitative analysis, and auditing.

We are grateful to collaborate with Professor Solna, Hyung's long-time friend, on this event. Professor Solna is currently a faculty member in the mathematics department at UC Irvine, and his research has focused around Random media, Parabolic scaling and waves, econometrics, multiscale stochastic volatility Equity, interest rates, and credit derivatives. His academic focus helped mentor many mathematics Ph.D. students at UC Irvine, who are now successful in quant analytics related career fields.

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# AGENDA

MONDAY | OCTOBER 22, 2018

## DAY 1

OCTOBER 22, 2018

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1:00 PM - 1:10 PM	Conference Overview & Welcoming
1:10 PM - 1:40 PM	<b>Featured Speaker: Matthew Foreman</b> Math Professor at UCI
1:40 PM - 1:50 PM	Break
1:50 PM - 2:40 PM	<b>Featured Speaker: Jay Kim</b> EVP & Regional Chief Banking Officer, Hanmi Bank Structure of Banking Industry and Commercial Banking
2:40 PM - 2:50 PM	Break & Set-up
2:50 PM - 3:40 PM	<b>Panel: Auditing</b> Samantha Chui, Lisa Kim, & Ryan Robinson
3:40 PM - 3:50 PM	Break
3:50 PM - 4:40 PM	<b>Featured Speaker: Mac Winchester CMFC®, APMA®</b> Regional Manager, Advisor Services, Lord Abbett
4:40 PM - 4:50 PM	Break & Set-Up
4:50 PM - 5:40 PM	<b>Panel: Quantitative Analysis</b> Fan Wang PhD & Kevin Gong PhD
5:40 PM - 5:50 PM	Closing Remarks
5:50 PM - 7:00 PM	Networking

# AGENDA

TUESDAY | OCTOBER 23, 2018

## DAY 2

OCTOBER 23, 2018

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1:00 PM - 1:10 PM	Conference Overview and Welcoming
1:10 PM - 1:50 PM	<b>Featured Speaker: Jeff Ludwig</b> Math Professor at UCI
1:50 PM - 2:00 PM	Break & Set-Up
2:00 PM - 2:50 PM	<b>Panel: Investment Banking</b> Connor Nguyen & Sylvester Malapas , & Anthony Torcaso
2:50 PM - 3:00 PM	Break
3:00 PM - 3:50 PM	<b>Featured Speaker: Andrew Heine, CFA</b> Account Manager – Institutional Servicing , PIMCO Careers in Asset Management and Fixed Income
3:50 PM - 4:00 PM	Break
4:00 PM - 4:30 PM	<b>Featured Speaker: Sylvester Malapas, CFA</b> Senior Analyst, Strategic Global Advisors Investing & Life After UCI
4:30 PM - 4:40 PM	Break & Set-Up
4:40 PM - 5:30 PM	<b>Panel: Consulting</b> Skyla Robinson, Tim Yun , & William Kwak
5:30 PM - 5:40 PM	Closing remarks
5:40 PM - 7:00 PM	Networking

# FEATURED PROFESSORS

OCTOBER 22 & 23, 2018



## PROF. MATTHEW FOREMAN

MATH PROFESSOR

Matt Foreman earned his Ph.D. at the University of California, Berkeley in 1980 under Robert Solovay. In 2011, after a successful career in pure mathematics with multiple publications in the Annals of Mathematics,

Foreman's interests in finance drew him to Harvard Business School where he received an MBA in 2013.

Since then, he has worked in both the Finance Industry and as a Professor of Mathematics at the University of California, Irvine. He has played an important role in overseeing the successful growth and development of the specialized Concentration in Math Finance at UC Irvine.



## PROF. JEFF LUDWIG

MATH PROFESSOR

Prior to recently joining UCI as an LPSOE in the Department of Mathematics, Dr. Ludwig was the Founding Director and Head of Ph.D. Research at Jump Labs, the research division of Jump Trading, a high

frequency trading firm based in Chicago, IL. There he was deeply involved in research for quantitative high frequency trading spanning machine learning, datamining, text mining, signal processing, high performance computing, and low latency telecommunications in collaboration with academia.

Previously he served as a portfolio manager for SAC Capital Management from May 2013 to November 2014 where he managed quantitative futures strategies spanning equities, fixed income, commodities, and volatility.

Mr. Ludwig earned a Bachelor of Science in Aeronautical and Astronautical Engineering, a Master of Science in Electrical Engineering and Computer Science, and a Ph.D. in Electrical Engineering and Computer Science, all from the Massachusetts Institute of Technology. He has published 12 journal articles and holds one U.S. patent.

# FEATURED SPEAKERS

OCTOBER 22, 2018



## JAY KIM

EVP & REGIONAL CHIEF BANKING OFFICER, HANMI BANK

Mr. Kim is an Executive Vice President and Regional Chief Banking Officer. Mr. Kim manages Hanmi Bank's corporate banking centers in California, Texas and Georgia and oversees Eastern regions including retail branches and commercial banking division in New York, New Jersey and Virginia. Prior to joining Hanmi in 2013, he served numerous managerial positions such as Strategy and Planning officer, Commercial Lending Manager, Trade Finance Manager and Corporate Banking Manager at BBCN Bank, East West Bank and Nara Bank. He has 20 years of experience in commercial banking with strong C&I lending backgrounds. He has served as a corporate trainer, lecturing to the bank's lending officers and branch managers and has spoken at various seminars and community events. He received his B.A. in Economics from UC Irvine and holds an MBA from UCLA Anderson School of Management. Mr. Kim will be giving a presentation on structure of Banking Industry and Commercial Banking at the conference.



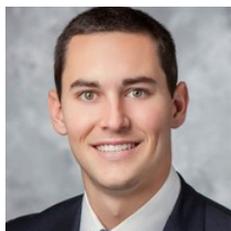
## MAC WINCHESTER CMFC®, APMA®

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Mac Winchester is responsible for working with financial advisors, investment advisors, and wealth managers to help them identify investment solutions for their clients that best address portfolio needs. He is based in Orange County, California. Mr. Winchester joined Lord Abbett in 2018. His prior experience includes serving in various roles at AllianceBernstein including Regional Consultant and Associate Vice President and Regional Manager. He has worked in the financial services industry since 2011. He earned a BA in communication arts and sciences from the Pennsylvania State University. He also is a holder of the Chartered Mutual Fund Counselor (CMFC) designation and Accredited Portfolio Management Advisor (APMA) designation.

# FEATURED SPEAKERS

OCTOBER 23, 2018



## ANDREW HEINE, CFA

ACCOUNT MANAGER,  
PIMCO

Mr. Heine is an account manager at Pacific Investment Management Company (PIMCO) and is based in the firm's Newport Beach headquarters. In this role, he partners with the firm's institutional corporate clients, primarily focusing on defined benefit, defined contribution, and operating cash asset pools. He joined PIMCO in 2013 and was previously a specialist in the account management group. He holds an undergraduate degree in business administration from the University of California, Irvine and is currently pursuing an MBA at the Anderson School of Management at the University of California, Los Angeles. Andrew will be giving a presentation on Careers in Asset Management and Fixed Income at the Conference.



## SYLVESTER MALAPAS, CFA

STRATEGIC ANALYSIS,  
STRATEGIC GLOBAL ADVISORS

Sylvester is a Senior Analyst at Newport Beach-based asset manager Strategic Global Advisors. He is responsible for researching individual stock ideas before they are purchased in client portfolios. Prior to SGA, he worked at S&P - San Francisco where he focused on the high yield and leveraged loan market, covering the technology sector. Prior to business school, he spent four years at Merrill Lynch Bank of America Wealth Management. Sylvester earned his BA in Economics from the University of California, Irvine, and an MBA from the Johnson School at Cornell University. He is a CFA charter holder and a member of the CFA Society of Orange County.

# MEET THE PANELISTS

AUDITING



## SAMANTHA CHUI

Samantha is currently pursuing her MBA at the UCLA Anderson School of Management. Over the summer she interned at Google as part of the Go-to-market strategy team. Prior to business school, she was part of the finance team at Hulu where she helped launch the company's newest product, Hulu with live TV. Samantha started her career at PwC in the assurance and M&A advisory practices. She holds a BA in Business Economics from UC Irvine and is a licensed CPA in the state of California.



## LISA KIM

Lisa Kim, CPA, is a third-year auditor at PricewaterhouseCoopers in LA. She started with the firm as an intern during her third year at Pepperdine University, where she graduated with an accounting major. She started working full-time for the firm in October 2016. She currently works within the Technology, Media and Telecommunications (TMT) industry. Some of the clients she has worked with include Inphi Corporation, Leaf Group Ltd., SpaceX, Sony Entertainment and Disney Studios.



## RYAN ROBINSON

Mr. Robinson is an Audit & Assurance Manager at Deloitte in the Orange County office. He has been with the firm for five years serving clients in the real estate, life sciences, and oil & gas trading industries, including U.S. subsidiaries of Japanese keiretsu, privately held entities, and SEC listed entities. Mr. Robinson graduated from the University of California, Irvine in 2013 with a B.A. in business administration, concentrating in accounting and minoring in economics.

## MEET THE PANELISTS

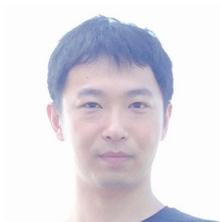
### QUANTITATIVE ANALYSIS



#### KEQIN GONG

Keqin Gong was a UCI student in the Department of Mathematics from September 2007 to May 2013, and was Mr. Hyung Kim's intern from March 2012 to June 2012. After graduation in 2013, I worked for Wells Fargo until now. My current position is a

Quantitative Analyst in Model Validation Group.



#### FAN WANG

Fan Wang is currently a quantitative analyst at SquarePoint Capital in the New York office. He specializes in market microstructure analysis, transaction cost and medium frequency equity and futures algorithmic execution strategies. Prior to that, he was VP at JP Morgan Prime Service Equity

Financing- Delta 1 quantitative research group from 2014-2016. In this role, he is responsible for building quantitative pricing and risk models for linear products. Prior to JP Morgan, he was an Assistant VP at HSBC quantitative risk and valuation group 2013-2014, His main duty includes model validation, benchmark analysis, and model risk management. He graduated at UC, Irvine with a Ph.D. degree in mathematics in 2013, and his supervisor is Professor Knut Solna.

## MEET THE PANELISTS

### INVESTMENT BANKING



#### SYLVESTER MALAPAS, CFA

Sylvester is a Senior Analyst at Newport Beach-based asset manager Strategic Global Advisors. He is responsible for researching individual stock ideas before they are purchased in client portfolios. Prior to SGA, he worked at S&P - San Francisco where he focused on the high yield and leveraged loan market, covering the technology sector. Prior to business school, he spent four years at Merrill Lynch Bank of America Wealth Management. Sylvester earned his BA in Economics from the University of California, Irvine, and an MBA from the Johnson School at Cornell University. He is a CFA charter holder and a member of the CFA Society of Orange County.



#### CONNOR NGUYEN

Mr. Nguyen is currently an investment banking associate at Salem Partners, specializing in media and entertainment M&A advisory and capital raising assignments. Prior to joining Salem, he worked for Roth Capital Partners as an equities research analyst and provided sell-side research for the clean-tech sector. Mr. Nguyen has also worked with Bank of America Merrill Lynch, where he assisted portfolio management process. Mr. Nguyen graduated cum laude from the Paul Merage School of Business at the University of California Irvine with a Bachelor of Arts degree in Business Administration with an emphasis in Accounting and Finance.



#### ANTHONY TORCASO

Anthony Torcaso is a Regent's Scholar of the University of California, Irvine with a BA in Business Economics. He graduated this past June, and not too long ago, attended the prior Pursuing Finance Conference to learn more about the financial industry and network with experienced professionals throughout the field. Now, Anthony is Junior Analyst at TFA Capital Partners, a boutique investment bank in Los Angeles, CA. TFA specializes in the Gaming and Leisure industry, with unique relationships built within the Tribal sector, providing for strategic advisory and capital raising services. Prior to joining TFA Capital Partners, Anthony was an intern at KLK Capital Management and a technician at MRF Engineering while attending UC Irvine.



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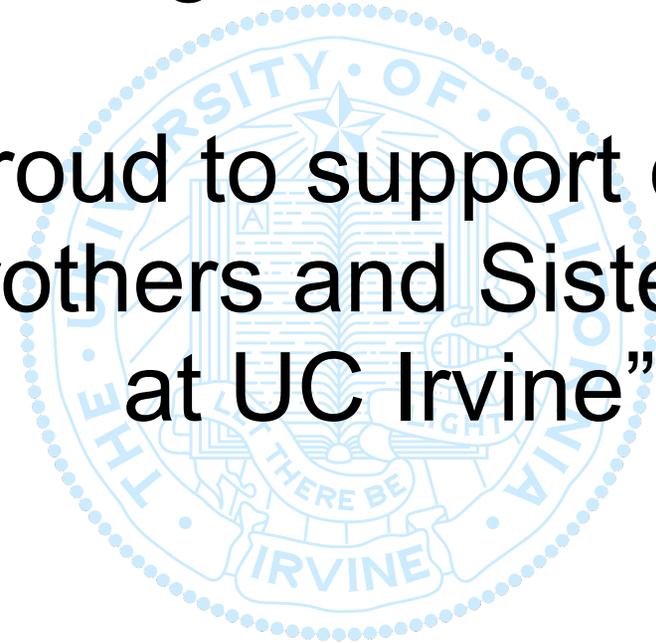
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