2018 PURSUING FINANCE CONFERENCE

October 22 & 23
1:00 PM - 7:00 PM
UCI Pacific Ballroom D
Expand Your Career Options

In today’s business world, change is the only constant. Market forces require business leaders to be adaptable and capable of dealing with emerging models and technologies in a world built around digital connectivity. A one-year master’s degree from the Merage School can help you become better prepared to pursue your ideal career.

The Merage School offers four Specialty Masters Programs:

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The Department of Mathematics at UC Irvine is committed to excellence in both research and education. Forefront mathematics research, both foundational and applied, by mathematics faculty is not only discovering beautiful relations, proving deep theorems, and pushing intellectual horizons but also has important applications to physics, engineering, cyber security, social and economical behaviors. Over the years, our faculty ranks have included prominent scholars who have been recognized with top honors such as the Wolf price.

In a time of tremendous undergraduate growth and interest, the department is also educating over 1,200 undergraduate math majors and mentoring over 1,200 graduate students in addition to its essential role in teaching mathematics, a core component of STEM education, for the entire UCI campus. Our curricula provide six different tracks, ranging from pure mathematics to the newly established concentration in data science, to provide both broad and focused education and training for mathematics majors with different career goals.

The track: Concentration in Mathematical Finance is designed for students with a strong interest in mathematics who would like to use their mathematical background to obtain a solid foundation in the fundamentals of modern financial mathematics. This program prepares students for a variety of options after graduation, including direct employment in the business world, entry into MBA programs, or advanced graduate education in either economics or in mathematics.

Please visit www.math.uci.edu for additional information.
Welcome to 2018’s Pursuing Finance Conference

We hope this conference will peak your interest and desire to work in this fast-paced, highly relevant career field known as Finance. We have brought together a string of working professionals, many of whom have graduated from UC Irvine, to educate and encourage you into the right career choice.

The Pursuing Finance Conference is headed by Hyung J. Kim, CFP, CEO & Portfolio Manager at an Irvine-based investment firm, KLK Capital Management. Prior to establishing his own hedge fund, Hyung served as the Vice President of Wealth Management at Merrill Lynch, with more than 15 years of experience in the finance industry. At Merrill, Hyung started fostering both undergraduate and graduate student interns’ interests and career aspirations in finance, security investment, and asset management. Many of Hyung’s interns were UC Irvine students with a major concentration in accounting, economics, finance mathematics, or even Ph.D. candidates. Under his mentorship, Hyung’s interns have gone to pursue a professional career in investment banking, consulting, quantitative analysis, and auditing.

We are grateful to collaborate with Professor Solna, Hyung’s long-time friend, on this event. Professor Solna is currently a faculty member in the mathematics department at UC Irvine, and his research has focused around Random media, Parabolic scaling and waves, econometrics, multiscale stochastic volatility Equity, interest rates, and credit derivatives. His academic focus helped mentor many mathematics Ph.D. students at UC Irvine, who are now successful in quant analytics related career fields.

A bridge to better opportunities—It’s where you get to chase your dreams where you can build your business and inspire the next generation—where our community’s bright future begins.

Growing stronger together for more than 3 decades, bridging us ever closer to where our dreams come true

For all the dreams in the world
Bank on Your Dreams
## AGENDA
### MONDAY | OCTOBER 22, 2018

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<th>Speaker</th>
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<td>1:00 PM - 1:10 PM</td>
<td>Conference Overview &amp; Welcoming</td>
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<tr>
<td>1:10 PM - 1:40 PM</td>
<td><strong>Featured Speaker: Matthew Foreman</strong>&lt;br&gt;Math Professor at UCI</td>
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<td>1:40 PM - 1:50 PM</td>
<td>Break</td>
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<tr>
<td>1:50 PM - 2:40 PM</td>
<td><strong>Featured Speaker: Jay Kim</strong>&lt;br&gt;EVP &amp; Regional Chief Banking Officer, Hanmi Bank&lt;br&gt;Structure of Banking Industry and Commercial Banking</td>
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<td>2:40 PM - 2:50 PM</td>
<td>Break &amp; Set-up</td>
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<td>2:50 PM - 3:40 PM</td>
<td><strong>Panel: Auditing</strong>&lt;br&gt;Samantha Chui, Lisa Kim, &amp; Ryan Robinson</td>
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<td>3:40 PM - 3:50 PM</td>
<td>Break</td>
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<td>3:50 PM - 4:40 PM</td>
<td><strong>Featured Speaker: Mac Winchester CMFC®, APMA®</strong>&lt;br&gt;Regional Manager, Advisor Services, Lord Abbett</td>
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<td>4:40 PM - 4:50 PM</td>
<td>Break &amp; Set-Up</td>
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<tr>
<td>4:50 PM - 5:40 PM</td>
<td><strong>Panel: Quantitative Analysis</strong>&lt;br&gt;Fan Wang PhD &amp; Kevin Gong PhD</td>
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<td>5:40 PM - 5:50 PM</td>
<td>Closing Remarks</td>
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<td>5:50 PM - 7:00 PM</td>
<td>Networking</td>
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## AGENDA
### TUESDAY | OCTOBER 23, 2018

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<tr>
<td>1:00 PM - 1:10 PM</td>
<td>Conference Overview and Welcoming</td>
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<tr>
<td>1:10 PM - 1:50 PM</td>
<td><strong>Featured Speaker: Jeff Ludwig</strong>&lt;br&gt;Math Professor at UCI</td>
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<td>1:50 PM - 2:00 PM</td>
<td>Break &amp; Set-Up</td>
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<td>2:00 PM - 2:50 PM</td>
<td><strong>Panel: Investment Banking</strong>&lt;br&gt;Connor Nguyen &amp; Sylvester Malapas, &amp; Anthony Torcaso</td>
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<td>3:00 PM - 3:50 PM</td>
<td><strong>Featured Speaker: Andrew Heine, CFA</strong>&lt;br&gt;Account Manager – Institutional Servicing, PIMCO&lt;br&gt;Careers in Asset Management and Fixed Income</td>
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<td>4:00 PM - 4:30 PM</td>
<td><strong>Featured Speaker: Sylvester Malapas, CFA</strong>&lt;br&gt;Senior Analyst, Strategic Global Advisors&lt;br&gt;Investing &amp; Life After UCI</td>
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<td>Break &amp; Set-Up</td>
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<td>4:40 PM - 5:30 PM</td>
<td><strong>Panel: Consulting</strong>&lt;br&gt;Skyla Robinson, Tim Yun, &amp; William Kwak</td>
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<td>5:30 PM - 5:40 PM</td>
<td>Closing remarks</td>
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<tr>
<td>5:40 PM - 7:00 PM</td>
<td>Networking</td>
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Prior to recently joining UCI as an LPSOE in the Department of Mathematics, Dr. Ludwig was the Founding Director and Head of Ph.D. Research at Jump Labs, the research division of Jump Trading, a high frequency trading firm based in Chicago, IL. There he was deeply involved in research for quantitative high frequency trading spanning machine learning, datamining, text mining, signal processing, high performance computing, and low latency telecommunications in collaboration with academia.

Previously he served as a portfolio manager for SAC Capital Management from May 2013 to November 2014 where he managed quantitative futures strategies spanning equities, fixed income, commodities, and volatility.

Mr. Ludwig earned a Bachelor of Science in Aeronautical and Astronautical Engineering, a Master of Science in Electrical Engineering and Computer Science, and a Ph.D. in Electrical Engineering and Computer Science, all from the Massachusetts Institute of Technology. He has published 12 journal articles and holds one U.S. patent.

Mr. Kim is an Executive Vice President and Regional Chief Banking Officer. Mr. Kim manages Hanmi Bank’s corporate banking centers in California, Texas and Georgia and oversees Eastern regions including retail branches and commercial banking division in New York, New Jersey and Virginia. Prior to joining Hanmi in 2013, he served numerous managerial positions such as Strategy and Planning officer, Commercial Lending Manager, Trade Finance Manager and Corporate Banking Manager at BBCN Bank, East West Bank and Nara Bank. He has 20 years of experience in commercial banking with strong C&I lending backgrounds. He has served as a corporate trainer, lecturing to the bank’s lending officers and branch managers and has spoken at various seminars and community events. He received his B.A. in Economics from UC Irvine and holds an MBA from UCLA Anderson School of Management. Mr. Kim will be giving a presentation on structure of Banking Industry and Commercial Banking at the conference.

Mac Winchester is responsible for working with financial advisors, investment advisors, and wealth managers to help them identify investment solutions for their clients that best address portfolio needs. He is based in Orange County, California. Mr. Winchester joined Lord Abbett in 2018. His prior experience includes serving in various roles at AllianceBernstein including Regional Consultant and Associate Vice President and Regional Manager. He has worked in the financial services industry since 2011. He earned a BA in communication arts and sciences from the Pennsylvania State University. He also is a holder of the Chartered Mutual Fund Counselor (CMFC) designation and Accredited Portfolio Management Advisor (APMA) designation.
FEATURED SPEAKERS
OCTOBER 23, 2018

ANDREW HEINE, CFA
ACCOUNT MANAGER, PIMCO

Mr. Heine is an account manager at Pacific Investment Management Company (PIMCO) and is based in the firm’s Newport Beach headquarters. In this role, he partners with the firm’s institutional corporate clients, primarily focusing on defined benefit, defined contribution, and operating cash asset pools. He joined PIMCO in 2013 and was previously a specialist in the account management group. He holds an undergraduate degree in business administration from the University of California, Irvine and is currently pursuing an MBA at the Anderson School of Management at the University of California, Los Angeles. Andrew will be giving a presentation on Careers in Asset Management and Fixed Income at the Conference.

Sylvester Malapas, CFA
STRATEGIC ANALYSIS, STRATEGIC GLOBAL ADVISORS

Sylvester is a Senior Analyst at Newport Beach-based asset manager Strategic Global Advisors. He is responsible for researching individual stock ideas before they are purchased in client portfolios. Prior to SGA, he worked at S&P - San Francisco where he focused on the high yield and leveraged loan market, covering the technology sector. Prior to business school, he spent four years at Merrill Lynch Bank of America Wealth Management. Sylvester earned his BA in Economics from the University of California, Irvine, and an MBA from the Johnson School at Cornell University. He is a CFA charter holder and a member of the CFA Society of Orange County.

MEET THE PANELISTS
AUDITING

Samantha Chui
Samantha is currently pursuing her MBA at the UCLA Anderson School of Management. Over the summer she interned at Google as part of the Go-to-market strategy team. Prior to business school, she was part of the finance team at Hulu where she helped launch the company’s newest product, Hulu with live TV. Samantha started her career at PwC in the assurance and M&A advisory practices. She holds a BA in Business Economics from UC Irvine and is a licensed CPA in the state of California.

Lisa Kim
Lisa Kim, CPA, is a third-year auditor at PricewaterhouseCoopers in LA. She started with the firm as an intern during her third year at Pepperdine University, where she graduated with an accounting major. She started working full-time for the firm in October 2016. She currently works within the Technology, Media and Telecommunications (TMT) industry. Some of the clients she has worked with include Inphi Corporation, Leaf Group Ltd., SpaceX, Sony Entertainment and Disney Studios.

Ryan Robinson
Mr. Robinson is an Audit & Assurance Manager at Deloitte in the Orange County office. He has been with the firm for five years serving clients in the real estate, life sciences, and oil & gas trading industries, including U.S. subsidiaries of Japanese keiretsu, privately held entities, and SEC listed entities. Mr. Robinson graduated from the University of California, Irvine in 2013 with a B.A. in business administration, concentrating in accounting and minoring in economics.
**MEET THE PANELISTS**

**Quantitative Analysis**

**Keqin Gong**

Keqin Gong was a UCI student in the Department of Mathematics from September 2007 to May 2013, and was Mr. Hyung Kim's intern from March 2012 to June 2012. After graduation in 2013, I worked for Wells Fargo until now. My current position is a Quantitative Analyst in Model Validation Group.

**Fan Wang**

Fan Wang is currently a quantitative analyst at SquarePoint Capital in the New York office. He specializes in market microstructure analysis, transaction cost and medium frequency equity and futures algorithmic execution strategies. Prior to that, he was VP at JP Morgan Prime Service Equity Financing- Delta 1 quantitative research group from 2014-2016. In this role, he is responsible for building quantitative pricing and risk models for linear products. Prior to JP Morgan, he was an Assistant VP at HSBC quantitative risk and valuation group 2013-2014. His main duty includes model validation, benchmark analysis, and model risk management. He graduated at UC, Irvine with a Ph.D. degree in mathematics in 2013, and his supervisor is Professor Knut Solna.

**Sylvestor Malapas, CFA**

Sylvestor is a Senior Analyst at Newport Beach-based asset manager Strategic Global Advisors. He is responsible for researching individual stock ideas before they are purchased in client portfolios. Prior to SGA, he worked at S&P - San Francisco where he focused on the high yield and leveraged loan market, covering the technology sector. Prior to business school, he spent four years at Merrill Lynch Bank of America Wealth Management. Sylvestor earned his BA in Economics from the University of California, Irvine, and an MBA from the Johnson School at Cornell University. He is a CFA charter holder and a member of the CFA Society of Orange County.

**Connor Nguyen**

Mr. Nguyen is currently an investment banking associate at Salem Partners, specializing in media and entertainment M&A advisory and capital raising assignments. Prior to joining Salem, he worked for Roth Capital Partners as an equities research analyst and provided sell-side research for the clean-tech sector. Mr. Nguyen has also worked with Bank of America Merrill Lynch, where he assisted portfolio management process. Mr. Nguyen graduated cum laude from the Paul Merage School of Business at the University of California Irvine with a Bachelor of Arts degree in Business Administration with an emphasis in Accounting and Finance.

**Anthony Torcaso**

Anthony Torcaso is a Regent’s Scholar of the University of California, Irvine with a BA in Business Economics. He graduated this past June, and not too long ago, attended the prior Pursuing Finance Conference to learn more about the financial industry and network with experienced professionals throughout the field. Now, Anthony is Junior Analyst at TFA Capital Partners, a boutique investment bank in Los Angeles, CA. TFA specializes in the Gaming and Leisure industry, with unique relationships built within the Tribal sector, providing for strategic advisory and capital raising services. Prior to joining TFA Capital Partners, Anthony was an intern at KLK Capital Management and a technician at MRF Engineering while attending UC Irvine.
MEET THE PANELISTS

CONSULTING

**WILLIAM KWAK**
Mr. Kwak is an Associate at Nathan Associates’ litigation and expert consultant practice who specializes in economic analysis and economic damages in various types of litigation and non-litigation matters, including intellectual property, public policy, impact analysis, labor disputes, antitrust, business interruption, breach of contract, business valuation, investigative accounting, and market analysis.

**SKYLA ROBINSON**
Skyla is a senior auditor expert in the Global Internal Audit group at Experian, PLC. Skyla is engaged in various risk-based audit projects across Experian business units, where she assesses the business operational excellence and product launch/business expansion due diligence process. In her position, she also identifies systematic and manual gaps within established procedures and provides process improvement opportunities for business leaders. Prior to joining Experian, Skyla was a senior associate under the Business Advisory consulting practice at Grant Thornton LLP. There she served as the liaison between the client and the internal advisory team on various IT, regulatory-compliance framework, and process improvement consulting engagements. Skyla graduated magna cum laude from UC Irvine with a B.A. in Business Economics.

**TIM YUN**
Tim received his Bachelor of Science degree in Business Administration with an emphasis in Management from the University of Southern California’s Marshall School of Business. During his studies, Tim has worked as an analyst in various industries and firms including UBS Financial Services, an investment management firm, and a management consulting firm. Tim has over two years of experience in data analytics and financial modeling, including M&A due diligence. He also holds a certification in Lean Six Sigma with a concentration in healthcare management. He also served as the Project Leader for a client and led the team by implementing lean methodologies and six sigma tools while developing/presenting the final client presentation.
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