

## Modular Towers: (Pro-)finite Groups and Cusp Geometry

We start with a prime  $p$  dividing the order of a finite group  $G = G_0$ . This produces a projective system of groups strikingly like the dihedral sequence  $\{D_{p^{k+1}}\}_{k=0}^{\infty}$  (p. 7, 8). By recasting the regular Inverse Galois problem we produce Modular Towers (MTs). Its fundamental problems/results generalize those of modular curves (the case  $G_0 = D_p$ ). MTs of *higher rank* (p. 7, 9), like modular curves, allow topics where  $p$  varies.

We use a rank two MT to show how two of Serre's largest projects combine: Spin covers of alternating groups; and his open image theorem for  $G_{\mathbb{Q}}$  acting on projective systems of points on modular curves [Se90a], [Se68]. This works best applied to MTs for *p-perfect groups* in concert with *g-p' cusps* (p. 12), generalizing the previous notion of Harbater-Mumford cusps. Examples of reduced 4-branch point MTs (moduli dessins d'enfants) show how Schur multipliers applied to *g-p' cusps* control projective systems of components and cusp ramification from level to level. Only for dihedral-like groups do Schur multiplier effects disappear. We apply these to two well-known problems to strengthen the modular curve comparison (p. 24,25).

## Main source of talk topics

[FV92 ] M. Fried and H. Völklein, *The embedding problem over an Hilbertian-PAC field*, Annals of Math **135** (1992), 469–481.

Our main example alludes to the technique relating inner and absolute Hurwitz spaces through outer automorphisms of finite groups. This has as a corollary the only known presentation for  $G_{\mathbb{Q}}$ :

$$1 \rightarrow \tilde{F}_{\omega} \rightarrow G_{\mathbb{Q}} \rightarrow \prod_{n=2}^{\infty} S_n \rightarrow 1.$$

[BFr02 ] with P. Bailey, *Hurwitz monodromy, spin separation and higher levels of a Modular Tower*, in Proceedings of Symposia in Pure Mathematics **70** (2002) editors M. Fried and Y. Ihara, 1999 von Neumann Symposium on Arithmetic Fundamental Groups and Noncommutative Algebra, August 16–27, 1999 MSRI, 79–221.

[RIMS02 ] *Moduli of relatively nilpotent extensions*, Institute of Mathematical Science Analysis 1267, June 2002, Communications in Arithmetic Fundamental Groups, 70–94.

[FrS03 ] with D. Semmen, *Schur multiplier types and Shimura-like systems of varieties*, 20 pg. preprint, May 2003.

## Key inspirational papers appearing in talk

- [Se68 ] J.-P. Serre, *Abelian  $\ell$ -adic representations and elliptic curves*, 1st ed., McGill University Lecture Notes, Benjamin, New York • Amsterdam, 1968, in collaboration with Willem Kuyk and John Labute.
- [Se90a ] J.-P. Serre, *Relèvements dans  $\tilde{A}_n$* , C. R. Acad. Sci. Paris **311** (1990), 477–482.
- [Ser90b ] J.-P. Serre, *Revêtements á ramification impaire et  $\theta$ -caractéristiques*, C. R. Acad. Sci. Paris **311** (1990), 547–552.
- [IM95 ] Y. Ihara and M. Matsumoto, *On Galois actions on profinite completions of braid groups*, Proceedings AMS-NSF Summer Conference, vol. 186, 1995, Cont. Math series, Recent Developments in the Inverse Galois Problem, 173–200.
- [Fr04 ] M. Fried, Profinite geometry: Higher rank Modular Towers (MTs): slides for a Luminy talk, March, 2004. Outlines a proof of the weak Main Conjecture, and conjectures how  $g$ - $p'$  cusps describe limit projective sequences of MT components.  
[www.math.uci.edu/~mfried/talkfiles/lum03-12-04.html](http://www.math.uci.edu/~mfried/talkfiles/lum03-12-04.html)

## Others supporting sources

- [An98 ] Y. André, *Finitude des couples d'invariants modulaires singuliers sur une courbe algébrique plane non modulaire*, Crelle's J. **505** (1998), 203–208.
- [BTh03 ] P. Bailey, *Incremental ascent of a Modular Tower via branch cycle designs*, PhD Thesis, UCI Irvine 2003.
- [GS78 ] R. Griess and P. Schmid, *The Frattini module*, Archiv. Math. **30** (1978), 256–266.
- [FrJ86 ] M. Fried and M. Jarden, *Field arithmetic*, Ergebnisse der Mathematik III, vol. 11, Springer Verlag, Heidelberg, 1986.
- [DFr90 ] P. Dèbes and M. Fried, *Rigidity and real residue class fields*, Acta Arith. **56** (1990), 13–45.
- [FrK97 ] M. Fried and Y. Kopeliovic, *Applying Modular Towers to the inverse Galois problem*, Geometric Galois Actions II Dessins d'Enfants, Mapping Class Groups . . . , vol. 243, Cambridge U. Press, 1997, London Math. Soc. Lecture Notes, pp. 172–197.

## Modular Towers: Durham, Sept. 5 2003

Riemann sphere:  $\mathbb{P}_z^1 = \mathbb{C}_z \cup \{\infty\}$ , for

$$\mathbf{z} = z_1, \dots, z_r \subset \mathbb{P}_z^1, \mathbb{P}_z^1 \setminus \{\mathbf{z}\} = U_{\mathbf{z}}.$$

Modular Tower (MT): Sequence of moduli spaces; generalize sequences of modular curves. Levels are moduli spaces of covers from finite group  $G$ , prime  $p$  dividing  $|G|$  and  $p'$  conjugacy classes  $\mathbf{C}$  (in  $G$ ,  $r$  of them; we take  $r = 4$ ).

Construction depends on the universal  $p$ -Frattini cover of  $G$  (Pierre Debes' talk). This collects otherwise unknowable finite groups into a usable structure. Two tools allow comparison with general dessins d'enfant:

- The sh-incidence pairing on cusps;
- lifting invariants from Schur multipliers of the universal  $p$ -Frattini cover quotients.

Nielsen class combinatorics allow MT level computations using the geometry of their cusps.

## Nielsen classes:

Group  $G$  with  $r$  conjugacy classes  $\mathbf{C} = (C_1, \dots, C_r)$ :

$$\text{Ni}(G, \mathbf{C}) = \{g \in \mathbf{C} \mid \langle g \rangle = G, \prod_{i=1}^r g_i = 1\}$$

$\mapsto \text{Ni}(G, \mathbf{C})/N_{S_n}(G, \mathbf{C})$  (absolute classes) or  $\mapsto \text{Ni}(G, \mathbf{C})/G$  (inner classes).

## Three example Nielsen classes:

- $\text{Ni}(G_k(D_p), \mathbf{C}_{24})^{\text{abs,rd}}$  (or  $\text{Ni}(G_k(D_p), \mathbf{C}_{24})^{\text{in,rd}}$ ):  
4 involutions in  $D_p$  ( $p$  odd). Case  $p$  of a rank 1 Modular Tower (modular curves).
- Simple group MT from  $\text{Ni}(G_k(A_5), \mathbf{C}_{34})$ :  
Four 3-cycles in  $A_5$ . Rank 0 MT for  $p = 2$ .
- Tower akin to other two:  $\text{Ni}(G_k(A_4), \mathbf{C}_{\pm 3^2})$ :  
Rational union of four 3-cycles in  $A_4$ . Rank 2 MT for  $p = 2$ .

## Forming $G_k(G)$ for $p \mid |G|$

Finite group  $H$  acts on rank  $t$  lattice  $L$  or finitely generated free group  $F$  ( $L$  or  $F$  may be trivial):  $\mathbf{C}$  generating conjugacy classes for  $H$ . Avoid  $p$  dividing order of elements in  $\mathbf{C}$ . For serious results: Finite quotient groups are *p-perfect* (no  $\mathbb{Z}/p$  quotient).

Pro- $p$  group  $\tilde{P}$  has a Frattini subgroup  $\Phi(\tilde{P})$  generated by its  $p$ th powers and commutators. Consider the pro- $p$  completion  ${}_pF$  of  $F$  (or  $L$ ).

Case 1:  $p \nmid |H|$ ,  $\implies H$  action on  $\tilde{P}/\Phi(\tilde{P})$  extends to  $\tilde{P}$ .  ${}_pF \times^s H$  is Universal  $p$ -Frattini cover of  ${}_pF/\Phi({}_pF) \times^s H = G = G_0$ :  $p$ -slit case.

Case 2: For any finite group  $G$  and each prime  $p$ ,  $p \mid |G|$ , there is a universal  $p$ -Frattini cover  $\psi_p : {}_p\tilde{G} \rightarrow G$ .

**Example 1.** Rank 1,  $D_\infty$ :  $\mathbb{Z} \times^s \{\pm 1\} = \mathbb{Z} \times^s H_2$ .

## Frattini Properties

1. Profreeness:  $\ker(\psi_p) = \ker_0$  and a  $p$ -Sylow of  ${}_p\tilde{G}$  are pro-free pro- $p$  and  ${}_p\tilde{G}$  is the minimal such profinite cover of  $G$  [FrJ; Chap. 21].
2. Characteristic sequences:  $\{G_k\}_{k=0}^\infty, \{M_k\}_{k=0}^\infty$ :
 
$$\ker_{k+1} = \Phi(\ker_k), \quad G_k = {}_p\tilde{G} / \ker_k,$$

$$M_k = \ker(G_{k+1} \rightarrow G_k) \text{ a } G_k \text{ module.}$$
3. Subgroup properties:
  - $p'$  classes of  $G \mapsto p'$  classes of  ${}_p\tilde{G}$ .
  - Frattini:  $G^* \leq G_k, \psi_{k,0}(G^*) = G_0 \implies G^* = G_k$ .
  - Order  $p^u$  ( $u \geq 1$ ) conj. classes of  $G_k$  lift to order  $p^{u+1}$  classes of  $G_{k+1}$ .
4.  $M_0(G)$ :  $p$ -Sylow  $P$  of  $G$ : Indecomposable summand of  $\text{Ind}_{N_G(P)}^G(M_0(N_G(P)))$  that maps to  $M_0(N_G(P))$  [MT1-95, RIMS02]
5. Remaining Centerless:  $G_0$   $p$ -perfect and centerless  $\implies$  so is  $G_k, k \geq 0$  [FrK97]



## Four 3-cycles

**Example 2 (Rank two action).**  $H = H_3 = \mathbb{Z}/3$  acts on a free group  $F_2$  with generators  $v_1, v_2$ :  $\langle \mu \rangle \stackrel{\text{def}}{=} \mathbb{Z}/3$  by  $\mu : (v_1, v_2) \mapsto (v_2^{-1}, v_1 v_2^{-1})$ .

Use the conjugacy classes  $\mathbf{C}_{\pm 3^2}$ : Four conjugacy classes of elements of order 3, two map to  $\mu \in \mathbb{Z}/3$  and two map to  $-\mu$ . Avoid only  $p = 3$ :  $G_k$  above is  $G_k((\mathbb{Z}/p)^2) \times^s H_3$ . Use a copy of  $H_3$  in  $G_k((\mathbb{Z}/p)^2) \times^s H_3$  for each  $k$  ( $p \neq 3$ ) to define absolute classes.

The collection of conjugacy classes in both examples is a *rational union*. All spaces formed from a Nielsen class  $\text{Ni}(G, \mathbf{C})$  where  $\mathbf{C}$  is a rational union have equations over  $\mathbb{Q}$  [FrV92].

**Proposition 3.**  $\text{Ni}(G_k((\mathbb{Z}/p)^2) \times^s H_3, \mathbf{C}_{\pm 3^2})$  is nonempty. Covers in the inner classes form a space analogous to  $X_1(p^{k+1})$ ; in absolute classes analogous to  $X_0(p^{k+1})$  (cosets of  $H_3$ ).

## Harbater-Mumford (H-M) reps. in Prop. 3

H-M reps.  $k \geq 0$ :

$$({}_k g_1, {}_k g_1^{-1}, {}_k g_2, {}_k g_2^{-1}) \in \text{Ni}(G_k, \mathbf{C}_{\pm 3^2}).$$

Since  $G_k$  is a Frattini cover of  $G_0$ ,  ${}_k g_1, {}_k g_2$  any order 3 lifts to  $G_k$  of generating order 3  $g_1, g_2 \in G_0$ . No invariant subspaces for  $H$  on  $(\mathbb{Z}/p)^2$ . Take  $g_1 = (0, \mu)$  and  $g_2 = (v^\mu - v, \mu)$  for any  $v$  not commuting with  $\mu$ .

## Braid action on Nielsen classes

Combinatorics for  $r = 4$  that allows computing properties of MT levels comes from the action of  $\langle q_1, q_2, q_3 \rangle$  on  $\text{Ni}(G, \mathbf{C})$  inducing an action on *reduced Nielsen classes*. Here is the action of

$$q_2 : (g_1, \dots, g_4) \mapsto (g_1, g_2 g_3 g_2^{-1}, g_2, g_4).$$

Form:  $\gamma_0 = q_1 q_2$ ,  $\gamma_1 = q_1 q_2 q_3 = \text{sh}$ ,  $\gamma_\infty = q_2$ .

## Upper half plane quotient covers

Three important groups:  $\mathcal{Q}'' = \langle sh^2, q_1 q_3^{-1} \rangle$ ; the *cuspidal group*  $Cu_4 = \langle q_2, \mathcal{Q}'' \rangle$ ; and  $\bar{M}_4 = \langle \gamma_0, \gamma_1 \rangle$  generated freely by elements of order 3 and 2.

$\gamma_0, \gamma_1, \gamma_\infty$  on  $Ni(G, \mathbf{C})^{\text{rd}} = Ni(G, \mathbf{C})/\mathcal{Q}''$  (reduced Niel. classes) gives  $\mathcal{H}(G, \mathbf{C})^{\text{rd}} \rightarrow \mathbb{P}_j^1$  branch cycles.  $\gamma$ s from Debes-Fried cuts [DFr90] [BFr02, §6] to match complex conjugation operator for two pairs of complex conjugate branch points.

$\mathbf{p} \in \mathcal{H}(G, \mathbf{C})^{\text{rd}}$  is an equivalence class of covers in  $Ni(G, \mathbf{C})$ : ramification indices over 0 divide 3, over 1 divide 2. Orbits of  $\gamma_\infty$  correspond to cusps; orbits of  $\bar{M}_4$  to components of  $\mathcal{H}(G, \mathbf{C})^{\text{rd}}$ .

## $G_0 = A_5$ and $F$ trivial

Use  $p = 2$  and  $\mathbf{C} = \mathbf{C}_{3^4}$ , four conjugacy classes of elements of order 3. Absolute equivalence: Cosets of  $A_4$ , genus 0 family with 4 ramified points  $\mathbf{x}$  on each member.

$\mathbf{p} \in \mathcal{H}(G, \mathbf{C})^{\text{abs,rd}} \mapsto (j(\mathbf{z}_p), j(\mathbf{x}_p)) \in \mathbb{P}_j^1 \times \mathbb{P}_j^1$  embeds. Yet, not a modular curve (below).

## The Main Conjectures

- Weak conjecture: If  $G$  is  $p$ -perfect, no rational points at high levels of  $\text{Ni}(G, \mathbf{C})^{\text{in,rd}}$  Modular Tower  $\Leftrightarrow$  No genus 0 or 1 components at high levels [BFr; Thm. 6.1].
- Strong conjecture: Running over  $p$ -perfect primes attached to a Modular Tower of arbitrary rank, only finitely many levels have genus 0 or 1 components.
- The structure of Frattini central extensions of finite group appears in properties of levels of MTs: Components and cusp growth.

**Remainder of talk:** How  $g$ - $p'$ -cusps generalize H-M reps. to give structure for assigning cusps ( $\langle \gamma_\infty \rangle$  orbits) to components ( $\bar{M}_4$  orbits) in levels of the  $(G_0, \mathbf{C})$  MT. Especially: For our main examples, how to account for all components at levels 0 and 1 from either Schur multipliers or the main technique behind [FrV92].

## Types of cusps

A cusp corresponds to  $(\mathbf{g})\text{Cu}_4/\mathcal{Q}'' \subset \text{Ni}(G, \mathbf{C})^{\text{rd}}$ :  
 $\text{wd}(\mathbf{g}) \stackrel{\text{def}}{=} |(\mathbf{g})\text{Cu}_4/\mathcal{Q}''|$ , *cusp width*. Cusp Types:

$p'$  cusps:  $p \nmid (\mathbf{g})\text{mp} \stackrel{\text{def}}{=} \text{ord}(g_2g_3)$ ; and

$g$ - $p'$  cusps:  $H_{2,3}(\mathbf{g}) = \langle g_2, g_3 \rangle$  and  $H_{1,4}(\mathbf{g}) = \langle g_1, g_4 \rangle$   
 are  $p'$  groups. Usually:  $H_{2,3}(\mathbf{g}) \cap H_{1,4}(\mathbf{g}) = \langle g_2g_3 \rangle$ .

- Both  $p'$  and  $g$ - $p'$  cusps are  $\text{Cu}_4$  invariants.
- In a MT, each  $g$ - $p'$  cusp has a projective sequence of  $g$ - $p'$  cusps over it (Schur-Zass.).

**Example 4.** For H-M rep.  $\mathbf{g} = (g_1, g_1^{-1}, g_2, g_2^{-1})$ ,  $(\mathbf{g})\text{sh}$  has width 1 or 2 ( $p = 2$  and  $k \geq 1$ ,  $\text{wd}((\mathbf{g})\text{sh}) = 2$  for inner reduced classes) and  $H_{2,3}(\mathbf{g}) \cap H_{1,4}(\mathbf{g}) = \langle 1 \rangle$ . Projective sequences of H-M reps.  $\{ {}_k\mathbf{g} \in \text{Ni}(G_k, \mathbf{C})^{\text{rd}} \}_{k=0}^{\infty}$  should have their width grow as  $cp^k$  ( $k$  large; while shifts  $({}_k\mathbf{g})\text{sh}$  have width  $\leq 2$ ). Checking width growth requires analysis with Schur multipliers.

## Schur Multipliers

$G_0$   $p$ -perfect  $\implies$   ${}_p\tilde{G} \rightarrow G_0$  quotients have universal central  $p$ -extensions. Assume  $R^*$  in  $G_{k+1} \rightarrow R^* \rightarrow G_k$  (head of  $M_k = \ker(\psi_{k+1,k})$ ) and  $\langle h' \rangle = \ker(R^* \rightarrow G_k) = \mathbf{1}_{G_k} \leftarrow$  Schur quotient.

Generally, Schur quotients can occur anywhere between  $G_{k+1}$  and  $G_k$  as  $R^* \rightarrow G^* \rightarrow G_k$ , though those at the head play a special role [FrS03, §4]. Always:  $\text{Ni}(R^*, \mathbf{C}) \rightarrow \text{Ni}(G^*, \mathbf{C})$  is injective.

Quotient with Antecedents: Lift  $h$  to  $\hat{h}' \in {}_p\tilde{G}$ . Gives list of Schur multipliers at higher levels:

$$\langle (\hat{h}')^{p^t} \text{ mod } \ker_{k+t} \rangle \stackrel{\text{def}}{=} R_{k+t}^* \rightarrow G_{k+t}.$$

**Example 5.** If  $G_0 \leq A_n$ ,  $n \geq 4$ , has nonsplit pullback to  $\text{Spin}_n$ , then  $G_k$  ( $p = 2$ ;  $k \geq 1$ ) has antecedent Schur multiplier from level  $k = 0$ .

## Obstruction and cusp growth

**Lemma 6.** *No cusp in  $\text{Ni}(G_{k+1}, \mathbf{C})^{\text{rd}}$  over  $\mathbf{g} \in \text{Ni}(G_k, \mathbf{C})^{\text{rd}} \implies$  a Schur Multiplier between  $G_k$  and  $G_{k+1}$  and  $\mathbf{g}^* \in \text{Ni}(G^*, \mathbf{C}) \mapsto \mathbf{g}$  but*

*[\* ]  $\text{Ni}(R^*, \mathbf{C}) \mapsto \text{Ni}(G^*, \mathbf{C})$  does not hit  $\mathbf{g}^*$  ( $(\mathbf{g})\bar{M}_4$  is obstructed).*

*If  $\mathbf{g} \in \text{Ni}(G_k, \mathbf{C})^{\text{rd}}$  is  $p'$ , no  $p'$  cusp in  $\text{Ni}(G_{k+1}, \mathbf{C})^{\text{rd}}$  over  $\mathbf{g} \implies$  a Schur multiplier between  $G_k$  and  $G_{k+1}$  and either [\*] holds, or over  $\mathbf{g}$*

*[\*\* ] there is no  $p' \hat{\mathbf{g}}^* \in \text{Ni}(R^*, \mathbf{C})$  ( $\text{wd}(\mathbf{g})$  grows).*

For  $k$  large, so long as  $M_0$  has dimension at least 2 (basically from [GS78]), the multiplicity of Schur multipliers between  $G_k$  and  $G_{k+1}$  grows. Finding which affect obstruction and cusp width growth is necessary for a sequential genus computation.

## Effective computations

1. Lifting Invariant: For  $C$  a  $p'$  conjugacy class:  
 $\hat{g} \in C \cap R^* \mapsto g \in C \cap G_k$ ,  $s_{R^*}(g) = \hat{g}_1(\hat{g}_2\hat{g}_3)\hat{g}_4$   
 $(= \hat{g}_3\hat{g}_4(\widehat{g_2g_3})^{-1})(\widehat{g_4g_1})^{-1})\hat{g}_4\hat{g}_1$  if  $g$  is  $p'$ .
2. sh-incidence symmetric matrix: List  $\gamma_\infty$  orbits  $(g)\text{Cu}_4/\mathcal{Q}'' = O = O_g$ :

$$\begin{pmatrix} \vdots & |O \cap (O)\gamma_1| \\ \cdot & \dots \end{pmatrix} = \begin{pmatrix} \vdots & |O \cap (O)\gamma_0| \\ \cdot & \dots \end{pmatrix}.$$

- Blocks give components of  $\mathcal{H}(G, \mathbf{C})^{\text{rd}}$ .
- Fixed points of  $\gamma_0, \gamma_1$  appear on diagonal.

3. Variants on formulas like those of [Se90a] to go from level  $k$  to  $k+1$  when  $p = 2$ :

**Example 7.**  $(g)\text{Cu}_4$  is a  $p'$  cusp and orbits of  $H_{2,3}(g)$  have genus 0 in  $A_N \geq G_k$  with  $R^* = G_k \times_{A_N} \text{Spin}_N$ . Subex:  $g_{1,4} \in \text{Ni}(A_4, \mathbf{C}_{\pm 3^2}) =$

$$((1\ 2\ 3), (1\ 3\ 4), (1\ 2\ 4), (1\ 2\ 4)) :$$

$$s_{R^*}(g_2, g_3, (g_2g_3)^{-1}) = +1;$$

$$s_{R^*}(g_4, g_1, (g_4g_1)^{-1}) = (-1)^{3 \cdot (3^2 - 1)/8} = -1.$$

$\bar{M}_4$  orbit of  $g_{1,4}$  is obstructed.



$$\text{Ni}(A_4, \mathbf{C}_{\pm 3^2})^{\text{abs,rd}} = \text{Ni}(A_4, \mathbf{C}_{\pm 3^2})^{\text{in,rd}}, p = 2$$

Nielsen class  $\mapsto \text{Ni}(A_3, \mathbf{C}_{\pm 3^2})^{\text{rd}}$ : Entries by sequences of conjugacy classes,  $q_1 q_3^{-1}$  and sh switch these rows:

$$\begin{array}{lll} [1] & + - + - & [2] & + + - - & [3] & + - - + \\ [4] & - + - + & [5] & - - + + & [6] & - + + - \end{array}$$

sh-incidence: In  $O_{i,j}^k$ ,  $k$  is cusp width,  $i, j$  correspond to orbit reps. Diagonal entries  $O_{1,1}^4$  ( $\gamma_1$  fixes 1,  $\gamma_0$  none) and  $O_{1,4}^4$  aren't empty ( $\gamma_0$  and  $\gamma_1$  fix nothing).

$$\begin{aligned} \text{H-M rep.} &\mapsto \mathbf{g}_{1,1} = ((1\ 2\ 3), (1\ 3\ 2), (1\ 3\ 4), (1\ 4\ 3)) \\ &\mathbf{g}_{1,3} = ((1\ 2\ 3), (1\ 2\ 4), (1\ 4\ 2), (1\ 3\ 2)) \\ \text{H-M rep.} &\mapsto \mathbf{g}_{3,1} = ((1\ 2\ 3), (1\ 3\ 2), (1\ 4\ 3), (1\ 3\ 4)) \end{aligned}$$

$\text{Ni}_0^+$ Orbit	$O_{1;1}^4$	$O_{1;3}^2$	$O_{3;1}^3$
$O_{1;1}^4$	1	1	2
$O_{1;3}^2$	1	0	1
$O_{3;1}^3$	2	1	0

$\text{Ni}_0^-$ Orbit	$O_{1;4}^4$	$O_{3;4}^1$	$O_{3;5}^1$
$O_{1;4}^4$	2	1	1
$O_{3;4}^1$	1	0	0
$O_{3;5}^1$	1	0	0

## Conclusions $\text{Ni}(A_4, \mathbf{C}_{\pm 32})^{\text{rd}}, p = 2$

1. Two components:  $\mathcal{H}_0^+$  and the obstructed  $\mathcal{H}_0^-$  (nothing above it at level 1), both genus 0 from  $(\gamma_0, \gamma_1, \gamma_\infty)$  on reduced Nielsen classes.
2. Neither component is a Modular curve ([FrS03; Prop. 4.16] applying Wohlfahrt's Thm.).
3. Consider either as an absolute space: Moduli space of genus 1 curves. Birational embedding:  $\mathbf{p} \in \mathcal{H}_0^{\text{abs}, \pm, \text{rd}} \leftrightarrow \varphi_{\mathbf{p}} : X_{\mathbf{p}} \rightarrow \mathbb{P}_z^1$   
 $\mapsto (j(\mathbf{p}), j(\text{Pic}(X_{\mathbf{p}})^{(0)})) \in \mathbb{P}_j^1 \times \mathbb{P}_j^1$ .
4.  $\frac{1}{2}$ -canonical classes:  $(d\varphi_{\mathbf{p}}) = 2 \cdot D_{\mathbf{p}}$ , with  $D_{\mathbf{p}}$   $\frac{1}{2}$ -canonical. Idea works for any odd ramification maps:  $(d(\alpha \circ \varphi_{\mathbf{p}}))/2$ ,  $\alpha \in \text{PGL}_2(\mathbb{C})$ , lin. equiv. to  $D_{\mathbf{p}}$ .

Case of Serre formula [Ser90b]: For  $\mathbf{p} \in \mathcal{H}_0^+$  (resp.  $\mathcal{H}_0^-$ ),  $D_{\mathbf{p}}$  is even (resp. odd).

5.  $\mathcal{Q}''$  orbits on  $\text{Ni}_0^\pm$  have length 2:  $\mathcal{H}_0^{\pm, \text{rd}}$  not fine moduli, but higher levels of MT are.

$Ni(A_5, C_{34})^{in,rd} = Ni_0^{in,rd}$  sh-incidence matrix

We show there is one  $\bar{M}_4$  orbit:  $Q''$  acts trivially.

Denote  $\gamma_\infty$  orbits of

$$g_1 = ((1\ 2\ 3), (1\ 3\ 2), (1\ 4\ 5), (1\ 5\ 4)) \text{ and}$$

$$g_2 = ((1\ 2\ 3), (1\ 3\ 2), (1\ 5\ 4), (1\ 4\ 5))$$

by  $O_{5;1}$  and  $O_{5;2}$ ;  $\gamma_\infty$  orbits of

$$((5\ 1\ 3), (2\ 4\ 5), (1\ 5\ 4), (1\ 2\ 3)) \text{ and}$$

$$((3\ 2\ 4), (5\ 1\ 3), (1\ 5\ 4), (1\ 2\ 3))$$

by  $O_{3;1}$  and  $O_{3;2}$ ; and of  $(g_1)$ sh by  $O_{1,2}$ .

Orbit	$O_{5;1}$	$O_{5;2}$	$O_{3;1}$	$O_{3;2}$	$O_{1,2}$
$O_{5;1}$	0	2	1	1	1
$O_{5;2}$	2	0	1	1	1
$O_{3;1}$	1	1	0	1	0
$O_{3;2}$	1	1	1	0	0
$O_{1,2}$	1	1	0	0	0

Conjugate by 2-cycle for  $Ni_0^{abs,rd}$ : 3  $\gamma_\infty$  orbits (widths 5, 3, 1)  $\implies$  monodromy group is  $A_9$ .

Aim: Genus formulas from systems of  $g$ - $p'$   
cusps: Level 1 of  $(A_5, \mathbf{C}_{3^4})$ [BFr; §9]

$$M_0(A_5) = \{(a_1, \dots, a_6) \in (\mathbb{Z}/2)^6\} / \langle (1, 1, 1, 1, 1, 1) \rangle :$$

Generated by six  $D_5$  cosets, modulo sum of cosets;  $M_0(A_5)|_{A_4} = M_0(A_4)$ .

Three  $A_5$  conj. classes on  $M_0(A_5) \setminus \{\mathbf{0}\}$ .

$M'_3$  – centralizes some 3-cycle ( $3 \neq 0a_i$  s).

$M'_5$  – centralizes some 5-cycle (1, or  $5 \neq 0a_i$  s).

$$V \setminus \{\mathbf{0}\} \rightarrow \ker(\text{Spin}_5 \rightarrow A_5)$$

Unique Schur mult. quotient  $R_1^* \rightarrow G_1(A_5)$  is antecedent from  $\text{Spin}_5 = R_0^* \rightarrow A_5$

$$\text{Fix } (g_1, g_2) \in (G_1(A_5))^2 \mapsto ((1\ 2\ 3), (1\ 4\ 5)) \in (A_5)^2.$$

**H-M Perturbations:**  $\{\mathbf{g}_{a,b} = (g_1, ag_1^{-1}a, bg_2b, g_2^{-1})\}, a, b \in M_0 \setminus V$ .

$$1. \ \mathbf{g}_{a,b} \mapsto \hat{\mathbf{g}}_{a,b} \in \text{Ni}(R_1^*, \mathbf{C}_{3^4}),$$

$$\text{gives lifting invariant } s_{R_1^*}(\mathbf{g}_{a,b}) = \hat{a}^{g_1^{-1}} \hat{a} \hat{b} \hat{b}^{g_2^{-1}}.$$

$$2. \ 0\mathbf{g} = ((1\ 2\ 3), (1\ 2\ 3), (1\ 4\ 5), (1\ 4\ 5)):$$

Apply [Se90a]:  $(0\mathbf{g})_{\text{mp}} = 10$  from

$$s_{R_0^*}((1\ 2\ 3)^{-1}, (1\ 4\ 5), (1\ 3\ 2\ 4\ 5)^{-1}) = (-1)^{2 \cdot (3^2-1)/8 \cdot 5^2-1)/8} = -1.$$

H-M reps. produce widest level 1 cusps (width 20).

## Level 1 of $(A_5, C_{34})$ continued [BFr; Prop. 9.8]

The assumptions of [Se90a] are no longer even close to valid. Yet we can compute:

1.  $s_{R_1^*}(\mathbf{g}_{a,b}) = +1$  if  $(a,b) \in M'_3 \times M'_3 \cup M'_5 \times M'_5$ ; 8  $\gamma_\infty$  orbits of width 20: 4 H-M reps., 4 near H-M reps. (2 each over  ${}_0\mathbf{g}$ ). This accounts for  $\mathcal{H}_1^+ \rightarrow \mathcal{H}_0$  of degree 16 and irreducible.

2.  $s_{R_1^*}(\mathbf{g}_{a,b}) = -1$  if  $(a,b) \in M'_3 \times M'_5 \cup M'_5 \times M'_3$ ; 4  $\gamma_\infty$  orbits of width 20 (complements of H-M reps.), 8 width 10 orbits (from  $Q''$  acts nontrivially on  $(\mathbf{g}_{a,b}\langle q_2 \rangle$ ,  $Q''$  orbit shortening).

**Conclusions:** Genus:  $g(\bar{\mathcal{H}}_1^+) = 12$ ,  $g(\bar{\mathcal{H}}_1^-) = 9$ .

3. Real cusps are H-M or near H-M; one component of real points on  $\bar{\mathcal{H}}_1^+$ ; and  $\bar{\mathcal{H}}_1^-(\mathbb{R}) = \emptyset$ .

4. For  $N = 40$  (80, 120),  $G_1(A_5) \leq A_N$ ,  $R_1^* = G_1(A_5) \times_{A_N} \text{Spin}_N$ : Apply [Se90b]:  $\frac{1}{2}$ -canonical class for  $\bar{\mathcal{H}}_1^+$  even, for  $\bar{\mathcal{H}}_1^-$  odd.

## Level 1 of $(A_4, \mathbf{C}_{\pm 3^2})$ , $p = 2$

Using the Schur mult. of  $G_1(A_4)$  is  $(\mathbb{Z}/2)^2$ : So, there are three Schur quotients:  $R^* \rightarrow G_1(A_4)$ . Again use H-M perturbations:  $\ker(R^* \rightarrow G_1(A_4))$  is  $D_1, D_2, D_3$ .

Given a Schur quotient  $D = \mathbb{Z}/p = \ker(R_D^* \rightarrow G_k)$  define  $V_D \leq M_k$ :  $v \in M_k \setminus \{0\}$  that lift to  $\hat{v}$  in  $R_D^*$  of order  $p$ ,  $V_D = V_D^0 \cup \{0\}$ ,  $\hat{M}_D = \ker(R_D^* \rightarrow G_k)$ .

[RIMS02] Special case: Call  $D$  an *abelian* Schur quotient if  $\hat{M}_D$  is abelian. For  $k \geq 1$ ,  $D$  is abelian if and only if it is antecedent.

With  $K_{4,H}$  a Klein 4-group ( $H_3 = \mathbb{Z}/3$  acts), "Loewy displays" for this case [FSe02; §2.3]:

$$\begin{aligned}\hat{M}_{D_1} &: K_{4,H} \rightarrow K_{4,H} \oplus \mathbb{Z}/4, \hat{M}_{D_2} : K_{4,H} \rightarrow Q_8 \oplus \mathbb{Z}/2, \\ \hat{M}_{D_3} &: K_{4,H} \rightarrow Q_8 \cdot \mathbb{Z}/4.\end{aligned}$$

## Conclusions from level 1 of $(A_4, \mathbf{C}_{\pm 3^2})$

With  $\hat{O}_4 = ((\mathbb{Z}/4)^2 \times^s H_3) \times_{A_4} \text{Spin}_4$ ,  $\mathcal{H}_O = \mathcal{H}(\hat{O}_4, \mathbf{C}_{\pm 3^2})^{\text{rd}} = \mathcal{H}_O^+ \cup \mathcal{H}_O^-$ : two components separated by lifting inv.:  $\mathcal{H}_O^+$  is an H-M comp.

Three components in  $\mathcal{H}(G_1(A_4), \mathbf{C}_{\pm 3^2})$  over  $\mathcal{H}_O^+$  (resp.  $\mathcal{H}_O^-$ ):  $\mathcal{H}^{+,3}$ , genus 3,  $\mathcal{H}^{+,1}, \mathcal{H}^{+,2}$  both genus 1 (resp.  $\mathcal{H}^{-,3}$  genus 3,  $\mathcal{H}^{+,1}, \mathcal{H}^{+,2}$  both genus 0, but complex conjugate).

**Facts about  $\mathcal{H}^{+,1}, \mathcal{H}^{+,2}$ :**  $\mathbf{p} = \mathcal{H}^{+,1}$  corresponds to  $(\varphi_{\mathbf{p}} : X_{\mathbf{p}} \rightarrow \mathbb{P}_z^1, \alpha : G(X_{\mathbf{p}}/\mathbb{P}_z^1) \simeq G_1)$ .

a.  $\exists \beta$ , outer automorphism of  $G_1(A_4)$  with

$$\mathbf{p} = (\varphi_{\mathbf{p}}, \alpha) \in \mathcal{H}^{+,1} \mapsto \mathbf{p}' = (\varphi_{\mathbf{p}}, \beta \circ \alpha) \in \mathcal{H}^{+,2}.$$

a'.  $\mathcal{H}^{+,1}$  and  $\mathcal{H}^{+,2}$  are genus 1 degree 2 covers of genus 0  $\mathcal{H}_O^+$ .

b. Only primes dividing discriminants of  $\mathcal{H}^{+,1}$  and  $\mathcal{H}^{+,2}$  are those dividing  $|A_4|$  (they have fine moduli):  $j(\bar{\mathcal{H}}^{+,1}) = j(\bar{\mathcal{H}}^{+,2}) = \frac{13^3}{3^4}$ .

Summary: ... it can't be an accident that from moduli space dessins d'enfant you get genus 0 and 1 spaces with applications

Schur multipliers separated all but one pair of components in these examples. A non-braided outer automorphism of  $G_1(A_4)$  separated p. 22's unusual pair of H-M rep. components. [FrV02] realized group extensions by assuring braidings between outer autos. on Nielsen classes. We have solidly explained this talk's components.

**Nonsolvable group application:** There are similar spaces  $\bar{\mathcal{H}}^{+,i}(A_5, \mathbf{C}_{\pm 5^2})$  and in a nonobvious way  $\bar{\mathcal{H}}^{+,i}(A_5, \mathbf{C}_{\pm 5^2}) = \bar{\mathcal{H}}^{+,i}(A_4, \mathbf{C}_{\pm 3^2})$ ,  $i = 1, 2$  [BTh03]. Rational points here give only possibility for  $\infty$ -ly many  $(G_1(A_5), p = 2)$  regular  $\mathbb{Q}$  realizations with  $r = 4$  [BFr; §5].



## moduli space dessins d'enfant with applications – Continued

**Application of [An98]:** From the level 0 embeddings in  $\mathbb{P}_j^1 \times \mathbb{P}_j^1$ , only finitely many points of  $\mathcal{H}(A_4, \mathbf{C}_{\pm 3^2})^{\text{in,rd}}$  and  $\mathcal{H}(A_5, \mathbf{C}_{3^4})^{\text{in,rd}}$  ( $p = 2$ ) are special in the sense of Shimura. This hints that for these MTs, one can expect a Serre-type open image result on projective systems of points of the fiber, with only finitely many exceptions. [FrS03] explains the meaning.

**Using Mazur's Theorem:**  $\bar{\mathcal{H}}(A_5, \mathbf{C}_{3^4})^{\text{abs,rd}} \times_{\mathbb{P}_j^1}$

$\mathbb{P}_\lambda^1$  has genus 1 and exactly 12  $\mathbb{Q}$  points, generated by the cusps. So, there are precisely three  $X \rightarrow \mathbb{P}_z^1$  degree 5  $(A_5, \mathbf{C}_{3^4})$  covers (up to  $\text{PGL}_2(\mathbb{Q})$  action) with branch points in  $\mathbb{Q}$ .

**Compare with Grothendieck-Teichmüller:** Based at a  $g-p'$  (an H-M) cusp at level 0, consider all projective systems of  $g-p'$  components and the  $G_{\mathbb{Q}}$  action (Ihara-Matusumoto-Wewers formulas) on projective systems of cusps. Example: Use to explain the  $p$ -adic nature of the near H-M reps. on the MTs presented in this talk [BFr; App. D.3].