

Codes from Polynomials over Finite Fields

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I. What is Coding Theory All About?

MAA Invited Paper Session on Coding Theory and Geometry

- Friday January 8, 2021, 1:00 p.m.-3:50 p.m.

MAA Invited Paper Session on Coding Theory and Geometry

Organizers:

Nathan Kaplan, University of California Irvine nckaplan@math.uci.edu

- 1:00 p.m.

Applications of finite geometries in coding theory.

Christine A Kelley*, University of Nebraska-Lincoln

Michelle Haver, University of Nebraska-Lincoln

(1163-AI-1443)

- 1:30 p.m.

Locally Recoverable Codes with Many Recovery Sets from Number Theory and Geometry.

Beth Malmskog*, Colorado College

Kathryn Haymaker, Villanova University

Gretchen Matthews, Virginia Tech

(1163-AI-1084)

- 2:00 p.m.

Locally Correctable Codes and the Sylvester-Gallai theorem.

Zeev Dvir*, Princeton University

(1163-AI-928)

- 2:30 p.m.

Some recent results on high rate local codes.

Shubhangi Saraf*, Rutgers University

(1163-AI-1672)

- 3:00 p.m.

Equiangular lines and spectral graph theory.

Zilin Jiang, MIT

Jonathan Tidor, MIT

Yuan Yao, MIT

Shengtong Zhang, MIT

Yufei Zhao*, MIT

(1163-AI-290)

- 3:30 p.m.

Toward classifying multipoint codes.

Gretchen Matthews*, Virginia Tech

(1163-AI-1177)

Communication over a Noisy Channel

Suppose we want to communicate over a **noisy channel**.

I will send you a message: 0 or a 1.

- If I send 0, there is a 90% chance you receive 0.
- If I send 1, there is a 90% chance you receive 1.

Idea: Instead of sending 0 or 1, I will send 000 or 111.

- If you receive 010, you 'decode' as 000 because it is likelier that I sent 000 and that there was 1 error than it is that I sent 111 and there were 2 errors.
 - If I send 0 or 1, there is a 90% chance you receive the correct message.
 - If I send 000 or 111, you receive the correct message with probability

$$(.9)^3 + \binom{3}{1} (.9)^2 (.1) = .972.$$

There is a cost for this increased reliability— have to send 3 bits instead of 1.

How do we efficiently build redundancy into our set of messages so that we can identify and correct errors?

Coding Theory Basics I

Let \mathbb{F}_q be a finite field of size q .

Definition

- A **code** over \mathbb{F}_q of length n is a subset $C \subseteq \mathbb{F}_q^n$.
- C is a **linear code** if it is a linear subspace of \mathbb{F}_q^n .
That is, if $c_1, c_2 \in C$ then $c_1 + c_2 \in C$ and $\alpha c_1 \in C$ for any $\alpha \in \mathbb{F}_q$.
- For $\begin{matrix} x=(x_1, \dots, x_n) \\ y=(y_1, \dots, y_n) \end{matrix} \in \mathbb{F}_q^n$, the **Hamming distance** between x and y is

$$d(x, y) = \#\{i \mid x_i \neq y_i\}.$$

- The **Hamming weight** of x is $\text{wt}(x) = d(x, \mathbf{0}) = \#\{i \mid x_i \neq 0\}$.

Example

$\{(0, 0, 0), (1, 1, 1)\} \subset \mathbb{F}_2^3$ is a 1-dimensional linear code.

$$d((0, 0, 0), (1, 1, 1)) = 3.$$

Definition

The *minimum distance* of a code C is

$$d(C) = \min_{\substack{x, y \in C \\ x \neq y}} d(x, y).$$

- If C is linear, $d(C)$ is the minimum weight of a nonzero $c \in C$.

$$d(x, y) = d(x - y, y - y) = \text{wt}(x - y)$$

- In a code with minimum distance d , can correct up to $t = \lfloor \frac{d-1}{2} \rfloor$ errors.

Example

$C = \{(0, 0, 0), (1, 1, 1)\} \subset \mathbb{F}_2^3$ has $d(C) = 3$.

You can correct up to $t = \lfloor \frac{3-1}{2} \rfloor = 1$ error.

Main Problem in Combinatorial Coding Theory

We want codes $C \subseteq \mathbb{F}_q^n$ of **large size** and **large minimum distance**.

Definition

Let $A_q(n, d)$ be the maximum size of a code $C \subseteq \mathbb{F}_q^n$ that has minimum distance at least d .

Main Problem in Combinatorial Coding Theory:

Compute values of $A_q(n, d)$.

On the Size of Optimal Three-Error-Correcting Binary Codes of Length 16

Patric R. J. Östergård

Abstract—Let $A(n, d)$ denote the maximum size of a binary code with length n and minimum distance d . It has been known for decades that $A(16, 7) = A(17, 8) = 36$ or 37 , that is, that the size of optimal 3-error-correcting binary codes of length 16 is either 36 or 37. By a recursive classification via subcodes and a clique search in the final stage, it is shown that the size of optimal such codes is 36.

attaining the lower bound have been constructed in [13], [14] (see also [10, pp. 57, 58]) and the upper bound is from [3]. The problem of determining this particular value is also mentioned in [8, Research Problem 7.18]. The main result of this work is that the best known lower bound is the exact value: $A(17, 8) = 36$.

Tables for $A_2(n, d)$

[Östergård, 2011]: $A_2(17, 8) = 36$.

	d=4	d=6	d=8	d=10	d=12	d=14	d=16
6	4	2	1	1	1	1	1
7	8	2	1	1	1	1	1
8	16	2	2	1	1	1	1
9	20	4	2	1	1	1	1
10	40	6	2	2	1	1	1
11	72	12	2	2	1	1	1
12	144	24	4	2	2	1	1
13	256	32	4	2	2	1	1
14	512	64	8	2	2	2	1
15	1024	128	16	4	2	2	1
16	2048	256	32	4	2	2	2
17	2816-3276	258-340	36	6	2	2	2
18	5632-6552	512-673	64	10	4	2	2
19	10496-13104	1024-1237	128	20	4	2	2
20	20480-26168	2048-2279	256	40	6	2	2
21	40960-43688	2560-4096	512	42-47	8	4	2
22	81920-87333	4096-6941	1024	64-84	12	4	2
23	163840-172361	8192-13674	2048	80-150	24	4	2
24	327680-344308	16384-24106	4096	136-268	48	6	4
25	2^{19} -599184	17920-47538	4096-5421	192-466	52-55	8	4
26	2^{20} -1198368	32768-84260	4104-9275	384-836	64-96	14	4
27	2^{21} -2396736	65536-157285	8192-17099	512-1585	128-169	28	6
28	2^{22} -4792950	131072-291269	16384-32151	1024-2817	178-288	56	8

Figure: Brouwer's tables of upper and lower bounds for $A_2(n, d)$

What is $A_2(17, 6)$?

Tables for Linear Codes (codetables.de)

Bounds on the minimum distance of linear codes over GF(2)

length:	$1 \leq n \leq 256$															
dimension:	$1 \leq k \leq 256$															
Constructions for marked entries are missing																
n/k	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
1	1															
2	2	1														
3	3	2	1													
4	4	2	2	1												
5	5	3	2	2	1											
6	6	4	3	2	2	1										
7	7	4	4	3	2	2	1									
8	8	5	4	4	2	2	2	1								
9	9	6	4	4	3	2	2	2	1							
10	10	6	5	4	4	3	2	2	2	1						
n/k	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
11	11	7	6	5	4	4	3	2	2	2	1					
12	12	8	6	6	4	4	4	3	2	2	2	1				
13	13	8	7	6	5	4	4	4	3	2	2	2	1			
14	14	9	8	7	6	5	4	4	4	3	2	2	2	1		
15	15	10	8	8	7	6	5	4	4	4	3	2	2	2	1	
16	16	10	8	8	8	6	6	5	4	4	4	2	2	2	2	1
17	17	11	9	8	8	7	6	6	5	4	4	4	3	2	2	2
18	18	12	10	8	8	8	7	6	6	4	4	4	4	3	2	2
19	19	12	10	9	8	8	8	7	6	5	4	4	4	4	3	2
20	20	13	11	10	9	8	8	8	7	6	5	4	4	4	4	3
n/k	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
21	21	14	12	10	10	8	8	8	8	7	6	5	4	4	4	3
22	22	14	12	11	10	9	8	8	8	8	7	6	5	4	4	4
23	23	15	12	12	11	10	9	8	8	8	8	7	6	5	4	4
24	24	16	13	12	12	10	10	8	8	8	8	8	6	6	4	4
25	25	16	14	12	12	11	10	9	8	8	8	8	6	6	5	4
26	26	17	14	13	12	12	11	10	9	8	8	8	7	6	6	5
27	27	18	15	14	13	12	12	10	10	9	8	8	8	7	6	6
28	28	18	16	14	14	12	12	11	10	10	8	8	8	8	6	6
29	29	19	16	15	14	13	12	12	11	10	9	8	8	8	7	6
30	30	20	16	16	15	14	12	12	12	11	10	9	8	8	8	7
n/k	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
31	31	20	17	16	16	15	13	12	12	12	11	10	9	8	8	8
32	32	21	18	16	16	16	14	13	12	12	12	10	10	8-9	8	8
33	33	22	18	16	16	16	14	14	12	12	12	11	10	9-10	8-9	8
34	34	22	19	17	16	16	15	14	13	12	12	12	10	10	9-10	8-9
35	35	23	20	18	16	16	16	15	14	12-13	12	12	11	10	10	9-10
36	36	24	20	18	17	16	16	16	14	13-14	12-13	12	12	11	10	10

II. Reed-Solomon Codes

Proposition (Singleton Bound)

$$A_q(n, d) \leq q^{n-(d-1)}$$

Proof.

- 1 Let $C \subseteq \mathbb{F}_q^n$ have $|C| = A_q(n, d)$ and $d(C) \geq d$.
- 2 Write down all the $A_q(n, d)$ codewords.
- 3 Choose any $d - 1$ coordinates and erase them.
- 4 Get $A_q(n, d)$ **distinct** elements of $\mathbb{F}_q^{n-(d-1)}$.



Definition

A code for which equality holds, $|C| = q^{n-(d-1)}$ is called **Maximum Distance Separable** or **MDS**.

Reed-Solomon Codes

Let p_1, p_2, \dots, p_q be an ordering of the elements of \mathbb{F}_q .

Let V_d be the vector space of **polynomials in $\mathbb{F}_q[x]$ of degree at most d** .

Definition

The **evaluation map** is defined by

$$\begin{aligned} \text{ev}: V_d &\mapsto \mathbb{F}_q^q \\ \text{ev}(f) &= (f(p_1), \dots, f(p_q)) \in \mathbb{F}_q^q. \end{aligned}$$

- $\text{ev}(f + g) = \text{ev}(f) + \text{ev}(g)$ and $\text{ev}(\alpha f) = \alpha \text{ev}(f)$.

The image $\text{ev}(V_d) \subseteq \mathbb{F}_q^q$ is a linear code.

It is the **Reed-Solomon code of length q and order d** , $\text{RS}(q, d)$.

- As long as there is no nonzero polynomial vanishing at every element of \mathbb{F}_q , this map is injective, and $\dim(\text{RS}(q, d)) = \dim(V_d) = d + 1$.

$x^q - x$ vanishes at every element of \mathbb{F}_q , so suppose $q > d$.

Proposition

Let F be a field.

A nonzero $f \in F[x]$ with $\deg(f) = d$ has at most d distinct roots in F .

- Suppose $f, g \in \mathbb{F}_q[x]$ each have degree at most d .
Then $f - g$ is either 0 or has at most d roots in \mathbb{F}_q .
- Conclude that $d(\text{RS}(q, d)) = q - d$.
- $|\text{RS}(q, d)| = q^{d+1} = q^{q-(d(\text{RS}(q,d))-1)}$.
- Therefore, $\text{RS}(q, d)$ is an MDS code.

Definition

Let $M(k, q)$ be the maximum n such that a k -dimensional linear MDS code $C \subseteq \mathbb{F}_q^n$ exists.

Conjecture (Main Conjecture for MDS Codes)

- 1 If $q \leq k$, $M(k, q) = k + 1$. (*Easy: Suppose now that $q > k$.*)
- 2 If q is even and $k = 3$ or $k = q - 1$, then $M(k, q) = q + 2$.
- 3 Otherwise, $M(k, q) = q + 1$.

Reed-Solomon Example: For $q > d$, $M(d + 1, q) \geq q$.

Reed-Solomon Code: Example

- Let $q = 5$, $d = 2$. Consider $RS(5, 2) \subseteq \mathbb{F}_5^5$.
- Choose a basis for polynomials in $\mathbb{F}_5[x]$ of degree at most 2: $1, x, x^2$.
- $RS(5, 2)$ is the row span of the **generator matrix**

$$\begin{pmatrix} 1 & 1 & 1 & 1 & 1 \\ 0 & 1 & 2 & 3 & 4 \\ 0 & 1 & 4 & 4 & 1 \end{pmatrix}$$

- No nonzero linear combination of rows has 0s in 3 or more coordinates.
- **No 3×3 submatrix has determinant 0.**

Question

- *Can we add another column to to get a 3×6 matrix over \mathbb{F}_5 such that no 3×3 submatrix has determinant 0?*
- *Is there a 3-dimensional MDS code $C \subseteq \mathbb{F}_5^6$ that gives $RS(5, 2)$ if you **puncture** in the last coordinate?*

Doubly Extended (Projective) Reed-Solomon Codes

Let

$$f(x) = a_d x^d + a_{d-1} x^{d-1} + \cdots + a_1 x + a_0$$

where each $a_i \in \mathbb{F}_q$.

Consider the map

$$\begin{aligned} \text{ev}' : V_d &\mapsto \mathbb{F}_q^{q+1} \\ \text{ev}'(f) &= (f(p_1), \dots, f(p_q), a_d). \end{aligned}$$

- The image is a linear subspace of \mathbb{F}_q^{q+1} .
- If $q > d$ this map is injective and the dimension is $d + 1$.
- The image is an MDS code.
If f, g have the same x^d coefficient, then $\deg(f - g) \leq d - 1$ and either $f - g = 0$ or $f - g$ has at most $d - 1$ roots in \mathbb{F}_q .
- This is a **Doubly Extended** or **Projective Reed-Solomon** code.

Reed-Solomon Code: Example 2

- Let $q = 5$, $d = 2$. The doubly extended Reed-Solomon code is the row span of the **generator matrix**

$$\begin{pmatrix} 1 & 1 & 1 & 1 & 1 & 0 \\ 0 & 1 & 2 & 3 & 4 & 0 \\ 0 & 1 & 4 & 4 & 1 & 1 \end{pmatrix}$$

- No nonzero linear combination of rows has 0s in 3 or more coordinates.
- No 3×3 submatrix has determinant 0.**
- This is a 3-dimensional MDS code $C \subset \mathbb{F}_5^6$.
- There is no 3-dimensional MDS code $C \subset \mathbb{F}_5^7$.
- $M(3, 5) = 6$.

- 1 A k -dimensional linear code $C \subseteq \mathbb{F}_q^n$ is the row span of a $k \times n$ generator matrix G .
- 2 C is an MDS code if and only if every nonzero linear combination of the rows of G has at most $k - 1$ coordinates equal to 0.
- 3 Equivalently, no $k \times k$ submatrix of G has determinant 0.
 - $M(k, q)$ is the maximum n such that a k -dimensional linear MDS code $C \subseteq \mathbb{F}_q^n$ exists.
 - $M(k, q)$ is the maximum n for which there exists a $k \times n$ matrix with entries in \mathbb{F}_q such that no $k \times k$ submatrix has determinant 0.

Definition

Let $M(k, q)$ be the maximum n such that a k -dimensional linear MDS code $C \subseteq \mathbb{F}_q^n$ exists.

Conjecture (Main Conjecture for MDS Codes)

- 1 If $q \leq k$, $M(k, q) = k + 1$. (*Easy: Suppose now that $q > k$.*)
- 2 If q is even and $k = 3$ or $k = q - 1$, then $M(k, q) = q + 2$.
- 3 Otherwise, $M(k, q) = q + 1$.

Doubly Extended Reed-Solomon codes give $M(d + 1, q) \geq q + 1$.

Ball: True for q prime.

Nathan's Favorite Matrix: 5-dimensional MDS code $C \subseteq \mathbb{F}_9^{10}$ that does not 'come from' a Reed-Solomon code [[Glynn](#)].

III. Projective Reed-Muller Codes

Definition

- Choose an ordering of the points of \mathbb{F}_q^n : p_1, \dots, p_{q^n} .
- Let $V_{n,d}$ be the $\binom{n+d}{d}$ -dimensional vector space of *polynomials in x_1, \dots, x_n of degree at most d* .
- The *evaluation map* is defined by

$$\begin{aligned} \text{ev}: V_{n,d} &\mapsto \mathbb{F}_q^{q^n} \\ \text{ev}(f) &= (f(p_1), \dots, f(p_{q^n})) \in \mathbb{F}_q^{q^n} \end{aligned}$$

- The image is a linear code.
- As long as there is no degree d polynomial vanishing at every element of \mathbb{F}_q^n , which is true for $q > d$, this map is injective and the image $\text{RM}_q(d, n)$ had dimension $\binom{n+d}{d}$.
- Note that $\text{RM}_q(d, 1) = \text{RS}(q, d)$.

Question

- *What is the minimum distance of $\text{RM}_q(d, n)$?*
- *What is the maximum number of zeros of a polynomial of degree at most d in $\mathbb{F}_q[x_1, \dots, x_n]$?*
- Let $\alpha_1, \dots, \alpha_d$ be distinct elements of \mathbb{F}_q .

$$f(x_1, \dots, x_n) = (x_1 - \alpha_1)(x_1 - \alpha_2) \cdots (x_1 - \alpha_d)$$

vanishes at $d \cdot q^{n-1}$ elements of \mathbb{F}_q^n .

- $d(\text{RM}_q(d, n)) = q^n - dq^{n-1} = (q - d)q^{n-1}$.

For $n > 1$, these codes are very far from being MDS.

IV. Weight Enumerators of Reed-Muller Codes

The Hamming Weight Enumerator of a Code

Definition

The *Hamming weight enumerator* of $C \subseteq \mathbb{F}_q^n$ is

$$W_C(X, Y) = \sum_{c \in C} X^{n-\text{wt}(c)} Y^{\text{wt}(c)} = \sum_{i=0}^n A_i \cdot X^{n-i} Y^i,$$

where $A_i = \#\{c \in C \mid \text{wt}(c) = i\}$.

Example

For $C = \{(0, 0, 0), (1, 1, 1)\} \subset \mathbb{F}_2^3$, $W_C(X, Y) = X^3 + Y^3$.

Question

- What is the weight enumerator of the Reed-Solomon code $RS(q, d)$?
- How many $f \in \mathbb{F}_q[x]$ of degree at most d have exactly m distinct roots in \mathbb{F}_q ?

• **Fact:** The weight enumerator of a k -dimensional MDS code $C \subseteq \mathbb{F}_q^n$ is determined by its parameters.

Quadratic Polynomials in 2 Variables

- Computing the weight enumerator of $\text{RM}_q(1, n)$ is easy.
- Computing the weight enumerator of $\text{RM}_q(2, n)$ is a counting problem about **quadratic forms over finite fields**.

Proposition

We have that $W_{\text{RM}_q(2,2)}(X, Y)$ is equal to

$$\begin{aligned} & X^{q^2} + \frac{(q-1)(q^3 - q + 2)}{2} Y^{q^2} + \frac{(q-1)^2 q^3}{2} XY^{q^2-1} \\ & + \frac{(q-1)^2 q^3 (q+1)}{2} X^{q-1} Y^{q^2-q+1} + (q^3 - q)(q^2 - q + 2) X^q Y^{q^2-q} \\ & + \frac{(q-1)^3 q^3}{2} X^{q+1} Y^{q^2-q-1} + \frac{(q-1)(q+1)q^3}{2} X^{2q-1} Y^{q^2-2q+1} \\ & + \frac{q(q+1)(q-1)^2}{2} X^{2q} Y^{q^2-2q}. \end{aligned}$$

Question

- 1 How many $f_3 \in \mathbb{F}_q[x, y]$ of degree at most 3 have exactly m zeros?
- 2 How many **smooth** cubic curves $\{f_3(x, y) = 0\}$ have exactly m \mathbb{F}_q -rational points?

A smooth cubic curve with an \mathbb{F}_q -rational point defines an elliptic curve.

Question

- 1 How many isomorphism classes of elliptic curves E/\mathbb{F}_q have a given number of \mathbb{F}_q -points?
- 2 For how many $a, b \in \mathbb{F}_q$ does the equation $y^2 = x^3 + ax + b$ have exactly m solutions $(x, y) \in \mathbb{F}_q^2$?

Deuring, Waterhouse: Answer involves class numbers of orders in imaginary quadratic fields.

Put this together and get $W_{\text{RM}_q(3,2)}(X, Y)$.

Question

- 1 How many $f_4 \in \mathbb{F}_q[x, y]$ of degree at most 4 have exactly m zeros?
- 2 How many *smooth* quartic curves $\{f_4(x, y) = 0\}$ have exactly m \mathbb{F}_q -rational points?
- 3 What is the maximum number of \mathbb{F}_q -points of a smooth quartic curve $\{f_4(x, y) = 0\}$?

Question

Can we say statistical things about the coefficients of $W_{\text{RM}_q(2,4)}(X, Y)$?

The coefficients of $W_{\text{RM}_q(2,3)}(X, Y)$ have a symmetry that the coefficients of $W_{\text{RM}_q(2,4)}(X, Y)$ no longer have...

Rational Point Counts for Quartic Curves: Asymmetry

Definition

Let $N_q(t)$ be the number of \mathbb{F}_q -isomorphism classes of smooth (projective) plane quartics with $\#C(\mathbb{F}_q) = q + 1 - t$, each class weighted by $\frac{1}{\#\text{Aut}_{\mathbb{F}_q}(C)}$.

For $0 \leq t \leq 6\sqrt{q}$, let

$$\mathcal{V}_q(t) := N_q(t) - N_q(-t).$$

Not true that $N_q(t)$ must equal $N_q(-t)$.

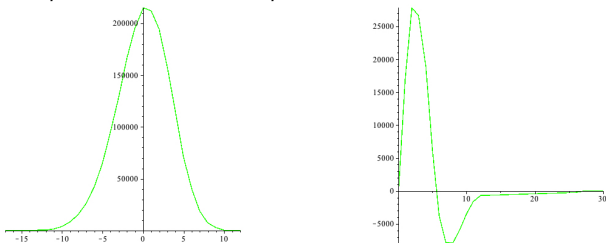


Figure: Graphs of $N_{11}(t)$ and $\mathcal{V}_{11}(t)$

See work of [Lercier](#), [Ritzenthaler](#), [Rovetta](#), [Sijtsling](#), and [Smith](#).

The Dual Code of a Linear Code

Definition

- 1 For $\begin{matrix} x=(x_1, \dots, x_n) \\ y=(y_1, \dots, y_n) \end{matrix} \in \mathbb{F}_q^n$ let $\langle x, y \rangle = \sum_{i=1}^n x_i y_i$.
- 2 For a linear code $C \subseteq \mathbb{F}_q^n$, the **dual code** is defined by

$$C^\perp = \{y \in \mathbb{F}_q^n \mid \langle x, y \rangle = 0 \forall x \in C\}.$$

Example

Let $C = \{(0, \dots, 0), (1, \dots, 1)\} \subset \mathbb{F}_2^n$.

Then $C^\perp = \{y \in \mathbb{F}_2^n \mid \text{wt}(y) \text{ is even}\}$.

We see that

$$W_C(X, Y) = X^n + Y^n,$$

and

$$W_{C^\perp}(X, Y) = \sum_{i=0}^{\lfloor \frac{n}{2} \rfloor} \binom{n}{2i} X^{n-2i} Y^{2i} = \frac{(X+Y)^n + (X-Y)^n}{2}.$$

Theorem (MacWilliams)

For a linear code $C \subseteq \mathbb{F}_q^n$

$$W_{C^\perp}(X, Y) = \frac{1}{|C|} W_C(X + (q-1)Y, X - Y).$$

○ One way to prove this involves discrete Poisson summation.

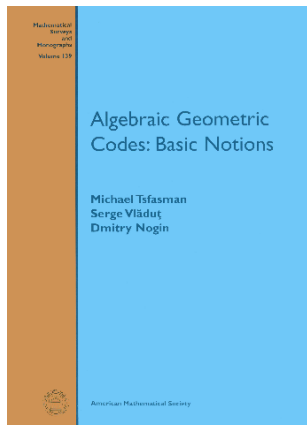
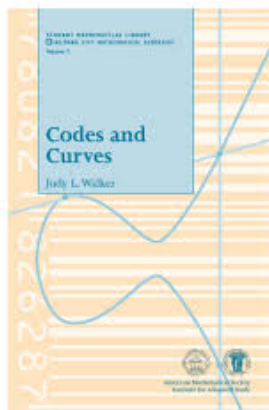
Idea: Study the weight enumerator of a code C by studying the weight enumerator of its dual code C^\perp .

V. What else is there?

Algebraic Geometry Codes

Idea: Take a vector space of polynomials V . Get a code by evaluating elements of V at some subset of points of \mathbb{F}_q^n .

o Number Theory \rightarrow Coding Theory. Construct 'good codes' from Riemann-Roch spaces of divisors of algebraic curves with many \mathbb{F}_q -points.



Suppose you have a good code $C \subseteq \mathbb{F}_q^n$.

Question

*How do you construct an **efficient** encoding/decoding scheme?*

Question

*I send you a message. You receive something that is not in the code.
How do you find the codeword closest to it?*