

# Sketch Notes — Rings and Fields

Neil Donaldson

Fall 2018

## Text

- *An Introduction to Abstract Algebra*, John Fraleigh, 7th Ed 2003, Addison–Wesley (optional).

## Brief reminder of groups

You should be familiar with the majority of what follows though there is a lot of time to remind yourself of the harder material! Try to complete the proofs of any results yourself.

**Definition.** A *binary structure*  $(G, \cdot)$  is a set  $G$  together with a function  $\cdot : G \times G \rightarrow G$ . We say that  $G$  is *closed* under  $\cdot$  and typically write  $\cdot$  as juxtaposition.<sup>1</sup>

A *semigroup* is an *associative* binary structure:

$$\forall x, y, z \in G, x(yz) = (xy)z$$

A *monoid* is a semigroup with an *identity element*:

$$\exists e \in G \text{ such that } \forall x \in G, ex = xe = x$$

A *group* is a monoid in which every element has an *inverse*:

$$\forall x \in G, \exists x^{-1} \in G \text{ such that } xx^{-1} = x^{-1}x = e$$

A binary structure is *commutative* if  $\forall x, y \in G, xy = yx$ . A group with a commutative structure is termed *abelian*.

A *subgroup*<sup>2</sup> is a non-empty subset  $H \subseteq G$  which remains a group *under the same binary operation*. We write  $H \leq G$ .

**Lemma.**  $H$  is a subgroup of  $G$  if and only if it is a non-empty subset of  $G$  closed under multiplication and inverses in  $G$ .

Standard examples of groups: sets of numbers under addition ( $\mathbb{Z}, \mathbb{Q}, \mathbb{R}, n\mathbb{Z}$ , etc.), matrix groups.

Standard families: cyclic, symmetric, alternating, dihedral.

---

<sup>1</sup>You should be comfortable with both multiplicative and additive notation.

<sup>2</sup>More generally any substructure.

## Cosets and Factor Groups

**Definition.** If  $H \leq G$  and  $g \in G$ , then the *left coset of  $H$  containing  $G$*  is the set

$$gH = \{gh : h \in H\}$$

Clearly  $k \in gH \iff \exists h \in H$  such that  $k = gh \iff k^{-1}g \in H$ .

The right coset  $Hg$  is defined similarly.

A subgroup  $H$  of  $G$  is *normal* (written  $H \triangleleft G$ ) if  $gH = Hg$  for all  $g \in G$ .

**Lemma.**  $H \triangleleft G \iff \forall g \in G, h \in H$  we have  $ghg^{-1} \in H$

**Theorem.** The set of (left) cosets of  $H \triangleleft G$  has a natural group structure defined by  $g_1H \cdot g_2H := (g_1g_2)H$ . We call this the factor group  $G/H$ .

**Definition.** For each  $n \in \mathbb{N}$ , we define  $\mathbb{Z}_n = \mathbb{Z}/n\mathbb{Z}$ .

## Homomorphisms

**Definition.** A function  $\phi : (G, \cdot) \rightarrow (H, \star)$  of binary structures is a *homomorphism* if

$$\forall x, y \in G, \quad \phi(x \cdot y) = \phi(x) \star \phi(y)$$

An *isomorphism* is a bijective homomorphism: we write  $G \cong H$  if there exists an isomorphism from  $G$  to  $H$ .

If  $\phi$  is a homomorphism of groups, then its *kernel* and *image* are the sets

$$\ker \phi = \{g \in G : \phi(g) = e\} \quad \text{Im } \phi = \{\phi(g) : g \in G\}$$

**Lemma.**  $\ker \phi \triangleleft G$ .

**Theorem** (1<sup>st</sup> isomorphism theorem). 1. If  $\phi : G \rightarrow H$  is a homomorphism, then  $G/\ker \phi \cong \text{Im } \phi$  via the isomorphism

$$\mu(gH) := \phi(g)$$

2. If  $H \triangleleft G$  then  $\gamma : G \rightarrow G/H$  defined by  $\gamma(g) = gH$  is a homomorphism, whence every factor group appears as in part 1.

**Example** Let  $\zeta = e^{\frac{2\pi i}{9}}$  and define

$$\phi : \mathbb{Z} \rightarrow \mathbb{C} : x \mapsto \zeta^x$$

This is a homomorphism with kernel  $\ker \phi = 9\mathbb{Z}$ : the 1<sup>st</sup> isomorphism theorem reads

$$\mathbb{Z}/9\mathbb{Z} \cong \text{Im } \phi = \{1, \zeta, \zeta^2, \dots, \zeta^8\}$$

which is the multiplicative group of 9<sup>th</sup> roots of unity.

## 18 Rings and Fields

**Definition 18.1.** A *ring* is a set  $R$  with two binary operations  $+$  and  $\cdot$  (always called addition and multiplication) for which:

1.  $(R, +)$  is an abelian group.
2.  $(R, \cdot)$  is a semigroup ( $R$  is closed under  $\cdot$  and  $\cdot$  is associative).
3. The *left and right distributive laws hold*:

$$\forall x, y, z \in R, x \cdot (y + z) = x \cdot y + x \cdot z \quad \text{and} \quad (x + y) \cdot z = x \cdot z + y \cdot z$$

A ring is simply an abelian group (axiom 1) with a bit of extra structure that making it behave similarly to the integers: we have a notion of multiplication (axiom 2) which interacts with addition (axiom 3) in the expected way. Rings often *feel* easier than groups because they behave so similarly to the familiar integers.

**Definition 18.2.** A ring  $(R, +, \cdot)$  is *commutative* if  $\cdot$  is commutative.

### Simple Examples

- Sets of numbers:  $\mathbb{Z}$ ,  $n\mathbb{Z}$ ,  $\mathbb{Q}$ ,  $\mathbb{R}$ ,  $\mathbb{C}$  with the usual addition and multiplication. These are all commutative rings.
- The set of polynomials  $R[x]$  whose coefficients lie in some ring  $R$ . The addition and multiplication are inherited from that of  $R$ . For instance, if  $R = \mathbb{Z}$ , then

$$(1 + 3x^2)(2x - 4x^2) = 2x - 4x^2 + 6x^3 - 12x^4$$

$R[x]$  will be commutative precisely when  $R$  is commutative. More generally, the set of functions  $f : R \rightarrow R$  also forms a ring using the addition and multiplication of elements in  $R$ .

- The set  $M_n(R)$  of  $n \times n$  matrices whose entries lie in a ring  $R$ . Typically  $M_n(R)$  is a non-commutative ring, regardless of whether  $R$  is commutative.
- The *quaternions* are the set

$$\mathcal{Q} = \{w + ix + jy + kz : w, x, y, z \in \mathbb{R}, i^2 = j^2 = k^2 = -1, ij = k \text{ etc.}\}$$

Think of this like a copy of  $\mathbb{R}^4$  with basis  $\{1, i, j, k\}$ . Addition is the usual addition in  $\mathbb{R}^4$ . Multiplication works as with the complex numbers:  $i, j$  and  $k$  act like three different copies of the imaginary unit  $i$ . Finally, distinct elements  $i, j, k$  multiply following the right-hand rule for cross-products:

$$ij = k = -ji, \quad jk = i = -kj, \quad ki = j = -ik$$

It is a little work to check that  $(\mathcal{Q}, \cdot)$  is associative. Since, e.g.,  $ij = -ji$ , we have a non-commutative ring.

- The *factor rings*  $\mathbb{Z}_n = \mathbb{Z}/n\mathbb{Z}$  are defined in the same manner as for groups: we will do this more formally later. It is perfectly acceptable to write

$$\mathbb{Z}_n = \{0, 1, \dots, n-1\}$$

as long as you appreciate that the symbol  $x$  refers to the equivalence class of integers

$$[x] = \{x + \lambda n : \lambda \in \mathbb{Z}\}$$

- A *direct product* of rings  $R_1 \times \dots \times R_k$  is defined exactly as for groups. For example, in the ring  $\mathbb{Z} \times M_2(\mathbb{R})$  we could write

$$\left(2, \begin{pmatrix} 2 & 1 \\ 3 & 2 \end{pmatrix}\right) \cdot \left(-3, \begin{pmatrix} 1 & -2 \\ 0 & 1 \end{pmatrix}\right) = \left(-6, \begin{pmatrix} 2 & -3 \\ 3 & -4 \end{pmatrix}\right)$$

### Non-examples of rings

- The natural numbers  $\mathbb{N}$  do not form an abelian group under addition.
- $M_{m \times n}(R)$  (if  $n \neq m$ ): multiplication is not well-defined.
- General vector spaces are not rings: there is no natural sense of product! You might suspect that  $(\mathbb{R}^3, +, \times)$  is a ring, where  $\times$  is the cross-product. However, observe that

$$\mathbf{i} \times (\mathbf{i} \times \mathbf{j}) = \mathbf{i} \times \mathbf{k} = -\mathbf{j} \neq \mathbf{0} = (\mathbf{i} \times \mathbf{i}) \times \mathbf{j}$$

The product is not associative! Non-associative algebras are extremely important (Lie Algebras rule parts geometry and of Physics) but they are *not* rings.

### Conventions

We've already started following some of these as the conventions are similar to those you are used to following from group theory.

- We will usually just say 'the ring  $R$ ,' rather than  $(R, +, \cdot)$ , unless the operations are not clear.
- You should assume that  $R$  is a ring unless otherwise stated: e.g.  $\mathbb{Z}, \mathbb{Z}_n$ , etc., are always *rings* in this course. If we need to refer to the *additive group of a ring*, we will write  $(R, +)$ .
- Since  $(R, +)$  is an abelian group, it is typical to denote the *additive identity* by 0. Thus,<sup>3</sup>

$$\forall x \in R, 0 + x = x$$

We similarly denote *additive inverses* using negatives:

$$\forall x \in R, x + (-x) = 0$$

---

<sup>3</sup>We only need one side of the identity axiom:  $x + 0 = x$  is superfluous since  $+$  is commutative.

- Use juxtaposition and exponentiation notation for multiplication unless the dot is helpful: thus

$$x \cdot x \cdot x = xxx = x^3$$

- If  $n$  is a positive integer and  $x \in R$ , we will write

$$n \cdot x = \underbrace{x + \cdots + x}_{n \text{ times}}$$

This requires a little care: if  $R$  is any ring and  $x \in R$ , we can always write, for instance

$$3 \cdot x = x + x + x$$

In the special case where  $3 \in R$  (say if  $R = \mathbb{Z}$ ), then  $3 \cdot x = 3x$  since we really can multiply 3 by  $x$  within  $R$ . In general, however, this makes no sense: for example  $3x$  is meaningless within the ring  $2\mathbb{Z}$  of even integers, since  $3 \notin 2\mathbb{Z}$ .

**Basic Results** The basic theorems regarding groups necessarily hold: we state these without proof.

**Lemma 18.3.** *If  $(R, +, \cdot)$  is a ring, then the additive identity 0 and additive inverses are unique. Moreover, the left- and right-cancellation laws hold:*

$$x + y = x + z \implies y = z, \quad \text{and} \quad x + z = y + z \implies x = y$$

The first genuine results concerning rings involve the interaction of the additive identity with multiplication: essentially this first theorem tells us that 0 and negative signs behave exactly as we expect.

**Theorem 18.4 (Laws of Signs).** *Let  $R$  be a ring:*

1.  $\forall x \in R, 0x = x0 = 0$
2.  $\forall x, y \in R, x(-y) = (-x)y = -xy$
3.  $\forall x, y \in R, (-x)(-y) = xy$

*Proof.* 1. Since  $(R, +)$  is an additive group, we have  $0 = 0 + 0$ . Multiplying on the right by  $x$  and applying a distributive law yields

$$0x = (0 + 0)x = 0x + 0x$$

Cancelling  $0x$  from both sides (Lemma 18.3) gives half the result; the remainder follows symmetrically.

2. Apply the distributive law to compute

$$(xy) + (-x)y = (x + (-x))y = 0y = 0 \implies -(xy) = (-x)y$$

The other version of this is similar.

3. Finally, we apply the first and second results repeatedly:

$$(-x)(-y) = -(x(-y)) = -(-(xy)) = xy$$

■

## Further multiplicative structure

Most commonly, we will consider rings where multiplication has more than simple associativity.

**Definition 18.5.** A ring  $R$  is a *ring with 1*, or a *ring with unity*, if  $(R, \cdot)$  is a *monoid* (an associative binary structure with an identity). In such a case the<sup>4</sup> *unity*, or *multiplicative identity*, is abstractly denoted 1.

If  $R$  is a ring with unity  $1 \neq 0$ , then an element  $x \in R$  is a *unit* if it has a multiplicative inverse:

$$x \text{ a unit} \iff \exists x^{-1} \in R \text{ such that } xx^{-1} = x^{-1}x = 1$$

A ring with unity  $1 \neq 0$  is a *division ring* or *skew field* is a ring with unity in which every non-zero element is a unit.

A *field* is a commutative division ring.

To a great many authors ‘ring’ means ‘ring with unity  $1 \neq 0$ ’: this assumption is made so often that it is easy to miss and guarantees that the ring has at least two elements. It is common to refer to a ring *without* unity as a *rng* (no *l*!), a *pseudo-ring* or a *non-unital ring* if clarity is required. For our purposes, a ring may or may not have a unity: when it does, we will make the standard assumption that  $1 \neq 0$ .

## Examples

- $\mathbb{Z}$  is a commutative ring with unity. The only units are  $\pm 1$ .
- $n\mathbb{Z}$  has no identity if  $n \geq 2$  and thus no units.
- $\mathbb{Q}, \mathbb{R}$  and  $\mathbb{C}$  are fields.
- If  $R$  is a ring with unity, then so is  $R[x]$ : the multiplicative identity is the constant polynomial 1. The set of functions  $\{f : R \rightarrow R\}$  behaves similarly.
- $M_n(R)$  is a ring with unity if  $R$  is such: the *identity matrix* is exactly as you expect.
- The quaternions form a *non-commutative division ring*. To see this, note that we can define a *modulus* exactly as with complex numbers:

$$|q|^2 := q\bar{q} = (w + ix + jy + kz)(w - ix - jy - kz) = w^2 + x^2 + y^2 + z^2$$

$$\text{Clearly } |q| = 0 \iff q = 0, \text{ and } q^{-1} = \frac{\bar{q}}{|q|^2}.$$

It is worth recalling some elementary number theory for our next result:

**Theorem 18.6.**  $x \in \mathbb{Z}_n$  is a unit if and only if  $\gcd(x, n) = 1$ . Thus  $\mathbb{Z}_n$  is a field if and only if  $n$  is prime.

*Proof.* Recall Bézout’s identity:

$$\gcd(x, n) = 1 \iff \exists \lambda, \mu \in \mathbb{Z} \text{ such that } \lambda x + \mu n = 1$$

It should be clear that  $\lambda$  is an inverse to  $x$  in  $\mathbb{Z}_n$ . ■

---

<sup>4</sup>The definite article is appropriate here. The proof that the identity is unique in a group only requires closure, thus a ring with unity has *only one* unity! Explicitly, if 1 and  $\hat{1}$  are unities, then  $1 \cdot \hat{1}$  must both be 1 and  $\hat{1}$ ...

**Theorem 18.7.** *If  $R$  is a ring with unity, then the set of units  $U \subseteq R$  forms a group under multiplication.*

*Proof.* If  $u, v \in U$ , quickly check that  $v^{-1}u^{-1}$  is an inverse of  $uv$ , whence  $U$  is closed under multiplication. The associativity, identity and inverse axioms are essentially trivial. ■

The set of units is often denoted  $R^\times$ : for example,

$$\mathbb{Z}_{10}^\times = \{1, 3, 7, 9\}$$

Notice that 3 is a generator of this group ( $\langle 3 \rangle = \{3, 9, 7, 1\}$ ) and so  $\mathbb{Z}_{10}^\times \cong \mathbb{Z}_4$  is cyclic.<sup>5</sup>

## Homomorphisms and Isomorphisms

At first glance these work exactly as for groups. The first novelty is that they must preserve *both* binary structures:

**Definition 18.8.** Let  $R, S$  be rings. A function  $\phi : R \rightarrow S$  is a homomorphism if

$$\forall x, y \in R, \begin{cases} \phi(x + y) = \phi(x) + \phi(y) \\ \phi(xy) = \phi(x)\phi(y) \end{cases}$$

Additionally,  $\phi$  is an *isomorphism* if it is bijective. We write  $R \cong S$  exactly as with isomorphic groups. It should be clear that  $\phi : (R, +) \rightarrow (S, +)$  is automatically a homo/isomorphism of *groups*.

One delicacy<sup>6</sup> is that, if *both*  $R, S$  are rings with unity, then it is common to additionally assume  $\phi(1_R) = 1_S$ . This is *not guaranteed!* For example,

$$\phi : \mathbb{Z} \rightarrow \mathbb{Z} : x \mapsto 0$$

is a homomorphism, although it is extremely boring. Indeed, suppose that  $\psi : \mathbb{Z} \rightarrow \mathbb{Z}$  is a homomorphism and compute

$$\psi(1) = \psi(1 \cdot 1) = (\psi(1))^2 \implies \psi(1) = 0 \text{ or } 1$$

Since we also require

$$\forall x \in \mathbb{Z}^+, \psi(x) = \psi(1 + 1 + \cdots + 1) = \psi(1) + \cdots + \psi(1) = x \cdot \psi(1)$$

and similarly for negative numbers, it follows that the *only* ring homomorphisms  $\psi : \mathbb{Z} \rightarrow \mathbb{Z}$  are

$$\psi(x) = 0 \text{ or } \psi(x) = x$$

This is much more restrictive than with groups.<sup>7</sup> Some of this discussion is worth generalizing:

**Theorem 18.9.** *Suppose  $\phi : R \rightarrow S$  is a homomorphism and  $R$  is a ring with unity. If  $n \in \mathbb{Z}$ , then*

$$\phi(n) = n \cdot \phi(1)$$

*In the general context when  $R$  does not contain integers,  $n = \underbrace{1 + \cdots + 1}_{n \text{ times}}$ .*

<sup>5</sup>In number theory, the generator 3 is called a *primitive root* modulo 10. Not all  $n$  have primitive roots: indeed the group of units is rarely cyclic.

<sup>6</sup>See the comment on non-unital rings on the previous page.

<sup>7</sup>Recall that  $\phi(x) = kx$  defines a *group* homomorphism  $\phi : \mathbb{Z} \rightarrow \mathbb{Z}$  for any  $k \in \mathbb{Z}$ .

*Proof.* If  $n = 0$ , this is simply the group theoretic result that  $\phi(0_R) = 0_S$ : recall,

$$\phi(0_R) = \phi(0_R + 0_R) = \phi(0_R) + \phi(0_R) \implies \phi(0_R) = 0_S$$

by cancellation. When  $n \geq 1$  this is simple induction on  $n$ . Finally, when  $n \leq -1$  the fact that  $\phi(-n) = -\phi(n)$  (basic group theory again) finishes things off. ■

We are now in a position to extend our discussion of direct products of finite cyclic groups.

**Corollary 18.10.**  $\mathbb{Z}_{mn} \cong \mathbb{Z}_m \times \mathbb{Z}_n \iff \gcd(m, n) = 1$

*Proof.* Note that the result is already true for *additive groups*,<sup>8</sup> where we observed that  $(1, 1)$  is a generator of  $\mathbb{Z}_m \times \mathbb{Z}_n$  whenever  $\gcd(m, n) = 1$ . This corresponds to the function

$$\phi : \mathbb{Z}_{mn} \rightarrow \mathbb{Z}_m \times \mathbb{Z}_n : x \mapsto (x, x)$$

being an *isomorphism*. It remains only to see that  $\phi$  is also an isomorphism of *rings*. But this is trivial:

$$\phi(xy) = (xy, xy) = (x, x) \cdot (y, y) = \phi(x) \cdot \phi(y)$$

**Example** Find all isomorphisms  $\phi : \mathbb{Z}_{12} \rightarrow \mathbb{Z}_3 \times \mathbb{Z}_4$ .

Let  $\phi(1) = (a, b)$ : since  $\phi$  is to be a homomorphism of additive groups, we see that

$$\phi(x) = x \cdot \phi(1) = (ax, bx)$$

To be an additive isomorphism, we need the order of  $(a, b)$  to be 12, whence  $\gcd(a, 3) = 1 = \gcd(b, 4)$ . There are *four* group isomorphisms  $\phi : \mathbb{Z}_{12} \rightarrow \mathbb{Z}_3 \times \mathbb{Z}_4$ , corresponding to the generators

$$(a, b) = (1, 1), (1, 3), (2, 1), (2, 3)$$

To be a ring homomorphism, we also require

$$\phi(xy) = (axy, bxy) = (a^2xy, b^2xy) = (ax, bx) \cdot (ay, by) = \phi(x) \cdot \phi(y)$$

for *all*  $x, y$ . This clearly requires  $a^2 \equiv a \pmod{3}$  and  $b^2 \equiv b \pmod{4}$ . Of the above choices, only  $(a, b) = (1, 1)$  works. There is therefore exactly *one* ring isomorphism.

The above can be generalized: Suppose that  $\gcd(m, n) = 1$  so that  $\mathbb{Z}_{mn} \cong \mathbb{Z}_m \times \mathbb{Z}_n$

- Every group isomorphism  $\phi : \mathbb{Z}_{mn} \rightarrow \mathbb{Z}_m \times \mathbb{Z}_n$  has the form  $\phi(x) = (ax, bx)$  where  $\gcd(a, m) = 1 = \gcd(b, n)$ , so that  $a \in \mathbb{Z}_m^\times$  and  $b \in \mathbb{Z}_n^\times$  are both units.

---

<sup>8</sup>It also follows from the previous result. If  $\phi : \mathbb{Z}_{mn} \rightarrow \mathbb{Z}_m \times \mathbb{Z}_n$  is a homomorphism, then  $\phi(x) = x \cdot \phi(1)$ . Letting  $\phi(1) = (a, b)$ , we see that  $\phi(x) = (ax, bx)$ . However

$$(0, 0) = \phi(x) = (ax, bx) \iff \begin{cases} ax \equiv 0 \pmod{m} \\ bx \equiv 0 \pmod{n} \end{cases}$$

$\phi$  is surjective only if  $x = mn$  is the *smallest* positive integer satisfying the above. But this is if and only if  $\gcd(a, m) = 1 = \gcd(b, n) = \gcd(m, n)$ .



- Every *ring isomorphism* must be a group isomorphism and additionally satisfy

$$a^2 \equiv a \pmod{m} \quad \text{and} \quad b^2 \equiv b \pmod{n}$$

Since  $a, b$  are already units, it follows that the *only* possibility is to have  $a = 1$  and  $b = 1$ . There is *always only one isomorphism!*

Indeed this is a special case of a useful theorem.

**Theorem 18.11.** *Having a unity is a structural property. Specifically, suppose that  $\phi : R \rightarrow S$  is a ring isomorphism (or merely a surjective ring homomorphism) and that  $R$  is a ring with unity. Then  $S$  is a ring with unity and  $1_S = \phi(1_R)$ .*

*Proof.* For all  $x \in R$ , we have

$$\phi(x) = \phi(1_R x) = \phi(1_R)\phi(x)$$

and similarly  $\phi(x) = \phi(x)\phi(1_R)$ . Since  $\phi$  is surjective, it follows that  $\phi(1_R)y = y = y\phi(1_R)$  for all  $y \in S$ . ■

In particular, if  $\phi : R \rightarrow S$  is a surjective homomorphism where the group  $(R, +)$  is cyclic, then  $\phi(x) = x \cdot 1_S$ . In our previous example, we are forced to take  $\phi(1) = (1, 1)$  (the unity in  $\mathbb{Z}_m \times \mathbb{Z}_n$ ), whence  $\phi(x) = (x, x)$ .

## Subrings

Just as with groups, we can define substructures.

**Definition.** Let  $(R, +, \cdot)$  be a ring. A subset  $S$  is a *subring* of  $R$  if  $(S, +, \cdot)$  is a ring. We have a similar notion for *subfield*.

Since every subring of  $R$  is necessarily a subgroup of  $(R, +)$ , we can start hunting for subrings by first considering subgroups.

## Examples

1. Subrings of  $\mathbb{Z}$ . Every subgroup has the form  $n\mathbb{Z}$  for some  $n \in \mathbb{N}_0$ . Since the set of multiples of  $n$  is closed under multiplication, these are also subrings.

In contrast to group theory, note that  $\mathbb{Z} \not\cong n\mathbb{Z}$  when  $n \neq 1$ . If we had an isomorphism,  $\phi : \mathbb{Z} \rightarrow n\mathbb{Z}$  then it must also be an isomorphism of groups, whence  $\phi(1)$  would have to be a generator: the only options are  $\phi(1) = \pm n \implies \phi(x) = \pm nx$ . But for this to be a ring isomorphism, we'd need

$$\forall x, y \in \mathbb{Z}, \phi(xy) = \phi(x)\phi(y) \implies \pm nxy = nxny$$

a contradiction.

2. A similar game can be played in  $\mathbb{Z}_n$ . Every subgroup of  $(\mathbb{Z}_n, +)$  has the form  $\langle d \rangle = \{kd : k \in \mathbb{Z}\}$  where  $d \mid n$ . Since  $(kd)(ld)$  is still a multiple of  $d$ , the subset  $\langle d \rangle$  is closed under multiplication and is thus a subring. The subrings of  $\mathbb{Z}_n$  are therefore precisely the subgroups of  $\mathbb{Z}_n$ .

3. Warning! In general, not all subgroups of  $(R, +)$  are going to be *subrings* of  $R$ . Take, for example,  $\langle(1,2)\rangle \leq \mathbb{Z} \times \mathbb{Z}$  as the cyclic subgroup generated by  $(1,2)$ . This is not a subring of  $\mathbb{Z} \times \mathbb{Z}$  since it is not closed under multiplication:

$$(1,2) \cdot (1,2) = (1,4) \notin \langle(1,2)\rangle$$

## 19 Integral Domains

The ability to factorize is of crucial importance in mathematics. For instance,

$$x^2 = x \iff x^2 - x = 0 \iff x(x-1) = 0 \iff x = 0, \text{ or } 1 \quad (*)$$

This calculation should feel completely natural, but is it *always* legitimate? Certainly we feel confident if  $x$  is restricted to the real or complex numbers. What about if  $x \in \mathbb{Z}_n$  for some  $n$ ? We can easily find all the solutions to  $x^2 \equiv x \pmod n$  for all small  $n$  by inspection: here is what we find.

$n$	solutions $x$ to $x^2 \equiv x$
2	0, 1
3	0, 1
4	0, 1
5	0, 1
6	0, 1, 3, 4
7	0, 1
8	0, 1
9	0, 1
10	0, 1, 5, 6

While the solutions are usually as expected, when  $n = 6$  or  $10$  we have extras! Indeed the extra solutions correspond to alternative factorizations: for example

$$(x-5)(x-6) \equiv x^2 - 11x + 30 \equiv x^2 - x \pmod{10}$$

With a little thinking, it should become clear that we will never have this problem of multiple factorizations when  $n$  is a *prime*:<sup>9</sup> consider

$$x(x-1) \equiv 0 \pmod p \iff p \mid x(x-1) \iff p \mid x \text{ or } p \mid x-1 \iff x \equiv 0, 1 \pmod p$$

This is because it is impossible to have non-zero remainders multiplying to give 0. We make a general definition.

**Definition 19.1.** If  $a, b \in R$  are non-zero elements for which  $ab = 0$ , we say that  $a, b$  are *zero-divisors*.

For example,  $2 \cdot 5 = 0 \in \mathbb{Z}_{10}$ ,  $2 \cdot 3 = 0 \in \mathbb{Z}_6$ ,  $\begin{pmatrix} 1 & 1 \\ 3 & 3 \end{pmatrix} \begin{pmatrix} 1 & -2 \\ -1 & 2 \end{pmatrix} = 0 \in M_2(\mathbb{R})$ .

**Definition 19.2.** An *integral domain* is a commutative ring with unity which has no zero-divisors.

The most obvious example of an integral domain is the integers themselves! Clearly  $ab = 0 \implies a = 0$  or  $b = 0$ . This is true for any of the standard rings of numbers:  $\mathbb{Z}, \mathbb{Q}, \mathbb{R}, \mathbb{C}$ . Indeed:

<sup>9</sup>Recall that  $p \in \mathbb{N}_{\geq 2}$  is *prime* if  $p \mid ab \implies p \mid a$  or  $p \mid b$ .

**Theorem 19.3.** *Every field is an integral domain.*

*Proof.* Every field is a commutative ring with unity. Moreover, if  $a$  is a zero-divisor, then it is a non-zero element and thus a unit. Clearly

$$ab = 0 \implies b = 0$$

after multiplying by  $a^{-1}$  on the left (this is precisely the argument (†) above. But then  $a$  is not a zero-divisor! ■

With finite domains, things are also very straightforward:

**Lemma 19.4** (Cancellation laws). *If  $R$  is a ring without zero divisors<sup>10</sup> then*

$$\forall a \neq 0, \forall b, c, \quad ab = ac \implies b = c \quad \text{and} \quad ba = ca \implies b = c$$

*Proof.*  $ab = ac \implies a(b - c) = 0 \implies a = 0$  or  $b - c = 0$ . Since  $a \neq 0$  we conclude that  $b = c$ . ■

**Theorem 19.5.** *Every finite integral domain is a field.*

*Proof.* Suppose that  $R$  is a finite integral domain and let  $a \in R$  be non-zero. Consider the function  $f : R \rightarrow R$  defined by

$$f(x) = ax$$

By the cancellation laws,

$$f(x) = f(y) \implies ax = ay \implies x = y$$

whence  $f$  is injective. Since  $R$  is finite, it follows that  $f$  is bijective. But then  $\exists b \in R$  such that  $f(b) = 1$ . Otherwise said,  $ab = 1$  and so  $a$  is a unit. Since all non-zero elements are units, we have a field. ■

Note where we needed the finiteness of  $R$  in order to drive the proof. The obvious counter-example of  $R = \mathbb{Z}$  shows that an infinite integral domain need not be a field. Indeed, in such a case, the function  $f : \mathbb{Z} \rightarrow \mathbb{Z} : x \mapsto ax$  is injective, but is only surjective when  $a = \pm 1$  is a unit.

**Corollary 19.6.**  $\mathbb{Z}_n$  is an integral domain if and only if  $n$  is prime. Indeed  $a \in \mathbb{Z}_n$  is a zero-divisor if and only if  $\gcd(a, n) \neq 1$ .

## Factorizing polynomials

One of the upshots of this discussion is that one can factorize polynomials normally, even when working in  $\mathbb{Z}_n$ , but *only* if  $n$  is prime! We shall return to a formal discussion of polynomial rings later. For now, consider an example where  $F = \mathbb{Z}_7$ .

Given  $3x^3 - 3x^2 + x - 1 = 0 \in \mathbb{Z}_7$ , we start by trying solutions. Quickly we see that  $x = 1$  works. Factorizing by  $x - 1$  we obtain

$$3x^3 - 3x^2 + x - 1 = (x - 1)(3x^2 + 1)$$

---

<sup>10</sup> $R$  need not be an integral domain, it could be non-commutative and might have no unity.

Keeping going:  $x = 3$  solves  $3x^2 + 1 = 0$ , whence

$$3x^3 - 3x^2 + x - 1 = (x - 1)(x - 3)(3x + 9) = 3(x - 1)(x - 3)(x + 3)$$

We've obtained a complete factorization. Even though we found this by guessing solutions, the fact that  $\mathbb{Z}_7$  is an integral domain means that the only solutions arise from one of these factors being zero: the solutions are precisely  $x = 1, 3, 4 \in \mathbb{Z}_7$ .

Of course, we could simply have tried every element of  $\mathbb{Z}_7$ , so the method isn't very efficient when the ring is small.

What about solving equations in  $\mathbb{Z}_n$  when  $n$  is composite? If  $n = p_1^{\mu_1} \cdots p_k^{\mu_k}$  is the unique prime decomposition, then

$$f(x) \equiv 0 \pmod{n} \iff \forall i, f(x) \equiv 0 \pmod{p_i^{\mu_i}} \implies \forall i, f(x) \equiv 0 \pmod{p_i}$$

We can therefore start by breaking things up into individual primes. For example, to solve

$$x^3 + x^2 - 2 = 0 \in \mathbb{Z}_{18}$$

we first solve in  $\mathbb{Z}_2$  and  $\mathbb{Z}_3$ . Thus

$$x^3 + x^2 = x^2(x + 1) = 0 \implies x = 0, 1 \in \mathbb{Z}_2$$

and

$$x^3 + x^2 - 2 = (x - 1)(x^2 + 2x + 2) = 0 \implies x = 1 \in \mathbb{Z}_3$$

Now extend to  $\mathbb{Z}_9$ : by the previous calculation we try  $x = 1, 4$  and  $7$ , of which only  $x = 1$  works. It follows that  $x = 0, 1 \in \mathbb{Z}_2$  and  $x = 1 \in \mathbb{Z}_9$ . Together these yield the solutions  $x = 1, 10 \in \mathbb{Z}_{18}$ .

## Characteristics

**Definition 19.7.** Let  $R$  be a ring. Its *characteristic*  $\text{char}(R)$  is the smallest positive integer  $n$  such that

$$\forall a \in R, n \cdot a = \underbrace{a + \cdots + a}_{n \text{ times}} = 0$$

If no such  $n$  exists, we say the ring has characteristic zero.

## Examples

1. It should be clear that  $\text{char}(\mathbb{Z}_n) = n$ . Certainly

$$\forall a, n \cdot a = na = 0 \in \mathbb{Z}_n$$

Moreover,  $n$  is the least such number, since  $k \cdot 1 = 0 \iff n \mid k$ .

2. In the infinite rings  $\mathbb{Z}, \mathbb{Q}, \mathbb{R}, \mathbb{C}$  we have  $k \cdot 1 = k$  which is never zero, whence these rings have characteristic zero.

The focus on what happens to 1 really is the whole story (at least in rings with unity!).

**Theorem 19.8.** *Suppose that  $R$  is a ring with unity. If  $n \in \mathbb{N}$  is the least number such that  $n \cdot 1 = 0$ , then  $n$  is the characteristic of  $R$ . Otherwise said,  $\text{char}(R)$  is the order of the cyclic subgroup  $\langle 1 \rangle \leq (R, +)$  generated by 1.*

*Proof.* Observe that

$$n \cdot a = a + \cdots + a = a(1 + \cdots + 1) = a(n \cdot 1)$$

Thus  $n \cdot 1 = 0 \iff n \cdot a = 0$  for all  $a \in R$ . ■

### Further Examples of Characteristics

1. The characteristic of  $\mathbb{Z}_{15} \times \mathbb{Z}_{20}$  is the order of  $(1, 1)$ : this is  $\text{lcm}(15, 20) = 60$ .
2. If  $R$  is a commutative ring with characteristic 3, then

$$(a + b)^3 = a^3 + 3 \cdot a^2b + 3 \cdot ab^2 + b^3 = a^3 + b^3$$

3. Let  $R$  be a ring and  $M(R)$  be the set of  $3 \times 3$  matrices with entries in  $R$  and whose first column is zero. This is a non-unital ring, even if  $R$  has a unity. Its characteristic is the same as that of  $R$ .

## 20 Fermat's and Euler's Theorems

Recall Theorem 18.7: If  $R$  is a ring with unity, then the set of units in  $R$  forms a *group under multiplication*. Applying this to  $\mathbb{Z}_n$  recovers a famous discussion.

**Definition 20.1.** Let  $\varphi(n) = |\mathbb{Z}_n^\times|$  denote the order of the group of units in  $\mathbb{Z}_n$ . The function  $\varphi : \mathbb{N} \rightarrow \mathbb{N}$  is called *Euler's totient function*.

**Theorem 20.2** (Euler's Theorem). *If  $a \in \mathbb{Z}_n^\times$  is a unit, then  $a^{\varphi(n)} \equiv 1 \pmod n$ .*

*Proof.* By Lagrange's Theorem, the order  $k$  of an element  $a$  divides the order of the group  $\varphi(n)$ . Thus  $k = \frac{\varphi(n)}{d}$  for some  $d$ . But then

$$a^{\varphi(n)} \equiv \left(a^k\right)^d \equiv 1^d \equiv 1 \pmod n$$
■

This result is known as *Fermat's Little Theorem* if  $n$  is prime (then  $\mathbb{Z}_p^\times$  has order  $\varphi(p) = p - 1$ ). The theorems of Fermat and Euler, and the function  $\varphi$ , have many applications, particularly in Number Theory. Here are a few highlights.

**Theorem 20.3** (Computing  $\varphi$ ). *1. If  $p$  is prime, then  $\varphi(p^k) = p^{k-1}(p - 1) = p^k \left(1 - \frac{1}{p}\right)$ .*

2. *Euler's function is multiplicative: that is,*

$$\text{gcd}(m, n) = 1 \implies \varphi(mn) = \varphi(m)\varphi(n)$$

3. For any positive integer  $n \geq 2$ ,

$$\varphi(n) = n \prod_{p|n} \left(1 - \frac{1}{p}\right)$$

*Sketch Proof.* 1. An element of  $\mathbb{Z}_{p^k}$  is relatively prime to  $p^k$  if and only if it is *not* divisible by  $p$ . The remainders divisible by  $p$  all have the form

$$sp \quad \text{where } s \in \{0, 1, 2, \dots, p^{k-1} - 1\}$$

In particular, there are  $p^{k-1}$  remainders divisible by  $p$ , and so  $\varphi(p^k) = p^k - p^{k-1}$  remainders which are not.

2. Take a course in number theory if you want to see a full argument for this! Here is an easy special case. Suppose  $p \neq q$  are distinct primes. If  $x \in \mathbb{Z}_{pq}$  is non-zero, then  $\gcd(x, pq) = 1, p$  or  $q$ . It should be clear that

$$\begin{aligned} \gcd(x, pq) = p &\iff x = sp \quad \text{where } s \in \{1, 2, \dots, q-1\} \quad \text{and,} \\ \gcd(x, pq) = q &\iff x = tq \quad \text{where } t \in \{1, 2, \dots, p-1\} \end{aligned}$$

and that there is no overlap between these lists. Since  $0 \in \mathbb{Z}_{pq}$  is not a unit, it follows that the number of non-units in  $\mathbb{Z}_{pq}$  is

$$\varphi(pq) = pq - p - q + 1 = (p-1)(q-1)$$

3. This follows immediately from 1 and 2: given the unique prime factorization

$$n = p_1^{\mu_1} \cdots p_k^{\mu_k} \implies \varphi(n) = \prod_{i=1}^k \varphi(p_i^{\mu_i}) = \prod_{i=1}^k p_i^{\mu_i} \left(1 - \frac{1}{p_i}\right) = n \prod_{p|n} \left(1 - \frac{1}{p}\right) \quad \blacksquare$$

**Example: computing large powers** To compute  $197^{2018} \in \mathbb{Z}_{200}$ , note first that  $\varphi(200) = 200 \cdot \frac{1}{2} \cdot \frac{4}{5} = 80$  and that  $\gcd(197, 200) = 1$ , so that 197 is a unit. But then Euler's Theorem tells us that

$$197^{2018} = 197^{80 \cdot 25 + 18} = (197^{80})^{25} \cdot 197^{18} = 3^{18}$$

A little computation shows that  $3^3 = 27$ ,  $3^6 = 129$ ,  $3^{12} = 41$ ,  $3^{18} = 89$  whence  $197^{2018} = 89 \in \mathbb{Z}_{200}$ .

**Example: solving congruences** To solve a congruence such as  $x^7 \equiv 5 \pmod{33}$  we could laboriously check for *every* value of  $x \in \mathbb{Z}_{33}$ . Instead, suppose a solution  $x$  exists and let  $d = \gcd(x, 33)$ . Then  $d \mid x^7$ , and so  $d \mid 5$ . But this means that  $d$  is a common divisor of 5 and 33:  $d$  must be 1! It follows that any possible solution is a *unit*.

To apply Euler's Theorem requires a little trick: we want to raise both sides of the original equation to a power  $u$  such that  $7u \equiv 1 \pmod{\varphi(33)}$ . That is,

$$7u \equiv 1 \pmod{20} \implies 21u \equiv 3 \pmod{20} \implies u \equiv 3 \pmod{20}$$

Now apply Euler's Theorem:

$$x^7 \equiv 5 \implies x^{21} \equiv 5^3 \implies x \equiv 5^3 \equiv 25 \cdot 5 \equiv -8 \cdot 5 \equiv 26 \pmod{33}$$

## The structure of the group of units: non-examinable/open-book

The group of units in the ring  $\mathbb{Z}_n$  is somewhat complicated: in general it can be quite difficult to identify the group structure. We do have the following result, which we state without proof.

**Theorem 20.4.**  $\mathbb{Z}_n^\times$  is cyclic if and only if  $n = 2, 4, p^k$  or  $2p^k$  where  $p$  is an odd prime.

**Definition 20.5.** If  $\mathbb{Z}_n^\times$  is cyclic, any generator is termed a *primitive root modulo  $n$* .

**Corollary 20.6.** If  $g$  is a primitive root modulo  $n$ , then  $g^s$  is a primitive root if and only if  $\gcd(\varphi(n), s) = 1$ . In particular, there are  $\varphi(\varphi(n))$  primitive roots.

*Proof.* This is immediate from our knowledge of subgroups of cyclic groups. If  $g$  is a primitive root modulo  $n$ , then  $\mathbb{Z}_n^\times = \langle g \rangle$ . The cyclic subgroup  $\langle g^s \rangle$  has order  $\frac{\varphi(n)}{\gcd(\varphi(n), s)}$ . ■

### Examples

1.  $n = 14$  has a primitive root, namely  $g = 3$ . We check

$$\langle 3 \rangle = \{3, 9, 13, 11, 5, 1\} = \mathbb{Z}_{14}^\times$$

There are  $\varphi(\varphi(14)) = \varphi(6) = 2$  primitive roots, the other being 5. The group of units is isomorphic to  $\mathbb{Z}_6$ .

2.  $\mathbb{Z}_8^\times = \{1, 3, 5, 7\}$  is not cyclic. Since every element is its own inverse, we conclude that  $\mathbb{Z}_8^\times \cong \mathbb{Z}_2 \times \mathbb{Z}_2$  is isomorphic to the Klein 4-group.

Finally, recall Corollary 18.10, if  $\gcd(m, n) = 1$ , then  $\mathbb{Z}_{mn} \cong \mathbb{Z}_m \times \mathbb{Z}_n$  is a ring isomorphism and so units correspond. Moreover  $(x, y) \in \mathbb{Z}_m \times \mathbb{Z}_n$  is a unit if and only if  $x \in \mathbb{Z}_m^\times$  and  $y \in \mathbb{Z}_n^\times$  (its inverse is  $(x, y)^{-1} = (x^{-1}, y^{-1})$ ). We can easily generalize:

**Corollary 20.7.** If  $n = p_1^{\mu_1} \times \cdots \times p_k^{\mu_k}$  is the unique prime factorization of  $n$ , then

$$\mathbb{Z}_n \cong \mathbb{Z}_{p_1^{\mu_1}} \times \cdots \times \mathbb{Z}_{p_k^{\mu_k}}$$

is a ring isomorphism and

$$\mathbb{Z}_n^\times \cong \mathbb{Z}_{p_1^{\mu_1}}^\times \times \cdots \times \mathbb{Z}_{p_k^{\mu_k}}^\times$$

is a group isomorphism.

**Example**  $\mathbb{Z}_{65}^\times$  has order  $\varphi(65) = 65 \cdot \frac{4}{5} \cdot \frac{12}{13} = 48$ . By the Corollary,

$$\mathbb{Z}_{65}^\times = \mathbb{Z}_{5 \cdot 13}^\times \cong \mathbb{Z}_5^\times \times \mathbb{Z}_{13}^\times \cong \mathbb{Z}_4 \times \mathbb{Z}_{12}$$

since both 5 and 13 are prime.

## 21 The Field of Quotients of an Integral Domain

A *field* is the closest one can get to having a set  $(F, +, \cdot)$  which is an abelian group with respect to *two distinct distributing operations*: we always have to exclude at least 0 from the multiplicative group structure. Fields, and the ability to divide, are so useful that it is helpful to be able to embed any integral domain in a field. Essentially we wish to do the following:

Given an integral domain  $D$ , find the *smallest field*  $F$  such that  $D$  is isomorphic to a subdomain of  $F$ .

Here is the approach whereby we construct  $\mathbb{Q}$  from  $\mathbb{Z}$ . This is lengthy, but worth the read!

1. Define a relation  $\sim$  on  $S := \mathbb{Z} \times (\mathbb{Z} \setminus \{0\})$  by

$$(a, b) \sim (c, d) \iff ad = bc$$

2. Prove that  $\sim$  is an equivalence relation on  $S$ :

*Reflexivity*  $\forall (a, b) \in S, ab = ba \implies (a, b) \sim (a, b)$ .

*Symmetry*  $\forall (a, b), (c, d) \in S,$

$$(a, b) \sim (c, d) \implies ad = bc \implies cb = da \implies (c, d) \sim (a, b)$$

*Transitivity*  $\forall (a, b), (c, d), (e, f) \in S,$

$$\begin{aligned} (a, b) \sim (c, d) \text{ and } (c, d) \sim (e, f) &\implies ad = bc \text{ and } cf = de \\ &\implies adc f = bcde \implies cd(af - be) = 0 \\ &\implies c(af - be) \quad (\text{since } d \in \mathbb{Z} \setminus \{0\}) \\ &\implies c = 0 \text{ or } af = be \\ &\implies c = 0 \text{ or } (a, b) \sim (e, f) \end{aligned}$$

However, if  $c = 0$ , then  $a = 0 = e$  (since  $d \neq 0$ ), in which case  $af = be$  and we still have  $(a, b) \sim (e, f)$ .

3. Define  $\mathbb{Q} = S / \sim = \{[(a, b)] : (a, b) \in S\}$  to be the set of equivalence classes of  $\sim$  in  $S$ . We claim that  $\mathbb{Q}$  inherits a field structure from  $\mathbb{Z}$  in a natural way. Define operations  $+$  and  $\cdot$  on  $\mathbb{Q}$  by

$$[(a, b)] + [(c, d)] := [(ad + bc, bd)], \quad [(a, b)] \cdot [(c, d)] := [(ac, bd)]$$

- These are well-defined operations: if  $(a, b) \sim (p, q)$  and  $(c, d) \sim (r, s)$ , then  $aq = bp$  and  $cs = dr$ . But then

$$[(p, q)] + [(r, s)] = [(ps + qr, qs)]$$

However,

$$\begin{aligned} (ad + bc)qs - bd(ps + qr) &= (aq - bp)ds + (cs - dr)bq = 0 \\ &\implies [(p, q)] + [(r, s)] = [(a, b)] + [(c, d)] \end{aligned}$$

Similarly,

$$acqs - bdpr = aqcs - aqcs = 0 \implies [(p, q)] \cdot [(r, s)] = [(a, b)] \cdot [(c, d)]$$

It follows that  $\mathbb{Q}$  is closed under both operations.



- The operations are associative. This is tedious: it suffices to observe that

$$\begin{aligned} [(a, b)] + [(c, d)] + [(e, f)] &= [(adf + bcf + bde, bdf)] \quad \text{and,} \\ [(a, b)] \cdot [(c, d)] \cdot [(e, f)] &= [(ace, bdf)] \end{aligned}$$

regardless of which operation one computes first.

- The operations are commutative: this is immediate by inspection.
- Both operations have identities:

$$0_{\mathbb{Q}} = [(0, 1)], \quad 1_{\mathbb{Q}} = [(1, 1)]$$

- Both operations have inverses:

$$-[(a, b)] = [(-a, b)], \quad [(c, d)]^{-1} = [(d, c)] \quad (\text{provided } [(c, d)] \neq 0_{\mathbb{Q}})$$

- The distributive laws hold: for instance,

$$\begin{aligned} ([(a, b)] + [(c, d)]) \cdot [(e, f)] &= [(ad + bc, bd)] \cdot [(e, f)] \\ &= [(ade + bce, bdf)] = [(adf + bcf, bdf^2)] \\ &= [(ae, bf)] + [(ce, df)] \\ &= [(a, b) \cdot [(e, f)] + [(c, d)] \cdot [(e, f)]] \end{aligned}$$

The other is similar.

The upshot is that we've *defined a field*  $(\mathbb{Q}, +, \cdot)$ . Of course it is customary to write  $\frac{a}{b} = [(a, b)]$  so that the addition and multiplication operations become the familiar expressions

$$\frac{a}{b} + \frac{c}{d} = \frac{ad + bc}{bd} \quad \text{and} \quad \frac{a}{b} \cdot \frac{c}{d} = \frac{ac}{bd}$$

but we keep the old notation just a while longer...

4. Observe that the subring  $\widehat{\mathbb{Z}} := \{[(a, 1)] : a \in \mathbb{Z}\}$  is isomorphic to  $\mathbb{Z}$ . For this, define the function

$$\mu : \mathbb{Z} \rightarrow \widehat{\mathbb{Z}} : a \mapsto [(a, 1)]$$

and check that  $\mu$  is an isomorphism of rings.

*Bijectivity*  $\mu$  is certainly surjective by definition. Moreover,

$$\mu(a) = \mu(b) \implies (a, 1) \sim (b, 1) \implies a \cdot 1 = 1 \cdot b \implies a = b$$

whence  $\mu$  is injective.

*Homomorphism* It is easy to check that

$$\begin{aligned} \mu(a + b) &= [(a + b, 1)] = [(a, 1)] + [(b, 1)] = \mu(a) + \mu(b) \\ \mu(ab) &= [(ab, 1)] = [(a, 1)] \cdot [(b, 1)] = \mu(a) \cdot \mu(b) \end{aligned}$$

The result is that we've *defined* a field  $\mathbb{Q}$  containing an isomorphic copy of the integral domain  $\mathbb{Z}$ .

**Generalizing** So far, so exciting: we've laboriously defined and checked the consistency of an object  $\mathbb{Q}$  with which we've been working for years. The next observation is crucial: check *every* step of the argument:

To construct  $\mathbb{Q}$ , all we required was that  $\mathbb{Z}$  be an *integral domain*!

The lack of zero-divisors is required to prove the transitivity of  $\sim$  while the fact that  $\mathbb{Z}$  is a commutative ring is needed repeatedly.<sup>11</sup> The upshot is that we can repeat the construction for *any integral domain*.

**Definition 21.1.** Let  $D$  be an integral domain. Define the equivalence relation  $\sim$  on  $S = D \times (D \setminus \{0\})$  by

$$(a, b) \sim (c, d) \iff ad = bc$$

The *field of quotients*  $\text{Frac}(D)$  of  $D$  is the field  $S / \sim$  with operations

$$[(a, b)] + [(c, d)] := [(ad + bc, bd)], \quad [(a, b)] \cdot [(c, d)] := [(ac, bd)]$$

The notation is unwieldy, but before we can make everything simpler we need to prove perform one piece of housekeeping.

**Theorem 21.2.** 1. *Everything in the definition is as claimed:  $\sim$  is an equivalence relation, the operations are well-defined and  $\text{Frac}(D)$  really is a field.*

2. *The subring*

$$R = \{[(a, 1)] : a \in D\}$$

*is ring-isomorphic to  $D$ .*

3. *If  $L$  is any field containing  $D$  as a subring, then there exists a function  $\psi : \text{Frac}(D) \rightarrow L$  such that*

- (a)  *$\psi$  is an isomorphism of  $\text{Frac}(D)$  onto a subfield of  $L$ ,*
- (b)  *$\forall a \in D, \psi([(a, 1)]) = a$ .*

## Remarks and Notation

- We've proved parts 1 and 2 in the above discussion: simply replace  $\mathbb{Z}$  with  $D$ ,  $\mathbb{Q}$  with  $\text{Frac}(D)$  and  $\widehat{\mathbb{Z}}$  with  $R$ .
- After completing the proof, we will typically write  $ab^{-1}$  for the element  $[(a, b)] \in \text{Frac}(D)$ . Under this identification, we see that  $a = [(a, 1)]$  for every  $a \in D$ , whence parts 2. and 3. become  $R = D$  and  $\psi(a) = a$ .

---

<sup>11</sup>It might appear that the existence of the unity  $1 \in \mathbb{Z}$  is required to define  $0_{\mathbb{Q}}, 1_{\mathbb{Q}}$  and to identify  $\widehat{\mathbb{Z}} \leq \mathbb{Q}$ , but these can be done via

$$0_{\mathbb{Q}} := [(0, b)], \quad 1_{\mathbb{Q}} := [(b, b)], \quad \widehat{\mathbb{Z}} = \{[(ab, b)] : a \in \mathbb{Z}\}$$

where  $b$  is *any* non-zero integer. The construction is therefore valid in any commutative ring with no zero-divisors.

*Proof of 3.* Start by defining  $\psi$ . Since  $D \leq L$ , we see that every non-zero element of  $D$  is invertible in the field  $L$ . It follows that we can define

$$\psi([(a, b)]) = ab^{-1}$$

where  $ab^{-1}$  is computed in  $L$ . Now observe that

$$\begin{aligned} [(a, b)] = [(c, d)] &\iff (a, b) \sim (c, d) \iff ad = bc \\ &\iff ab^{-1} = b^{-1}a = cd^{-1} \\ &\iff \psi([(a, b)]) = \psi([(c, d)]) \end{aligned}$$

whence  $\psi$  is well-defined and injective. We also clearly have  $\psi([(a, 1)]) = a \in L$ . Moreover,

$$\psi([(a, b)] + [(c, d)]) = ab^{-1} + cd^{-1} = (ad + bc)(bd)^{-1} = \psi([(a, b)] + [(c, d)])$$

and

$$\psi([(a, b)] \cdot [(c, d)]) = ab^{-1}cd^{-1} = (ac)(bd)^{-1} = \psi([(a, b)] \cdot [(c, d)])$$

whence  $\psi$  is an isomorphism onto its image. ■

The third part of the theorem is hugely important: it says that  $\text{Frac}(D)$  is the *smallest field* containing  $D$  in the sense that every field containing  $D$  must contain an isomorphic copy of  $\text{Frac}(D)$ .

### Examples

1. Suppose that  $D$  is already a field (this is automatic if  $D$  is a *finite* integral domain). Revisit the construction:

$$(a, b) \sim (c, d) \iff ad = bc \iff ab^{-1} = cd^{-1} \text{ in } D!$$

It follows that *the field of quotients is (isomorphic to)  $D$  itself.*

2. Consider the integral domain  $\mathbb{Z}[\sqrt{2}] = \{a + b\sqrt{2} : a, b \in \mathbb{Z}\}$ . Its field of quotients consists of all elements

$$\frac{a + b\sqrt{2}}{c + d\sqrt{2}} = \frac{(a + b\sqrt{2})(c - d\sqrt{2})}{c^2 - 2d^2} = \frac{(ac - 2bd) + (bc - ad)\sqrt{2}}{c^2 - 2d^2}$$

so that we obtain the field<sup>12</sup>

$$\mathbb{Q}(\sqrt{2}) := \{p + q\sqrt{2} : p, q \in \mathbb{Q}\}$$

---

<sup>12</sup>One can easily obtain any element of  $\mathbb{Q}(\sqrt{2})$  by setting  $d = 0$  and taking  $c$  to be the least common multiple of the denominators of  $p, q$ .