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**Problem 1.** Let  $\mathcal{L} = \{\dot{E}\}$  be the language of graphs (recall the definition of a graph discussed in class discussion section).

Express each of the following statements about graphs as a set (possibly infinite) of sentences in  $\mathcal{L}$ . That is, in each of the following cases find a set of  $\mathcal{L}$ -sentences  $\Sigma$  such that for every graph  $G$ ,

$$G \text{ has the named property iff } G \models \Sigma.$$

- (a) “ $G$  contains arbitrarily large finite cliques.”
- (b) “ $G$  consists of disjoint cycles.”
- (c) “Any two nodes in  $G$  have the same degree.”

Prove that there is no set  $\Sigma$  (possibly infinite) of  $\mathcal{L}$ -sentences such that for every graph  $G = (V, E)$  (so  $G$  is an  $\mathcal{L}$ -structure), the following holds:

$$G \models \Sigma \text{ if and only if } G \text{ has finitely many cliques of size 5}$$

*Solution.*

- (a) Observe “ $G$  contains arbitrarily large finite cliques” is equivalent to “ $G$  contains a clique of size  $n$  for every natural number  $n$ .” Define  $\Sigma := \{\varphi_n : n \in \mathbb{N}_{\geq 1}\}$  by

$$\begin{aligned} \varphi_n &:= \text{“there exists a clique of size } n\text{”} \\ &:= (\exists x_1) \dots (\exists x_n) \text{“}x_i, \text{ for } 1 \leq i \leq n, \text{ form a clique”} \\ &:= (\exists x_1) \dots (\exists x_n) \left[ \bigwedge_{i \neq j} (x_i \neq x_j) \wedge \bigwedge_{i \neq j} (\dot{E}x_i x_j) \right]. \end{aligned}$$

- (b) Define  $\Sigma := \{\Phi_n : n \in \mathbb{N}_{\geq 3}\}$  by

$$\begin{aligned} \varphi_n(x) &:= \text{“}x \text{ is in a cycle of length } n \text{ and the cycle is disjoint from the rest of the nodes”} \\ &:= \text{“}x \text{ is in a cycle of length } n \text{ and the cycle is disjoint from the rest of the nodes”} \\ &:= (\exists x_1) \dots (\exists x_n) \text{“}\{x_1, \dots, x_n\} \text{ is a cycle of length } n \text{ and } x = x_1 \\ &\quad \text{and the cycle is disjoint from the rest of the nodes”} \\ &:= (\exists x_1) \dots (\exists x_n) \left[ \bigwedge_{i=1}^{n-1} (\dot{E}x_i x_{i+1}) \wedge \dot{E}x_n x_1 \wedge x = x_1 \wedge \text{“the cycle is disjoint from the rest of the”} \right. \\ &\quad \left. \text{nodes”} \right] \\ &:= (\exists x_1) \dots (\exists x_n) \left[ \bigwedge_{i=1}^{n-1} (\dot{E}x_i x_{i+1}) \wedge \dot{E}x_n x_1 \wedge x = x_1 \wedge \right. \\ &\quad \left. (\forall y) \left[ \bigwedge_{i=2}^{n-1} ((\dot{E}y x_i \wedge y \neq x_{i-1}) \rightarrow y = x_{i+1}) \wedge ((\dot{E}y x_1 \wedge y \neq x_n) \rightarrow y = x_2) \right] \right] \end{aligned}$$

$$\text{and } \Phi_n := (\forall x) [V_{i=1}^n \varphi_n(x)].$$

- (c) Define  $\Sigma := \{\Phi_n : n \in \mathbb{N}\}$  by

$$\begin{aligned} \varphi_n(x, y) &:= \text{“}x \text{ and } y \text{ have degree } n\text{”} \\ &:= \text{“}x \text{ has degree } n\text{”} \wedge \text{“}y \text{ has degree } n\text{”} \end{aligned}$$

and “ $z$  has degree 0” is defined to be “ $(\forall v) [\neg \dot{E}vz]$ ” and for  $n \geq 1$ ,

$$\text{“}z \text{ has degree } n\text{”} := (\exists x_1) \dots (\exists x_n) \left[ \bigwedge_{i \neq j} (x_i \neq x_j) \wedge \bigwedge_{i=1}^n (\dot{E}x_i z) \wedge (\forall w) \left[ \dot{E}wz \rightarrow \bigvee_{i=1}^n (w = x_i) \right] \right]$$

$$\text{and } \Phi_n := \bigvee_{i=1}^n (\forall x) (\forall y) [\varphi_n(x, y)].$$

By contradiction, suppose a set  $\Sigma$  of  $\mathcal{L}$ -sentences exists such that for each graph  $G$ ,

$$G \models \Sigma \text{ if and only if } G \text{ has finitely many cliques of size 5}$$

Define  $\Delta$  to be the set of sentences  $\varphi_n$  stating that there exist at least  $n$  distinct cliques of size 5. Observe  $\Delta = \{\varphi_n\}$  is infinite. Consider the union  $\Sigma \cup \Delta$ . Observe the union is finitely satisfiable, because for each finite subset  $\Delta_0 \subseteq \Sigma \cup \Delta$ , there exists a model satisfying the sentences (or axioms) in  $\Delta_0$ . By the compactness theorem, there exists a model  $\mathfrak{M}$  satisfying every sentence (or axiom) in the entire set  $\Sigma \cup \Delta$ . Observe  $\mathfrak{M} \models \varphi_n$  for every  $n$ . Therefore  $\mathfrak{M}$  is a model satisfying every axiom in  $\Sigma$  but  $\mathfrak{M}$  does not have finitely many cliques of size 5—contradiction. As a result,  $\Sigma$  cannot exist.  $\square$

**Problem 3.** Let  $\mathcal{L} = \{P\}$  be a language with one 2-ary relation symbol  $P$ . Let  $\mathcal{M} = (\mathbb{Z}, P^{\mathcal{M}})$  be an  $\mathcal{L}$ -structure. Here  $\mathbb{Z}$  is the set of integers and for all  $a, b \in \mathbb{Z}$ ,

$$(a, b) \in P^{\mathcal{M}} \Leftrightarrow |b - a| = 1.$$

Show that there is an elementary equivalent  $\mathcal{L}$ -structure  $\mathcal{N} = (N, P^{\mathcal{N}})$  (i.e.  $\mathcal{M} \equiv \mathcal{N}$ ) that is not connected. Hint: Add constant symbols  $c, d$  to the language  $\mathcal{L}$ . Write down the sentences which say that  $c$  and  $d$  are “far apart.” Apply compactness theorem to this set of sentences.

*Solution.* Define  $\mathcal{L}' := \mathcal{L} \cup \{c, d\}$  where  $c$  and  $d$  are distinct symbols not in  $\mathcal{L}$ . Observe  $\mathcal{L}'$  is a more expressive language than  $\mathcal{L}$ ; that is to say, formulae  $\varphi$  and terms  $t$  in  $\mathcal{L}'$  can utilize the constant symbols  $c$  and  $d$ . Define  $\Sigma = \{\varphi : \varphi \text{ is an } \mathcal{L}\text{-sentence and } \mathcal{M} \models \varphi\}$ . Observe every  $\varphi \in \Sigma$  cannot have the symbols  $c$  and  $d$ . Define  $\Delta$  to be the set of sentences  $\varphi_n$  stating that there does not exist  $n$  distinct elements  $x_1, \dots, x_n$  such that  $c = x_1$  and  $d = x_n$  and for  $1 \leq i \leq n$ ,  $Px_i x_{i+1}$ . Observe  $\varphi_n$  is an  $\mathcal{L}'$ -sentence which guarantees that  $c$  and  $d$  are at least  $n + 1$  distance apart. Consider the union  $\Sigma \cup \Delta$ .

*Claim.* The union is finitely satisfiable.

Let  $\Delta_0 \subseteq \Sigma \cup \Delta$  be finite. For each natural number  $n$ , define  $\mathcal{M}_n := (\mathbb{Z}, P^{\mathcal{M}_n}, c^{\mathcal{M}_n}, d^{\mathcal{M}_n})$  by

- $P^{\mathcal{M}_n} = P^{\mathcal{M}}$ .
- $c^{\mathcal{M}_n} = -2^n$ .
- $d^{\mathcal{M}_n} = 2^n$ .

Because  $\Delta_0$  is finite, there exists a finite enumeration  $\{\sigma_1, \dots, \sigma_p, \delta_1, \dots, \delta_q\}$  of  $\Delta_0$  where

- $\sigma_i \in \Sigma$  for every  $i \leq p$ .
- $\delta_i \in \Delta$  for every  $i \leq q$ .
- $\Delta_0$  can have no elements of  $\Sigma$ ; in which case,  $p = 0$ .
- $\Delta_0$  can have no elements of  $\Delta$ ; in which case,  $q = 0$ .

Because  $\delta_i \in \Delta$ , there exists  $m_i$  such that  $\delta_i$  and  $\varphi_{m_i}$  are equal. Define  $\mathbf{m} := \max\{m_1, \dots, m_q, 0\}$ . Observe  $\mathcal{M}_{\mathbf{m}}$  believes that  $c$  and  $d$  are  $2^{\mathbf{m}+1}$  distance part. Observe  $2^{\mathbf{m}+1} > \mathbf{m}$ . Therefore  $\mathcal{M}_{\mathbf{m}} \models \delta_i$  for every  $i \leq q$ . Observe  $\sigma_i$  are sentences which do not use the symbols  $c$  and  $d$ , and  $\mathcal{M} \models \sigma_i$ . Observe  $\mathcal{M}_{\mathbf{m}}$  has the same domain as  $\mathcal{M}$  and  $\mathcal{M}_{\mathbf{m}}$  interprets  $P$  exactly the same. Therefore  $\mathcal{M}_{\mathbf{m}} \models \sigma_i$  for every  $i \leq p$ . Therefore  $\mathcal{M}_{\mathbf{m}} \models \Delta_0$ . That is to say,  $\Delta_0$  is satisfiable. As a result, the union is finitely satisfiable.

By the compactness theorem, the union is satisfiable. That is to say, there exists an  $\mathcal{L}'$ -structure  $\mathfrak{M}$  such that  $\mathfrak{M} \models \Sigma \cup \Delta$ .

*Claim.*  $\mathfrak{M}$  and  $\mathcal{M}$  are elementarily equivalent. That is to say, for each  $\mathcal{L}$ -sentence  $\varphi$ ,  $\mathfrak{M} \models \varphi$  iff  $\mathcal{M} \models \varphi$ .

Let  $\varphi$  be an arbitrary  $\mathcal{L}$ -sentence.<sup>[1]</sup>

( $\Rightarrow$ ) Assume  $\mathfrak{M} \models \varphi$ . Therefore  $\mathfrak{M} \models \neg\neg\varphi$ . Therefore  $\mathfrak{M} \not\models \neg\varphi$ . By contradiction, suppose  $\mathcal{M} \not\models \varphi$ . Therefore  $\mathcal{M} \models \neg\varphi$ . Therefore  $\neg\varphi \in \Sigma$ . Because  $\mathfrak{M} \models \Sigma$ ,  $\mathfrak{M} \models \neg\varphi$ —contradiction. Therefore  $\mathcal{M} \models \varphi$ .

( $\Leftarrow$ ) Assume  $\mathcal{M} \models \varphi$ . Therefore  $\varphi \in \Sigma$ . Because  $\mathfrak{M} \models \Sigma$ ,  $\mathfrak{M} \models \varphi$ .

*Claim.*  $\mathfrak{M}$  is not connected.

Because  $\mathfrak{M} \models \Delta$ , for each natural number  $n$ ,  $\mathfrak{M} \models \varphi_n$ . Therefore  $c^{\mathfrak{M}}$  and  $d^{\mathfrak{M}}$  are not connected. Therefore  $\mathfrak{M}$  is not connected.  $\square$

<sup>[1]</sup>The reason  $\varphi$  cannot be an  $\mathcal{L}'$ -sentence is because  $\mathcal{M}$  has no interpretation for  $c$  and  $d$ . Recall an  $\mathcal{L}'$ -sentence may have the symbols  $c$  and  $d$ .