

# SET-THEORETIC METHODS IN MODULE THEORY

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Second Czech-Catalan Conference in Mathematics  
Barcelona, September 2006  
(corrected version)

$R$  is an associative ring with identity.  
“module” means left  $R$ -module.

# Filtrations

## Definitions.

1. A **filtration** of a module  $A$  is a continuous chain of submodules  $\{A_\alpha : \alpha < \sigma\}$  of  $A$  whose union is  $A$  such that  $A_0 = 0$  and  $A_\beta = \bigcup_{\alpha < \beta} A_\alpha$  for all limit ordinals  $\beta < \sigma$ .
2. Let  $\mathcal{C}$  be a class of modules.  
A module  $A$  is said to be  **$\mathcal{C}$ -filtered** if it has a filtration as above s.t. ,  
 $A_{\alpha+1}/A_\alpha \in \mathcal{C}$  for all  $\alpha + 1 < \sigma$ .

## Examples.

1. Every module  $A$  has a filtration  $\{A_\alpha : \alpha < \sigma\}$  where  $\sigma =$  the size of a minimal generating set and each  $A_\alpha$  is  $< \sigma$ -generated.
2. Every free module is  $\{R\}$ -filtered. (And conversely.)

# Projective modules

## Theorem. (Kaplansky)

A module is projective if and only if it is  $\mathcal{C}$ -filtered, where  $\mathcal{C}$  is the set of countably-generated projective modules.

*Recall:*  $P$  is projective iff it is a direct summand of a free module iff for every epimorphism  $f : M \rightarrow N$  and every homomorphism  $g : P \rightarrow N$ , there is  $h : P \rightarrow M$  such that  $g = f \circ h$ .

## Definitions

A class  $\mathcal{A}$  of modules is  **$\kappa$ -deconstructible** if every module in  $\mathcal{A}$  is  $\mathcal{C}$ -filtered, where  $\mathcal{C}$  is the set of  $\leq \kappa$ -generated elements of  $\mathcal{A}$ .

$\mathcal{A}$  is **deconstructible** (or **bounded**) if it is  $\kappa$ -deconstructible for some  $\kappa$ .

# Singular Compactness

## Shelah's Singular Compactness Theorem (v.1)

Let  $\lambda$  be a singular cardinal and  $M$  an  $R$ -module which is  $\leq \lambda$ -generated.

Let  $R$  be a **p.i.d.**

Assume that for every regular cardinal  $\kappa < \lambda$ , every  $< \kappa$ -generated submodule of  $M$  is free.

Then  $M$  is free.

( $\lambda$  is *singular* if the cofinality of  $\lambda$  is  $< \lambda$  iff there is a strictly increasing sequence  $\{\mu_\nu : \nu < \tau\}$  of cardinals  $< \lambda$  and length  $\tau < \lambda$  whose supremum is  $\lambda$ .)

## Singular Compactness, version 2

### Shelah's Singular Compactness Theorem (v.2)

Let  $\lambda$  be a singular cardinal and  $M$  an  $R$ -module which is  $\leq \lambda$ -generated.

Let  $R$  be an **hereditary** ring

Assume that for every regular cardinal  $\kappa < \lambda$ , every  $< \kappa$ -generated submodule of  $M$  is **projective**.

Then  $M$  is **projective**.

( $\lambda$  is *singular* if the cofinality of  $\lambda$  is  $< \lambda$  iff there is a strictly increasing sequence  $\{\mu_\nu : \nu < \tau\}$  of cardinals  $< \lambda$  and length  $\tau < \lambda$  whose supremum is  $\lambda$ .)

( $R$  is *hereditary* if every submodule of a projective module is projective iff every left ideal is projective.)

## Singular Compactness, version 3

### Shelah's Singular Compactness Theorem (v.3)

Let  $\lambda$  be a singular cardinal and  $M$  an  $R$ -module which is  $\leq \lambda$ -generated.

Let  $R$  be **any** ring

Assume that for every regular cardinal  $\kappa < \lambda$ , “**enough**”  $< \kappa$ -generated submodules of  $M$  are projective.

Then  $M$  is projective.

“**enough**”: There is a set  $S_\kappa$  of  $< \kappa$ -generated projective submodules of  $M$  such that every subset of  $M$  of cardinality  $< \kappa$  is contained in a member of  $S_\kappa$ ; and  $S_\kappa$  is closed under unions of well-ordered chains of length  $< \kappa$ .

## Singular Compactness, version 4

Let  $\mathcal{C}$  be a set of countably-presented modules.

### Shelah's Singular Compactness Theorem (v.4)

Let  $\lambda$  be a singular cardinal and  $M$  an  $R$ -module which is  $\leq \lambda$ -generated.

Let  $R$  be any ring

Assume that for every regular cardinal  $\kappa < \lambda$ , “enough”  $< \kappa$ -generated submodules of  $M$  are  $\mathcal{C}$ -filtered.

Then  $M$  is  $\mathcal{C}$ -filtered.

“**enough**”: There is a set  $S_\kappa$  of  $< \kappa$ -generated  $\mathcal{C}$ -filtered submodules of  $M$  such that every subset of  $M$  of cardinality  $< \kappa$  is contained in a member of  $S_\kappa$ ; and  $S_\kappa$  is closed under unions of well-ordered chains of length  $< \kappa$ .

(Recall:  $A$  is  $\mathcal{C}$ -filtered if it has a filtration s.t. ,  $A_{\alpha+1}/A_\alpha \in \mathcal{C}$  for all  $\alpha$ .)

## Singular Compactness, version 5

Let  $\mathcal{C}$  be a set of  $\leq \mu$ -presented modules.

### Shelah's Singular Compactness Theorem (v.5)

Let  $\lambda$  be a singular cardinal  $> \mu$  and  $M$  an  $R$ -module which is  $\leq \lambda$ -generated.

Let  $R$  be any ring

Assume that for every regular cardinal  $\kappa < \lambda$  and  $> \mu$ , “enough”  $< \kappa$ -generated submodules of  $M$  are  $\mathcal{C}$ -filtered.

Then  $M$  is  $\mathcal{C}$ -filtered.

“**enough**”: There is a set  $S_\kappa$  of  $< \kappa$ -generated  $\mathcal{C}$ -filtered submodules of  $M$  such that every subset of  $M$  of cardinality  $< \kappa$  is contained in a member of  $S_\kappa$ ; and  $S_\kappa$  is closed under unions of well-ordered chains of length  $< \kappa$ .  
(Recall:  $A$  is  $\mathcal{C}$ -filtered if it has a filtration s.t. ,  $A_{\alpha+1}/A_\alpha \in \mathcal{C}$  for all  $\alpha$ .)



## Classes defined by Ext

$\mathcal{S}$  a class of modules

### Definitions

$${}^{\perp}\mathcal{S} = \{N \mid \text{Ext}^1(N, M) = 0 \text{ for all } M \in \mathcal{S}\}$$

$$\mathcal{S}^{\perp} = \{N \mid \text{Ext}^1(M, N) = 0 \text{ for all } M \in \mathcal{S}\}$$

*Recall:*

$\text{Ext}^1(A, B) = 0$  iff every short exact sequence

$$0 \rightarrow B \rightarrow M \rightarrow A \rightarrow 0$$

splits,

i.e., up to isomorphism the only one is

$$0 \rightarrow B \rightarrow B \oplus A \rightarrow A \rightarrow 0$$

## Examples/Definitions.

- $\{\text{Projective modules}\} = {}^\perp\{\text{all modules}\}$
- $\{\text{Injective modules}\} = \{\text{all modules}\}^\perp$
- ${}^\perp\{R\} =$  the class of **Whitehead modules**
- $(R \text{ an ID})$   
 ${}^\perp\{\text{torsion modules}\} =$  the class of **Baer modules**

# Deconstructibility

Let  $\mathcal{A} = {}^\perp\mathcal{B}$ .

**Fact:** If  $A$  is the union of a filtration  $\{A_\alpha : \alpha < \sigma\}$  such that  $A_{\alpha+1}/A_\alpha \in \mathcal{A}$  for all  $\alpha < \sigma$ , then  $A \in \mathcal{A}$ .

## The key question

Can we reduce knowledge of members of  $\mathcal{A}$  to knowledge of its “small” members?

i.e., is  $\mathcal{A}$  bounded?

i.e., is there  $\kappa$  such that every  $A \in \mathcal{A}$  is  $\kappa$ -deconstructible?

i.e., is every every  $A \in \mathcal{A}$  the union of a continuous chain of submodules  $\{A_\alpha : \alpha < \sigma\}$  such that each  $A_{\alpha+1}/A_\alpha$  is  $\leq \kappa$ -generated and belongs to  $\mathcal{A}$ ?

# The regular case

Let  $\kappa$  be a regular cardinal.

## The *regular* theorem. (v.1 Shelah)

**Assume  $V = L$** . Let  $R$  be a hereditary ring and let  $\mathcal{A} = {}^\perp\{N\}$  and  $\kappa > |R| + |N| + \aleph_0$ .

If  $A$  is  $\leq \kappa$ -generated and has a filtration  $\{A_\alpha : \alpha < \kappa\}$  of  $< \kappa$ -generated submodules belonging to  $\mathcal{A}$ , then

there is a subfiltration  $\{A_{f(\alpha)} : \sigma < \kappa\}$  such that  $A_{f(\alpha+1)}/A_{f(\alpha)} \in \mathcal{A}$  for all  $\alpha < \kappa$ . (Here  $f : \kappa \rightarrow \kappa$  is a continuous increasing function.)

## Application: Whitehead groups

Theorem. (Shelah, et. al.)

Assume  $V = L$ . If  $R$  is a hereditary ring, then for any  $R$ -module  $N$ ,  ${}^{\perp}\{N\}$  is  $|R| + |N| + \aleph_0$ -deconstructible.

### Consequences

- (i) (1973) Assuming  $V = L$ , every Whitehead group ( $\mathbb{Z}$ -module) is free.
- (ii) Assuming  $V = L$ , if  $R$  is a p.i.d. of cardinality  $\leq \aleph_1$  which is not a complete discrete valuation ring, then every Whitehead  $R$ -module is free.

*Remarks.*

(i) and (ii) are not provable in ZFC.

For some p.i.d.'s of cardinality  $\geq \aleph_2$ , the conclusion of (ii) is provably false (in ZFC).

(iii) Saroch - Trlifaj: can replace “ $R$  is hereditary” by “ $\mathcal{A}$  is closed under pure submodules.”

## Regular case, version 2 (in ZFC)

$\mathcal{A} = {}^\perp \mathcal{B}$  where  $\mathcal{B}$  is closed under direct sums.

The *regular* theorem. (v.2 E-Fuchs-Shelah)

Assume  $\mathcal{B}$  is closed under arbitrary direct sums. If  $A$  is  $\leq \kappa$ -generated and has a filtration  $\{A_\alpha : \alpha < \kappa\}$  of  $< \kappa$ -generated submodules belonging to  $\mathcal{A}$  and s.t. **proj. dim.** $(A + \alpha/A_{\alpha+1}) \leq 1$ , then there is a subfiltration  $\{A_{f(\alpha)} : \sigma < \kappa\}$  such that  $A_{f(\alpha+1)}/A_{f(\alpha)} \in \mathcal{A}$  for all  $\alpha < \kappa$ .

## A word about the proof

A subset  $S$  of  $\kappa$  is called **stationary** if it has non-empty intersection with the range of every continuous increasing  $f : \kappa \rightarrow \kappa$ .

It suffices to prove that

$$S \stackrel{\text{def}}{=} \{\alpha < \kappa : \exists \mu_\alpha > \alpha \text{ s.t. } A_{\mu_\alpha}/A_\alpha \notin \mathcal{A}\}$$

is *not* stationary, for then any  $f$  missing  $S$  will do.

Aiming for a contradiction, we assume  $S$  is stationary and show  $\text{Ext}^1(A, B) \neq 0$  for some  $B \in \mathcal{B}$  ( $B = N$  in v. 1), i.e.  $A \notin {}^\perp \mathcal{B}$ .

*Version 2:* W.l.o.g. if  $\alpha \in S$ ,  $\mu_\alpha = \alpha + 1$ , i.e. there is  $B_\alpha \in \mathcal{B}$  such that  $\text{Ext}^1(A_{\alpha+1}/A_\alpha, B_\alpha) \neq 0$ .

We construct a non-splitting short exact sequence

$$0 \rightarrow \bigoplus_{\beta \in S} B_\beta \rightarrow M \rightarrow A \rightarrow 0$$

as the union of a chain

$$0 \rightarrow \bigoplus_{\beta < \nu} B_\beta \rightarrow M_\nu \rightarrow A_\nu \rightarrow 0.$$

If there were a splitting  $g : A \rightarrow M$  of

$$0 \rightarrow \bigoplus_{\beta \in S} B_\beta \rightarrow M \rightarrow A \rightarrow 0$$

there would be  $\alpha \in S$  such that  $g \upharpoonright A_\alpha : A_\alpha \rightarrow M_\alpha$ .

But we choose

$$0 \rightarrow \bigoplus_{\beta < \alpha+1} B_\beta \rightarrow M_{\alpha+1} \rightarrow A_{\alpha+1} \rightarrow 0$$

to prevent any such from extending.

In Version 1, we must construct a chain

$$0 \rightarrow N \rightarrow M_\nu \rightarrow A_\nu \rightarrow 0$$

and we must use the  $\diamond$  prediction principles to predict and kill a particular splitting  $: A_\alpha \rightarrow M_\alpha$  for each  $\alpha \in S$ .



## Application: Baer modules

Baer (1936): countable Baer  $\mathbb{Z}$ -modules are free.

Griffith (1969): all Baer  $\mathbb{Z}$ -modules are free.

Kaplansky (1962): Baer modules over arbitrary IDs are flat and of proj. dim  $\leq 1$ . Are they all projective?

### Consequence (1990)

( $R$  an ID) The class of Baer modules is  $\aleph_0$ -deconstructible. Hence, if every countably generated Baer  $R$ -module is projective, then every Baer  $R$ -module is projective.

(Recall:  $A$  is Baer if  $A \in {}^\perp\{\text{torsion modules}\}$ .)

### Theorem. (Angeleri Hugel-Bazzoni-Herbera 2005)

Every countably generated Baer module over an arbitrary ID is projective. Hence, every Baer module is projective.

## Regular case, version 3

$\mathcal{A} = {}^\perp \mathcal{B}$  where  $\mathcal{B}$  is closed under direct sums.

The *regular* theorem. (v.3 Šťovíček-Trlifaj)

Assume  $\mathcal{B}$  is closed under arbitrary direct sums. If  $A$  is  $\leq \kappa$ -generated and has a filtration  $\{A_\alpha : \alpha < \kappa\}$  of  $< \kappa$ -generated submodules belonging to  $\mathcal{A}$ , then

there is a subfiltration  $\{A_{f(\alpha)} : \sigma < \kappa\}$  such that  $A_{f(\alpha+1)}/A_{f(\alpha)} \in \mathcal{A}$  for all  $\alpha < \kappa$ .

# Cotorsion pairs

Definition. (Salce 1979)

A **cotorsion pair** is a pair of classes of modules  $(\mathcal{A}, \mathcal{B})$  such that  $\mathcal{A} = {}^{\perp}\mathcal{B}$  and  $\mathcal{B} = \mathcal{A}^{\perp}$

## Examples

- (Projectives,  $R$ -modules)
- ( $R$ -modules, Injectives)
- $({}^{\perp}(\mathcal{S}^{\perp}), \mathcal{S}^{\perp})$   
 $({}^{\perp}\mathcal{S}, ({}^{\perp}\mathcal{S})^{\perp})$
- Case of  $\mathcal{S} = \{\text{Pure-injective modules}\}$ :  
 $\{\text{Flat modules}\} = {}^{\perp}\mathcal{S}$   
 $\{\text{Flat modules}\}^{\perp} = \{(\text{Enoch}) \text{ Cotorsion modules}\}$

(Flat, Cotorsion) is a cotorsion pair.

# Tilting cotorsion pairs

## Definition/Theorem

A cotorsion pair  $(\mathcal{A}, \mathcal{B})$  is  **$n$ -tilting** if

- Every element of  $\mathcal{A}$  is of proj. dim.  $\leq n$ ;
- $\text{Ext}^i(A, B) = 0$  for all  $i \geq 2$  and all  $A \in \mathcal{A}, B \in \mathcal{B}$ ; and
- $\mathcal{B}$  is closed under direct sums.

## Example/Theorem

Let  $\mathcal{S}$  be a set of modules (of proj. dim  $\leq n$ ) such that each member  $M$  of  $\mathcal{S}$  has a projective resolution

$$0 \rightarrow P_n \rightarrow P_{n-1} \rightarrow \dots \rightarrow P_0 \rightarrow M \rightarrow 0$$

where each  $P_\ell$  is **finitely-generated**.

Let  $\mathcal{B} = \mathcal{S}^{\perp\infty} \stackrel{\text{def}}{=} \{N \mid \text{Ext}^i(M, N) = 0 \text{ for all } M \in \mathcal{S} \text{ and all } i \geq 1\}$ .

Then  $({}^\perp\mathcal{B}, \mathcal{B})$  is an  $n$ -tilting cotorsion pair **of finite type**.

There is an analogous definition for **countable type**.

## Key question

Is every tilting cotorsion pair of finite type?

## Theorems

1. (Bazzoni-E-Trlifaj 2003) All 1-tilting pairs are of countable type.
2. (Bazzoni-Herbera 2005) All 1-tilting pairs are of finite type.
3. (Šťovíček-Trlifaj 2005) All  $n$ -tilting cotorsion pairs are of countable type.
4. (Bazzoni-Šťovíček 2005) All  $n$ -tilting cotorsion pairs are of finite type.

## Complete cotorsion pairs

**Definition.** A cotorsion pair  $(\mathcal{A}, \mathcal{B})$  is **complete** if it has enough projectives and injectives, i.e., for every module  $M$ , there is a short exact sequence

$$0 \rightarrow B \rightarrow A \rightarrow M \rightarrow 0$$

such that  $A \in \mathcal{A}$  and  $B \in \mathcal{B}$ ; or, equivalently (Salce), for every module  $M$ , there is a short exact sequence

$$0 \rightarrow M \rightarrow B \rightarrow A \rightarrow 0$$

such that  $A \in \mathcal{A}$  and  $B \in \mathcal{B}$ .

### Theorem. (E-Trlifaj)

The cotorsion pair  $(\mathcal{A}, \mathcal{B})$  is complete if it is *generated by a set*, i.e.,  $\mathcal{B} = \{M\}^\perp$  for some module  $M$ .

## Application: Flat covers

$(\mathcal{A}, \mathcal{B})$  is generated by a set iff  $\mathcal{A}$  is deconstructible.  
(Enochs for *if*; Šťovíček-Trlifaj for *only if*)

### Theorem (Enochs 2000)

The (Flat, Cotorsion) pair is deconstructible,  
hence complete, so flat covers exist for modules over any ring.

$$R = \mathbb{Z}$$

## Theorems.

1. (E-Trlifaj)  $(\mathcal{A}, \mathcal{B})$  is deconstructible, and hence complete, if every member of  $\mathcal{B}$  is cotorsion.
2. (E-Shelah-Trlifaj) It is consistent with ZFC + GCH that for every  $N$  which is not cotorsion, the cotorsion pair  $({}^\perp\{N\}, ({}^\perp\{N\})^\perp)$  is not generated by a set, hence not deconstructible.
3. (E-Shelah) It is consistent with ZFC + GCH that  $({}^\perp\{\mathbb{Z}\}, ({}^\perp\{\mathbb{Z}\})^\perp)$  is not complete.