

**Exam 2. Due Friday, November 6**  
Functional Analysis (602, Real Analysis II), Fall 2009

**1. (Compactness)** Let  $A$  be a bounded subset of a Banach space  $X$ .

(a) Prove that  $A$  is a precompact if and only if, for every  $\varepsilon > 0$  there exists a finite dimensional subspace  $Y \subseteq X$  which forms an  $\varepsilon$ -net of  $A$ . (*Necessity was proved in class; please prove sufficiency*).

(b) Suppose that there exists an *approximate identity* in  $X$ , which is a sequence of operators of finite rank  $T_n \in L(X, X)$  which converges pointwise to the identity:  $T_n x \rightarrow x$  for every  $x \in X$ . Prove that  $A$  is precompact if and only if  $T_n$  converges to the identity uniformly on  $A$ . (*Hint: necessity is a direct consequence of one of the theorems proved in class; sufficiency may follow from part (a)*).

(c) For  $X = c_0$ , prove that  $A$  is precompact if and only if there exists an vector  $x \in c_0$  which dominates all vectors in  $A$  pointwise:  $|a_i| \leq |x_i|$  for all  $a \in A$  and  $i = 1, 2, \dots$ .

(d) For  $X = \ell_p$ , prove that  $A$  is precompact if and only if vectors in  $A$  have uniformly decaying tails: for every  $\varepsilon > 0$  there exists  $N$  such that  $\sum_{i>N} |x_i|^p \leq \varepsilon$  for all  $x \in A$ .

**2. (Banach limit)** Construct a generalization of the notion of limit which is defined for all bounded (but possibly divergent) sequences. Specifically, show that to every bounded sequence of real numbers  $(x_n)$  one can assign a real number called Banach limit and denoted by  $\text{Lim } x_n$ , which has the following properties:

- (i) If  $x_n$  converges then  $\text{Lim } x_n = \lim x_n$ ;
- (ii)  $\liminf x_n \leq \text{Lim } x_n \leq \limsup x_n$ ;
- (iii)  $\text{Lim}(ax_n + by_n) = a \text{Lim } x_n + b \text{Lim } y_n$  for scalars  $a, b$ ;
- (iv) Translations do not change the limit:  $\text{Lim } x_{n+1} = \text{Lim } x_n$ .

(*Hint: Construct such an extension using Hahn-Banach theorem in the following setting: consider the Cesaro means  $L_n x := \frac{1}{n}(x_1 + \dots + x_n)$ , the subspace*

$$Y = \{x \in \ell_\infty : \lim L_n x =: \text{Lim } x \text{ exists}\},$$

and the sublinear functional  $p(x) = \limsup L_n x$  on  $\ell_\infty$ ).

(Over, please)

**3. (Projections)** Let  $X$  be a Banach space.

(i) Suppose  $X_1$  and  $X_2$  are closed linear subspaces of  $X$  with the following property: every  $x \in X$  can be uniquely expressed as  $x = x_1 + x_2$  for some  $x_1 \in X_1$ ,  $x_2 \in X_2$ . Prove that  $X_1$  and  $X_2$  are complemented subspaces. Namely, show that the map  $P_1x := x_1$  defines a projection on  $X_1$  and  $P_2x := x_2$  defines a projection onto  $X_2$ . (*Hint: use Closed Graph Theorem to prove boundedness.*)

(ii) Deduce that  $X$  is isomorphic to the direct sum  $X_1 \oplus X_2$ .

(iii) Let  $P : X \rightarrow X$  be a linear operator such that  $P^2 = P$ . Prove that  $X_1 := \operatorname{im} P$  and  $X_2 := \ker P$  are closed complemented subspaces of  $X$ . Deduce that  $P$  is a projection onto  $X_1$ .