

Homework 11 Solutions

① Yes, e.g. $A = \left[\begin{array}{cc|c} 0 & -1 & 0 \\ 1 & 0 & 0 \\ \hline 0 & 0 & -1 \\ & 1 & 0 \end{array} \right]$ has the same eigenvalues as each block (rotation) : $\pm i$.

② (a) $\begin{cases} \lambda_1 + \lambda_2 = \text{tr}(A) = 7 \\ \lambda_1 \lambda_2 = \det(A) = 12 \end{cases} \Rightarrow \underline{\lambda_1 = 3}, \underline{\lambda_2 = 4}$

(b) No: any matrix similar to $D = \begin{bmatrix} 3 & 0 \\ 0 & 4 \end{bmatrix}$ has the same eigenvalues (thus same trace and determinant)

For example, $\begin{bmatrix} 4 & 0 \\ 0 & 3 \end{bmatrix}$.

③ $\lambda_1 = \lambda_2 = 1, \lambda_3 = 3$. If $a \neq 0$:
 $\lambda = 1: E_1 = \ker \begin{bmatrix} 0 & 0 & 0 \\ a & 0 & 0 \\ b & c & 2 \end{bmatrix} \begin{cases} ax_1 = 0 \Rightarrow x_1 = 0 \\ bx_1 + cx_2 + 2x_3 = 0 \end{cases} \Leftrightarrow \begin{cases} x_1 = 0 \\ cx_2 + 2x_3 = 0 \end{cases}$

$$\begin{cases} x_1 = 0 \\ x_2 = t \\ x_3 = -\frac{c}{2}t \end{cases}$$

$$\text{Solution space: } E_1 = \left\{ \begin{bmatrix} 0 \\ t \\ (\frac{c}{2})t \end{bmatrix}; t \in \mathbb{R} \right\}$$

$$= \text{span} \left\{ \begin{bmatrix} 0 \\ 1 \\ -\frac{c}{2} \end{bmatrix} \right\} \leftarrow$$

\dim
 If $a \neq 0$ then $\dim E_1 = 1 \Rightarrow$ no basis of eigenvectors.

let $a = 0$ $E_1 = \ker \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ b & c & 2 \end{bmatrix}$

$$bx_1 + cx_2 + 2x_3 = 0$$

$$E_1 = \text{plane} \Rightarrow \underline{\dim E_1 = 2}$$

$\lambda = 3: E_3 = \ker \begin{bmatrix} -2 & 0 & 0 \\ 0 & -2 & 0 \\ b & c & 0 \end{bmatrix}$

$$\begin{cases} x_1 = 0 \\ x_2 = 0 \\ b x_3 = 0 \end{cases}$$

$$E_3 = \text{span} \left\{ \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} \right\}$$

$$\underline{\dim E_3 = 1}$$

$$\dim E_1 + \dim E_2 = 2 + 1 = 3$$

\Rightarrow there is a basis of eigenvectors.

Answer: There is a basis of eigenvectors if and only if $a = 0$

(4) They must be the same. If they are not, then (10)
 $\sum \text{geometric mult.} < \sum \text{algebraic mult.} = n$
 \Rightarrow no basis of eigenvectors (Thm 7.3.4.6) (see Theorem 7.3.7)

(5) $\det(A - \lambda I) = \lambda^2 - 6\lambda + 9 = (\lambda - 3)^2$. $\lambda_1 = \lambda_2 = 3$ (5)
 $A - 3I = \begin{bmatrix} 0 & 2 \\ 0 & 0 \end{bmatrix} \Rightarrow E_3 = \ker \begin{bmatrix} 0 & 2 \\ 0 & 0 \end{bmatrix} = \text{span} \left\{ \begin{bmatrix} 1 \\ 0 \end{bmatrix} \right\}$
 $\dim E_3 = 1 < 2 \Rightarrow$ not diagonalizable

(b) $\det(A - \lambda I) = (1 - \lambda)(2 + \lambda)^2$ $\lambda_1 = 1, \lambda_2 = \lambda_3 = -2$ (10)
 Basis for E_1 : $\bar{v}_1 = \begin{bmatrix} 1 \\ -1 \end{bmatrix}$
 Basis for E_2 : $\bar{v}_2 = \begin{bmatrix} -1 \\ 1 \\ 0 \end{bmatrix}, \bar{v}_3 = \begin{bmatrix} -1 \\ 0 \\ 1 \end{bmatrix}$
 $A = S^{-1}DS, D = \begin{bmatrix} 1 & 0 & 0 \\ 0 & -2 & 0 \\ 0 & 0 & -2 \end{bmatrix}, S^{-1} = \begin{bmatrix} 1 & -1 & -1 \\ -1 & 1 & 0 \\ 1 & 0 & 1 \end{bmatrix}$. (Other answers are possible)

(c) $\det(A - \lambda I) = (1 - \lambda)(2 + \lambda)^2$. $\lambda_1 = 1, \lambda_2 = \lambda_3 = -2$.
 Basis for E_1 : $\bar{v}_1 = \begin{bmatrix} 1 \\ -1 \end{bmatrix}$

Basis for $\lambda = -2$: $\bar{v}_2 = \begin{bmatrix} -1 \\ 1 \\ 0 \end{bmatrix} \Rightarrow \dim E_{-2} = 1 < 2 \Rightarrow$ not diagonalizable

(6) True: let $A = S^{-1}DS$. Since A is invertible, 0 is not an eigenvalue. (10)
 Hence $D = \begin{bmatrix} \lambda_1 & & 0 \\ & \ddots & \\ 0 & & \lambda_n \end{bmatrix}$ is invertible, $D^{-1} = \begin{bmatrix} 1/\lambda_1 & & 0 \\ & \ddots & \\ 0 & & 1/\lambda_n \end{bmatrix}$
 Moreover, S is invertible by the Diagonalization Theorem. Hence
 $A^{-1} = (S^{-1}DS)^{-1} = S^{-1}D^{-1}S$.
 Thus A^{-1} can be diagonalized.